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## The organisation of urban systems

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## **Introduction**

Cities<sup>1</sup> are a major form of the material, social and symbolic organization of societies. They are persistent and adaptive structures which fulfill a variety of social functionalities: habitat, production, services, political control over people and territories, as well as technical and symbolic mediation between nature and culture, groups and individuals. For a long time, and especially since the first industrial revolution, they have been both the spaces where most innovations occur and spaces for which most innovations are designed (chapter 8). Location in space and time has to be considered as a very important feature for these systems, since societal evolution is much more rapid than the biological one. Being adaptive, cities alter through a variety of intentional actions that may produce non-intentional persistent features: urban structures are produced partly through design and planning, partly through self-organization. What is analyzed in this chapter is a conception of urban systems as complex systems. We develop a theory of the organization of cities and systems of cities as multilevel networks, including two main observable levels, where specific emergent properties can be observed. Compared to the earlier seminal conception by B. Berry (1964) of “cities as systems within systems of cities”, we emphasize a multilevel organization perspective and specific dynamic features that produce this structure. We also add an evolutionary perspective, which is based on observations about the way cities co-evolve within urban systems, through a variety of social interactions. Empirical evidence of the corresponding patterns and processes is provided for three main styles of urbanization, exemplified in four different parts of the world.

## **1 Cities and systems of cities as different levels of social organization**

The organization of urban systems can be described on three main levels (Pumain, 2006): the micro level represents elementary units (persons, firms, institutions) that are living together in a city, the meso-level corresponds to the city itself (when really defined as a consistent geographical entity), and the macro level is the system of cities, made up of a large number of towns and cities which interact under a unified control (like a national political territory or a global economic network). This organization is shaped by interactions operating on different spatial and temporal scales of observation.

### **1.1 The city: a collective evolving entity**

In a very general way, a city can be seen as a collective entity whose specific properties, although mostly produced by intentional agents at the individual level, cannot be simply explained or predicted from these intentions, nor derived by summing the characteristics of its inhabitants. Concepts such as urban function, centrality, or morphology, were invented by urban scientists to apprehend cities' emergent properties. Produced through reiterated interactions between individuals, these are only defined at the aggregate level of the city and cannot be estimated from the mere summing of the attributes of the individuals that compose the urban entity. The collective character of a city also emerges over historical time because the evolution of cities is extremely coherent. Each of them has a specific history which defines its identity. Even if they participate in the same historical events and trends, cities each follow an original trajectory which is mainly influenced by some of their political options or by their successes and failures in the process of their socio-economic co-evolution.

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<sup>1</sup> The word “city” is used here for designating a generic urban entity defined as a geographically and functionally consistent urbanized area, whatever the administrative or political boundaries.

The concept of a city as an urban entity is a relatively autonomous and persistent system of locally dense and frequent daily interactions. It is rooted (as a material and a symbolic entity) in the collective process of specification and identification. This is constrained by two distinct trends, bottom up by way of internal interactions (among urban citizens, firms and institutions) and top down by way of external interactions (among competing cities), that form interlocking networks.

*The « one-hour » traveling time constrains the city's development*

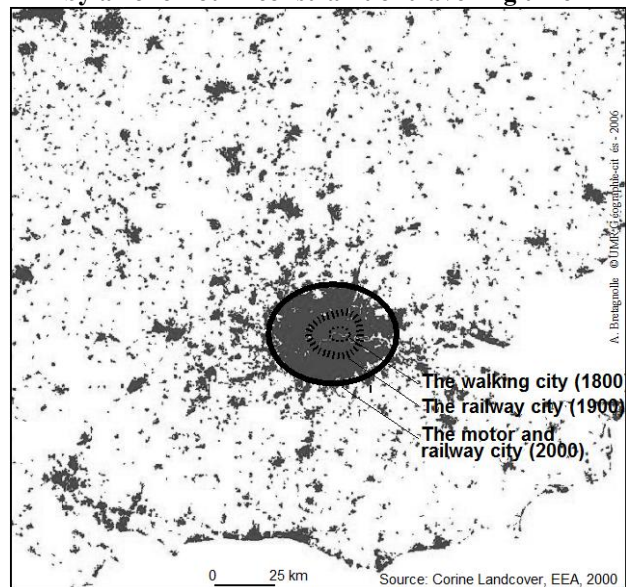
For a relevant analysis of the dynamics of this complex system, we have to translate this concept into proper measurements of city size that are comparable over space and through historical times. Measures of city size are difficult because cities are expanding not only inside the boundaries of a fixed territory, but over these limits. We have then to consider an entity according to a common reference in a time-space. In this framework, we can visualize a city as the envelope for the daily activities of its inhabitants and the buildings hosting them. The concept of a city that we use is not restricted to the elementary political entity governed by a municipality or an administrative circumscription with fixed boundaries. Indeed, in the course of time, it is frequently observed that urban growth overpasses these boundaries and spills over neighboring circumscriptions, sometimes including other nearby cities and towns in “conurbations” as noted by Patrick Geddes as early as 1905. In order to correctly identify a city as a consistent geographical entity, and considering that its spatial expansion as well as its *in situ* development are part of its growth, we define it as a “daily urban system” (this concept was the basis for the definition of Standard Metropolitan Areas in the US in the fifties, and the name had been chosen because this frame allows for frequent social interactions which usually take place within one day). Recent surveys in European, American or African cities demonstrate that during a working day an urban dweller typically needs to connect to three or four different places of activity, and devotes on average about one hour each day to traveling for these purposes (Crozet and Joly, 2004). It seems that this travel time, representing about 15% of the working day, in the (invariant) duration of 24 hours, has remained rather stable over centuries (in the literature on transport, this is known as “Zahavi’s law”).

While the maximum spatial development of cities has continuously been constrained by this typical one-hour time-budget, the speed of means of transportation available has enabled a multiplication by a factor ten of the average commuting distance between places of work or urban services and places of residence, in the last two centuries. In London, for instance, the city maps of the 17th century<sup>2</sup> represent an urban agglomeration whose radius is 3 to 4 km. This radius has evolved (6 to 8 km in 1830, 20 km in 1900) according to the innovations in transportation technologies, for which London was a pioneer in Europe (1829, horse omnibus; 1836, steam railway; 1863, steam metropolitan; 1905, electric metropolitan). Today, a remote sensing image of London representing built-up areas (*CORINE Landcover*, EEA, 2000) shows a first perimeter of compact constructions over a circle of 20-30 km radius, with expanding branching developments reaching out to zones located nearly 70-80 km from the centre (figure 1). The historical adaptation of an urban entity, whose expansion was driven by economic success and social pressure towards the maximum possible spatial extension of the time (and despite the policies aiming at « the containment of urban England” – Hall et al., 1973), reveals the general limitation of one-hour traveling time which constrained its development. A behavioral parameter defined for spatial interactions at the individual level is reflected in the organization of the urban entity at a higher level.

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<sup>2</sup> Hollar Wenceslaus (1667), *Hollar's Exact Surveigh of the City of London*. London. Reproduction, Edward Stanford Ltd., N.D. 83 x 54 cm. De la Feuille James (1690), *Londini angliae regni metropolis novissima & accuratissima*, <http://www.british-history.ac.uk>.

