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Alexis Michaud

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Tone in Yongning Na

Lexical tones and morphotonology

Alexis Michaud

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of September 9, 2016, 17:20

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À mon père

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Abbreviations and conventions

Interlinear glosses

Glosses follow the Leipzig Glossing Rules, with some additions.

A	agent marking (suffix)
ABILITIVE	abilitive (suffix)
ABL	ablative (suffix)
ADJ	adjective
ADVB	adverbializer (suffix)
AFFIRM	affirmative (particle)
ALL	allative
ASSOCIATIVE	associative plural
AUG	augmentative
CAUS	causative
CERTITUDE	a use of the copula described by Lidz (2010:497) as “an epistemic strategy that marks a high degree of certitude”
CLF	classifier
CNTR	contrastive
COMPLETION	completion (suffix)
COP	copula
DAT	dative
DEM	demonstrative
DESIDERATIVE	desiderative (suffix)
DIM	diminutive
DISC.PTCL	discourse particle
DIST	distal
DU	dual
DUR	durative
EXCL	exclusive
EXIST	existential (verb)
FUT	future

Abbreviations and conventions

IMM.FUT	immediate future
IMMINENCE	imminence (prefix): the event is imminent
INCL	inclusive
INTERROGATIVE	interrogative (particle or pronoun)
INTJ	interjection
INTS	intensifier
N	noun
NEG	negation
NMLZ	nominalizer
O	object
OBLIGATIVE	obligative (suffix)
PERMISSIVE	permissive
PFV	perfective
PL	plural
POSS	possessive
PROG	progressive
PROH	prohibitive
PROX	proximal
PST	past
RECP	reciprocal
REDUPL	reduplication
REL	relativizer
REP	reported-speech particle
S	subject
SG	singular
TOP	topic marker (suffix)
V	verb
1	first person
2	second person
3	third person

Other abbreviations and symbols

F	focalization of the word that precedes (through local intonational modification of tone: see §8.3.2)
F ₀	fundamental frequency (a standard abbreviation in phonetics)
F1, F2...	Female language consultant number 1, 2... (this is a standard convention in phonetics; the numbering is chronological, referring to the set of Naish recordings that have been collected since 2002)
M1, M2...	Male language consultant number 1, 2...
p.c.	personal communication
=	clitic boundary
-	affix boundary
.	syllable boundary
σ	syllable (a standard convention in phonology)
	tone group boundary (see Chapter 7)
~	reduplication (example: /wɿJ~wɿ/); distinguished from free variation by their different contexts of appearance, and by the absence of extra spacing before and after the tilde
~	free variation: variation that is not conditioned by phonological or morphosyntactic parameters (example: /la+ma+ko+ʈs ^h o+ / ~ /la+ma+ko+ʈs ^h õ+ /)
↑	emphatic stress on syllable that follows (see 8.3.1)
#	word boundary (see Chapter 2); by extension: the boundary of the entire unit to which a tone pattern is associated (see Chapters 3-6)
\$	a symbol used in H\$, one of the lexical categories of H tones
◦	morpheme break (used in the representation of tones)
//zɰwæ̃//	underlying phonological form (a vertical bar is more usual, but this symbol is used for tone group boundaries)
/zɰwæ̃/	surface-phonological form
[zɰwæ̃]	phonetic realization

Abbreviations and conventions

- *zɰwæɫ a reconstructed form
- †zɰwæɫ a form that is predicted on the basis of regular rules, but unattested
- ‡zɰwæɫ incorrect (ungrammatical) form; note that the asterisk is not used, to preclude confusion with reconstructed forms
- :: phonological correspondence between two languages or dialects (a standard convention in historical linguistics)

References to online annotated recordings of Yongning Na

Examples from the online texts are referred to in the following format: text identifier followed by a dot followed by the sentence number. For instance, Wedding.27 refers to sentence 27 in the narrative referred to for short as ‘Wedding’. For some of the stories, several versions were recorded. In these cases, the version number is indicated after the text identifier, without a separator: thus, Dog2.35 refers to sentence 35 in the second version of the narrative ‘Dog’.

Here is a list of texts, providing the correspondences between identifiers and full titles.

Agriculture	Agriculture: Agricultural activities over the course of the year
BuriedAlive	Buried alive: how a young woman ran into great trouble because of her greed
Caravans	Caravans: about the trade which flourished in the area in the second quarter of the twentieth century
ComingOfAge	Coming of age: the ritual performed at age 13
Dog	Dog: How dog and man exchanged their lifespans
Elders	Elders: Elders and ancestors
Food shortage	Food shortage: how parents set out to sell children, and then changed their mind
Funeral	Funeral: How funeral rites used to be conducted
Healing	Healing: How diseases used to be treated through rituals
Housebuilding	Housebuilding: the process of building a house
Lake	Lake: How the Lake was created

Mountains	Mountains: some beliefs associated to the mountains around Yongning
Mushrooms	Mushrooms: which ones are collected for cooking and for medicine
Renaming	Renaming: how one used to change a child's name to give it a happier start in life
Reward	Reward: the reward of an honest man, or: The heavens are watching
Seeds	Seeds: How mankind obtained seeds and learnt to grow crops
Sister	Sister: The sister's wedding
Tiger	Tiger: How the tiger attacked a woman and her daughter
TraderAndHisSon	Trader and his son: how a trader taught his son how to handle the ups and downs of commerce

For elicited phonological and morphotonological data, the correspondences between identifiers and full titles are as follows.

AccompPfv	Verbs illustrating the various tone categories, in the frame ACCOMPLISHED+VERB+PERFECTIVE
CoordCompounds	Coordinative compounds, I
CoordCompounds2	Coordinative compounds, II: pairs of numerals in association with 'year', 'month' or 'day'
DemClf	Demonstrative-plus-classifier phrases, I
DemClf2	Demonstrative-plus-classifier phrases, II
DemClf3	Demonstrative-plus-classifier phrases, III
DetermCompounds1to4	The tones of compound nouns: body parts of animals, documents 1 to 4
DetermCompounds5	The tones of compound nouns: body parts of animals, document 5 (verifications)
DetermCompounds6	The tones of compound nouns: body parts of animals, document 6 (verifications)

