# Optimality, Prototypes, and Bilingualism 

Igor Douven, Galina Paramei

## To cite this version:

Igor Douven, Galina Paramei. Optimality, Prototypes, and Bilingualism. Trends and Challenges in Cognitive Modeling, Springer International Publishing, pp.147-164, 2023, STEAM-H: Science, Technology, Engineering, Agriculture, Mathematics \& Health, 10.1007/978-3-031-41862-4_11 . hal04368139

HAL Id: hal-04368139

## https://hal.science/hal-04368139

Submitted on 31 Dec 2023

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Optimality, Prototypes, and Bilingualism 

Igor Douven<br>IHPST / CNRS / Panthéon-Sorbonne University<br>Galina V. Paramei<br>Department of Psychology, Liverpool Hope University


#### Abstract

There is an old debate about the status of basic color categories (such as blue, green, and red), the question being whether that status derives from the way the world is or whether it is culture-bound. In more scientific terminology, this amounts to the question of whether the categorical structure of color space (how it is carved up and where the color prototypes or foci are located) is fixed by the world or a matter of cultural conventions. Some recent work suggests that the categorical structure of color space is not a conventional matter without, however, being completely determined by the world; it is also subject to constraints deriving from the various ways in which our perceptual and cognitive capacities are limited. While there is recent evidence for this newer position, we report a study comparing Italian monolingual, English monolingual, and Italian-English bilingual speakers with regard to focal color choices in the blue region of color space suggesting that cultural and linguistic factors play a role in the categorical structuring of color space.


## I Introduction

Philosophers, psychologists, and linguists have long been debating the nature of natural kind terms. According to some theorists (called "realists"), these terms capture, or at least are meant to capture, the in-built structure of reality, while others ("nominalists") maintain that which terms we regard as picking out natural properties or concepts is essentially conventional, or at most motivated by pragmatic concerns. A less well-known and in a way middle position-sometimes called "conceptualism"-conceives of the world as imposing constraints on the terms we use to talk and think but maintains that, at the same time, there are constraints deriving from our particular cognitive makeup.

Recently, evidence for conceptualism has emerged, at least insofar as the position applies to the color domain, which has long been regarded as providing us with prime examples of natural kind terms ("blue," "green," "red," etc.). Most notably, Regier, Kay, and Khetarpal (2007) offer evidence for Jameson and D’Andrade's (1997; also Jameson, 2005) so-called Interpoint-Distance Model, according to which color concepts (i.e., denotative meanings of color terms) stem from a combination of the irregularities to be found in perceptual color space (i.e., color similarity space, which is often taken to be CIELAB space; see Fairchild, 2013, and below) and a preference for informative naming systems, where this preference is perceptually and cognitively motivated, specifically, in terms of how our limited perceptual and cognitive capacities favor adding color categories, so that color differences between adjacent categories get maximized while color differences within the new contiguous categories are minimized.

Regier and colleagues' (2007) work inspired Douven and Gärdenfors (2020) to propose that natural concepts (i.e., the meanings of natural kind terms) are given by the cells of optimally partitioned similarity spaces (such as color space when the kind terms concern color categories), where the notion of an optimal partition is defined by reference to principles of good engineering, that is, principles a good engineer would want to respect in designing a system of concepts for creatures with our perceptual and cognitive
makeup. For instance, according to the principle Douven and Gärdenfors call "Representation," an optimal partition will allow prototypes (Rosch, 1973) -in the case of basic color categories referred to as "foci" or "focal colors" - to be placed such that each prototype is a good representative of all items falling under the concept. And according to the principle these authors call "Contrast," an optimal partition will allow prototypes of different concepts to be so chosen that they are easily distinguishable from each other. These and the other principles Douven and Gärdenfors propose are jointly meant to lead to conceptual systems that facilitate learning and memorization and help avoid classification errors. As Douven and Gärdenfors (2020) show, evidence that at least some of these principles have indeed been at work in shaping our concepts, in particular our color concepts, is already to be found in the literature (e.g., Jameson, 2005; Kemp \& Regier, 2012; Xu \& Regier, 2014; Xu, Regier, \& Malt, 2016; see Douven, 2019, specifically for evidence for Representation and Contrast in the context of color categorization).

But while there is evidence for a version of conceptualism, some of this same evidence also suggests that conceptualism may not be the whole story. For instance, Regier and co-authors (2007) show that their computational model of Jameson and D'Andrade's hypothesis does remarkably well in predicting color concepts in the languages with up to and including six basic color terms (BCTs; see below). As shown in Jraissati and Douven (2017), however, the same model does worse for languages with more than six BCTs, even much worse for languages with ir BCTs, such as English (see also Douven, 2017). Something similar holds for evidence documented in Douven (2019). Douven looked at constellations of in possible color prototypes that do best, on balance, at satisfying Representation and Contrast. Since there is no unique best trade-off between these principles, the said constellations form what is known as a "Pareto front." And Douven (2019) found that, while the actual constellation of prototypes lies close to that front, it does not quite lie on the front.

