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# Identification of degraded bone and tooth splinters from arid environments using palaeoproteomics

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## **Abstract**

The analysis of the skeletal remains of vertebrates in archaeological contexts provides information about human-animal relationship and their environment. Their taxonomic identification based on macroscopic observation is not always possible due to fragmentation and poor preservation. In recent years, proteomics has emerged as an alternative but there is clearly a lack of data in arid environment where diagenesis rapidly affects the integrity of bone proteins. Here, we report the efficiency of three protocols for protein extraction. The protocols used harsh (1 M HCl and 0.6 M HCl) and soft (Tris-EDTA) decalcification agents and were tested on unidentified splinters from the 2000 years-old site of Toteng, Botswana. The preservation of the organic phase was first estimated using attenuated total reflectance Fourier transform infrared spectroscopy and a set of samples with contrasted collagen contents were selected for palaeoproteomics. The extracted proteins were submitted to a bottom-up proteomic approach involving trypsin digestion followed by ultra-high-performance liquid chromatography coupled to mass spectrometry (UHPLC-MS). Our results identify Tris-EDTA buffer as the most suitable decalcification protocol for poorly preserved bones and propose a collagen content threshold of ~ 3% weight content for successful detection of peptides. This approach, combined with biogeographical and chronological repartitions of mammals in Africa allows refining taxonomic attributions for four out of nine splinters, leading to species identification.

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**Keywords:** bone diagenesis, Africa, arid environment, proteomics, ancient collagen, ATR-FTIR

## **1. Introduction**

Bones are among the most abundant material excavated from archaeological sites and thus, potentially the most informative about past societies. Yet, the physical integrity of bone remains is often degraded due to the influence of the environment and burial conditions leading to a large amount of material described as “undetermined” in faunal analyses. New developments in biomolecular methods for the study of ancient remains enabled the archaeologists to use DNA analyses for solving number of archaeological questioning such as phylogenetic relationships (Debruyne et al., 2008; Enk et al., 2011; Hänni et al., 1994) or the genetic impact of species domestication (Bollongino et al., 2006, 2008; Jaenicke-Després et al., 2003; Zeder, 2008). Even though major progresses has been made such as the amplification of the oldest DNA molecules ever identified (Orlando et al., 2013), it is still challenging to extract and amplify this type of biomolecules from arid environment (Kimura et al., 2010; Loosdrecht et al., 2018).

The analysis of protein remains in archaeological samples by palaeoproteomics has become a powerful tool for species identification (Buckley et al., 2008, 2010; Buckley, 2016; van der Sluis et al., 2014), reconstructions of phylogenetic relations between extant and extinct species (Buckley et al., 2011; Cleland et al., 2016, 2015; Welker et al., 2017, 2015a), and sometimes even on unidentified splinters (Welker et al., 2015b). The oldest characterized protein of ostrich eggshell from Tanzania dates back to 3.8 My BP (Demarchi et al., 2016), testifying the potential of palaeoproteomics. But most of the archaeological material analysed by palaeoproteomics comes from temperate climates and not from arid environments. Such environment generates different biochemical and physical constraints on bone matrix, related to differences in temperatures, hydrology, pH or even soil chemical composition.

Often, morphological alterations can come along with biochemical modifications of the organic matrix. Indeed, number of studies focus on both biological and chemical alteration of the bone organic matrix (Collins et al., 2002; Dobberstein et al., 2009; Hedges et al., 1995; Schroeter and Cleland, 2016; Simpson et al., 2016), composed in majority of type I collagen. As the major component of bone extracellular organic matrix, this molecule is formed of two  $\alpha 1$  chains and one  $\alpha 2$  chain forming a triple helix, further arranged into fibrils (Rich and Crick, 1961). It contains the repetitive sequence Gly – Xaa – Yaa in which Gly is glycine, Xaa can often be either proline (Pro) or hydroxyproline (Hyp) and Yaa any amino acid including Pro or Hyp (Jenkins and Raines, 2002; Kadler et al., 2007; Shoulders and Raines, 2009). The N-and C-terminal regions of the  $\alpha 1$  and  $\alpha 2$  chains are connected through hydroxylysine cross-links (Eyre et al., 2008). Those structural

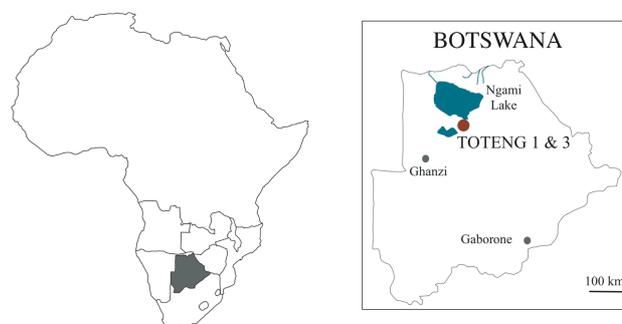
77 characteristics allow the three chains to associate and create empty regions described as gap zones  
78 (Xu et al. 2018), where calcium phosphate mineralization occurs, leading to the formation of  
79 subsequent hydroxyapatite crystals (Nudelman et al., 2010). Diagenetic processes inherent to  
80 archaeological material such as collagen hydrolysis or biochemical modifications (such as  
81 deamidation) can increase difficulties associated with biomolecules analyses (Tuross, 2002). Thus,  
82 variations occurring in the collagen helix or additional modifications can highly hindered the  
83 characterization of biomolecules contained in remains from arid environment.

84 Despite the increasing number of collected information, no study has ever focused on the  
85 characterization of Later Stone Age fauna proteins originating from tropical zones in Africa. To our  
86 knowledge, only two papers rely on continental African remains (Demarchi et al., 2016; Prendergast  
87 et al., 2017): yet, the first one focused on ostrich eggshells and the second on bone historical material.  
88 In Africa, the frequent absence of sufficiently preserved DNA in archaeological material restricted  
89 molecular studies (Colson et al., 1997; Gifford-Gonzalez and Hanotte, 2011) and protocols suited for  
90 arid environments need to be developed, in order to bring new insights about African faunas  
91 recovered in archaeological sites using palaeoproteomics.

92 The aim of this study was to develop a protein extraction protocol for palaeoproteomics  
93 analysis adapted to highly degraded remains from arid environment. The protocols were tested on  
94 bone splinters from the 2000 years-old site of Toteng, Botswana. Organic preservation was first  
95 estimated using attenuated total reflectance Fourier transform infra-red spectroscopy (ATR-FTIR)  
96 and the selected samples underwent three different decalcification protocols. A bottom-up proteomic  
97 approach was used to identify digests of the extracted proteins. Finally, protein identification and  
98 chrono-biogeographical repartitions of mammals in Africa enabled to propose species attribution of  
99 certain of the bone splinters.