Abbreviations and conventions

DetermCompounds7	The tones of compound nouns: body parts of animals, document 7 (extensive set)
DetermCompounds8to10	The tones of compound nouns: body parts of animals, documents 8 to 10 (complements)
DetermCompounds11	The tones of compound nouns: body parts of animals, document 11 (a few verifications)
DetermCompounds12	The tones of compound nouns: body parts of animals, document 12
DetermCompounds13	The tones of compound nouns: body parts of animals, document 13 (compounds with the noun ‘sheep’)
DetermCompounds14	The tones of compound nouns: body parts of animals, document 14
DetermCompounds15	The tones of compound nouns: body parts of animals, document 15 (a few compounds with the noun ‘cat’)
DetermCompounds16	Tones of compound nouns: Cultural objects and peoples
NounsEven	Nouns followed by ‘even’
NounsInFrame	Disyllabic nouns placed in a carrier sentence: ‘This is (a/the) N’, in order to bring out their tone patterns
NumClf (41 documents)	The titles of all 41 documents follow the same format: “Numeral-plus-classifier phrases. Tone: <i>T</i> . Classifier: <i>C</i> . Range: 1 to <i>n</i> ”, where <i>T</i> is the lexical tone, <i>C</i> the class of objects to which this classifier applies, and <i>n</i> the end value of the range of numerals: either 30 or 100.
ObjectVerb	Data illustrating the tone patterns of object-plus-verb combinations, I
ObjectVerb2	Data illustrating the tone patterns of object-plus-verb combinations, II
ObjectVerb3	Data illustrating the tone patterns of object-plus-verb combinations, III

OnlyAnd	Nouns followed by the morpheme ‘only’ (homophone: ‘and’)
PalatalizedApicalized	Words illustrating the opposition between two apicalized high front vowels following alveolo-palatal initials
PossessPro	Possessive constructions with pronouns, without an intervening particle
SpatialOrientation	Spatial orientation: combinations between verbs and prefixes (or adverbials) indicating spatial orientation
LocativePostp	Nouns followed by locative (spatial) postpositions: beside, behind, to the left, to the right
SubjectVerb	Data illustrating the tone patterns of subject-plus-verb combinations
VerbDurative	Verbs illustrating the various tone categories, in the frame DURATIVE+V+PROGRESSIVE
VerbProhib	Verbs of all tone categories preceded by the PROHIBITIVE, I
VerbProhib2	Verbs of all tone categories preceded by the PROHIBITIVE, II
VerbReduplObj	Reduplicated verbs (tones: M, H, L and MH) preceded by an object
VerbReduplObj2	Reduplicated verbs of all tone categories preceded by an object

Photographs and figures

Photographs and figures are my own.

Translation of citations

Unless a reference to a translated version is provided, English translations of citations are my own.

1 Introduction

The aim of this book is to provide a description and analysis of the tone system of Yongning Na (also known as Mosuo), a Sino-Tibetan language spoken in Southwest China.

The complexity of this system is immediately apparent when one examines a sentence. Example (1a) is the first one that I transcribed: I had just arrived at my future teacher's house; my luggage had been left at someone's house along the main road, some fifty meters from the house. I asked my teacher's son, who can speak fluent Mandarin, to translate an explanation for me: "I have brought a lot of stuff; I have to go back [to the main road] and pick it up now". This yielded (1a). Later I elicited (1b) as a simpler form.

- (1) a. njɣ⁺ zɪ[↓] bi[↓] zo[↓] ho[↓].
1SG to_take to_go OBLIGATIVE DESIDERATIVE
"I have to go and take [my luggage] now." (Field notes, 2006)
- b. njɣ⁺ bi⁺ zo⁺ ho[↓].
1SG to_go OBLIGATIVE DESIDERATIVE
"I have to go. / I'm afraid I have to leave." (Field notes, 2006)

The difference in the lexical tone on the main verb (in 1a: /zɪ/ 'to take'; in 1b: /bi/ 'to go') is reflected in the tones of the following syllables, all the way to the end of the sentence.

This book proposes an analysis of the underlying lexical tone categories, a description of the rules that relate the lexical tone categories to the tone patterns observed in various types of phrases, and observations about tonal processes that take place at junctures between phrases. As a preview of the results, (1a–1b) are provided below (as 2a–2b) with morpheme-level transcriptions indicating lexical tone by means of tone symbols, supplemented by subscript letters _{a b c} to distinguish subcategories of lexical tones. The system is explained in the following chapters.

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- (2) a. njɣ⁺ zɪ⁺ bi⁺ zo⁺ ho⁺.
njɣ⁺ zɪ⁺_a bi⁺_c zo⁺ ho⁺
1SG to_take to_go OBLIGATIVE DESIDERATIVE
“I have to go and take [my luggage] now.”
- b. njɣ⁺ bi⁺ zo⁺ ho⁺.
njɣ⁺ bi⁺_c zo⁺ ho⁺
1SG to_go OBLIGATIVE DESIDERATIVE
“I have to go. / I’m afraid I have to leave.”

To set the stage for these analyses, §1.1 presents the Na language, and Na society, through a review of earlier work. §1.2 sets out the research programme behind the present study, and presents the language consultants, the elicitation procedures, and the online documentation available on this language.

1.1 The Na and their language

Yongning Na (Mosuo) is a Sino-Tibetan language spoken in Southwest China, at the border between the provinces of Yunnan and Sichuan, at a latitude of 27°50’ N and a longitude of 100°41’ E. Map 1.1 zooms in on the plain of Yongning 永宁, a basin located close to Lake Lugu 泸沽湖, a lake of about fifty square kilometers that creates a microclimate that is suitable for farming despite the high altitude (about 2,700 meters above sea level).

The Ethnologue language code for Yongning Na is NRU, an acronym for ‘Narua’, a romanization of /na⁺-zɣɣ⁺/ ‘Na language’. The number of speakers is estimated at 47,000 in the Ethnologue database, based on the Summer Institute of Linguistics’ own sources (Lewis, Simons & Fennig 2016), but this figure includes people who do not use the name /na⁺-zɣɣ⁺/ to refer to their native language. Ethnonymy reflects the high degree of ethnic, cultural and linguistic complexity of the Sino-Tibetan borderlands (Gros 2014b); Table 1.1 presents (i) two endonyms, (ii) the name by which the Naxi 纳西 (a closely related ethnic group) referred to the Na, and (iii) a Chinese exonym found in various sources, under various avatars, for close to two thousand years, and which currently enjoys renewed favour (for reasons discussed in §1.1.2).

The most likely interpretation of the endonym /na⁺/ is that it means ‘black’. Use of ethnonyms meaning ‘black’ or ‘white’ is widespread in the area; in Yongning, the Na coexist with the Pumi 普米, who call themselves /t^hóŋmə/ ‘white people’.



Map 1.1: A sketch map of the Yongning area. Designed by Jérôme Picard. Sources: Geofabrik, ASTER GDEM (a product of METI and NASA) and OpenStreetMap.