To be sure, these discrepancies could just be a matter of not having discovered all principles of good engineering that define optimality in Douven and Gärdenfors' (2020) proposal. For instance, the Pareto front in Douven (2019) corresponds to the best trade-off of two desiderata for optimality. And while the actual constellation of prototypes was not represented as a point on that front, it might well be on a front that results from taking into consideration further desiderata, perhaps ones yet to be discovered. Similarly, an extension of Regier and colleagues' (2007) computational model that also incorporates criteria beyond informativeness (where we imagine these further criteria to be equally motivated by reference to our perceptual and cognitive capacities) might be able to achieve the same good fit for languages with more than six BCTs that the extant model achieves for languages with up to and including six BCTs.

Another possibility, which is not necessarily inconsistent with the previous one, is the presence of more local or contingent effects on how we use color terms to carve up and furnish (by placing prototypes) color space, and more generally on how we use natural kind terms to carve up and furnish the relevant similarity spaces. ${ }^{2}$ Among such effects could be primacy effects (items encountered early on in the process of concept acquisition shape our concepts more than ones encountered later), recency effects (items encountered most recently have a relatively larger impact on the shape of our concepts), a combination of the two, and, crucially, cultural and linguistic effects, specifically, exposure to rival or partly rival conceptual systems as associated with other cultures or languages we happen to be acquainted with (see Ervin, 196r; Caskey-Sirmons \& Hickerson, 1977). ${ }^{3}$

[^0]In this paper, we look at the second possibility, in particular, at the possible effects of exposure to partly different conceptualizations of the color domain in bilingual Italian-English speakers. Specifically, we analyze a data set from a study with such speakers, as well as with monolingual English and monolingual Italian speakers to investigate the influence of immersion into a non-native language and cultural factors on the placement of prototypes for color terms as well as on concept extensions in color space. Still more specifically, our study focused on the blue region of color space, given that Italian speakers are known to require more than one BCT to name the blue colors. Thus, if cultural factors impact conceptualization, one might expect to find in Italian-English bilinguals some interaction between the different conceptualizations of the blue region associated with English and Italian, where this interaction might be revealed by comparing where in the BLUE region the bilingual speakers locate focal colors with where monolingual Italian and monolingual English speakers do. Before we present the study, we provide some background on the "Italian blues" in relation to the dominant view of BCTs.

## 2 Italian blues

Berlin and Kay (1969/1991, p. 6) define a color term as basic if it is monolexemic, not included in any other BCTs, applied not only to a limited class of objects, and is psychologically salient for all informants. According to the Berlin and Kay model, languages with a developed color lexicon have maximally ir BCTs. But the BCT upper limit tenet has been questioned in recent years (see Paramei \& Bimler, 202I). In particular, the BLUE region of color space was demonstrated to require two BCTs in a number of languages, with the two terms differentiating light and dark(er) shades of blue. The classic examples are Russian sinij "dark blue" and goluboj "light blue," with the latter named by Berlin and Kay (1969/1991) as a potential $12{ }^{\text {th }}$ ВСT. Recent reviews of linguistic and psycholinguistic studies of the two "Russian blues" underscored Berlin and Kay's conjecture (Paramei, 2005, 2007).

The "blue challenge" encompasses also Italian. Earlier linguistic studies provided evidence of azzurro, blu, and celeste as salient terms in both spoken language (Giacalone Ramat, 1978) and written language (Grossmann, 1988) across various Italian dialects and, thus, as BCT candidates.

Azzurro is deeply entrenched in Italian and has been attested already in the $9^{\text {th }}$ century, originally having denoted lapis lazuli (Frison \& Brun, 2016). According to De Mauro (1983), initially azzurro belonged solely to the written language and was absent in Italian dialects; it entered the spoken language after the political unification of Italy during the Risorgimento (1815-187I), with school education having become affordable to the general population. Celeste originates from Latin caeruleus, derived from caelum "sky," and at the time did not have a color meaning. With a symbolic religious meaning, but also with a color sense, it is attested in the $13^{\text {th }}$ century, denoting light shades of blue and being common in Italian dialects (Grossmann, 1988). Blu was the last of the "blues" to enter Italian, at the end of the $17{ }^{\text {th }}$ century and was deployed to lexicalize deep (dark/navy) blue, conceivably as a result of its use in the cloth trade (Pastoureau, 2001, p. 127). Nowadays it is considered to be the most widespread "blue" term in Italian (Sandford, 2015).

In addition to the linguistic studies addressed above, recent psycholinguistic studies carried out in different regions of Italy provide converging evidence that in Italian at least two BCTs are required for naming the blue region (e.g., Bimler \& Uusküla, 2014, 2018; Paramei, D'Orsi, \& Menegaz, 2014, 2018; Uusküla, 2014). Whether two suffices or whether three are needed is still a matter of controversy.

Across all linguistic and psycholinguistic studies, the authors are unanimous that blu is the counterpart of English "dark/navy blue." However, the second Italian BCT is argued to be either azzurro (Paggetti, Menegaz, \& Paramei, 2016) or celeste (Paramei, D’Orsi, \& Menegaz, 2oı8); in particular, in some
of starting point for the optimization process that eventually led to the space as we know it, partitioned into eleven basic color concepts.
speakers' opinion azzurro denotes a shade in-between celeste "light-blue" and blu "dark blue" (Grossmann, 1988), whereas for others its meaning is similar to celeste and both are in opposition to blu (Albertazzi \& Da Pos, 2017).