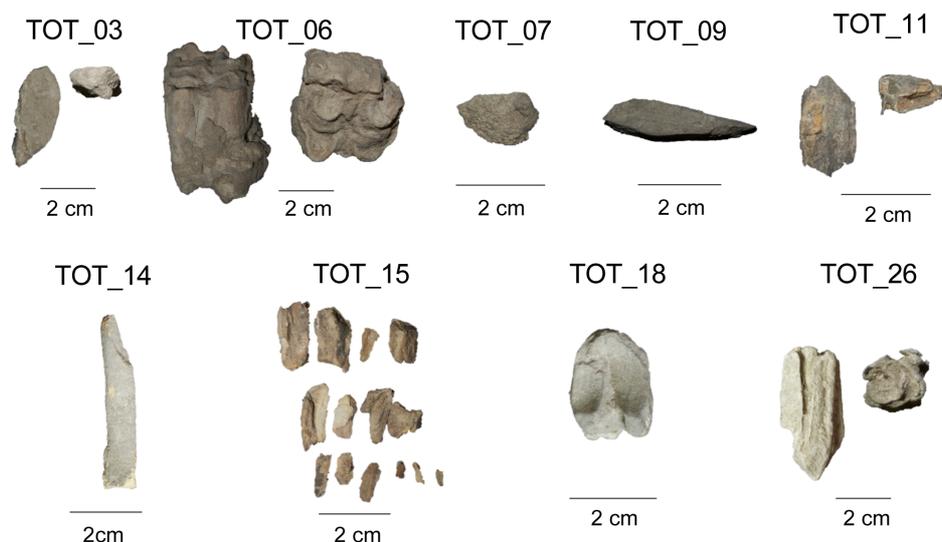
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## 101 2. Material



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103 **Fig. 1.** Africa map with Botswana localization (dark grey) in Austral Africa and country map with Toteng 1 and Toteng  
104 3 sites localization. **Print in color**



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106 **Fig. 2.** Pictures of 9 out of the 18 remains selected for this study. The 9 presented are those selected for palaeoproteomics  
 107 analysis after ATR-FTIR pre-screening. **Print in color**

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109 Analyses were performed on morphologically unidentified splinters from the Later Stone Age  
 110 sites of Toteng 1 and Toteng 3, Botswana (Fig. 1, left). Discovered in 1991 by A.C. Campbell and  
 111 collaborators, Toteng 1 and Toteng 3 are open-air sites located West from Ngami Lake basin in  
 112 Botswana (Fig. 1, right). They are distant only from tens of meters along Ngami Lake tributaries, 934  
 113 meters above sea level. First digs revealed a rich sequence (Campbell, 1992; Robbins et al., 1998;  
 114 Sadr, 1997) with typical Later Stone Age lithic industry and a large spectrum of faunal remains,  
 115 especially the presence of domestic bovid species, associated with Bambata sherds (Huffman, 1994).  
 116 In 2003, a new campaign was organized and the two sites delivered new artefacts and ecofacts that  
 117 enriched the archaeological assemblage (Robbins et al., 2008). Zooarchaeological analyses  
 118 performed by S. Badenhorst revealed the presence of domestic sheep (*O. aries*) in Later Stone Age  
 119 levels, directly AMS dated to  $2020 \pm 40$  BP ( $2\sigma$ , Robbins et al., 2005). This early AMS date increased  
 120 the interest of the site regarding the quest for the earliest domestic caprines in Southern Africa. We  
 121 accessed the faunal remains (n=150) excavated during the 2003 campaign, stored at the National  
 122 Museum of Botswana, and we temporarily exported them under authorization. Exported remains were  
 123 examined by one of the authors (JL) and 12 bone fragments and 6 teeth were selected for this study.  
 124 Most of them were too fragmented and altered by taphonomic processes to allow precise  
 125 identification (Fig. 2 and Table 1). The identified remains were attributed to bovids and equids.

Sample code	Site	Morphological identification	Anatomical part	Location on the site	Surface alterations visible on the remain
TOT_01	Toteng 1	Unidentified bovid	Ulna diaphysis	Square K, depth 75-80 cm.	Small mammals munching (SMM), rhizomes traces (RT)
TOT_02	Toteng 1	Unidentified bovid	Ulna diaphysis	Square K, depth 75-80 cm.	SMM, RT, burnt?
TOT_03	Toteng 1	Unidentified mammal	Unidentified	Square K, depth 80-85 cm.	SMM, RT
TOT_04	Toteng 1	Medium-sized mammal	Unidentified	Square K, depth 80-85 cm.	SMM, RT, burnt?
TOT_05	Toteng 1	<i>Bos taurus</i> or <i>Syncerus caffer</i>	Upper molar	Square K, depth 90-95 cm.	Large concretions, use wear
TOT_06	Toteng 1	<i>Bos taurus</i> or <i>Syncerus caffer</i>	Upper molar	Square H, depth 115-120 cm.	Large concretions, use wear
TOT_07	Toteng 1	Unidentified	Unidentified	Square L, depth 100-105 cm.	SMM, RT
TOT_09	Toteng 1	Unidentified mammal	Long bone	Square L, depth 100-105 cm.	SMM, RT, crackled
TOT_10	Toteng 1	Small-sized bovid	Lower left molar 3	Square L, depth 110-115 cm.	Concretions, use wear
TOT_11	Toteng 3	<i>Equus</i> sp.	Tooth	Square 7, depth 30_35 cm.	Large concretions, use wear, RT
TOT_12	Toteng 3	Large-sized mammal	Unidentified	Square 7, depth 30_35 cm.	Deep SMM and RT
TOT_13	Toteng 3	Large-sized mammal	Long bone	Square 7, depth 30_35 cm.	Deep SMM, crackled
TOT_14	Toteng 3	Medium-sized bovid	Metapodial	Square 7, depth 30_35 cm.	SMM, RT, crackled
TOT_15	Toteng 3	<i>Equus</i> sp.	Tooth	Square 7, depth 38 cm.	Large concretions, use wear, several pieces
TOT_16	Toteng 3	<i>Equus</i> sp.	Tooth	Square 7, depth 38 cm.	Large concretions, use wear, several pieces
TOT_18	Toteng 3	Large to medium-sized bovid	Proximal phalanx 1	Square 7, depth 30-40 cm.	SMM, RT
TOT_25	Toteng 3	Unidentified mammal	Long bone	Square 7, depth 45-47 cm.	SMM, RT, small diaphysis
TOT_26	Toteng 3	<i>Bos taurus</i> or <i>Syncerus caffer</i>	1 <sup>st</sup> molar (upper or lower?)	Square 9, depth 45-50 cm.	Large concretions, use wear

127 **Table 1**

128 Archaeological remains selected for the study from the 2003 campaign (Robbins et al., 2005, 2008).

### 129 3. Methods

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#### 131 3.1. Sample preparation

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133 The surface of the sample was first cleaned with a diamond drill. Less than 1 mg was sampled  
134 for ATR-FTIR analyses and between 20 and 150 mg for palaeoproteomics. Fragments for proteomics  
135 were roughly crushed into chunks and then divided into three equal subsamples. The first two  
136 subsamples were placed in 2 mL Eppendorf tubes (Protein LoBind, Eppendorf, Hambourg, Germany)  
137 while the third one was placed in 5 mL glass tubes.