Table 1.1: The names of the Na: endonyms and exonyms.

transcription	language	romanized equivalents	Chinese equivalents	meaning
na	Na	Na (Cai 1997; Lidz 2010)	Nà 纳 (Yáng 2006)	‘black’
ṭi-hī#1	Na	Hli-khin (Rock 1963); Hli-hing (Shih 1993)	Lǐxī 里新 (Shih 2008: 15)	‘People of the Centre’
ly-l-ɕi	Naxi	Lü-khi (Rock 1963)	Lǚxī 吕西 (Guō, Hé & Yáng 1999: 8)	as above: ‘People of the Centre’
<i>origin not established yet</i>	Chinese	Moso (Cordier 1908; Shih 1993; Luo 2008; McKhann 1998), Mo-So, Mosuo (Knödel 1995)	Móshā 摩沙, Móxīē 磨些, Móxīē 麼些, Mòxiē 磨些, Mósuō 摩娑, Mòxiē 末些, Móhuò 磨荻, Mòsuān 莫梭, Mósuō 摩梭	<i>not established yet</i>



Photo 1.1: The plain of Yongning seen from the West, with Gemu Mountain (in Na: **krɪmɣʌ**) in the background. The Lake is behind the pass on the right-hand side. Autumn 2006.

The designation **ʈʰoŋ** ‘white’ sets the Pumi apart from some surrounding ethnic groups whom they designate as **nʲǎ** ‘black’: the **goŋnʲǎ** ‘Nuòsū (Yi) 彝’ (‘black skin’) and the **nʲæmǎ** ‘Na (Mósuō) 摩梭’ (‘black person’). (Daudey 2014: 2)

Among the Yi 彝, there is a distinction between ‘black’ and ‘white’ castes. “The Nasoid groups are also known as Black Lolo, and the assimilated groups connected with them – either Nasoid groups who have become Sinicized, or others who have become assimilated to the Nasoid groups, often by capture or conquest – are called ‘white’ to denote the fact that they do not ‘fit’ in the Nasoid clan structure” (Bradley 1979: 53).

In northeastern Yunnan and western Guizhou, the designation *Nasu* (the black ones) refers to a group of Yi who were the overlords of a series of

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feudal kingdoms between the 9th and the 20th centuries; they were often contrasted to other, subordinate groups who referred to themselves as white. In the Liangshan region of southwestern Sichuan, on the other hand, Black bones (*Nuoho*, called Black Yi in Chinese), and White bones (*Quho*, called White Yi in Chinese), refer to the aristocratic and commoner castes into which the society is divided – the term *nuo*, or ‘Black’ also means ‘heavy’, ‘important’, or ‘serious’. At the same time, the aristocratic caste is also associated with darker colored clothing; in the Suondi local area, aristocratic women often dress entirely in black. In this case, it appears that historically the color of the clothing is derived from the color name given to the people, rather than the other way around. What is most important here is to realize that the association of people and colors in this region has little or nothing to do with the imagined color of the people themselves, but rather is part of a complex symbolic system that both reflects and is reflected in the styles and colors of people’s clothing. (Harrell 2009: 102)

The name of Yongning in Na is /**ɦi+di**/, interpreted by Shih (2010: 23) as ‘the peaceful land’, relating it to the verb /**ɦi**/ ‘to rest, to relax’. This folk etymology fits nicely with the author’s celebration of Na society’s ideals of harmony (the title of the volume is *Quest for harmony: the Moso traditions of sexual union and family life*). But phonetic correspondences with Naxi do not support this analysis, and demonstrate instead that the Na name of Yongning, /**ɦi+di**/, means ‘the central land, the heartland’. The detailed linguistic analysis is as follows.

Yongning is called /**ly+dy**/ in Naxi (Hé, Zhào 赵庆莲 & Hé 和洁珍 2011: 201). This cannot be a recent borrowing from Na, because Na does not have a rounded close front vowel /y/. Were the present form of the Na word to be borrowed into Naxi, /**ɦi+di**/ would be interpreted as /**li+di**/ by Naxi ears, with a straightforward correspondence for /i/ and /d/ (which are present in both languages) and a reinterpretation of Na /ɦ/ as Naxi /l/ in the absence of an unvoiced lateral in Naxi. The presence of the vowel /y/ in Naxi /**ly+dy**/ strongly suggests that the word is cognate with Na. It could be a calque (root-for-root translation from Na to Naxi, by a bilingual speaker who was able to interpret the Na word), but it is not a phonetic loanword.

The noun’s second syllable is easily analyzed: it means ‘earth, place, land’ (Na: /**di**/, Naxi: /**dy**/), a root found in many place names in both languages: it is used as a locative nominalizer (Lidz 2010: 559). As for the first syllable, in view of Na data alone it could have a number of different interpretations. It could indeed be related to the verb ‘to rest’, /**ɦi**/, as in the folk etymology of Yongning as ‘the

land of rest, the peaceful land’ adopted by Shih Chuan-kang.¹ But it could equally be related to ‘moon’, /ʰi/ (as in the disyllable /ʰi-mi/ ‘moon’); to ‘ear’, /ʰi/ (as in /ʰi-pi/ ‘ear’); to ‘middle’, /ʰi/ (as in /ʰi-gv#l/ ‘middle part’); or to ‘Bai (ethnic group)’, through truncation of the disyllable /ʰi-by/ ‘Bai’. Any of these roots combined with /di/ ‘earth, place’ would yield the form /ʰi-di/ by application of regular tone rules.

Moreover, the search needs to be extended further in view of the existence of some words that are irregular in terms of their tone patterns: the tones of some disyllabic words do not correspond to the tones of their two monosyllabic roots as expected in view of synchronically productive rules. This suggests that one may need to relax all tonal constraints when searching for the origin of the first syllable of the name /ʰi-di/. Extending the search to /ʰi/ roots of all tone categories yields the following additions to the list of possible origins for the first syllable in the name /ʰi-di/ ‘Yongning’: the nouns ‘roebuck’ (/ʰi/), ‘turnip’ (in the disyllable /ʰi-bi/), ‘trousers’ (in /ʰi-qʰ-wr/), and ‘wrath, anger’ (in /ʰi-ɽa/); and the verbs /ʰi/ ‘to measure’ and /ʰi/ ‘to dry in the sun’. Language-internal evidence thus allows for a broad range of hypotheses: is /ʰi-di/ ‘the peaceful land, the land of rest’, ‘the land of the moon’, ‘the land of ears’, ‘the land of the middle’, ‘the land of the Bai people’, ‘the land of the roebuck’, ‘the land of turnips’, ‘the land of trousers’, ‘the land of wrath’, ‘the land of measurements’ or ‘the land of sun-drying’? It would be unwise to exclude some of these possibilities on grounds of semantic implausibility: a study of place names in various languages of China (Yáng & Zhāng 张清华 2011) confirms the great extent of toponymic creativity.

The decisive evidence comes from comparison with Naxi. Of all the above possibilities, only one is supported by the existence of a cognate in Naxi. The root /ly/ means ‘centre’ in Naxi, as does /ʰi/ in Na. This leads to an interpretation of the name /ʰi-di/ (and of Naxi /ly-dy/) as ‘the central land, the heartland’. This interpretation can then be passed on to the historian; it makes excellent historical sense. But the crucial evidence is linguistic, relying on the historical-comparative method. In Na, /ʰi-di/ can have more than ten different interpretations; likewise, in Naxi, /ly-dy/ could be given various etymologies, such as ‘land of grain’, ‘land of Asian crabapple, *Malus asiatica*’, ‘central land’, ‘land of watching/watchfulness’, or ‘quaking land, trembling land’. It is through looking for matches between the Na and Naxi words, and examining their phonetic

¹ The main language consultant reports this folk etymology in the document FolkEtymology, available online. This recording had not yet been transcribed and translated at the time of publication of the present volume.