**Figure 1 : The agglomeration of London, an evolving spatial entity delimited by a “one-hour” constraint of travelling time**



## 1.2 The system of cities as co-evolving cities

Cities never actually develop as isolated entities; they are always engaged in many types of interactions with other cities. They are linked through a variety of social networks, among which there are not only the visible infrastructures, as physically represented by roads, railways or airlines, or the usually accounted exchanges, as population migrations or trade of goods, but also more “invisible” networks, as capital investment and information flows (the latter being more especially involved in the process of innovation diffusion). The resulting *systems of cities*, although mostly self-organized, ensure the same social functionality, which is to control territories or networks. The nature of the control has evolved through historical times, mainly from political to economic forces. The political control, which at first may have coincided with the scope of the market area of one city, shifted to kingdoms or empires, and then to national territories associating several cities. Economic control, expressed first by local entrepreneurs, has broadened to national then multinational firms. Individual cities, which were in the past the main actors of their own development, seeking to overcome the limitations of their close environment by building networks to exploit distant resources, have become instruments for the control of wider territories and networks, a control that is now assumed by national or supranational actors using the networks that cities have built through their interactions. *What we call systems of cities are evolutionary objects that may include subsets of cities connected by long-distance networks, or cities belonging to unified political territories.* The general trend is an historical increase in the number of cities that are integrated, through more intense and more frequent interactions, but political events or economic crisis may reduce them locally for some time. This makes the precise identification of systems of cities very difficult, because of the changing nature of the interactions that need to be considered and the fluctuations of their spatial extension.

### *An integrated system of co-evolving cities through multilevel spatial interactions*

The direct interactions, that could be named *first order interactions*, produce strong interdependencies in the evolution of cities and give rise to a macro organizational level, the system of cities. This organization of cities in “city systems” that develop specific emergent properties was noticed a long time ago (the first mention of a “system of cities” can be found in the writings of a French Saint-Simonian engineer, Jean Reynaud, as early as 1841) and is part of a geographical theory on urban systems (Berry, 1964, Pred, 1977, Pumain, 1997).

Systems of cities always show a differentiation of city sizes according to several orders of magnitude (today from a few thousands to tens of millions inhabitants) which follow a very regular statistical distribution, that is lognormal, or of the Pareto-Zipf type. This hierarchy of sizes also corresponds to a hierarchy of urban functions and to more or less regular settlement patterns. These regularities were for a while summarized by central place theory (Christaller, 1933, Berry, 1967), which is now embedded into a more general evolutionary theory of urban systems. Systems of cities are also characterized by their functional diversity and the co-evolution of their socio-economic profiles (see chapter 8), as well as by distributed growth that can be summarized in first approximation by a Gibrat model (1931).

These first order exchanges, although very often reciprocal, are not fully symmetric. Asymmetries in the interaction flows between cities, when reiterated, produce a diversity of quantitative and qualitative differences in terms of city size, economic specialization, social composition, cultural features and urban landscapes. These differences lead to *second order interactions* which are effects of *selection*, constraining a city's development according to its rank in the hierarchy of city sizes, its economic specialization and its "image" in the individual and collective representations of agents. Second order interactions can be indirectly observed by comparing the evolution of qualitative urban features and measuring the evolution of relative sizes in large sets of interacting cities (Pumain, 2006). More specifically, some types of networking are, at least momentarily, restricted to small samples of very large or very specialized cities.

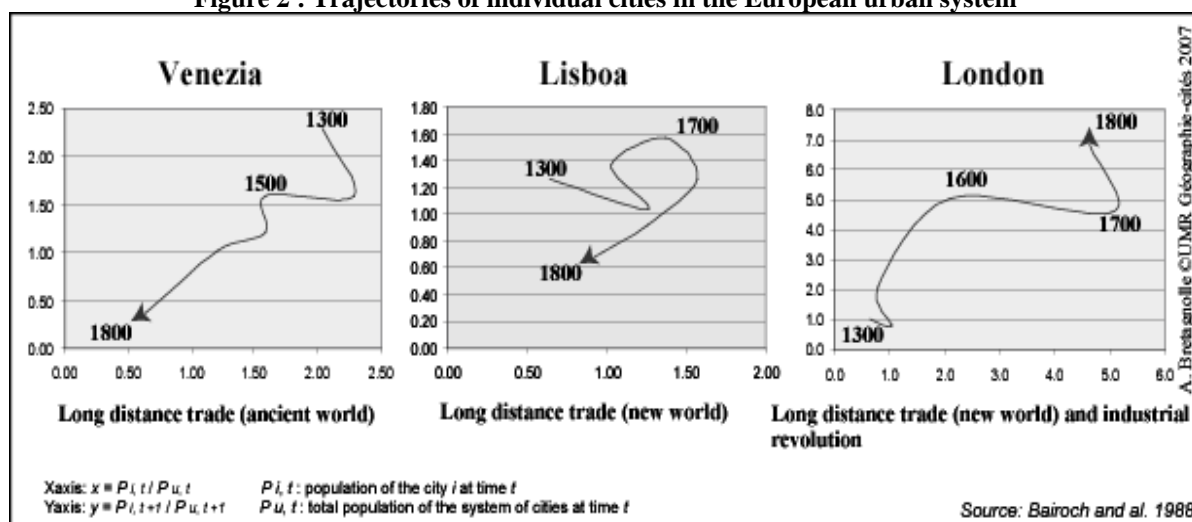
For delineating geographically a system of cities, according to a classical definition of a "system", we have to identify a set of interacting urban entities, including cities that have more intense or more frequent interactions with cities inside the system than with cities outside. This is often implicit when systems of cities are considered within the boundaries of national territories. National boundaries delimit a community of political and social rules as well as cultural features which reduce external interactions. However, even in the frame of this static view, cities can pass beyond these limitations, according to their size and function. In particular, national capitals or cities that are specialized in international activities have a broader range of exchanges than smaller or less specific towns. This wider opening of some cities can be observed in their first order interactions, but most of the time they are better illustrated by the interdependencies in their evolution that are produced by second order interactions. The envelope of systems of cities should thus not be seen as a strictly delimited boundary, but rather as a membrane which is more or less permeable according to the size and function of cities that are inside the system. Because the range of interactions is usually strongly influenced by city size, the ability to develop outside interactions and to co-evolve with other urban systems is narrowly linked with the hierarchical differentiation inside the urban system. It may also occur in a few smaller cities, specialized in international activities, for example finance or tourism.

### *Global cities since the Middle Ages*

At any time, a few outstanding cities have dominated the exchanges in the interconnected "world" formed by systems of cities, bypassing the political boundaries. Considering an integrated "system of cities", defined as a coherent set of cities already engaged in commercial and political competition, it is possible to follow the evolution of the weight of a given city within the system over several centuries, and to interpret its trajectory in relation to the greater or lesser success of the city in having a share in successive innovations, whether or not these lead to specialization. A few cities exhibit for long periods of times coefficients of allometric growth that remain systematically greater than one when compared to the evolution of the other cities. This dynamic behavior is explained by interaction networks that have a

much broader magnitude than in the case of other cities. Figure 2 thus presents three examples of these “global cities” that bypass the European urban field at three historical periods. We have computed the weights of Venice, Lisbon and London within the European total urban population at each date (Europe can be considered approximately as forming a system of cities since the reopening of long distance trade in 12<sup>th</sup> or 13<sup>th</sup> century, Pirenne, 1927) and represented the trajectory of this relative weight in phase space (ordinate: the weight of the city in the system for a given year; abscissa the weight for the city the preceding year). The trajectories are illustrating the successive success of Venice, in Mediterranean and Hanseatic trade activity during Middle Ages, then Lisboa in Atlantic maritime trade with the New World in 15<sup>th</sup> century, and then London adding to that the industrial revolution at the end of the 18<sup>th</sup> century.