The degree of use of the three terms in the spoken language is known to be subject to diatopic, diastratic, and diaphasic variation (e.g., Paramei, D’Orsi, \& Menegaz, 2018). In particular, for Verona speakers (Veneto region) azzurro is the second BCT denoting the bLUE region and corresponds to "light-and-medium blue" (Paramei, D'Orsi, \& Menegaz, 2014, 2018). In comparison, for Alghero speakers of the Catalan-Algherese dialect (Sardinia) celeste appears to be the second BCT and denotes the light and medium shades of blue, while azzurro, with the meaning of "dark medium blue," is apparently not a basic term there (Paramei, D'Orsi, \& Menegaz, 2018). Particularly relevantly for our study (given the provenance of the monolingual Italian participants we used for this paper; see below), for Florence speakers (Tuscany) the blue region is "clothed in triple blues": blu denotes "dark/navy blue," azzurro "medium blue," and celeste is reserved for "light blue" (Bimler \& Uusküla, 2014; Del Viva et al., 2022).

## 3 Study

We were interested in the effect of bilingualism on focal color judgments in the blue region of color space as this might provide evidence for cultural and/or linguistic effects on the placement of prototypes in color space. Studies on the effect of bilingualism on color conceptualization are sparse, but the few that exist (Ervin, 1961; Caskey-Sirmons \& Hickerson, 1977; Athanasopoulos, 2009; Paramei, D’Orsi, \& Menegaz, 2016) suggest that, for Italian-English bilinguals, focal color choices in relation to color terms in one language could exert an effect on focal color choices in relation to color terms in the other language. The goal of the present study was to look for such an effect in comparing the responses from English monolingual speakers, Italian monolingual speakers, and Italian-English bilingual speakers to questions about focal colors in the blUE region of color space.

## 3.I Method

## 3.I.I Participants

There were 92 participants in total, constituting three groups: British English monolingual speakers (EN), Italian monolingual speakers (IT), and Italian-English bilingual speakers (BI):
(i) EN speakers $(\mathrm{N}=32 ; \mathrm{F}=20)$ were from West-North England, aged $30.1( \pm 8.9)$ years. All had a college education, some at a graduate level, or obtained a doctoral degree. Their self-reported English-language proficiency scores were well above 9 for all categories (reading, writing, speaking, listening), on a scale from $\mathrm{I}-\mathrm{IO}$, with io being the highest.
(ii) IT speakers $\left(\mathrm{N}=3 \mathrm{I} ; \mathrm{F}={ }_{17}\right)$ were students or graduates of the University Florence, born in Tuscany, 22.9 ( $\pm 2.1$ ) years old (for detailed demographic characteristics, see Del Viva et al., 2022). Italianlanguage proficiency data were not available.
(iii) BI speakers $(\mathrm{N}=30 ; \mathrm{F}=16)$ were aged $35( \pm 9.8)$ years. All but one of them were born in Italy, and all but one resided in the United Kingdom at the time they participated in the study. Apart from one, all BIs had a university education, including 19 holding a doctoral degree. The majority of participants were originally from Central Italy, as well as from Lombardy, Tuscany, Puglia, and from Sardinia; one early bilingual was born in North-West England.
The mean age at which they had started to learn English was 9.8 ( $\pm 5.6$ ) years, with the majority being late bilinguals ( $\mathrm{N}_{\mathrm{IBI}}=28$ ), which are bilinguals who started learning English under the age of

6 years (Wattendorf \& Festman, 2008). The average age from which the BIs had lived in an Englishspeaking country was $23.5( \pm 8.0)$; the duration of their residence in an English-speaking country was on average $7.6( \pm 8.8)$ years. At the time they participated in the study, BIs used English on average $72.9 \%( \pm 23.4 \%)$ of the time. Their average self-reported English proficiency scores, on a scale from I to io, were: $8.3( \pm \mathrm{I} .0$ ) for reading, $7.7( \pm \mathrm{I} .3)$ for writing, $7.5( \pm \mathrm{I} .2)$ for speaking, and $7.8( \pm 1.3)$ for listening. Their average proficiency scores for Italian were, respectively, $9.7( \pm 0.7)$, $9.2( \pm 0.9), 9.5( \pm 0.9)$, and $9.8( \pm 0.6)$. All participants completed the Nation Vocabulary Test (Nation, 1980), scoring an average of $80.2( \pm 8.2)$ points out of 90 .

All participants had normal color vision tested with the Ishihara Pseudoisochromatic Plates (Ishihara, 1973) and normal or corrected-to-normal visual acuity. None had reported any ocular disease, eye surgery, diabetes, or use of a medication that could have affected color vision.

Ethical approval was obtained from the Ethics Committees of the Departments of Psychology of Liverpool Hope University and the University of Florence. The study followed the ethical principles of the Declaration of Helsinki. All participants gave their written informed consent prior to participation in the study.