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correspondences, that the final result can be arrived at.

‘The Centre, the Central land’, /ʰi-t-di/ʃ/, is an apt designation from the point of view of linguistic richness, as most of the diversity of the Naish language group (the lower-level subgrouping to which Na belongs: see §1.1.3) is found in and around the plain of Yongning, within a radius of less than a hundred kilometers. The name ‘People of the Centre’, /ʰi-t-hī#ʎ/, refers to the inhabitants of the plain of Yongning. Ironically, this name is not in common use in the dialect under study here, which is located squarely inside the Yongning plain, whereas it is still used by a community of speakers who moved from Yongning to the peripheral region of Shuiluo 水落 (in the neighbouring county of Muli 木里) several centuries ago.

The following section outlines the history of Yongning in its regional context, aiming to shed light on the “chains of societies” (*chaînes de sociétés*: Amselle 1990; see also Tryon 1998: 329–331) that shape ethnicity.

1.1.1 The history of Yongning in outline

The Naxi scholars Guo Dalie 郭大烈 and He Zhiwu 和志武 believe that the name *Móshā* 摩沙 appearing in a fourth-century chronicle refers, beyond any doubt, to “the Naxi” (a concept which they define as including the speakers of the Yongning Na language).² They thus project today’s ethnic identity into a period distant by a millenium and a half (Guō, Hé & Yáng 1999: 102-103). They proceed to track this people through a series of minor changes in the Chinese terms used to designate it: the term *Móshā* 摩沙 used in the Jin dynasty is followed by *Móxiē* 磨些 in the Tang dynasty, then *Móxiē* 麼些, *Móxiē* 摩些, *Mósuō* 摩娑 and *Mòxiē* 末些 in the Yuan dynasty and later. As to the earlier origin of this people, they propose that it originates in an admixture of Qiang 羌 people, coming from the North, to an earlier aboriginal population.

In the formation of the Naxi people, the main component consisted of aboriginals, which blended with Qiang 羌 people coming from the North, and later assimilated some other peoples at their periphery; conversely, in peripheral areas, some Naxi were assimilated into other peoples. (Guō, Hé & Yáng 1999: 24)³

A further association is suggested between the Naxi and the Shiguanzang 石棺葬 culture, attested during the first millenium BC over areas that match present-

² “This is the first certain and unequivocal mention of the Naxi in recorded history.” *Original text*: 这是纳西族在历史上首次明确无误的记录。

³ *Original text*: 纳西族的形成以土著为主，融合了北来羌人，以后又同化了周围其他一些民族，边缘地区则是纳西族被其他民族同化。

day Naxi and Na settlement (Guō, Hé & Yáng 1999: 66-67). This culture was characterized by telltale stone graves, typically located on tablelands near sites of confluence between rivers, and short bronze swords of a type also attested in China's northern steppes. The absence of clear attestation of associated settlements is suggestive of a nomadic, pastoral people using metal, contrasting with the people indigenous to the area, whose abundant settlements are clearly indicative of a Neolithic agriculturalist culture gradually transitioning into the Bronze Age. The nomadic people are identified with the Yi 夷 of Chinese chronicles, ancestor to the present-day Yi 彝 ethnic group as well as to the Na and Naxi (Guō, Hé & Yáng 1999: 64-66).

This fits the overall scenario of migration of speakers of Sino-Tibetan languages from the valley of the Yellow River, hypothesizing the Yangshao 仰韶 culture as the point of origin, c. 5000 BC to 3000 BC: one of the main reconstructed lines of migration is “south-west down the river valleys along the eastern edge of the Tibetan plateau through what has been called the *ethnic corridor*” (LaPolla 2001: 236).

However, Guo and He's proposals raise issues such as to what extent archaeological evidence lends itself to pigeon-holing into such broad cultural types, and on what evidence the naming of peoples in Chinese chronicles was based. “Most excavation reports describing and interpreting burial material from Southwest China tend to associate grave type with archaeological culture; hence their urgent desire to arrive at a clear classification of burial types; however, (...) one cultural or ethnic group can be characterized by a number of different burial rituals, while other practices might be common across such boundaries” (Hein 2013: 31). The association of incoming Sino-Tibetan peoples with a certain type of graves might prove no more definitive than the association of Kurgan pit-graves with the “Indo-Europeans” (Gimbutas 1977; 1985).

A 1,400-page study of cultural geography and interregional contacts in prehistoric times offers a more finegrained exploration than was possible at the time of writing of Guo and He's *History*; systematic study of the available evidence leads to distinguish no less than “four subregions showing fairly distinct archaeological assemblages, burial patterns, and subsistence systems, indicating that they were probably inhabited by different cultural groups” (Hein 2013: 588).

The first is that of the Anning river 安宁河 valley. Settlements from the third millennium BC yield relatively similar finds, including “coarse sand-tempered low fired red-brown ceramics (mainly large urns with finger-tip impressed appliqué strip below the rim, *bo* and *wan* bowls, vases, and a few lids and rarely spouts), accompanied by a few polished stone woodworking tools, arrowheads, and among

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the surface finds also perforated stone-knives” (Hein 2013: 559). The interpretation provided is that the communities in these settlements “probably shared similar cultural tradition and thus identified themselves as part of the same larger group” (Hein 2013: 589). One of the sites, Dayangdui 大洋堆, shows evidence of outside influence c. 2000 BC followed by assimilation:

Both in ceramic quality and execution, the early Dayangdui ceramics (...) strongly resemble ceramics from sites in Gansu 甘肅 and Qinghai 青海 attributed to the Qijia culture 齊傢文化. It is therefore not unlikely that the earth-pit graves at Dayangdui were built by a group of Qijia origin. This would suggest a date between 2200 and 1800 BC (...). (Hein 2013: 562)

The middle and late Dayangdui assemblages do not contain any metal objects, however, and they show a mixture of both early Dayangdui and local Neolithic trades that indicate some form of acculturation of the group of immigrants. As no similar sites of clear foreign origin have been identified in the Anning River Valley, it is likely that migration of whole groups from the North occurred rarely. (Hein 2013: 594)

In this area, there appeared megalithic graves, which were then imitated in neighbouring areas.