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#### 139 3.2. ATR-FTIR

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141 Prior to palaeoproteomics analyses, we estimated the organic content of the samples using  
142 attenuated total reflectance Fourier transform infra-red (ATR-FTIR) spectroscopy following the  
143 method established in Lebon et al., 2016. We applied approximately 0.5 mg of powder on the  
144 diamond (Golden Gate Single Reflection Diamond ATR accessory (Specac, France) with KRS-5  
145 lens) of a Vertex 70 FTIR spectrometer (Bruker Optics, France). ATR-FTIR spectra were obtained  
146 by accumulation of 64 scans with a resolution of 4 cm<sup>-1</sup> in the 4000-400 cm<sup>-1</sup> wavenumber range.  
147 During acquisition, anvil pressure on sample was adjusted to normalise ν<sub>3</sub>PO<sub>4</sub> peaks to an absorbance  
148 of 0.5. Resulting data were treated using the OPUS software (Bruker Optics, France) and linear  
149 baselines were drawn to measure the area of amide I (between 1710-1590 cm<sup>-1</sup>) and phosphate  
150 ν<sub>3</sub>(PO<sub>4</sub>) (between 1110-940 cm<sup>-1</sup>) bands (Fig. S1). Then, the amide I/PO<sub>4</sub> ratio (standard deviation  
151 taken into account) was used to estimate nitrogen and collagen weight percent content (%wt) using  
152 the equations presented below:

$$153 \quad \%N \text{ (wt)} = 20.6 \frac{\text{Amide I}}{\text{PO}_4} + 0.31$$

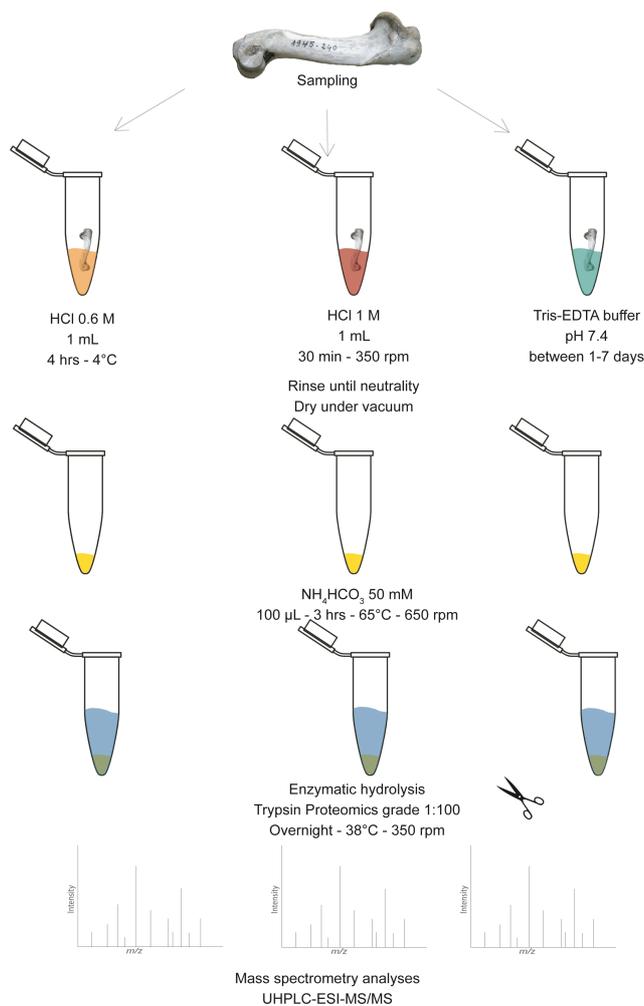
$$154 \quad \%collagen \text{ (wt)} = 113.13 \frac{\text{Amide I}}{\text{PO}_4} + 1.69$$

155 More detailed information on data calculation can be obtained in Lebon et al., 2016.

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#### 157 3.3. Demineralization and protein extraction

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160 **Fig. 3.** Flow chart illustrating the three decalcification protocols employed in this study and further steps of extraction,  
 161 enzymatic hydrolysis and mass spectrometry analyses. **Print in color**

162 Three different decalcification protocols were tested (Fig. 3) and an extraction blank  
 163 performed for each, consisted in the same steps of chemical preparation without any bone powder.  
 164 The first protocol (Fig. 3, left) follows a previously published method by Buckley et al. (2008).  
 165 Samples were placed in 1 mL 0.6 M HCl for 4 h at 4 °C. Solutions were replaced every hour after  
 166 mixing and 10 min of 13 000 rpm centrifugation. After 4 hours, samples were washed 5 times with  
 167 MilliQ water to reach neutrality.

168 The second protocol (Fig. 3, center) is an in-house protocol for collagen extraction (Bocherens  
 169 et al., 2011; Cersoy et al., 2017a). Samples were immersed in 1 mL HCl 1 M for 30 min under 350  
 170 rpm agitation at room temperature (ThermoMixer, Eppendorf, Germany). Then, all samples were  
 171 centrifuged at 13 000 rpm for 10 min and then washed 5 times with MilliQ water to reach neutrality.

172 In the third protocol (Fig. 3, right), samples were immersed in 1 mL 0.05 M  
 173 tris(hydroxymethyl)aminomethane (Tris, Sigma Aldrich, Missouri) and 0.5 M  
 174 ethylenediaminetetraacetic acid (EDTA) buffer, final pH 7.4 as proposed by Balasse and Tresset  
 175 (2002) and Tuross et al. (1988). The solution was changed daily for 4 days, then every two days.

176 Decalcification was visually and manually checked until a remaining pale-yellow transparent  
177 phantom and varied between 1-7 days depending on the sample (Table S1).

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### 179 *3.4. Enzymatic hydrolysis*

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181 Following demineralization, all samples were washed out with MilliQ water. They were then  
182 dried under vacuum (SpeedVac Concentrator™, Savant™, ThermoFisher Scientific, Massachusetts).  
183 Dried fractions of organic material were solubilized in 100 µL 50 mM ammonium bicarbonate for 3  
184 h at 65 °C under 650 rpm agitation (ThermoMixer, Eppendorf, Germany). Supernatants were  
185 collected after centrifugation, placed into clean tubes and stored on lab bench until they reached room  
186 temperature. Proteomics grade trypsin (Trypsin Gold, Promega, Wisconsin) was added to each  
187 sample, 1:100 proportions. They were incubated at 37°C under 650 rpm agitation overnight. Tryptic  
188 digestion was stopped adding 1 µL 10% trifluoroacetic acid (TFA, SigmaAldrich, Missouri). Protein  
189 concentration of each extract was measured using a microvolume spectrophotometer (NanoVue, GE  
190 HealthCare, France) using the pre-set parameters for protein concentration measurement. The  
191 concentration values were distributed between 0.5 and 2.3 µg/µL.