Figure 2 : Trajectories of individual cities in the European urban system



### 1.3 A crucial point in urban ontology: the consistent delimitation of urban and territorial entities

The dynamics of urban systems have been interpreted in many different or even contradictory ways because the observations that have been made of the differential growth of cities are based on different measures from one author to another, these measures varying according to the chosen definition of the city, the delimitation of the territory in which the measures are implemented, and according to the samples of cities selected within these territories. Our theoretical conception of systems of cities makes it possible to gain a better understanding of the dynamics involved, by relating them to their social functionalities and to the artifacts that make these functionalities feasible: as we have seen, on the scale of the city, the main functionality is the coordination of day-to-day activities, while on the scale of a city system the issue is the control of territory or networks by way of powers that were mainly political at the outset, to become mainly economic subsequently. We have defined our comparable data bases within the frame of national or continental boundaries and we shall come back later to the consequences of this choice (chapter 13).

Comparing city systems over time and in different areas of the world requires careful preparation of databases. These are never directly exploitable as they are issued from statistical institutes. Official databases have their limitations, first of all in terms of the indicators available. Since the successive industrial revolutions have widened the gaps in standards of living, the best measure of the success of a city might be that of its economic power. Most of the time, we must be content with data on numbers of inhabitants of cities, the only indicator that can be mobilized on scales of time and space of this magnitude. However,

even as regards population, work is required on harmonization so that entities termed "cities" are comparable from one country to another, and through history (Pumain et al., 1991, Bretagnolle et al, 2007a).

Today census bodies use two main approaches to define the city. The first outlines the *urban agglomeration*, which is formed by the continuity of built-up area and by minimum population or density threshold values; the second outlines the *urban area*, which is much wider than the agglomeration since it also includes peri-urban rings that send part of their working population on a daily basis to the functional pole of the agglomeration. To make urban populations comparable from one country to another and at different times does not necessarily mean adopting identical criteria: for instance, it is considered today that in Europe the minimum functions that can be associated with a city characterize aggregates of more than 10 000 inhabitants, while in South Africa, more recently urbanized and involving a smaller surface area, it is more reasonable to lower this threshold to 5000 inhabitants. Likewise, a strong feature of the USA is found in the extremely wide spatial encroachment of its cities, arising from the tendency of the population to undertake long-range daily commuting<sup>3</sup>.

When defined for integrated territories by intense, reiterated interactions (for instance, with exceptions, most national states today), city systems present a certain number of characteristic emergent properties. Among the main "evolutionary laws", we insist on four major trends: historical path dependence; competitive expansion and distributed growth; reinforced urban hierarchy.

## 2 Historical path dependence

Despite the multiplicity and apparent diversity of national urban systems in the world, three major styles are recognizable in their hierarchical and spatial organization. These styles are differentiated because of different historical trajectories (Table 1). Their properties vary according to their period of emergence (technological conditions during the urban transition determine space-filling parameters) and according to any major exogenous impacts (such as colonization).

**Table 1: Three major styles of urban systems in the world**

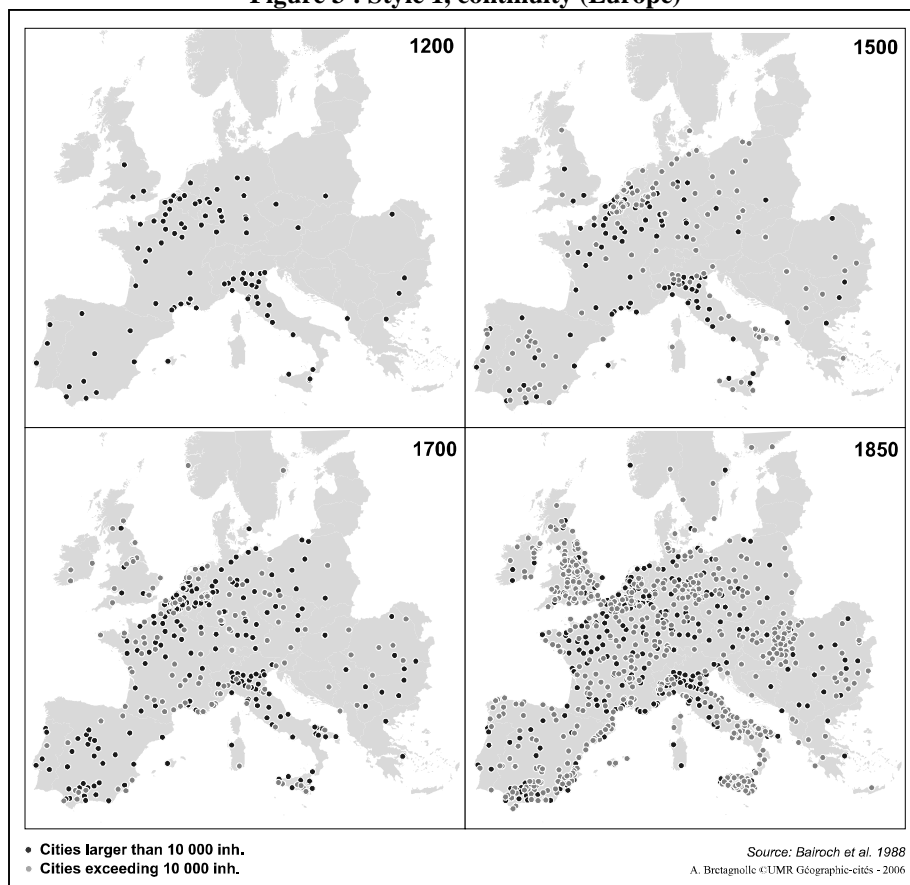
	<b>Typical world region</b>	<b>Selected example</b>
<b>Long-standing urbanization, Slow and regular evolution</b>	European urban system National urban systems in Europe	European urban system
<b>Long-standing urbanization, major exogenous impact (colonialism)</b>	Asia Black Africa	India
<b>New countries, waves of urban creations</b>	U.S.A. Australia South Africa	U.S.A, South Africa

<sup>3</sup> The different urban definitions that we have adopted in our comparative study are as follows: in Europe and India, agglomerations of more than 10 000 inhabitants; in South Africa, functional agglomerations of more than 5000 inhabitants (including the white city and the non-white townships that are economically linked to the city by home-to work commuting); in the USA, the populations of cities and towns up to 1940, then the *Standard Metropolitan Areas* (known today as the *Metropolitan Statistical Areas*) and the *Micropolitan Statistical Areas* up to 2000 (for a more detailed description of these databases, see Bretagnolle et al, 2007a and 2007b).

## 2.1 Diversity of morphogenesis

In countries with long-standing and continuous settlement processes, cities emerged more or less simultaneously all over the territory, and the city systems are characterized at once by the long-standing nature of their urbanization, and by the regularity of their development over time. European countries are a good illustration of this. From Antiquity, long-range inter-city exchange networks became established, and several metropolises had more than 100 000 inhabitants (Athens) or even one million (Rome). After a period of relative latency in the late Middle Ages, European cities were once again characterized by vigorous growth and the reactivation of exchange networks, which fitted into a "national" or "international" logic, at least for the largest or most specialized. Figure 3 shows a marked persistence of the distribution over geographical space of towns and cities since 1200 in the western part of Europe, and since 1500 in eastern Germany and in central Europe. The urban growth that is characteristic of the industrial revolution (the number of towns and cities doubled) did not significantly alter spatial distribution, even if there was a strong densification effect along the coasts and in the major mining and metal-working basins. A similar stability also characterizes the top of the urban hierarchy. At the end of the 19<sup>th</sup> century, the majority of the large European metropolises already headed national networks at the end of the Middle Ages, this being true despite the major swing that occurred from southern Europe to northern Europe (the major economic centers that held sway over the Mediterranean in the Middle Ages gradually gave way to the trading cities on the Atlantic coastline, until the industrial revolution sent Britain to the front (Braudel, 1967, de Vries, 1984)).