### 3.1. 2 Materials

As stimuli served the 237 Munsell chips from eight glossy charts from The Munsell Book of Color (Munsell, 194I), to wit, 7.5 BG , ІовG, $2.5 \mathrm{~B}, 5 \mathrm{~B}, 7.5 \mathrm{~B}$, IоB, 2.5 PB , and 5 PB ; together these charts encompass the blue region in Munsell color space. Value of the Munsell chips varied between 2 and 9 , and Chroma varied (even number notation) between 2 and io or, for the charts пов, 2.5 PB , and $\varsigma \mathrm{PB}$, between 2 and I .

For the visualizations and, also, for all of the statistics we carried out, we assumed the CIELAB coordinates of the Munsell chips, as online available at the website of the Munsell Color Science Laboratory from the Rochester Institute of Technology (RIT). While only a limited number of Munsell chips were used as stimuli (representing the blue region) in the study, Figure I gives readers unfamiliar with CIELAB space a sense of its shape by depicting the CIELAB locations of all Munsell chips (top row). The bottom row of that figure highlights the stimuli that were used in the study.

### 3.1. 3 Procedure

At both testing locations, in Liverpool and Florence, we ensured identical procedure and illumination conditions. Participants were adapted to mesopic lighting in an otherwise dark room for at least to minutes, the temporal window ensuring dark adaption of cones (Pirenne, 1962). Following this, the charts were presented in a viewing booth under D65-metametric illumination (Just Normlicht Mini sooo; Fa. Colour Confidence) suspended 40 cm above the chart and delivering a $30 \times 25 \mathrm{~cm}^{2}$ light area. At the chart surface, luminance was $220 \mathrm{~cd} / \mathrm{m}^{2}$ (measured by the PR-650 SpectaScan Colorimeter; Photo Research, Inc.), corresponding to an illuminance of 1387 lux.

The response sheets consisted of a replica of the chip layout of the eight Munsell charts that constituted our materials. Participants were asked to write color names within white cells corresponding to the chart chips. The charts were presented one-by-one in a fixed order (as used in the description of the Materials). An unconstrained color-naming method was used for naming the Munsell chips, which, along with monolexemic hue terms, also allowed to use compound, modified or suffixed terms. Participants were further asked to indicate on the charts the most representative colors for $a z z u r r o$, $b l u$, and celeste in Italian or for blue and light blue in English. ${ }^{4}$ Bilinguals were tested on separate days in a counter-balanced

[^1]

Figure i: Top row showing CIELAB space from different angles, with all Munsell chips from the RIT Munsell Color Science Laboratory placed into the space; bottom row highlighting the chips that were used as stimuli in the study.
order of Italian and English sessions. The experimenter provided instructions and communicated with the participant in the language corresponding to the session.

### 3.2 Results and discussion

The data were gathered in the context of a broader comparison of color naming by bilingual ItalianEnglish speakers. For our present purposes, only focal color responses matter; an analysis of the full data from the free-naming task is relegated to future work. Figures 2 and 3 give a visual summary of the focal color choices, the former exhibiting the blue and light blue foci, as designated both by the English monolinguals and the Italian-English bilinguals, and the latter doing the same for the Italian monolinguals' and Italian-English bilinguals' azzurro, blu, and celeste choices.

As a first step in our analysis, we used the QHull.j l library for the Julia language (Bezanson et al., 2017) to compute, per group of participants, convex hulls for their choices for each of the aforementioned color terms. Figure 4 shows the hulls for the bilinguals' blue and light blue focal color choices. Then, using the same library, we computed the volumes of the various hulls, which are given in Table i. Here, one notices some quite remarkable differences already. In English, the convex hull of the bilinguals' choices of focal light blue covers more than three times the space covered by the convex hull of the EN monolinguals'


Figure 2: Focal light blue (top row) and blue (bottom row) choices of EN monolinguals (left column) and bilinguals (right column).
choices for that focal color. In Italian, much the same is true for the convex hulls for the IT monolinguals’ and bilinguals' choices of focal blu. And while for blue the convex hull of the bilinguals' choices is also larger than that of the EN monolinguals' choices, for azzurro the opposite is the case: the hull of the IT monolinguals' choices is about twice as large as that of the bilinguals' choices.

Table i: Volumes (in cubic units) of the convex hulls of focal color choices for the various color-group combinations.

|  | EN | BI | IT |
| ---: | ---: | ---: | :---: |
| Blue | 2877.9 | 4006.2 |  |
| Light blue | 3097.0 | II 570.8 |  |
| Azzurro |  | 4089.6 | 8100.0 |
| Blu | 6765.3 | 2270.7 |  |
| Celeste |  | 3326.4 | 4275.1 |

Note: EN = English monolinguals; $\mathrm{BI}=$ bilinguals; IT = Italian monolinguals. For reference, the volume of the convex hull encompassing all Munsell chips is 887469.5 .


Figure 3: Focal celeste (top row), azzurro (middle row), and blu (bottom row) choices of IT monolinguals (left column) and bilinguals (right column).