Graves with stone-construction parts are common throughout Southwest China, but megalithic graves seem to be unique to the Anning River Valley. The ceramics associated with these graves indicate a local origin of this burial tradition in the Xichang area. This impression is supported by the fact that all early megalithic graves (...) are located in Xichang, while the megalithic graves in other regions such as Dechang, Mianning, Puge, and Xide all date to Phase IIa at the earliest. Why this kind of burial mode arose is uncertain, but its overall development and spread is relatively clear: it started with small constructions used for a single instance of interment of several people, possibly in a secondary mode of burial. During or after the burial, communal drinking rituals took place which seem to have become more extensive over time, as the large number of drinking vessels both in later graves and related ceramic pits shows. (Hein 2013: 595)

As far as daily life and mode of subsistence are concerned, the tool assemblages from megalithic graves and related settlement sites in the Anning River Valley show an agricultural and probably settled mode of living involving the planting of rice and probably other cereals, often supplemented by hunting, and in some places fishing. Only the sites in Puge show

a continued primary reliance on hunting. Metal seems to have mainly been used for personal ornaments and only secondarily weapons or tools. (Hein 2013: 599)

Megalithic graves is the suggested cultural connection for archaeological remains from the Neolithic to Bronze Age collected in Yongning in 1958 (Hein 2013: 933); it is relevant to the history of Yongning that the spread of this burial mode is hypothesized to have taken place through cultural diffusion (presumably by persons who had participated in communal rituals and later reproduced these patterns in their home settlements) rather than through military conquest. It is for future excavations to verify the existence of this connection.

The second subregion brought out by Hein (2013: 602) is one of remote mountains, a harsh environment where “groups of different origins conducted different kinds of burial rituals next to each other, apparently respecting each other’s monuments and even adopting part of each others burial customs and objects. In this meeting place of different groups, cultural and other forms of identity (or at least their expression in the choice of grave form, burial mode, and object assemblage) thus seem to have been extremely fluid.”

The third subregion is one of fertile valleys, to the Southeast. The inhabitants of the earliest settlements “practiced a hunter-gatherer lifestyle, using caves and open-air sites either as seasonal or hunting camps rather than living in permanent settlements”, later “practising incipient agriculture in a particularly congenial environment, living either in permanent or semi-permanent settlements” (Hein 2013: 605-606).

The fourth and final subregion is that of the high-altitude mountains, plateaus, and valleys of the Southwest, a geographical area that includes Yongning.

The people living in Yanyuan 盐源 and Ninglang 宁蒗 (...) belonged to a clearly separate cultural group for whom armed combat – sometimes combined with horseback-riding – was a central part of their life and identity. The emphasis on horse-riding, the interment of horse heads and sheep shoulder blades in graves and the overall metal assemblage (in particular the staff heads) seen at Yanyuan are essentially foreign to the research area. Pictorial evidence for horse-riding is known from the Dian 滇 culture context, but horse skulls or long bones have never been. The interment of horse bones is instead common in the Northern Steppe and the Ordos region, and elements of horse gear similar to those seen in Yanyuan have been reported from there as well. (...) It is therefore likely that the burying

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group of the “warrior graves” in Yanyuan is of a northern origin, be it the upper Minjiang 岷江 or even the steppe. (Hein 2013: 616-618).

Yanyuan 盐源 was conquered in 225 AD by the Chinese, who refer to the population that they defeated there as the “Mosha” 摩沙. Returning to the claim of Naxi historians Guo Dalie and He Zhiwu that these “Mosha” are the ancestors of today’s Naxi, the hypothesis could be rephrased as follows: military defeat led clans of this population of warriors to withdraw West, conquering new territories that included Yongning, the banks of the Yangtze, and later the plain of Lijiang – which to this day remain their area of settlement. But there does not seem to be decisive evidence linking the Yanyuan “warrior culture” to the Naxi rather than with other Sino-Tibetan groups, who later migrated into more distant areas in present-day Yunnan and Burma. A small piece of evidence on this topic comes from the path that ritual practitioners dictate to the soul of the deceased for its journey back to the ancestral homeland. Paths for different clans, in different Naxi areas, all pass back through Yongning, where they join with the paths of the Na of Yongning, and continue northward (Guō, Hé & Yáng 1999: 50-55). The identification of place names becomes more difficult as the distance from Yongning increases, and the identification of Minya Konka 贡嘎山 as the endpoint of the journey is not hugely informative, since this 7,500-meter high mountain has long been a mooring point for traditions and beliefs of various peoples of the area. On the other hand, it may be relevant that the path for returning souls does not go through Yanyuan: there is thus no evidence of the group’s forebears ever dwelling further East than the Yongning area. (Of course, this piece of ethnological evidence does not carry considerable weight, as paths could have been modified at any point in the chain of oral transmission.) Seen in this light, the identification of the “Mosha” 摩沙 as direct ancestors of the Na and Naxi should not be taken as proven.

Whatever the exact relationship of the “Mosha” to the present-day Na and Naxi, conquest of the Yanyuan area by the Chinese was a major landmark; ties with the heart of the now unified Chinese empire were established, and never entirely cut off thereafter, even during periods when the Chinese central power was weakest, such as the following four centuries.

In 794 AD, the Nanzhao kingdom (南诏), with its centre on the fertile land around lake Erhai (洱海, currently a Bai-speaking area), conquered a broad area including Yongning as well as Lijiang (丽江). After the fall of Nanzhao in 902, the kingdom of Dali (大理国, 937–1253), likewise centered around lake Erhai, exercised control over Yongning and Lijiang, which remained ruled by indigenous chieftains.

At the outset of the Yuan dynasty, a new feudal chieftain (*tǔsī* 土司) was installed in Yongning by the Mongolians, who passed through Yongning on their way to attack the kingdom of Dali. A chapter of the imperial geography *Yuan Yi Tongzhi* 《元一统志》, dated 1286, contains a transcription of the name given to Yongning as 楼头. Using the system proposed by Coblin (2007), the name 楼头 reconstructs as **l̥əw dəw*, which is clearly cognate with the present-day name of Yongning: Naxi /*ly-ɬy*/ and Na /*ɦi-ɬi*/, discussed above (1.1). It is likely that the authors, who provide a transcription for the names ‘Lijiang’ (样渠头) and ‘Yongning’ (楼头), based themselves on the pronunciation used in Lijiang, a more important centre than Yongning (being more densely populated and more accessible); in this sense, it does not constitute a direct testimony about the language spoken in Yongning. Still, this constitutes a reasonable basis on which to hypothesize that there has been linguistic continuity in Yongning since the thirteenth century.

The Yongning chieftain who surrendered to the Mongolians in 1253 reported a genealogy of thirty-one generations since his ancestors conquered Yongning. Assuming linguistic continuity, Yongning Na would have been introduced into the area at a date in the range 500-650 AD, counting twenty to twenty-five years between generations. Of course, it may also be that an earlier form of the language was already spoken in and around Yongning earlier on, and the change in the ruling class c. 500-650 AD had no great linguistic impact.