Figure 3 : Style 1, continuity (Europe)

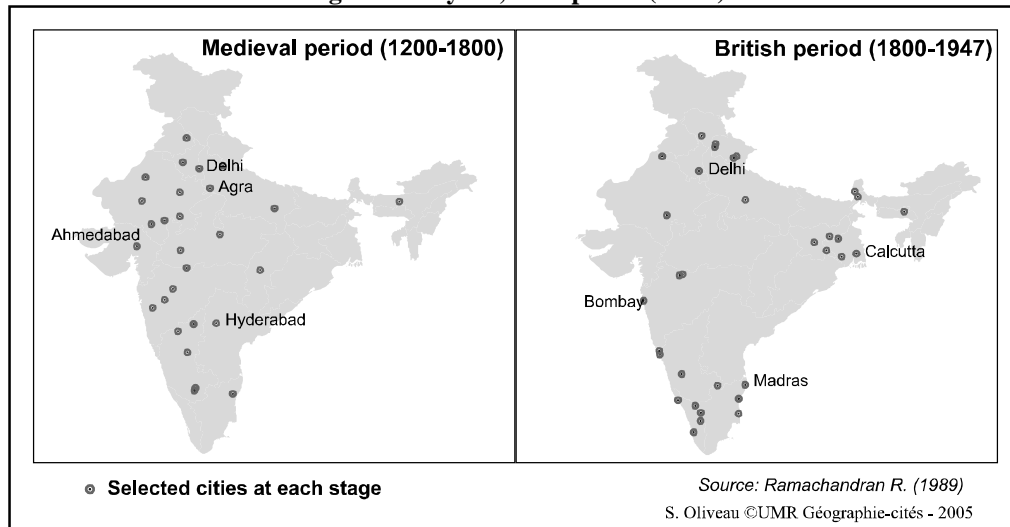


A second style of urban system is represented by countries where urbanization is long-standing but which have experienced disruptions, like Asia or Black Africa. The example of India is illustrated on figure 4. This country underwent a major phase of urbanization in



Antiquity and a second phase in the Middle Ages with Muslim expansion. At these times, the country was divided into some twenty kingdoms, and the main cities in existence (Agra, Delhi) were located inland rather than on the coasts, because the main activities were related to domestic trade and territorial control. While the first Portuguese, Dutch, French and later British trading posts were set up from the 16<sup>th</sup> century (Bombay in 1532, Madras in 1639, Calcutta in 1690), they did not have any very decisive impact on the urban patterns in India before the 19<sup>th</sup> century. It was when India came officially under the British crown in 1847 that the administrative and economic orientations changed radically and altered the distribution of Indian cities in a durable manner. From then on the main cities were created or developed along the coasts and the main rivers, so as to facilitate exchanges with Britain.

**Figure 4 : Style 2, disruptions (India)**

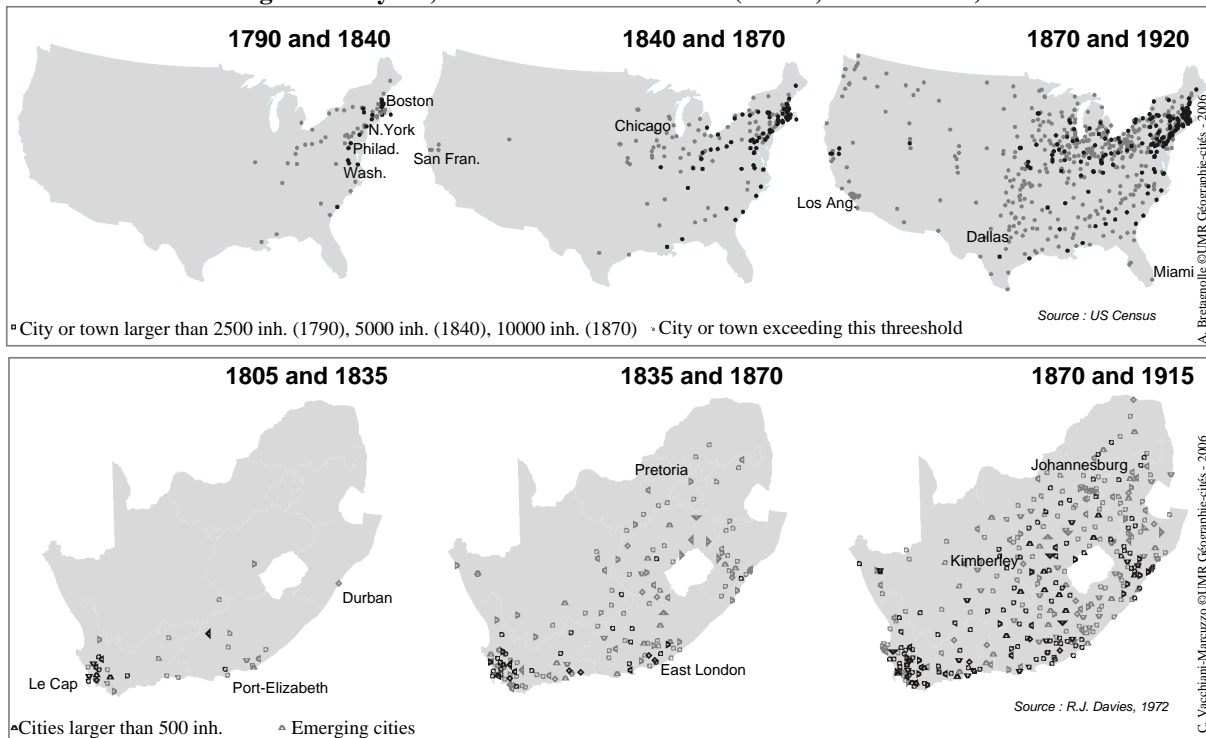


The third type of urban system is that of “New World” countries, where towns and cities were imported by settlers and spread in successive waves of penetration, either driven or accompanied by canals and railways. In the USA, the occupation of space by cities took place in relation to waves of settlement moving in from the coastlines. A first frontier moved west, reaching the Mississippi in the 1850’s, the Rockies in the 1870’s, and the western coastline in the 1890’s (Figure 5). This frontier started from the first communities established in the 17<sup>th</sup> century along the east coast (Manhattan in 1614, Philadelphia in 1654) which developed slowly up to the start of the 19<sup>th</sup> century. In the 1790 census, only 5 cities were larger than 10 000 inhabitants, one of which was New York with only 33 000 inhabitants at this date (while London had reached 948 000 inhabitants). The exponential urban development that followed independence is out of all proportion with the growth that characterized Europe over the same period. In less than one century, New York became the second largest city in the world, with more than 3 million inhabitants in 1900. A second settlement front opened up in the 18<sup>th</sup> century on the Pacific coastline by the Spanish moving up from Mexico. The first towns of more than 5000 inhabitants were San Francisco and Sacramento in 1850.

In South Africa the process is slightly different: between 1652, when Cape Town was founded, and the start of the 20<sup>th</sup> century, several waves of Dutch and later British settlements spread along the coast towards the east, within a mainly agro-pastoral economy. The decisive impetus to the creation of urban settlement was the discovery of diamond resources (Kimberly, 1867) and gold (Johannesburg, 1883) in the central province of Gauteng, leading to a major shift of the centre of gravity from the coastline towards the interior. From this period onwards the cities grew more through internal migrations than through international immigration. The formation of the South African state in 1910 and the development of

exchanges within an economy that had become industrial, favored the emergence of a genuine city system in the 1950s (figure 5).

**Figure 5 : Style 3, waves of urban creations (U.S.A., South Africa)**



These three major types of morphogenesis do indeed considerably over-simplify the diversity of situations observed worldwide. It would be interesting, in particular, to analyze in a more precise manner the particular place of the city systems in Latin America, North Africa, and the Middle East, each of these areas having had their own specific involvement in colonial processes. However, these three main types do make it possible to identify forms that still today most strongly differentiate hierarchical and spatial configurations in city systems across the world.