We went on to calculate measures of central tendency for the various color-group combinations. Centroids (i.e., centers of mass) of the various convex hulls were calculated using the QHull.jl library once more. We also calculated centroids based on all focal color choices, both straight (i.e., unweighted) -by averaging the three coordinates $\left(L^{*}, a^{*}, b^{*}\right)$ of all chips that had been designated by at least one participant


Figure 4: Convex hulls of the bilinguals' focal blue and light blue choices, seen from different viewpoints.
as being focal for the given color-and weighted, by also taking into account how many participants had designated a chip as being focal for the given color. In the same way, we calculated straight and weighted medoids. (Medoids stand to centroids as medians stand to means.) The different calculations did not yield dramatically different results. In Figure 5 , we are showing the weighted centroids in the full, threedimensional CIELAB space. Because three-dimensional graphics can be hard to interpret, Figure 6 also gives the three possible two-dimensional views of the space with centroids placed in it.

Just eye-balling the results, we see some clear patterns. The bilinguals' blue centroid appears closer to both the IT monolinguals' and the bilinguals' blu centroid than to the EN monolinguals' blue centroid. Similarly, the bilinguals' light blue centroid appears much closer to the IT monolinguals' celeste centroid than to the EN monolinguals' light blue centroid. These impressions are confirmed by looking at the distance matrix, given in Table 2. It is seen, for instance, that the bilinguals' focal blue centroid is about three times farther removed from the EN monolinguals' focal blue centroid than from the IT monolin-


Figure 5 : Weighted centroids for all color-group combinations. (To facilitate orientation, we also show the locations of the blue region stimuli from the study, but not of all Munsell chips, as that would clutter the graphs too much in this case.)


Figure 6: Weighted centroids for all color-group combinations. (See the text for explanation.)
guals' focal blu centroid. And the bilinguals' focal light blue centroid is more than four times closer to the IT monolinguals' focal celeste centroid than to the EN monoliguals' focal light blue centroid.

To find out whether the observable differences in the choices of the foci from the three groups have any statistical significance, we conducted four repeated-measures ANOVAs with color-group combination as a between-participants variable and the coordinate $\left(L^{*}, a^{*}, b^{*}\right)$ as a within-participants variable.

Table 2: Distance matrix of weighted centroids of focal colors for the various color-group combinations.

|  |  | EN |  | IT |  |  | BI |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Light blue | Blue | Celeste | Azzurro | Blu | Light blue | Blue | Celeste | Azzurro | Blu |
| Z | Light blue | 0.0 | 35.8 | 8.4 | 17.2 | 48.9 | 10.6 | 45.4 | 48.4 | 20.2 | 5.8 |
|  | Blue | 35.8 | O.O | 32.0 | 20.7 | 17.4 | 31.0 | 15.4 | 18.2 | I9.1 | 40.4 |
|  | Celeste | 8.4 | 32.0 | 0.0 | İ. 6 | 45.4 | 2.4 | 4 I .3 | 44.9 | 14.0 | 9.9 |
| $\because$ | Azzurro | 17.2 | 20.7 | 11.6 | 0.0 | 35.1 | 10.6 | 31.2 | 34.9 | 3.1 | 20.9 |
|  | Blu | 48.9 | 17.4 | 45.4 | 35.1 | 0.0 | 44.2 | 5.5 | 2.2 | 33.6 | 53.2 |
|  | Light blue | 10.6 | 31.0 | 2.4 | 10.6 | 44.2 | 0.0 | 39.9 | 43.6 | 12.8 | 11.7 |
|  | Blue | 45.4 | 15.4 | 41.3 | 31.2 | 5.5 | 39.9 | 0.0 | 4.9 | 29.5 | 49.4 |
| $\infty$ | Celeste | 48.4 | 18.2 | 44.9 | 34.9 | 2.2 | 43.6 | 4.9 | 0.0 | 33.5 | 52.5 |
|  | Azzurro | 20.2 | 19.1 | 14.0 | 3.1 | 33.6 | 12.8 | 29.5 | 33.5 | 0.0 | 23.6 |
|  | Blu | 5.8 | 40.4 | 9.9 | 20.9 | 53.2 | 11.7 | 49.4 | 52.5 | 23.6 | 0.0 |

[^2]

Figure 7: Marginal mean coordinates for the various color-group combinations as estimated in the repeated measures ANOVAs described in the text.

One ANOVA focused on the blue/blu comparison, comparing the combinations blue (EN), blue (BI), blu (IT), and blu (BI); the second ANOVA focused on a light blue/celeste comparison, comparing light blue (EN), light blue (BI), celeste (IT), and celeste (BI); the third ANOVA did the same for blue and azzurro; and the fourth did the same for light blue and azzurro.

The first ANOVA revealed a main effect of the color-group combination, $F(3,117)=15.6 \mathrm{I}, p<.0001$, a main effect of the coordinate, $F(2,234)=2615.84, p<$.Ooor, as well as an interaction between the coordinate and the color-group combination, $F(6,234)=14.88, p<$.ooor. As for the main effect of the color-group combination (the variable most directly of interest to our research), pairwise comparisons showed that the blue (EN) foci differed significantly from all other combinations, all $p \mathrm{~s}<$.ooor, but that the other combinations did not differ significantly among each other, all $p s>.46$. See the left panel in the top row of Figure 7 for the estimated marginal mean coordinates for the various color-group combinations.