The introduction of Tibetan Buddhism dates back to about the same period as the Mongolian conquest, with the missionary efforts of monks from Muli from 1276 onward (Guō, Hé & Yáng 1999: 389); in 1356, a Kagyupa (*bka’ brgyud pa*) monastery was established; in 1556, a large Gelugpa (*dge-lugs pa*) monastery was established in Yongning (Tibetan name: *dgra med dgon pa*). Earlier cults remained, with a division of labour between the Buddhist monks and Na /*da-ɬr*/ ritual practitioners; but from that time, Buddhism became a dominant religion in Yongning. (At the time of Communist takeover in 1956, there were over 700 monks at the Yongning monastery.) This led to an increasing cultural distance between Yongning and the Lijiang plain. In Lijiang, no school of Tibetan Buddhism was able to establish and maintain a central role, as sudden turns followed one another in the course of an eventful religious history; the Naxi /*to-ɬmba*/ tradition (with Tibetan Bön religion likely to be a major influence) almost acquired the status of an official cult (Jackson 1979).

During the Yuan and Ming dynasties, incessant wars took place between the feudal chieftains of Yongning, Lijiang and Yanyuan (Guō, Hé & Yáng 1999: 430-431). In 1545, Yongning united with the neighbouring areas of the five *suǒ* 所

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Photo 1.2: The Yongning monastery. The dialect under study is spoken in $\text{ə-lɿ-t-}\text{kwɿ}\#1$, a hamlet adjacent to the monastery. Autumn 2006.

(Zuosuo 左所, Yousuo 右所, Qiansuo 前所, Housuo 后所, and Zhongsuo 中所). The Yangtze river constituted the border between the territories of Yongning and Lijiang.

During the Qing dynasty (1644–1912), Lijiang came under direct Chinese administration, whereas the feudal chieftain system was continued in Yongning due to the failure of attempts at military conquest of the Liangshan 凉山 Yi area, which constitutes the gateway to Yongning (Guō, Hé & Yáng 1999: 460). This led to further cultural differentiation between increasingly sinicized Lijiang and the areas outside direct influence of the Lijiang chieftains. The Yongning feudal chieftains actively resisted Chinese migration into the area, for instance by prohibiting rice cultivation, and by establishing alliances with Yi chieftains. This resulted in Yi settlements in the Yanyuan and Yanbian areas, eventually replacing the earlier inhabitants – speakers of closely related language varieties, referred to as “Naxi dialects” by Guò and Hé (p. 461), which in this volume will be referred to as “Naish languages”, as explained further below. The Laze, a small group of some four hundred people who migrated from Yanbian to their current location in Muli, are apparently among the speakers of Naish languages who left Yan-



Photo 1.3: Worshippers at the Yongning monastery. Autumn 2006.

bian as it became a dominantly Yi area (about the Laze language: Huáng 2009; Michaud 2009).

During the 1920s, Yongning became a link in commercial chains between the Tibet, Yi territories centered around Ninglang, Lijiang, and inland China. “This was the first time in history that the Moso had frequent visitors from the outside on a considerable scale. (...) this period was also the onset of the syphilis epidemic” (Shih & Jenike 2002), an epidemic curbed in the 1950s.⁴

Since Communist takeover in 1956, reforms have been applied essentially top-down. The policy is that the majority group points the way forward, and leads minority groups towards modernity and eventual assimilation. In a study about the Drung, an ethnic group located in an even less accessible area of Yunnan, Gros (2014a) argues that these policies bring about a fundamental change from the earlier relationships of vassalage between local powers and the state(s). Feudal chieftains paid tributes, and received titles in return; the balance of this exchange – how much tribute was paid, and how much recognition and autonomy was granted in return – was weighed by both parties. By contrast, top-down state

⁴ This epidemic is alluded to by Goullart (1955: Chapter 3), who stayed in Lijiang in the 1940s: “The Nakhi men were on the whole impervious to the charms of the Liukhi women. They were not insensitive to their wives or beauty, but they knew well enough that most of the Liukhi tribe was infected with venereal disease, and it was only this dread of almost certain infection that made the Nakhi and other sensible men give a wide berth to the Liukhi enchantresses.”

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policies do not partake in a logic of exchange – *don et contre-don*, as emphasized in the classic study by Marcel Mauss (1990). Post-1956 events remain a highly sensitive topic; Mazard’s observation about the Nusu also applies to the Na:

They generally treat the years from 1958 to around 1979 as a single historical period circumscribed by the collectivisation and de-collectivisation of their land. (...) Why do many of them (...) identify this period as a whole with the Cultural Revolution, even though they know and employ the term ‘Great Leap Forward’ as well?

One reason may be that the CCP allows overt (though limited) criticism of the Cultural Revolution; not so the Great Leap Forward (or the Anti-Rightist Campaign). The Party has never denounced the Great Leap Forward as a mistake (...). The Cultural Revolution has its villains (the Gang of Four); so do the Civil War (the Guomindang) and the Second World War (the Japanese). Discussion of the Cultural Revolution is not easy, but it is possible. When Nusu elders allude to their suffering as occurring under the ‘Cultural Revolution’ (even if it took place in 1959), they lay claim to a permitted register of complaints. (Mazard 2011: 172)

Recent history (since the 1980s) will only be addressed indirectly here, through a discussion of ethnic classification (§1.1.2) and a review of studies about the impact of tourism on Na society (§1.1.4.5).

1.1.2 Ethnic classification

Ethnic categorization as defined by the state “crafted the prism through which the modern Chinese state, and increasingly the people of China and the world at large, have come to view and understand non-Han Chinese identity” (Mullaney 2010: 5). This categorization, which stands on each individual’s identity card (身份证), has such a strong bearing on present-day identities that it warrants separate discussion.

... in the China of Chiang Kai-shek, the Nationalist regime vociferously argued that the country was home to only one people, “the Chinese people” (*Zhonghua minzu*), and that the supposedly distinct groups of the republic were merely subvarieties of a common stock. At the same time, a counterdiscourse emerged among Chinese scholars in the newly formed disciplines of ethnology and linguistics, a discourse in which China was reimagined as home to many dozens of unique ethnic groups – a newly imported concept also translated using the term *minzu*. (Mullaney 2010: 2)

The first census of the People's Republic of China, in 1953-1954, recorded over four hundred different ethnic identities, more than half of which concerned the province of Yunnan, which borders on multi-ethnic areas on all sides (Vietnam, Laos, Burma, Tibet, Sichuan, Guizhou and Guangxi). Until recently, little information was available on the process of ethnic identification (*minzu shibie* 民族识别) whereby these were subsequently grouped into some fifty officially recognized nationalities (*minzu* 民族). Sources that have become available in the past decade reveal how small teams of researchers from diverse social science backgrounds evaluated possibilities for groupings, and gave names to these groupings, against a tight agenda (less than six months). "In the years following the end of the project, cultural and scientific works rewrote the history of China and its diversity in an effort to promote a so-called "historic" and "ancestral" model of the 56 *minzu* components" (Frangville 2011). At first, this did not exclude some fine-tuning of the ethnic categories: adjustments were made in the 1960s and 1970s, including the recognition of two ethnic minorities that were absent from the 1954 classification. In 1987, however, it was clarified that no additional nationalities would be recognized, and the figure of fifty-six was final.