## 2.2. Typical emergent properties of the three major styles of urban system

The three types of system are not differentiated by their histories alone, but also by certain features of their hierarchical and spatial configurations that are still perceptible today.

A first feature that differentiates the three styles of systems is their morphology, i.e. the pattern of their occupation of space as measured by the density of the cities and the degree of hierarchization (table 2). When cities were established at a time when means of transport were very slow, via the spontaneous emergence of agricultural markets or by the establishment of relay posts on the main communication routes, they were very close one to the other. For example, in Europe and India alike, the average distance between two towns or cities is around 15 kilometers. The newer countries show a wider spacing between towns and cities, marked concentration in the largest cities, and an urban hierarchy that is characterized by greater variation in size. As a convenient measure of the degree of hierarchical inequality, the slope of the straight line adjusting the rank-size distribution of cities and towns can be used. This parameter value is under 1 for Europe and India, while it is clearly above 1 for the USA and South Africa. Indeed, in the latter two countries, towns and cities developed in a pioneer logic, i.e. aiming to occupy the widest space possible, even if this was in an extensive manner,

and they also developed with faster and more efficient means of communication (especially the railways). This results in systems where towns and cities are less numerous, less dependent upon the initial agricultural settlement, more widely spaced, and sizes more contrasted and where the largest cities can reach sizes that are greater than those observed in the metropolises of the Old World. The automobile later enabled a small number of urban centers to have an influence over very distant outer rings: for instance in 2000, the mean radius of functional areas is 46 km for the American MSAs, as compared with 13 km for French urban areas<sup>4</sup>.

**Table 2 : Typical parameters of the three major styles of urban systems**

	Average distance to nearest neighbor in km (2000)	Inequalities in city sizes (2000)	Average annual growth rate (%) during the urban transition (19 <sup>th</sup> or 20 <sup>th</sup> century)	Macrocephaly index (2000)
Long standing urbanization: Europe	15	0.94	1-2 distributed	2 to 3
Long standing and external shock: : India	16	0.99	2-3, dual	4 to 8 (regional indices)
Recent systems: a) U.S.A	36	1.20	3-4, higher on frontier	1.5
b) South Africa	32	1.19	3-4, higher on frontier	2

*NB: the degree of inequality is measured by the absolute value of the adjustment slope in the rank-size graphs*

A second feature concerns the regimes of city growth. By analogy with demographic transition, what is known as urban transition (Zelinski, 1971) is a period of massive urban growth in the course of which settlement, thus far made up of villages and fairly homogeneous and scattered, became much more heterogeneous by concentration around urban centers. This transition, which began at the time of the great industrial revolution, is complete in countries where it took place early (Europe, the USA), but it is still underway in countries in Asia (China, India) and is just starting in certain African countries. While the main influx of new urban settlers was above all from rural areas in the 19<sup>th</sup> century urban transition, it was also fed by demographic growth specific to the towns themselves in the 20<sup>th</sup> century transition. This increase in population (Table 2) is spread across towns and cities according to three main patterns:

- In countries where urbanization is long-standing, and where it developed in the continuous mode (European type), urban growth was *distributed*, i.e. spread across all parts of the territory in a manner that is proportional to the size of the towns and cities, even if a slightly higher relative growth rate is seen in the large cities. Average growth rates were fairly low throughout the 19<sup>th</sup> century, at around 1 to 2% per year (1.7 for London and 1.3 for Paris), except in certain localities in industrial basins (Bradford 4%, Valenciennes 3%).
- In new countries, urban growth occurred following *wave* settlement patterns, with markedly higher growth rates in the newly created towns and cities. In the USA, for instance, Chicago saw an annual growth rate of 12% per year between 1850 and 1870, and urban growth was

<sup>4</sup> Caution is required in comparing these figures: indeed the commuting range threshold taken into account to define functional areas is not the same in the USA (15%) and France (40%), and it refers to very different dimensional grids (a ratio of 100:1 on average between the surface areas of *counties* and that of the French *communes*). It remains that the choices made by the census bodies involved is an actual reflection of the specific nature of urban settlement patterns in either country.

more intense on average during the urban transition than in older countries (around 3 to 4% a year, mainly on account of immigration from abroad).

- In systems that were reorganized following the impact of colonialism (some Asian and African countries), urban growth was *dual* i.e. fairly small and late endogenous growth of markets, administrative centers and local artisan activity is superimposed on very marked concentration in the large cities, often the capital cities, or acting as an interface with the capital. This macrocephaly (highest ratio between the populations of two cities of consecutive rank) is typical: while the ratio is 1:2 or 1:3 on average in most European countries, it is 1:6 in Ivory Coast, 1:7 in Mali. In India, several regional capitals were driven by colonialism (Bombay, Calcutta, Delhi, with 16 million, 13 million and 12.5 million inhabitants respectively in 2001), and each is far ahead of the other cities in their respective regions (the populations of these cities today are 4 to 8 times that of the largest city in their hinterland). In these developing countries, the mean growth rates during urban transition are high, often more than twice those observed in "older" countries, especially because that can coincide with demographic transition and its reduced urban mortality (table 2).

### 3 Competitive expansion and distributed growth

It could be thought that such marked historical differences would result in different patterns of urban dynamics, and that each main type of city system thus identified would evolve in a way that is specific to it. In fact, once the city system is established and integrated into a political territory, the resemblances in the way they evolve are striking. Paradoxically, it can even be said that it is because all city systems, once formed, evolve in the same manner that they continue to carry the marks of their histories. Indeed such marks are not the indication of the "inertia" of geographical structures, but conversely of their extraordinary ability to adapt.

#### 3.1 Increase in size and number of cities

Whatever the level of development, and however long-standing the urbanization, city systems have always been characterized by a tendency to grow both by the expansion of their urban populations (the maximum city size increased from 1 million in 1800 to 3 million in 1900 and 30 million in 2000) and with respect to their numbers (table 3).

**Table 3: Evolution of the number of cities from 1900 to 2000 (Europe, India, USA, South Africa)**

	1900	1950	2000	Surface (million km <sup>2</sup> )
Europe	2532	3702	5123	4.8
India	580	1095	3285	3.2
United States	382	717	934	7.8
South Africa	14	41	307	1.2

*Sources and data bases: Europe: Bairoch et al. (1988), Pinol (2003); India: Census, Oliveau (2005); United States: Census of the U.S., Bretagnolle, Giraud (2006); South Africa: Davies (1972), Vacchiani-Marcuzzo (2005).*