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### 193 *3.5. MS analysis & data treatment*

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#### *3.5.1. Mass spectrometry*

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196 Tryptic digests were analysed by mass spectrometry (UHPLC-MS). The chromatographic  
197 separation was conducted on an Ultimate 3000-RSLC (Thermo Scientific, Massachusetts) using a  
198 RSLC Polar Advantage II Acclaim column (2.2 µm, 120 Å, 2.1 × 100 mm, Thermo Scientific,  
199 Massachusetts) with a flow rate of 300 µL/min using a mobile phase gradient with A: H<sub>2</sub>O + formic  
200 acid (FA) 0.1 % and B: acetonitrile (ACN) + FA 0.08 %. Each analysis consisted in 1 µL of sample  
201 injected and a blank (5 µL of A-B mix - 20:80) every 5 samples. The mass spectrometer (electrospray  
202 ionization, quadrupole, time of flight instrument - ESI Q-TOF, Maxis II ETD, Bruker Daltonics) was  
203 used in positive mode on  $m/z$  range 200-2200 using an accelerating tension of 3 500 V. Analysis were  
204 performed in data dependent auto-MS/MS mode with a cycle time option fixed at 3 sec. and MS  
205 acquisition frequency of 2 Hz. Two series of experiments were conducted both with collision induced  
206 dissociation (CID): one with low acquisition frequencies to boost MS/MS spectra quality (0.5 Hz for  
207 low-abundance ions, 2 Hz for intense ions) and a second with high acquisition frequencies (4 Hz, 16  
208 Hz) to maximize the number of MS/MS spectra. Ion selection targeted  $m/z$  range 300-2200 with  
209 charge states 1<sup>+</sup> to 5<sup>+</sup>. The mass spectrometer was calibrated with sodium formate clusters at the  
210 beginning of each analysis (between 0 and 0.5 min) and data were automatically calibrated.

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### 3.3.2. Data analysis

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The LC-MS/MS data were converted to mgf files using DataAnalysis software (Bruker Daltonics, Massachusetts). Database search was carried out against public protein databases (SwissProt and NCBI simultaneously) using the online software Mascot ([MatrixScience.com](http://MatrixScience.com)). We restricted the search to « Mammalia (mammals) » protein sequences and allowed 2 missed cleavages for the enzyme. We considered deamidation (N or Q), oxidation (M or P) and phosphorylation (S or T) as variable post-translational modifications (PTM), as these type of PTM were previously reported in the literature for archaeological samples (Buckley and Wadsworth, 2014; Cleland et al., 2015). 1<sup>+</sup>, 2<sup>+</sup> and 3<sup>+</sup> peptides were considered with 10 ppm tolerance, MS/MS fragments with 0.1 Da tolerance and the statistic decoy applied to avoid false positive match.

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MS/MS data were also analysed using PEAKS DB Studio software (7.5 version, BioInformatics Solutions, Waterloo, Canada, hereafter PEAKS) which permits to propose database search assisted *de novo* sequencing. PEAKS searches (including *de novo*, PEAKS PTM and SPIDER algorithms) were set using the following parameters: 10 ppm precursor mass (monoisotopic) and 0.1 Da fragment ion tolerances; a maximum of 5 PTM per peptide was authorized. PTM set in PEAKS searches were the same as for Mascot, together with the addition of pyroglu from Q and amino acid substitutions. Searches were performed using a restrained collagen database containing sequences described in Table S2. The database was constructed using NCBI protein sequences of alpha 1 and alpha 2 chains of collagen type I (COL1A1 and COL1A2) of the species of interest. The obtained results were filtered using the following parameters:  $\leq 1\%$  false discovery rate (FDR) for peptide spectral matches,  $\leq 1\%$  FDR and at least one unique peptide for proteins. Finally, peptides that were attributed to a unique species either by Mascot or PEAKS (MS/MS spectra) and all *de novo* peptides were verified using Geneious software (Biomatters, New Zealand) by aligning protein sequences of all species used in the construction of PEAKS restrained database (Table S2). We then made sure that amino acids substitutions between species were not due to any transcription mistake by verifying all codons manually.

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## 4. Results

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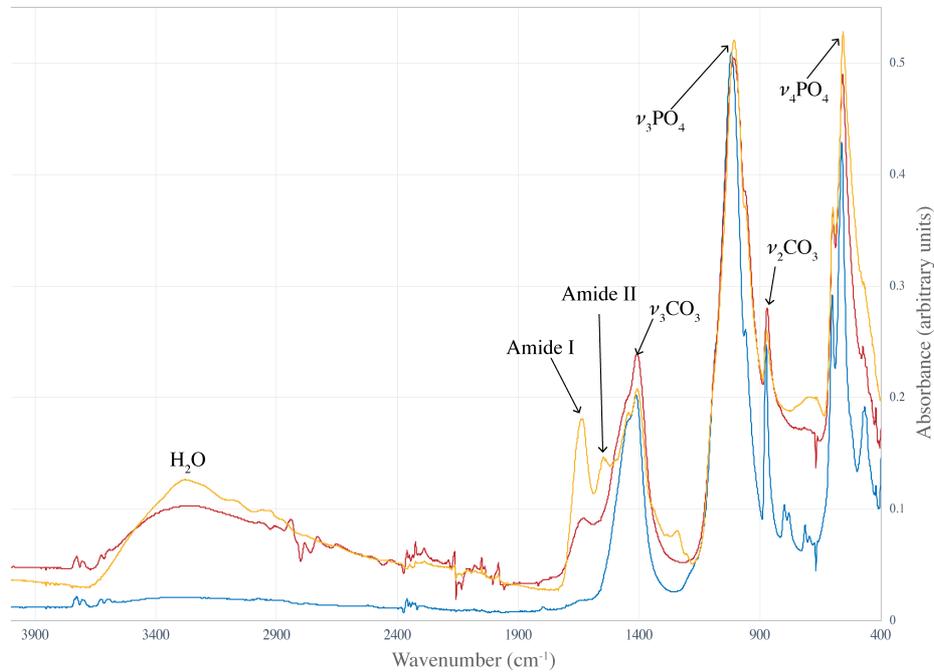
### 4.1. ATR-FTIR pre-screening

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The collagen contents (collagen wt%) of the samples are summarized in Table 2. They vary between 1.9 and 5.7%, which corresponds to 8.6% to 25.8% of the organic content of modern bone

246 (22.2 %, “*B. taurus* bone (modern reference)” in Table 2). Examples of the best and least preserved  
 247 samples spectra are presented in Figure 4. We observed a decrease in the absorbance of the amide I  
 248 band absorbance in the archaeological samples compared to the modern sample. Nine samples  
 249 covering the entire range of collagen preservation were selected for palaeoproteomics analyses (Table  
 250 2).