... the idea of China as a "unified, multinational country" (*tongyi de duo-minzu guojia* 统一的多民族国家) is a central, load-bearing concept within a wide and heterogeneous array of discourses and practices in the contemporary People's Republic. China is a plural singularity, this orthodoxy maintains, composed of exactly fifty-six ethnonational groups (*minzu* 民族): the Han ethnic majority, which constitutes over ninety percent of the population, and a long list of fifty-five minority nationalities who account for the rest. Wherever the question of diversity is raised, this same taxonomic orthodoxy is reproduced, forming a carefully monitored orchestra of remarkable reach and consistency: anthropology museums with the requisite fifty-six displays, "nationalities doll sets" with the requisite fifty-six figurines, book series with the requisite fifty-six "brief histories" of each group, Olympic ceremonies with fifty-six delightfully costumed children, and the list goes on. Fifty-six stars, fifty-six flowers, fifty-six *minzu*, one China. (Mullaney 2010: 1)

The teams who conducted surveys for the national project of ethnic identification in 1953-1954 operated separately in each province, and decisions were also made province by province. The Na living in Yunnan were classified as part of the Naxi minority, and those living in Sichuan as part of the Mongolian minority.

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Due to historical tensions between the Na and the Naxi, when the Sichuan Na learned that they would be classified as Naxi in the early 1950s, they protested by taking over the county government offices. As the federal government limits recognition to the fifty-six ethnicities, local officials were perplexed as to what to do, and a face-saving compromise was established such that the Sichuan Na could be classified as Mongolian, on the basis that the Mongols had invaded the area seven hundred years previously, and perhaps the Na were descendants of these Mongols. Although this designation is within historical memory, the Na in Sichuan have clearly adopted their designation as Mongolian, and colorful plastic plaques of Genghis Khan hang prominently on the walls in homes. Sichuan Na also disavow designation as Mosuo, likely because of the associations with the term ‘Mosuo’ developed in the tourist industry. (Lidz 2010: 9)

The claim of Mongolian descent apparently predates the systematic process of “ethnic identification” carried out by the People’s Republic of China.

Moso chiefs in both Yunnan and Sichuan Provinces claimed that they were descendants of the Mongols. According to Joseph Rock, who personally befriended key members of the Yongning chief’s family (...), the general superintendent (*zongguan*) of Yongning at that time “was proud of his Mongol origin, for he was a descendant of one of the Mongol officers left by Kublai Khan in Yung-ning to govern that territory” (Rock 1947: 359). Abundant historical records indicate that it was commonplace for the Mongolian conquerors to leave troops of Mongol or non-Mongol ethnic background to govern the newly subjugated territories. (...) The problem is that in all cases other than the Moso chief’s, there is evidence – such as records on stelae, tombs and tombstones (some inscribed in Mongolian), records of genealogy, language or vocabulary, and legends in one form or another – to substantiate the claim. The Moso aristocrats, however, had nothing to support their claim of Mongol ancestry. (Shih 2010: 40-41)

Shih suggests that the new chieftain was “a Xifan [Pumi] officer in the Mongol troops left by Kublai Khan to rule Yongning” (Shih 2010: 51). One piece of evidence that he adduces is the identification of the Yongning chieftain’s ethnicity as “Xifan” in Ming-dynasty chronicles; this does not carry decisive weight, however, as the label may have been used in a broad sense that included speakers of the language ancestor to Yongning Na: to this day, the Namuyi of Muli, who are speakers of a Naic language (about which more in §1.1.3.2), are included among

the “Xifan” (西番), a cover term for various non-Tibetan groups. Another piece of evidence is ethnographic: at the succession of the Yongning chieftain, the Pumi would perform a ritual akin to /suɹ-ɪk^huɪ/, the ritual associated to the giving of a household member (typically, the giving of a bride); this is consistent with Shih’s hypothesis that their ethnic group was the donor of the Yongning chieftain himself. Shih states his interpretation as follows:

In the case of *sike*, a household member was given to become a particular person’s wife in another household. Because the status of wife necessarily ended with the life of the woman in question, *sike* was a one-time ritual between the two families concerned. In the succession ritual, however, as the historical records suggest, when a member of the Pumi was given to become the chieftain of Yongning, a territory dominated by the Moso, the status of chieftaincy was perpetual, as was the ritual of interrogation. In both cases, the rituals were performed to dramatize a reassertion of the unbreakable blood bond between the deceased and her or his natal family. (Shih 2010: 48)

Inclusion in the Mongolian minority proved a comfortable fiction: it paradoxically granted the Na of Sichuan a place of their own within the landscape of the recognized ethnic groups of Sichuan. Their fictitious cousins of Mongolia do not appear to have found a subject for quarrel in the label “Mongolian” being applied to this small group in Sichuan; and the label prevented the Na from being pooled together with closer neighbours, such as the Naxi. By contrast, the inclusion of the Na of Yunnan among the Naxi made them a minority within a minority, which limitates the power and number of their representatives at various institutional levels.

In view of the historical outline summarized in §1.1.1, it is not difficult to understand why the Na would tend to think of themselves as distinct from the Naxi despite the conspicuous similarities between their respective languages. Resentment about inclusion in the Naxi minority led to a search for recognition as a distinct group. From this vantage point, the endonym /na/ is less than ideal: /na/, presumed to mean ‘black, dark’, is also found in the endonym of the Naxi: /naɪçi/, where /çi/ means ‘person, human being’. The quasi-identity of endonyms might cast doubt on the legitimacy of a sharp separation. Instead, the Na of Yunnan came to favour the exonym ‘Mosuo’ (*mósuō* 摩梭), a name formerly used in the Chinese records. (Its alternative transcriptions are shown in Table 1.1.)⁵ This name was officially replaced after 1949 by ‘Naxi’ (*nàxī* 纳

⁵ As mentioned above, this exonym’s origin is unclear. Chavannes (1912: 132) cites Chinese

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西). Thus, the dictionary of pictograms originally published by Li Lin-ts'an 李霖灿, Chang K'un 张琨 and Ho Ts'ai 和才 as *Dictionary of Mo-So hieroglyphics* (1953) was reprinted in 2001 on the mainland under the title *Dictionary of Naxi pictograms*; all occurrences of *móxiē* 麽些 in the book were replaced by *nàxī* 纳西.