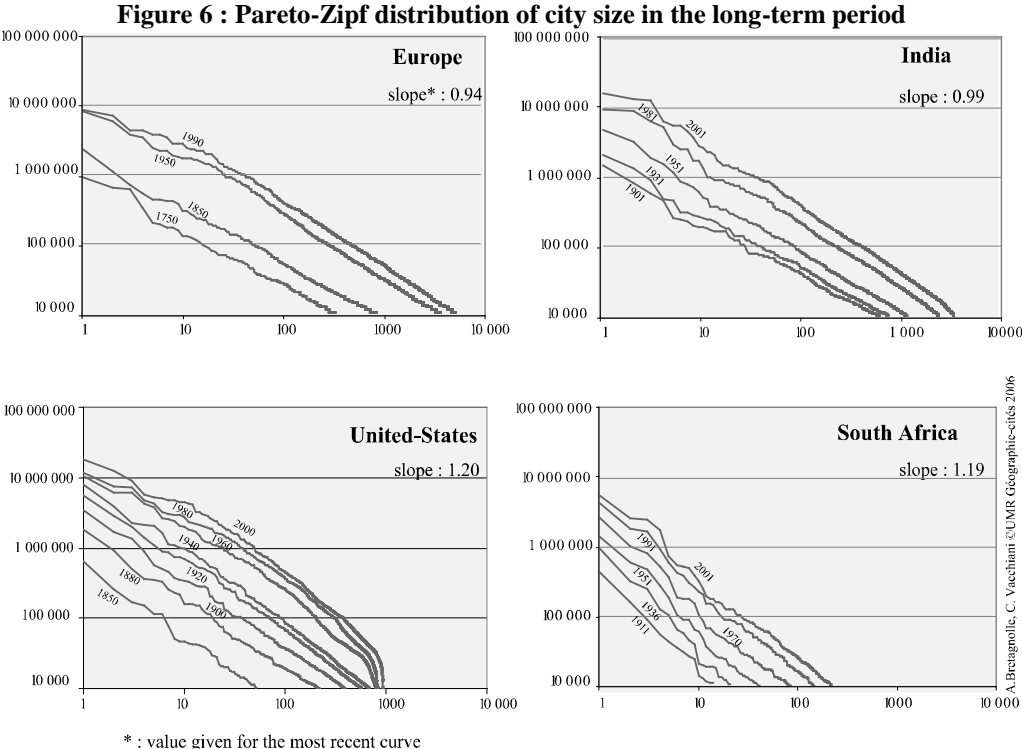
For a total surface area of around 4.5 million km<sup>2</sup>, Europe today has more than 5000 cities, but the period of greatest expansion was between 1700 and 1800 (where the number of cities increased threefold) and then between 1800 and 1900 (where the number increased 2.5 times, while it barely doubled in the following century). India, where the urban transition began much later, made up the difference in a spectacularly short period between 1950 and 2000, since for a total surface area of 3.2 million km<sup>2</sup> the total number of cities is 3300 (the same density as Europe). In the USA the progression of the number of cities is regular through the 19<sup>th</sup> and 20<sup>th</sup> centuries, the decrease in the rate of growth that can be seen from 1950 being

due to grouping of contiguous urban units into the SMAs. South Africa is a case apart because of its very recent urbanization, and a city system that was not mature before 1950.

The important fact is that, whatever the country, over recent centuries, urban populations do grow faster on average than the number of cities, which results in greater concentration in urban settlement. The number of cities is still increasing in developing countries, but in highly urbanized countries, the appearance of new cities with populations above a certain threshold is slowing down, and the number of urban units can even decrease on account of the fusion to form larger units as a result of urban sprawl. It is likely that the stabilization of the overall world population will, in a few decades, be reflected in the stabilization of the growth of urban populations, which will not necessarily prevent their continued economic growth. It is here that the lack of reliable indicators of economic growth in cities makes itself felt. Nevertheless recent and present-day dynamics of city systems are characterized by a historical tendency to vigorous growth. How does this growth spread over the different cities?

**3.2 Hierarchical differentiation and distributed urban growth**

The size of a city is the product of a long term process of local accumulation. There were many fluctuations in city sizes over historical times, but once systems of cities are established in a given territory, the rapid upsurges of new cities as well as the sudden collapses of some of them become very rare and less and less probable. As already mentioned, there are very large differences in city sizes, when measured by the total population they concentrate, their surface areas, or their economic gross product. The number of cities is in inverse geometrical proportion to their number of inhabitants, as summarized by Zipf in his famous “rank size rule” (1941, 1973). This hierarchical differentiation within systems of cities is an emergent property which characterizes the organization of consolidated and integrated urban systems (Pumain, 2006). In figure 6 the remarkable stability of this hierarchical structure in the long term can be seen for each of the four city systems under observation, whatever the historical "style" to which they are assigned.

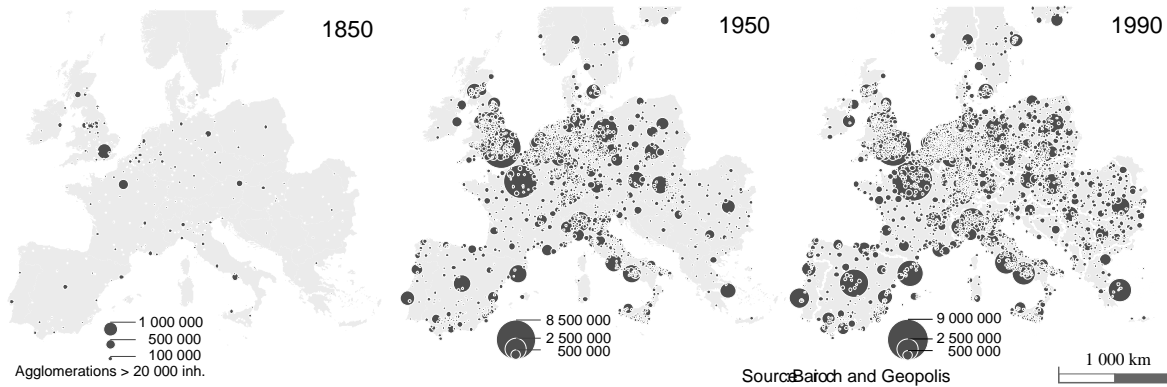


Not only does the shape of the distribution of city size remain very similar over decades, the hierarchical and spatial order given by the population sizes also evolves very little. The maps

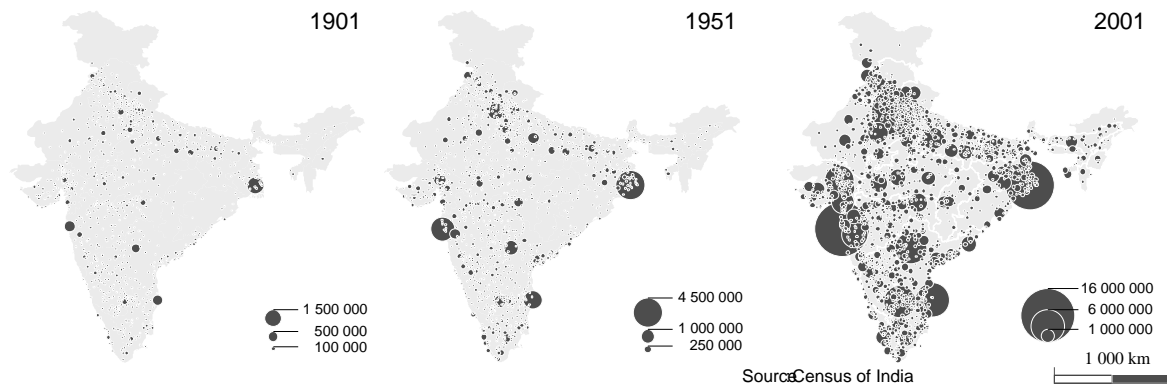
in figure 7 show this evolution (the surface area of the circles increase proportionally to the population of the cities between 1850 or 1900 and 2000 for the four cases under study. The similarities in evolution as represented in this manner are striking: cities grow on average in a manner that is proportional to their size. This explains how initial differences tend to persist over very long periods of time. The reference model is that developed by Gibrat, explaining the non-symmetrical distribution of city sizes (a lognormal distribution that differs slightly from Zipf's law) by way of a random growth process in which all cities, at each time interval, possess the same probability for growth rate. This model which has been termed "distributed growth" (Pumain 1997) because all parts of the system grow at more or less the same rate, gives a good account of growth processes in established city systems, although with certain systematic divergences that will be examined below. This statistical model merely informs us that the causes of variation in city populations are so numerous and diverse that it is sufficient to use a straightforward random process to represent this variation, and thereby to explain why all systems have the same general distribution pattern for city sizes. But to go further in interpretation, and in particular to understand phenomena of divergence from this model, we also need to understand what properties of city systems explain this distributed growth.