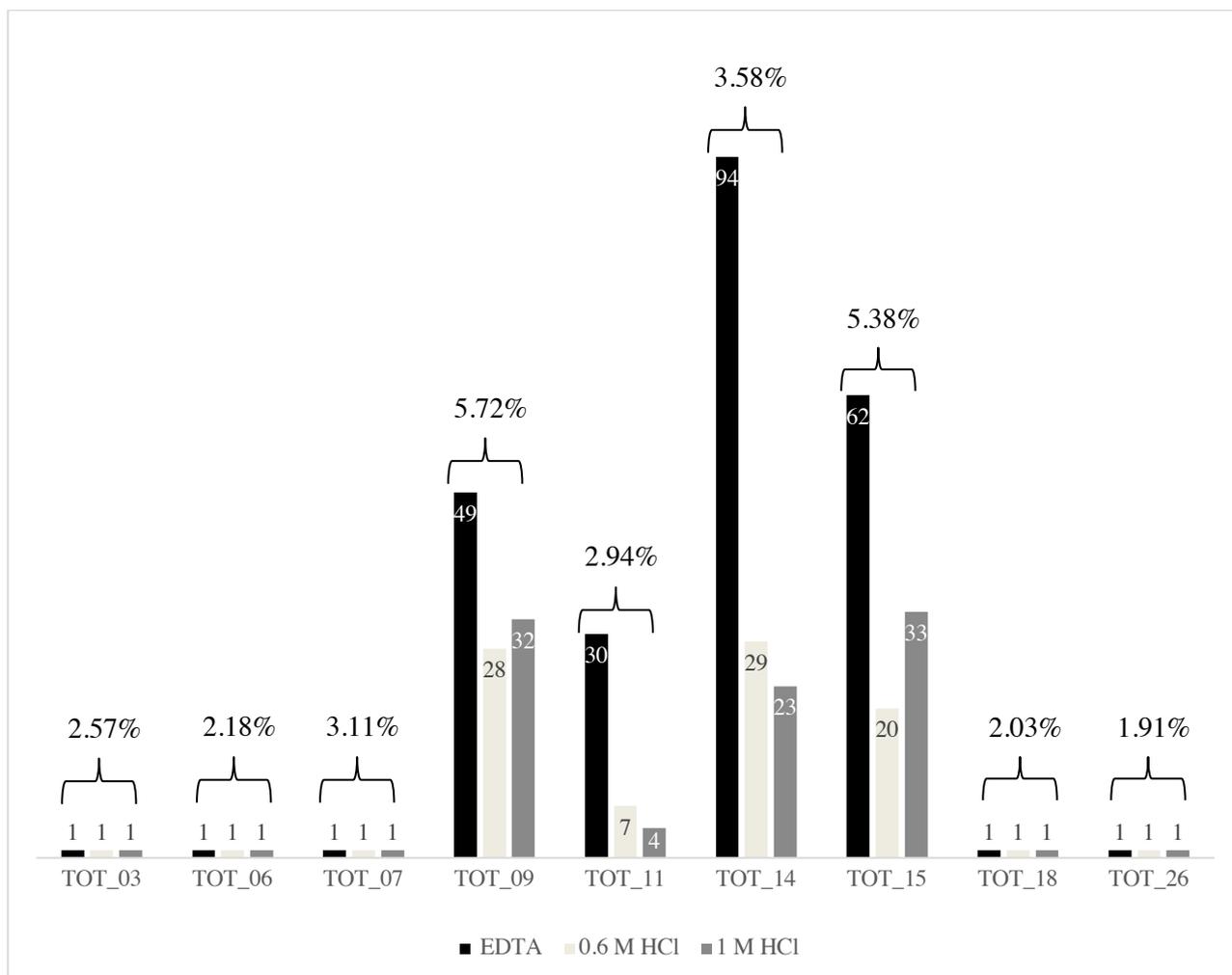
251  
 252 **Fig. 4.** ATR-FTIR spectra of Toteng best preserved (TOT\_09, red curve) and least preserved sample (TOT\_26, blue  
 253 curve) in comparison to modern sample (*B. taurus* bone, yellow curve here). **Print in color**

Sample code	Amide I/PO <sub>4</sub>	%N wt	% Collagen wt
<i>B. taurus</i> bone (modern reference)	0.18	4.04	22.17
<b>TOT_09</b>	0.04	1.04	<b>5.72</b>
<b>TOT_15</b>	0.03	0.98	<b>5.38</b>
<b>TOT_14</b>	0.02	0.65	<b>3.58</b>
TOT_12	0.01	0.61	3.34
<b>TOT_07</b>	0.01	0.57	<b>3.11</b>
TOT_16	0.01	0.57	3.10
<b>TOT_11</b>	0.01	0.54	<b>2.94</b>
TOT_10	0.01	0.50	2.73
TOT_04	0.01	0.49	2.66
<b>TOT_03</b>	0.01	0.47	<b>2.57</b>
TOT_05	0.01	0.45	2.45
TOT_25	0.01	0.45	2.44
TOT_13	0.01	0.44	2.40
TOT_02	0.00	0.41	2.21
<b>TOT_06</b>	0.00	0.40	<b>2.18</b>
<b>TOT_18</b>	0.00	0.37	<b>2.03</b>
TOT_01	0.00	0.36	1.99
<b>TOT_26</b>	0.00	0.35	<b>1.91</b>

254 **Table 2.** ATR-FTIR results. Amide I/ $\nu_3\text{PO}_4$  ratio was calculated with OPUS software (Bruker Optics, France) on raw  
 255 spectra. N wt% and collagen wt% were estimated after the ratio calculation. Selected samples and their corresponding  
 256 collagen wt% for palaeoproteomics analysis are presented in bold black.  
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#### 258 4. 2. LC-MS analyses

259  
 260 Four out of nine remains (TOT\_09, TOT\_11, TOT\_14 and TOT\_15) contained identifiable  
 261 peptides. The other five (TOT\_03, TOT\_06, TOT\_07, TOT\_18 and TOT\_26) presented a single  
 262 peptide corresponding to trypsin autolysis, VATVSLPR ( $[\text{M}+2\text{H}]^{2+}$ ,  $m/z$  421.75,  $rt$  7.6-7.8 min).  
 263 Depending on the sample, 4 to 342 MS/MS spectra were generated. All identified peptides and  
 264 corresponding proteins are presented for each sample in Table S3. Protocols using Tris-EDTA, 0.6  
 265 M HCl and 1 M HCl allowed the identification of 240, 89 and 97 peptides, respectively. Low errors  
 266 (between 0 and 3.83 ppm) between the observed and calculated  $m/z$  for the peptides increased the  
 267 confidence in the peptide match. All the identified peptides in the samples were assigned either to  
 268 COL1A1 or COL1A2, corresponding to the  $\alpha 1$  and  $\alpha 2$  chains of type I collagen. No other proteins  
 269 were identified in any sample. No contamination that could be attributed to peptide signals were  
 270 detected in the preparation or the solvent mixture blanks, and no keratins or other human protein were  
 271 detected, indicating the reliability of the protocol used in this work.