The second ANOVA also revealed a main effect of the color-group combination, $F(3,117)=6.57$, $p<.0005$, and a main effect of the coordinate, $F(2,234)=7132.77, p<$.oooi, but the interaction between the coordinate and the color-group combination was only borderline significant, $F(6,234)=$ 2.12, $p=$.O5. Pairwise comparisons showed that the light blue (EN) foci differed significantly from the light blue (BI) foci, $p=.005$, and from the celeste (IT) foci, $p=.037$; furthermore, the light blue (BI) foci differed significantly from the celeste (IT) foci, $p=.004$; finally, the celeste (IT) foci differed significantly from the celeste (BI) foci, $p=.03$. The right panel in the top row of Figure 7 shows the marginal mean coordinates for the various color-group combinations as estimated in this ANOVA.

The third ANOVA found, again, a main effect of both the color-group combination, $F(3$, II 8$)=$ 12.86, $p<.000$ I, and the coordinate, $F(2,236)=3443.40, p<.0001$, as well as a significant interaction between these variables, $F(6,236)=42.08, p<.000$. Pairwise comparisons revealed significant differences between blue (EN) and azzurro (IT), $p=.003$, between blue (BI) and azzurro (IT), $p<.0001$, and between blue (BI) and azzurro(BI), $p=$.ooor. See the left bottom panel in Figure 7 for the corresponding estimated marginal mean coordinates.

The fourth ANOVA, finally, showed a very similar pattern, with a main effect of the color-group combination, $F(3, \mathrm{II} 8)=13.78, p<.000 \mathrm{I}$, as well as of the coordinate, $F(2,236)=5448.99, p<$. ooor; there was also a significant interaction between these variables, $F(6,236)=6.97, p<$.ooor. Pairwise comparisons showed there to be significant differences between focal colors for light blue (EN) and each of the other color-group combinations, all $p s<.005$. The right bottom panel in Figure 7 plots the corresponding estimated marginal mean coordinates.

### 3.2.I Discussion

We saw that bilinguals' choices of focal colors in English were more diffuse (i.e., spread out in color space) than the EN monolinguals' choices, in accord with instability and shifts of BCT prototypes in bilinguals reported previously by Ervin (1961), Caskey-Sirmons and Hickerson (1977), and Athanasopoulos (2009). By contrast, bilinguals' focal color choices in Italian were more concentrated than the IT monolinguals' choices for two out of the three Italian "blue" terms. That for blu the bilinguals' choices were actually much more diffuse than those of the IT monolinguals is plausibly due to the phonological and orthographic similarity between the English word blue and the Italian word blu (see Kroll et al., 2010). This may have led some bilinguals to identify colors as being typically blu because, speaking English, they would identify them as typically blue, and thereby to expand the convex hull for focal blue, which also encompassed colors more likely to be identified as being typically blu by IT monolingual speakers (see also Paramei. D'Orsi, \& Menegaz, 2016). The aforementioned similarity between homophone blue and blu may also explain the clear shift of the blue prototype as identified for the bilingual speakers, which was found to be significantly darker and closer to their and IT monolinguals' prototype for blu than to the blue prototype as determined for the EN monolingual speakers. We also saw evidence for a shift in the bilinguals' celeste prototype toward their light blue prototype and away from the IT monolingual speakers' celeste prototype. All in all, these findings point to cultural and linguistic effects in the mental representation of cognates and semantic equivalents of "blue" in Italian-English bilingual speakers.

## 4 General discussion

As mentioned in the Introduction, there is clear evidence in favor of the view that principles of rational design (e.g., principles having to do with the informativeness of naming systems) underlie the conceptual structure of color space, more specifically, how we carve up that space categorically and linguistically, and where, in it, we place the color category foci. But the same evidence also shows that the design principles that have been put forward as such in the literature may not tell the whole story about how perceptual color space gets its linguistically-defined structure. The whole story may, of course, encompass hitherto unidentified design principles. But the study presented in the foregoing gives reason to believe that, whatever the true collection of design principles may be, cultural and linguistic factors also play some part in the categorical structuring of color space. Some may want to see these further factors as noise, detracting from an ideal structure fully fixed by principles of rational design. Even then, it is important to be at least aware of them.

At a more methodological level, our study showed how work with bilingual speakers can help to identify cultural and linguistic influences on color conceptualization. In many ways, the present study
just scratched the surface. One important limitation of the study was that our bilingual participants were all native speakers of Italian but not of English, even if they were highly to very highly proficient in English, and at the time this study was conducted tended to speak English most of the time. Ideally, the study would be complemented by a "symmetric" group of native English speakers highly proficient in Italian and residing in Italy for a number of years. Our results indicate that bilinguals' focal colors for English blue and light blue were closer to the Italian monolinguals' focal colors for blu and celeste, respectively, than to the English monolinguals' focal colors for blue and light blue. It appears reasonable to predict that the opposite would be the case for bilinguals of the kind just described, that is, native English speakers sufficiently immersed in Italian. We mention this here as an avenue for future research.