Going back at least to the enlightened work by Botero in the 16<sup>th</sup> century (Pumain, Gaudin 2002), there has been an awareness that cities are constantly *in competition* to capture resources and innovation so that they can continue to make good use of what they have already acquired, and maintain or increase their influence within the city systems with which they entertain relationships. This competition explains why innovation spreads very fast from one city to another, and why the resulting qualitative and quantitative changes are of more or less the same magnitude, over short periods, in integrated systems. The consequence of this mode of growth is that city size hierarchy and the inequalities that arise following various types of political accidents (war, choice of capital city) or economic accidents (functional specializations, as in the industrial revolution), are maintained over periods that last a lot longer than the events that caused them.

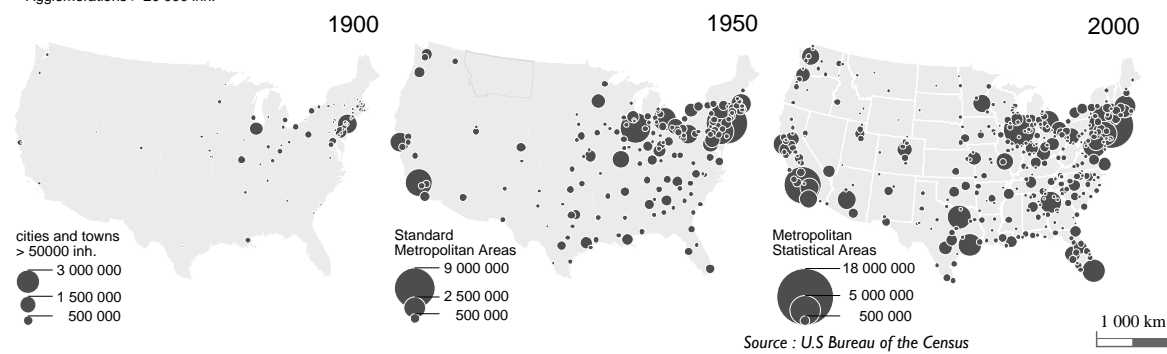
**Figure 7 : Evolution of urban patterns in the integrated systems**



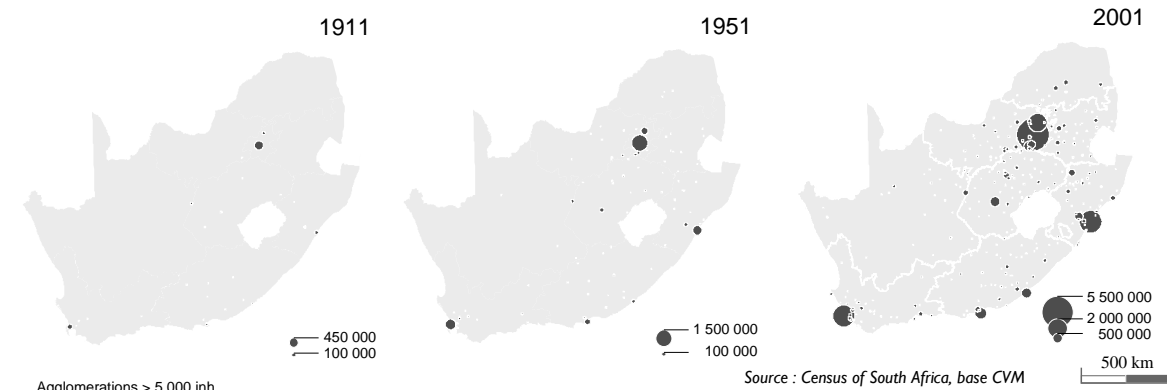
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#### 4 Reinforcement of urban hierarchy

In the course of time, at least since the first industrial revolution, inequalities in city sizes have tended to reinforce. This growing inequa

lity can be explained both by the arrival of new smaller towns in the system and by the fast growth of the largest cities. A first divergence from Gibrat’s model that is often noted is that the variations in growth are not totally independent of city size: over long periods of time the large cities grow a little faster and the smaller towns and cities a little more slowly than the average, and inequalities in size reinforce more markedly than what is expected by the model (Bretagnolle, 1999).

**4.1 Concentration of urban population in the integrated systems**

Whatever the system considered, the degree of hierarchization increases with time in integrated systems (table 4; an exception is noted for South Africa, which can be explained by the late period when the system became established).

**Table 4 : Increase in inequalities among city sizes**

	1900	1950	2000
Europe	0.74 (1850)	0.91	0.94
India	0.76	0.86	0.99
United States	0.97	1.15	1.20
South Africa	1.39	1.16*	1.19

*NB : the degree of inequality is measured by the absolute value of the adjustment slope in rank-size graphs.  
 \* The slope is for 1960, because from this date on the entries of small towns and creations became less frequent.*

The observation of adjustment slopes in the rank-size graphs for the last three decades could suggest that this process of historical concentration is terminating in countries with long-standing industrialization. However observations once again show that the choice of delimitation criteria for urban areas has a strong influence on the results of measures of growth and concentration. For instance, if for France evolving delimitations that take account of the increase in transportation speeds are adopted, it can be seen that concentration, far from falling off, is on the increase (Bretagnolle et al, 2002). In the USA the results are less clear cut: whatever the delimitations used (official SMA/MSA definitions or the harmonized database that we have developed), concentrations do indeed decrease between 1970 and 1980, and fluctuate since.

**4.2 Selection process and hierarchical diffusion of innovations**

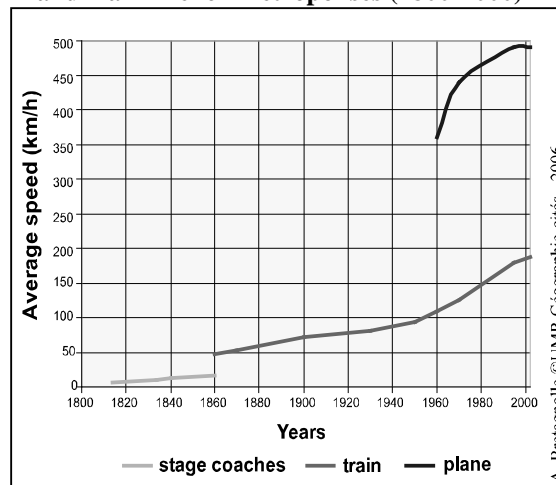
The reinforcement of urban hierarchy can be explained by the process of hierarchical and selective diffusion of innovation, which combines two effects: a growth advantage for the largest cities in a system by way of early adoption of innovations, and a tendency to relative decline for smaller towns and cities short circuited by these innovations. This process will be examined in more detail in chapter 8. The capture of innovations by the large cities is explained by the complexity and diversity of their functions and infrastructures (being themselves the result of a long history of successive adaptations) providing better access to information and greater capacity to carry high cost and risk associated with innovation. The most marked growth trends which arise from the initial advantage, benefiting the larger cities, in the long term results in "top-down" hierarchization within the city systems.

Conversely, smaller towns and cities gain access to innovation at a later date, or not at all, which results in a "bottom up" hierarchization effect. The diffusion of innovations in transportation means illustrates this selection process: Whether we consider the pre-industrial era with its post coach network or later the railway network, motorways, or airline networks, it is the large cities or smaller towns and cities possessing some attractive specialization that had the benefit of the first services. The quest for increased speed, which is not solely a modern concern (as early as 1820 the public post coach halts were reduced to increase overall speed) led to a reduction in the number of intermediate stopping locations all through the 19th and 20th centuries. Figure 8 shows how, over and above specific savings brought about by



any given technical innovation (for instance the braking power of locomotives or the electrification of the railways) there is a constant and regular increase in the average speed from Paris to the main cities in the French urban system.