272

273 **Fig. 5.** Number of assigned peptides per sample regarding the available protein databases by Mascot software. In black,  
 274 number of assigned peptides using Tris-EDTA; in light grey using 0.6 M HCl and in dark grey using 1 M HCl. Preserved  
 275 collagen content (collagen wt%) are presented above the corresponding sample.  
 276

277 The analysis suggest that peptide identification depends both on the preservation of the sample  
 278 and of the decalcification protocol (Fig. 5). Among the samples, TOT\_14 yielded the greater number  
 279 of peptides and TOT\_11 the least and all of them presented more identified peptides with Tris-EDTA  
 280 than with 0.6 and 1 M HCl. PTM were identified in all the protocols with deamidation (NQ) and  
 281 oxidations (MP) (Table S3). No phosphorylation (ST) was detected. Sequence coverages per sample  
 282 and protocol range between 3 and 40% (Table 3). Samples decalcified using Tris-EDTA presented a  
 283 larger coverage (between 18 and 40%) than the ones decalcified using 0.6 and 1 M HCl solutions  
 284 (respectively ranged between 3-17% and 4-22%). Finally, all samples but TOT\_11 presented more  
 285 than 200 unassigned spectra after Mascot analyses (Table S3), denoting that proteins were hydrolysed  
 286 at non-tryptic sites or harboured additional PTMs, and/or that the database used was incomplete.  
 287

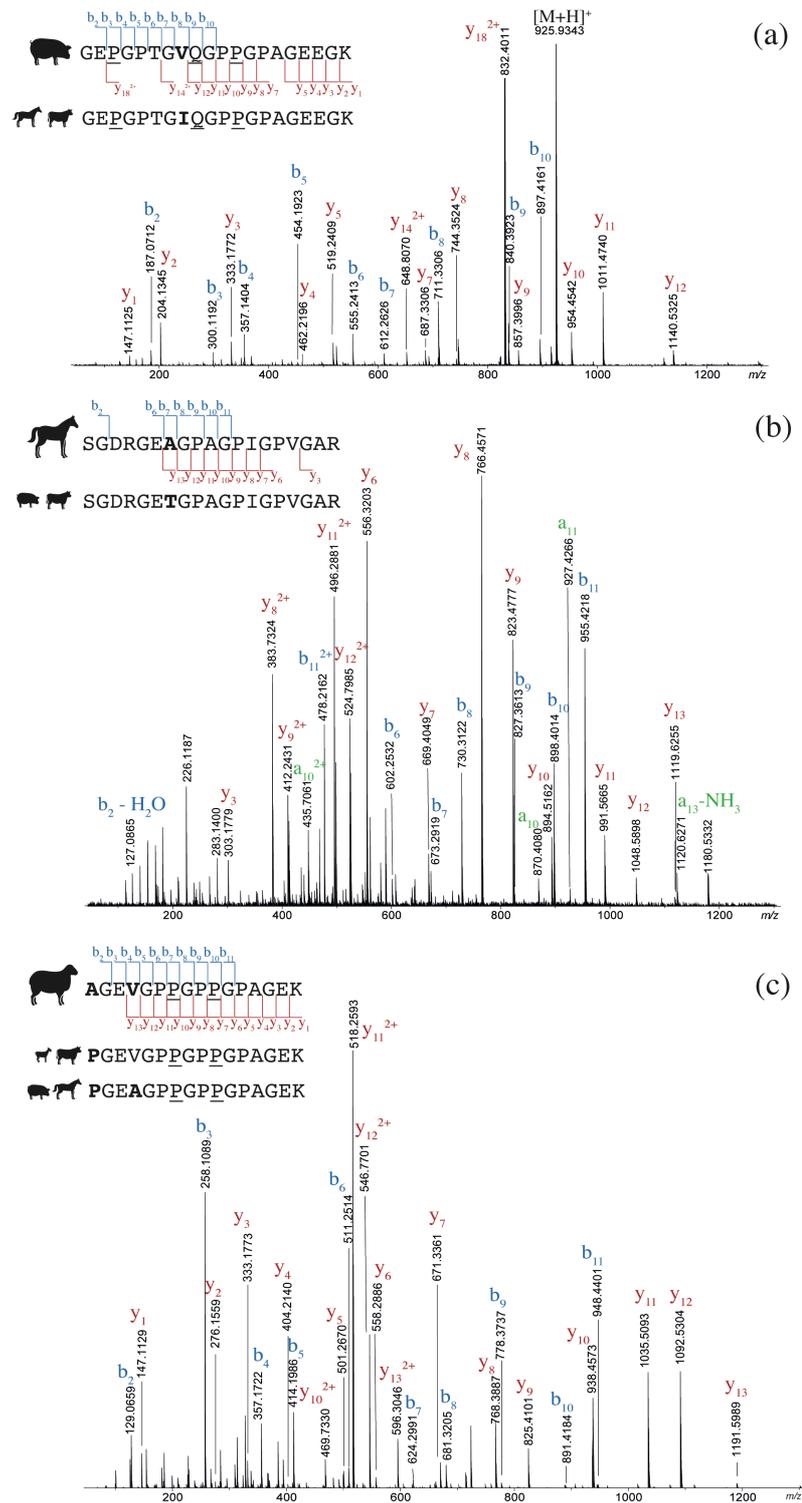
		Tris-EDTA		0.6 M HCl		1 M HCl	
		COL1A1	COL1A2	COL1A1	COL1A2	COL1A1	COL1A2
TOT_09	Mascot	20%	26%	15%	16%	15%	15%
	PEAKS	28%	34%	16%	22%	24%	26%
TOT_11	Mascot	23%	18%	3%	7%	4%	5%
	PEAKS	9%	11%	0%	0%	1%	0%
TOT_14	Mascot	39%	40%	15%	8%	16%	10%
	PEAKS	36%	44%	21%	18%	23%	22%
TOT_15	Mascot	26%	28%	11%	17%	15%	22%
	PEAKS	25%	34%	15%	12%	14%	17%

288 **Table 3.**  
 289 Proteins sequences coverages realised after using Mascot and PEAKS. Each protein sequence coverage is presented by  
 290 sample (lines) and protocol (columns).

291  
 292 *4.3. Species identification – PEAKS results*

294 In order to refine Mascot identifications, we analysed raw data using PEAKS software. The  
295 use of PEAKS in this study was mainly to take into consideration additional PTMs and amino acid  
296 substitution and to compensate the potential lack of information in the databases. PEAKS algorithm  
297 is designed to reconstruct database search assisted *de novo* peptides from the MS/MS data and match  
298 the generated sequences against a protein database (Zhang et al., 2012). PEAKS PTM and SPIDER  
299 tools were used after *de novo* reconstruction. We created a database from the type I collagen  
300 sequences reported within bovids, equids and suids in NCBI (Table S2). The limited database was  
301 constructed to restrain the search against species that were suspected after morphological  
302 identifications (Table 1).

303 Sequence coverages with PEAKS search covered up to 44% of protein sequence and slightly  
304 higher 13/24 times (Table 3). Likewise, samples decalcified using Tris-EDTA presented the highest  
305 coverage (between 9 and 44%), while coverages were lower when samples were decalcified using  
306 HCl (between 0-22% and 0-26% with 0.6 and 1 M HCl, respectively). We observed an increasing  
307 number of PTM: in addition to the already identified oxidation (MP) and deamidation (NQ), we  
308 observed dimethylation, hydroxylation, phosphorylation (STY) and even P to Y substitution (Fig.  
309 S2). Furthermore, the most abundant PTM identified was P hydroxylation (up to 60% of P), as  
310 described by Cleland et al. (2015). Species identifications made by Mascot were ascertained and  
311 improved with *de novo* peptides as both non-tryptic and modified peptides were reconstructed and  
312 assigned to the restrained collagen database.