## Acknowledgments

The study was conducted in the framework of Erasmus Exchange Agreements between Liverpool Hope University with University of Sassari (2009-20II) and University of Florence (2019-202I). We thank Carmen De Caro, Maria Michela Del Viva, and Ilaria Mariani for providing data for Italian monolinguals (Florence), as well as students for assisting in data collection: Nadia Al-Mahrouky and Ricky Morton (English monolingual speakers); Cristina Stara and Ricky Morton (Italian-English bilinguals), both groups in North-West England (Liverpool and Manchester). We are greatly indebted to Panos Athanasopoulos for sharing the Nation Vocabulary Test; John Mollon for the advice on the standardized illumination; Deborah Roberson for guidance on the unconstrained color-naming method; Guido Frison for consultation on early use of Italian terms for "blue"; and Manila Soffici for consultation on the Florentine dialect and Standard Italian. We thank Robert Hewertson for technical assistance, Kaida Xiao for illuminance measurement, and all participants for their time and good will. We are also greatly indebted to two anonymous referees for valuable comments on a previous version of this paper.

## References

Albertazzi, L. \& Da Pos, O. (2017). Color names, stimulus color, and their subjective links. Color Research and Application, 42, 89-Ior.
Athanasopoulos, P. (2009). Cognitive representation of colour in bilinguals: The case of the Greek blues. Bilingualism: Language and Cognition, $12,83-95$.
Berlin, B. \& Kay, P. (1969/199I). Basic color terms. Stanford CA: CSLI Publications.
Bezanson, J., Edelman, A., Karpinski, S., \& Shah, V. B. (2017). Julia: A fresh approach to numerical computing. SIAM Review, 59, 65-98.
Bimler, D. \& Uusküla, M. (2014). "Clothed in triple blues": Sorting out the Italian blues. Journal of the Optical Society of America A, 3I, A332-A340.
Bimler, D. \& Uusküla, M. (2018). Individual variations in color-concept space replicate across languages. Journal of the Optical Society of America A, 35, B184-Bi91.
Caskey-Sirmons, L. A. \& Hickerson, N. P. (1977). Semantic shift and bilingualism: Variation in the color terms of five languages. Anthropological Linguistics, 19, 358-367.
Del Viva, M. M., Mariani, I., De Caro, C., \& Paramei, G. V. (2022). Florence "blues" are clothed in triple basic terms. i-Perception, 13, 20416695221124964. https://doi.org/10.1177/ 20416695221124964
De Mauro, T. (1983). Storia linguistica dell'Italia unita [Linguistic history of the unified Italy]. Rome/Bari: Laterza \& Figli.
Douven, I. (2017). Clustering colors. Cognitive Systems Research, 45, 70-81.
Douven, I. (2019). Putting prototypes in place. Cognition, 193, 104007. https://doi.org/10.1016/j. cognition.2019.104007

Douven, I. \& Gärdenfors, P. (2020). What are natural concepts? A design perspective. Mind Eo Language, 35, 313-334.
Ervin, S. M. (1961). Semantic shift in bilingualism. American Journal of Psychology, 74, 233-241.
Fairchild, M. D. (2013). Color appearance models. Hoboken NJ: Wiley.
Frison, G. \& Brun, G. (2016). Lapis lazuli, lazurite, ultramarine "blue," and the colour term "azure" up to the $\mathrm{I}^{\text {th }}$ century. Journal of the International Colour Association, 16, 41-55.
Gärdenfors, P. (2000). Conceptual spaces. Cambridge MA: MIT Press.
Giacalone Ramat, A. (1978). Strutturazione della terminologia dei colori nei dialetti sardi. Italia linguistica nuova ed antica [A structure of color terminology in Sardinian dialects. Modern and antique linguistic Italy]. In V. Pisani \& C. Santoro (Eds.), Studi linguistici in memoria di Oronzo Parlangeli II (pp. 163-18I). Lecce/Milan: Congedo editore.
Grossmann, M. (1988). Colori e lessico: Studi sulla struttura semantica degli aggettivi di colore in catalano, castigliano, italiano, romeno, latino ed ungherese [Colors and lexicon: Studies on semantic structure of color adjectives in Catalan, Castilian, Italian, Romanian, Latin and Hungarian]. Tübinger Beiträge zur Linguistik (Vol. 310). Tübingen: Gunter Narr Verlag.
Ishihara, S. (1973). Test for colour-blindness (24 plates edition). Tokyo: Kanehara Shuppan.
Jameson, K. A. (2005). Why GRUE? An Interpoint-Distance Model analysis of composite color categories. Cross-Cultural Research, 39, 159-204.
Jameson, K. A. \& D'Andrade, R. (1997). It's not really red, green, yellow, blue: An inquiry into perceptual color space. In C. L. Hardin \& L. Maffi (Eds.), Color categories in thought and language (pp. 295-319). Cambridge UK: Cambridge University Press.
Jraissati, Y. \& Douven, I. (2017). Does optimal partitioning of color space account for universal color categorization? PLoS ONE, I2, eoI78o83. https://doi.org/10.1371/journal.pone. 0178083
Jraissati, Y. \& Douven, I. (2018). Delving deeper into color space. i-Perception, 9, 204166951879206. https://doi.org/10.1177/2041669518792062
Kemp, C. \& Regier, T. (20i2). Kinship categories across languages reflect general communicative principles. Science, 336, 1049-1054.
Kroll, J. F., van Hell, J. G., Tokowicz, N., \& Green, D. W. (20ı0). The Revised Hierarchical Model: A critical review and assessment. Bilingualism: Language and Cognition, 13, 373-381.
Munsell, A. H. (1941). A color notation: An illustrated system defining all colors and their relations. Boston: The Hoffman Brothers Co.
Nation, I. S. P. (1980). Teaching and learning vocabulary. New York: Newbury House.
Paggetti, G., Menegaz, G., \& Paramei, G. V. (2016). Color naming in Italian language. Color Research and Application, 4I, 402-415.
Paramei, G. V. (2005). Singing the Russian blues: An argument for culturally basic color terms. CrossCultural Research, 39, 10-38.
Paramei, G. V. (2007). Russian "blues": Controversies of basicness. In R. E. MacLaury, G. V. Paramei, \& D. Dedrick (Eds.), Anthropology of color: Interdisciplinary multilevel modeling (pp. 75-106). Amsterdam/Philadelphia: John Benjamins.
Paramei, G. V. \& Bimler D. L. (202I). Language and psychology. In A. Steinvall \& S. Street (Eds.), $A$ cultural history of color, vol. 6, The Modern Age: From 1920 to present (Ch. 6, pp. I17-134). London: Bloomsbury.
Paramei, G. V., D’Orsi, M., \& Menegaz, G. (2014). "Italian blues": A challenge to the universal inventory of basic colour terms. Journal of the International Colour Association, 13, 27-35.
Paramei, G. V., D’Orsi, M., \& Menegaz, G. (2016). Cross-linguistic similarity affects L2 cognate representation: blu vs. blue in Italian-English bilinguals. Journal of the International Colour Association, 16, 69-81.