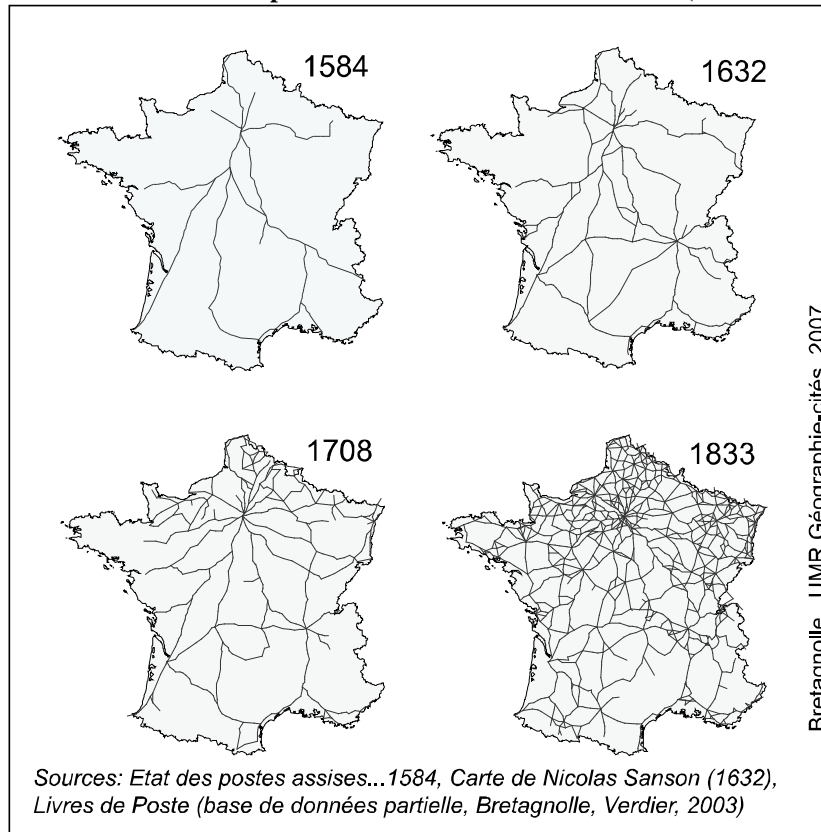
**Figure 8: Increasing speed of transportation, between Paris and main French metropolises (1800-2000)**



For the towns or cities that lost their "node" position for access to the network on the fastest networks of their time, effects are visible in the long term, even if demographic variables alone are taken into consideration (see the study for French towns and cities, Bretagnolle 2003). Thus, in disagreement with certain widespread assumptions about the growing universal availability of information, in history it is the reverse that has occurred: innovation in transport and communication networks does indeed spread among towns and cities overall, but with time lapses in relation to city size, which finally leads to greater concentration of the channels for the circulation of information that is essential at a given time.

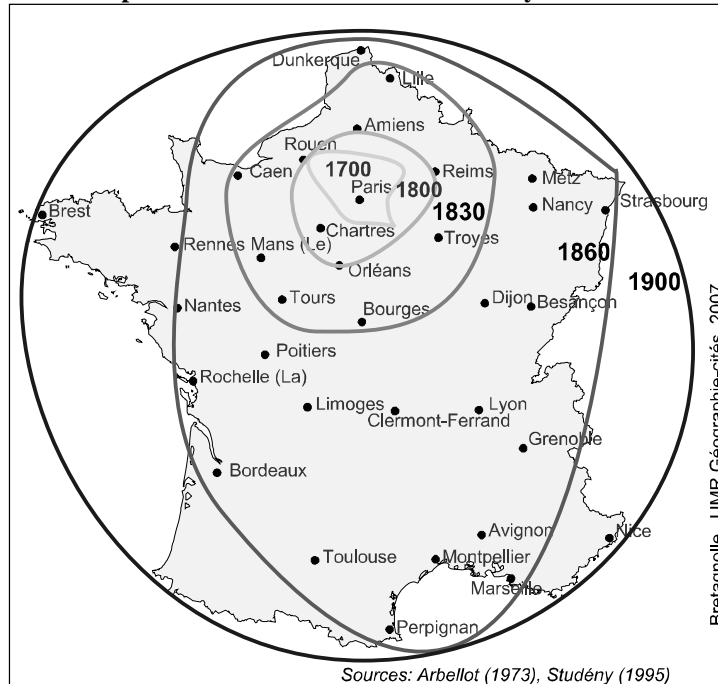
As an example of the selective diffusion process, we have mapped the successive extensions of the postal road network in France from 1584 to 1833 (Bretagnolle, Verdier 2005 and 2007). As an innovation of the time, the postal roads connected first the cities which were already in the upper part of the urban hierarchy, following a dual process of hierarchical diffusion and space-filling in the French system of cities (figure 9).

**Figure 9 : The evolution of the postal roads between 1584 and 1833 (current boundaries)**



As an example of the acceleration in the evolution of the social interaction space from postal network to railway network, we have mapped the French cities that are located at a one day distance from the capital between 1700 and 1900. They were the places to have the benefit of rapid interactions that were not accessible to non-connected cities (figure 10).

**Figure 10 : Isochronic map: cities that are located at a one day distance from Paris (1700-1900)**



The consequence of this “space-time contraction” (Janelle, 1969) is that the smaller towns and cities grow on average at lower rates than the largest (table 5)

**Table 5 : Average annual growth rates (%) of cities according to their size**

Size class	Europe (since 1850)	India (since 1901)	USA * (since 1940)	South Africa (since 1951)
> 100 000	1.38	2.45	1.79	3.21
50-100 000	1.13	1.95	0.92	3.11
<50 000	0.99	1.71	*	3.10

\* Values are computed for SMAs, i.e. since 1940 and with a minimal size of 50 000 inh.

### **Conclusion : toward an evolutionary theory of urban systems**

Through observation of progress through history, and comparing different regions in the world, we have identified several patterns of city system dynamics. We have underlined the importance of identifying urban and territorial entities that are geographically relevant to comparisons in time and space. We went on to distinguish phases in the establishment of these systems, or in their de-structuring and re-structuring, and phases of "normal" evolution in consolidated systems, when interactions among the towns and cities are controlled and regulated in a more homogeneous manner, for instance inside national frontiers. We have shown that the structural properties of these integrated urban systems are partly similar, insofar as they result from these dynamics, and partly dependent on the history of the political territories to which they belong. The main difference observed between the "older" city systems and those in "new" countries can thus be explained by the evolution of the material conditions in which individuals, goods and information were circulating at the time when they became established. The second difference, distinguishing more "monogenetic" systems from "dual" systems, can be explained by the interferences between dynamics belonging inside the system and the dynamics of relationships outside their territory. Thus there is indeed a correlation between the structure of city systems and the terms of exchanges between cities, over time.

These exchanges and interactions between cities, on all scales, are of the centre-periphery type, which generates asymmetry, which in turn enables the accumulation of population and activity in certain places, and also some redistribution via diffusion. Exchanges between cities are multiform, and their range is very varied; they produce very complex networks where patterns of hierarchical structuring can be detected, but it is very difficult to observe such interaction in a direct manner. Just as we had to infer the dynamics of city size from the observation of the evolution of population sizes, in chapter 8 we will attempt to deduce the logic of the ability of cities to generate and adopt innovation from observation of changes in their economic activities and in their social composition. Understanding these processes is essential to analyze how urbanization actually contributes to innovation and to globalization, so as to go on to identify levers for action.

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