313  
 314 **Fig. 6.** MS/MS spectra of COL1A1 distinguishing peptides identified. Letters in bold represent the variant amino  
 315 acid against other species proteins; letters underlined represent amino acid with PTM. Discriminating ions are represented  
 316 on each sequence above the corresponding spectrum. Each species sequence is represented by animal shape (horse  
 317 represents equids, pig represents suids, cow represents bovids except for spectrum (c) where both goat and bovids are  
 318 represented).  
 319 (a) TOT\_09, 457... **GEPGPTGVQPPGPAGEEGK** ...473 ( $[M+2H^{2+}]$ ,  $m/z$  925.9250, rt 6.3 min). Here, 3 PTM have  
 320 been identified: two P hydroxylations and one Q deamidation. This peptide corresponds to suid COL1A1.

321 (b) TOT\_11 and TOT\_15, 1068 ... SGDRGEAGPAGPAGPIGPVGAR ... 1089 ([M+3H<sup>3+</sup>] *m/z* 649.3341, *rt* 7.7 min).  
 322 No PTM was identified on this peptide. It corresponds to equid COL1A1.  
 323 (c) TOT\_14, 921 ... AGEVGPPGPPGPAGEK ... 936 ([M+2H<sup>2+</sup>], *m/z* 724.855, *rt* 4.1 min). Here, two P are presenting  
 324 hydroxylations. This peptide corresponds to *Ovis aries* COL1A1. **Print in color**

325

326 To ascertain the species identifications, we looked for unique collagen peptides of all family  
 327 and species represented in the samples. Even though COL1A2 is commonly used for distinction  
 328 because it presents more taxonomic variations, we report here COL1A1 peptides for species and  
 329 genera identification. Figure 6 presents the MS/MS spectra corresponding to COL1A1 peptides for  
 330 the samples decalcified using Tris-EDTA. Spectrum in Fig. 6a corresponds to a unique peptide of  
 331 COL1A1 of suids, identified in sample TOT\_09 (long mammal bone). Three PTM are reported here:  
 332 two P hydroxylations and one Q deamidation. Similarly, sample TOT\_11 (morphologically identified  
 333 as an equid tooth) revealed a unique peptide of equid COL1A1 (Fig. 6b). The same peptide was  
 334 observed in TOT\_15, the second sample identified as an equid both by morphological criteria and  
 335 previous search against available databases (this paper, 4.2.). TOT\_14, morphologically identified as  
 336 a size 2-3 bovid metapodial, was attributed to the species *Ovis aries* (sheep) thanks to 51 unique  
 337 peptides of the COL1A1 identified using Mascot (Table S3). The re-examination of this sample's  
 338 data highlighted that only one out of the 51 previously identified peptides was indeed specific for the  
 339 alpha 1 chain of collagen type I (Fig. 6c) and that 2 amino acids changes can be observed against  
 340 other species (Table 4).

341

Species	COL1A1 peptide (921-936)	[M+H] <sup>+</sup> <i>m/z</i> monoisotopic	[M+2H] <sup>2+</sup> <i>m/z</i>
<i>Ovis aries</i> ; <i>O. aries musimon</i>	AGEVGPPGPPGPAGEK	1416.7118	709.3559
<i>Capra hircus</i>	P.....	1442.7274	722.3637
<i>Bos taurus</i> ; <i>B. mutus</i> ; <i>B. indicus</i> ; <i>B. bison</i>	P.....	1442.7274	722.3637
<i>Equus caballus</i> ; <i>E. asinus</i> ; <i>E. caballus przewalskii</i>	P..A.....	1414.6961	708.3480
<i>Sus scrofa</i> ; <i>S. scrofa domesticus</i>	P..A.....	1414.6961	708.3480
<i>Homo sapiens</i>	P.....	1442.7274	722.3637

342 **Table 4.**  
 343 Differences between sheep, goat, bovine, suid and human COL1A1 peptide 921-936.

344

## 345 5. Discussion

346

347 In the context of rare palaeoproteomics analyses of remains originating from Africa, this study  
 348 aimed to identify a suitable chemical preparation protocol and then to exploit proteomics data for

349 taxonomic identification of splinters from Botswana. Data analysis allowed to point Tris-EDTA as  
350 the best decalcification protocol and to refine taxa attributions of the splinters.

351

### 352 *5.1. Influence of the decalcification protocols*

353

354 This study explored the use of ATR-FTIR as pre-screening for palaeoproteomics analyses.  
355 This method is indeed now used in routine to select samples suitable for radiocarbon dating (Cersoy  
356 et al., 2017b; Lebon et al., 2016). Our results show that below 2.9% collagen, no peptide could be  
357 identified in the Toteng samples. This threshold is in the same range than that was reported for  
358 radiocarbon dating proposed by Cersoy et al. (2017b) and Lebon et al. (2016), set at  $\sim 4 \pm 1.2\%$  wt  
359 of collagen, suggesting that it could also apply to palaeoproteomics studies. This conclusion,  
360 however, is based on a limited number of samples, and more work is needed to further confirmation.  
361 Moreover, it is important to remind that the heterogeneity of bone material can introduce biases in  
362 the results and that several samplings are to be made to ascertain the reliability of this estimation  
363 (Lebon et al., 2016).

364

Sample	Best sequence coverage of COL1A1	Best sequence coverage of COL1A2	Protocol providing the best sequence coverage for COL1	%collagen preserved (ATR-FTIR)
TOT_09	28%	34%	Tris-EDTA	5.72%
TOT_15	25%	34%	Tris-EDTA	5.38%
TOT_14	36%	44%	Tris-EDTA	3.58%
TOT_11	18%	23%	Tris-EDTA	2.94%

365 **Table 5.**

366 Synthetic table of results presenting the best sequence coverages for the two chains of collagen type I, the protocol that  
367 allowed best sequence coverage for the whole collagen type I and collagen preservation estimated by ATR-FTIR.

368

369 Table 5 presents a synthesis of the results obtained for the four samples that yielded peptide.  
370 Here, the relationship between the sequence coverage and organic phase preservation is not as clear  
371 as we might have expected. While the sample with the poorest preservation (TOT\_11, 2.94%)  
372 presented the lowest sequence coverage (18% and 23% for COL1A1 & A2, respectively) the highest  
373 coverage (36% and 44% for COL1A1 & A2, respectively) was obtained for a sample showing  
374 intermediate collagen preservation (TOT\_14, 3.58%). The triple helix bond by hydroxylysine at their  
375 extremity making the collagen structure can be argued as an explanation (Shoulders and Raines,  
376 2009). We can assume that the best-preserved samples should present the best collagen integrity. The  
377 preservation of collagen crosslinks could limit sequence coverage, as crosslinked peptides would not  
378 match to databases. Even if the sequence coverages obtained are not directly comparable to  
379 proteomics studies on modern bones (Schroeter et al., 2016), it appears that the protocol used that

380 allowed best sequence coverage is Tris-EDTA. Indeed, recent studies have highlighted the potential  
381 of Tris-EDTA for the analysis of bone collagen (Cappellini et al., 2012; Procopio and Buckley, 2017;  
382 Sawafuji et al., 2017; Schroeter et al., 2016) and we here point out its efficiency for palaeoproteomics  
383 analyses of poorly preserved archaeological bones and teeth.