Paramei, G. V., D’Orsi, M., \& Menegaz, G. (2018). Diatopic variation in referential meaning of "Italian blues." In L. W. MacDonald, C. P. Biggam, \& G. V. Paramei (Eds.), Progress in colour studies: Cognition, language and beyond (pp. 83-105). Amsterdam/Philadelphia: John Benjamins.
Pastoureau, M. (2001). Blue: The history of a color. Princeton NJ: Princeton University Press.
Pirenne, M. H. (1962). Dark-adaptation and night vision. In H. Davson (Ed.), The visual process (pp. 93-122). Cambridge MA: Academic Press.
Regier, T., Kay, P., \& Khetarpal, N. (2007.) Color naming reflects optimal partitions of color space. Proceedings of the National Academy of Sciences of the U.S.A., 104, 1436-1441.
Rosch, E. (1973). Natural categories. Cognitive Psychology, 4, 328-350.
Sandford, J. L. (2015). A cognitive linguistic usage perspective: What is Italian blu, azzurro, celeste? Do English speakers agree on blue semantics? Cultura e Scienza del Colore-Color, Culture and Science, 4, 22-30.
Uusküla, M. (2014). Linguistic categorization of blue in Standard Italian. In C. J. Kay, C. A. Hough, \& C. P. Biggam (Eds.), Colour studies: A broad spectrum (pp. 67-78). Amsterdam/Philadelphia: John Benjamins.
Wattendorf, E. \& Festman, J. (2008). Images of the multilingual brain: The effect of age of second language acquisition. Annual Review of Applied Linguistics, 28, 3-24.
Xu, Y. \& Regier, T. (2OI4). Numeral systems across languages support efficient communication: From approximate numerosity to recursion. In P. Bello, M. Guarini, M. McShane, \& B. Scassellati (Eds.), Proceedings of the 36th Annual Meeting of the Cognitive Science Society (pp. 1802-1807). Austin TX: Cognitive Science Society.
Xu, Y., Regier, T., \& Malt, B. C. (2016). Historical semantic chaining and efficient communication: The case of container names. Cognitive Science, 40, 208I-2094.


[^0]:    ${ }^{1}$ Formally, the Pareto front is the curve or surface (or hyper-surface) in the space of possible solutions to a multi-objective optimization problem such that, for any solution represented on the curve/surface, one can only improve with regard to one of the given objectives by doing worse with regard to one or more of the others.
    ${ }^{2}$ It is an open question whether all concepts can be represented in similarity spaces. We will leave this broader question aside here, our main interest being in the categorical structure of color space. For more on the broader program of using similarity spaces to represent concepts, see Gärdenfors (2000).
    ${ }^{3}$ An anonymous referee made the important observation that yet another possibility (compatible with the ones mentioned) is that, because color space will have evolved over time, and is thus not to be conceived as the product of an optimization process from scratch, there have been anchoring effects of older, less fine-grained partitions of the space, which have served as a kind

[^1]:    ${ }^{4}$ We are aware that light blue is not a BCT in English; however, we decided to assess focal color of this non-basic category as a proxy to Italian celeste. Note also, that light blue was found to be among the most frequently used English color terms in an online free-naming experiment (Jraissati \& Douven, 2018).

[^2]:    Note: The numbers represent Euclidean distances $(\Delta \mathrm{E})$ in CIELAB space.