384 This study also demonstrates the advantage of combining diverse data analysis treatment. The  
385 combination of the results obtained with database search (Mascot) and database search assisted *de*  
386 *nov*o sequencing (PEAKS) allowed increasing peptide reconstruction and protein assignment. The  
387 use of database search allowed to estimate which sample presented remaining peptides and the  
388 corresponding protein and, in some extent, permitted to propose taxonomic assignments. The use of  
389 database search assisted *de novo* sequencing allowed to increase the number of both identified  
390 peptides, sequence coverages and to compare the efficiency of the different protocols. A higher  
391 number of PTM were observed, especially Q deamidation and P hydroxylation. Glutamine  
392 deamidation are often reported in the case of ancient proteins, and are believed to be caused by  
393 diagenetic processes (Cleland et al., 2015; Schroeter and Cleland, 2016; van Doorn et al., 2012).  
394 Proline hydroxylation is inherent to type I collagen (Kadler et al., 2007) and can also be induced both  
395 by acidic treatment and to a lesser extent diagenesis (Cleland et al., 2015; Procopio and Buckley,  
396 2017). Identifications with Mascot based on the COL1A2 peptides and the database search assisted  
397 *de novo* sequenced peptides of COL1A1 in each positive sample allowed to strongly confirm the  
398 identifications previously made and thus giving clear genus and species attributions of the splinters.  
399 The results showed that 4 out of 9 splinters presented conserved proteins. The presence of a tryptic  
400 peptide and the absence of other proteins in TOT\_03, \_06, \_07, \_18 and \_26 suggest that they were  
401 too degraded and that no organic fraction could be detected by MS analysis. However, and as it was  
402 previously demonstrated by Schroeter et al. (2016), there is a possibility for the remaining protein to  
403 have been solubilized in decalcification solution (Tris-EDTA, 0.6 M or 1 M HCl). Surprisingly, one  
404 sample that presented over ~ 3%wt of collagen preserved (TOT\_07, 3.11%collagen wt) did not yield  
405 any protein. One explanation would be the overestimate of the collagen content caused by the  
406 important content of carbonate (calcite) (Lebon et al., 2016). In any case, the collagen content value  
407 of 3.11 is low and, in comparison to the threshold (2.9%), sensibly equivalent taking the error into  
408 account (1.4 maximum). Thus, we could argue that a risk of non-identifying preserved proteins does  
409 exist in samples with ATR-FTIR values between 2.9 wt% and 3.11 wt% of collagen for  
410 palaeoproteomics analyses. In other way, the absence of non-collagenous protein (NCP) in the sample  
411 is consistent with what was previously reported in 4 000 years archaeological remains from Cyprus  
412 (Buckley and Wadsworth, 2014).

413

414 5.2. Refining species identification using biogeographical and chronological repartitions of  
 415 African mammals

416  
 417 Regarding the results presented here, palaeoproteomics analyses allowed the identification of  
 418 two equids (TOT\_11 and TOT\_15), one suid (TOT\_09) and one sheep (TOT\_14). Those attributions  
 419 remain to genus level, excepted for TOT\_14 which was identified to the species level. This can mostly  
 420 be explained by the lack of protein sequences of African animals in the modern databases. However,  
 421 the available literature provides guidance regarding the biogeographical and chronological repartition  
 422 of African mammals (Kingdon, 2015) allowing to refine the proteomics attributions (Table 6).  
 423 Because Toteng dates from the first century before common era (BCE), the equid remains probably  
 424 belong to the zebra species *Equus burchellii* (*Mammals Species of the World*, 2005). Indeed, domestic  
 425 equids (donkey *E. asinus* and horse *E. caballus*) were brought by Europeans much later, around the  
 426 XVII<sup>th</sup> century (Blench, 2000; Lesur, 2017) and no remains of wild horse (*E. caballus przewalski*)  
 427 has ever been mentioned on the African continent. Regarding the sample attributed to the suid family  
 428 (TOT\_09) the situation is less clear. Six species coming from four genera of suids do exist nowadays  
 429 in Africa: *Phacochoerus aethiopicus* and *P. africanus*, *Potamochoerus porcus* and *P. larvatus*,  
 430 *Hylochoerus meinertzhageni* and *Sus scrofa*. Only the first two genera can correspond to this remain  
 431 in terms of localization and chronology. Indeed, *Hylochoerus* can be found in western, central and a  
 432 part of eastern Africa (Grimshaw, 1998; Kingdon, 2015); *Sus scrofa* is endemic of northern Africa  
 433 (Kingdon, 2015) and its domestic form, *Sus domesticus* was not introduced in Africa before the 5<sup>th</sup>  
 434 millenium BCE and arrived in southern Africa mostly by first millennia CE importations (Lesur,  
 435 2017; Smith, 2000). In term of species, the geographic distribution thus suggests that the remain could  
 436 either be attributed to the bushpig *Potamochoerus larvatus* or the common warthog *Phacochoerus*  
 437 *africanus* (D’Huart and Grubb, 2001; Grubb, 1993).

438

Sample code	Morphological attribution	Palaeoproteomics identification	Biogeochronological attribution
TOT_09	Long bone unidentified mammal	Suidae	<i>Phacochoerus</i> or <i>Potamochoerus</i>
TOT_11	Tooth <i>Equus</i> sp.	Equidae	<i>Equus burchellii</i>
TOT_14	Metapodial medium size bovid	<i>Ovis aries</i>	---
TOT_15	Tooth <i>Equus</i> sp.	Equidae	<i>Equus burchellii</i>

439 **Table 6.**  
 440 Synthetic table of morphological attributions of Toteng remains refined with the combination of palaeoproteomic and  
 441 biogeographical and chronological data.

442

443 **6. Conclusion**

444

445 This study reports a first attempt at using palaeoproteomics for the species identification of  
446 archaeological bones from arid environment of Southern Africa. Estimation of preserved collagen  
447 content of bone samples prior to palaeoproteomics analysis using ATR-FTIR permits to propose a  
448 threshold of ~ 3%, similar to that previously proposed for radiocarbon dating. The comparison of  
449 three decalcification protocols suggests that the use of Tris-EDTA is best suited to poorly preserved  
450 samples (less than 5 wt% of collagen preserved as estimated using ATR-FTIR) rather than classical  
451 HCl based protocols. Associated with an efficient combination of software for MS data analyses, this  
452 study ascertain the use of combined bioinformatics tools for accurate analysis of palaeoproteomics  
453 data as previously proposed by Cleland et al. (2015). Both database search (using Mascot search  
454 engine) and database search assisted *de novo* sequencing (using PEAKS software) were used to  
455 reconstruct and assign remaining peptides. But the use of *de novo* tools and manual examination  
456 allowed to ascertain taxonomic identifications. Finally, this study enables to improve morphological  
457 identifications for splinters from the Later Stone Age site of Toteng, Botswana. About half of them  
458 contained enough proteins to propose a taxonomic attribution. This attribution was further refined by  
459 combining proteomics data with biogeographical and chronological distributions of mammals. We  
460 then suggest that species identification using palaeoproteomics of remains from Africa should be  
461 combined, when possible, with chronological and biogeographical repartitions data. Finally, this  
462 study permits the identification of two zebras, one *Phacochoerus* or *Potamochoerus* and one domestic  
463 sheep. The latter may potentially have important archaeological implications regarding the  
464 introduction of the first domestic caprines in Southern Africa.

465

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467

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