Reviving the demised term 'Mosuo' to refer to the Na of the Yongning area is a felicitous choice to substantiate claims to recognition as a group separate from the Naxi, since the words 'Mosuo' and 'Naxi' are clearly distinct from each other phonetically. Moreover, the term 'Mosuo' presents the twofold advantage of being a term of great antiquity, having been used in the Chinese chronicles since the first millenium AD, and of having fallen into disuse in the middle of the 20th century, which lends it a quaint charm and a touch of mystery. In 1990, following heated protest against the label 'Naxi', the 'Mosuo' of Yunnan were granted recognition at the provincial level as a separate subgroup within the Naxi minority. This label currently seems set to become the standard in Sichuan as well Lǐ (2015: 10-11). La Mingying 喇明英, a member of the Sichuan Academy of Social Sciences who identifies herself through the official label 'Mongolian' but expresses a preference for the label 'Na', reports that self-identification as 'Mosuo' is gaining ground among the younger generations on the Sichuan side of the designated 'Mosuo' tourist area.

Disagreement among the Na about names [ethnonyms] is a cause for disputes; people sometimes even come to blows. Acknowledging one's ethnic identity, and having a sense of belonging to the community, constitute the most basic and essential factors in "ethnic identification". The multiplication of ethnic denominations for the Na of the Lugu lake area generates great perplexity about their ethnic identity, to the point of causing prejudice to their sense of belonging to a community and to their ethnic cohesion. (Lǎ 2015: 53)⁶

Before Communist takeover, there were three hereditary castes among the Na of Yongning: the family of the chieftain, /**su-ɰ^hi**/, constituted the nobility, as distinct from commoners, /**dze-ɰ^hr**/, who were the majority group (about 640

chronicles as indicating that the Mo-so tribe was formed during the Nanzhao period out of two distinct elements, the Mo and the So.

⁶ *Original text*: 因称呼的分歧, 纳人内部时有争论甚至打架的情况发生。对民族身份的承认和群体归属感是“民族认同”最基本的要素。泸沽湖地区纳人族称的多元化在很大程度上对其民族身份的认同造成很大的困惑, 甚至影响了纳人的群体归属感和民族凝聚力。

families in the late 1950s); finally, a smaller group (280 families in the late 1950s) were serfs, /wɔɣ+/. Historically, when outsiders joined the community – as war captives, or as immigrants from areas near and far –, they would be integrated to the serf caste, which also accommodated commoners stripped of their rank as a punishment for rebellion (Liú 1981). Ethnic identity as assigned by the administration (whether as “Naxi” or “Mongolian”) lay flat earlier differences between castes; it also went along with the end of the integration of newcomers into Na society. The steady influx of settlers into Yongning, recorded in the successive editions of the county Annals (*xiànzhi* 县志; the entire collection bears the name 《中华人民共和国地方志丛书》, “Collection of local chronicles of the People’s Republic of China”) results in cohabitation of persons whose official identities remains distinct, some of them “Han”, others “Mosuo”, “Naxi”, “Pumi”, “Yi” and so on, in keeping with the ideology of a multi-ethnic and unified China.

1.1.3 Dialect classification and issues of phylogeny

1.1.3.1 Dialect classification: the heritage of mid-20th century surveys

Yongning Na was investigated in 1979 by the linguists He Jiren 和即仁 and Jiang Zhuyi 姜竹仪, who classified it among Eastern Naxi dialects (Hé & Jiāng 1985: 4, 104–116). The division of Naxi into Eastern and Western dialects was initially advanced cautiously, as a working hypothesis based on relatively short stays in the field in 1956 and 1957 as part of the national survey of the languages spoken within the borders of the People’s Republic of China.

From our analysis and comparison of the available linguistic and cultural materials, we propose a preliminary division between two dialects, Western and Eastern. But due to the very short amount of time [that could be devoted to this research] and the shortcomings of our experience, it is difficult to tell whether this division tallies with the actual language situation... (Hé & Hé 1988: 120)⁷

The Western dialect area as proposed by He and Jiang by and large corresponds to the area ruled by the Naxi chieftains of Lijiang from the 14th to the 18th century. The Eastern dialect area is located to its east and north-east, across the Yangtze river, in the present-day counties of Ninglang 宁蒗, Yanyuan 盐源, Muli 木里,

⁷ *Original text:* 我们从现有的语言和人文材料来加以分析和比较，将纳西语初步分划为西部和东部两个方言。不过时间短促，经验不足，这样分划不知是否符合客观现实情况……

and Yanbian 盐边. Within the Eastern dialect area, three sub-dialects were distinguished by He and Jiang: Yongning 永宁, Guabie 瓜别 and Beiquba 北茭坝.

This classification came to be used as the standard in Chinese scholarship. It was also taken up in the inventory of languages maintained by the Summer Institute of Linguistics: *Ethnologue: Languages of the World* (Gordon 2005). Naxi used to appear in *Ethnologue* under the language code NBF, which covered all the dialects, i.e. giving the name “Naxi” the same extension as in Chinese scholarship. As from 2010, “Eastern” dialects of Naxi were granted an entry of their own in this inventory, under the romanized name “Narua” (code: NRU). The former language code NBF is now split into (i) Naxi proper (new code: NXQ), corresponding to “Western Naxi” in Chinese terminology; and (ii) “Narua” (code: NRU), corresponding to “Eastern Naxi” in Chinese terminology. In detail, however, the division into dialects proposed for “Narua” is identical with that proposed by He and Jiang for “Eastern Naxi”. The total number of speakers was estimated at about 40,000 on the basis of early surveys (Hé & Jiāng 1985: 107); the same figure is taken up by Yang (2009). As mentioned at the outset of this chapter, the *Ethnologue* entry indicates a figure of 47,000 as of 2012.

No large-scale dialectal comparison was conducted in the half-century that followed the first dialect survey. The list of “subfamilies” (支系) of the “Naxi nationality” (纳西族) provided by Guō, Hé & Yáng (1999: 5–9) could serve as a useful reference for such a survey, keeping in mind that this list was essentially based on anthropological criteria, rather than on linguistic data. Reliable descriptions of the language varieties that these authors grouped under the label “Naxi” are required for fine-grained dialectological and comparative research. The present volume aims to contribute to this long-term endeavour by offering a synchronic description of the tone system of one specific language variety.

1.1.3.2 Issues of phylogeny: the position of Na and Naxi within Sino-Tibetan

The position of Naxi and Na within Sino-Tibetan is a topical issue in Sino-Tibetan historical linguistics. Naxi was classified as a member of the “Lolo branch” (Yi) by Shafer (1955); however, Shafer clarified that this language, to which he referred as “Mosso”, was among those for which there was “[t]oo little data or too irregularly recorded” (p. 103, note 37). His classificatory proposal for Naxi, as for the other languages placed in the “Unclassified” set within the “Lolo branch”, was thus tentative. Bradley (1975b) took up the issue on the basis of advances in the comparative study of Lolo (Yi) languages. He noted that “[w]hile a large proportion of Naxi vocabulary is plausibly cognate to Proto-Burmese-Lolo (*BL) and Proto-Loloish (*L) forms reconstructed in Bradley 1975a, there is only limited

systematic regularity of correspondence. Moreover, the tonal and other developments postulated for *BL and *L by Matisoff are not reflected in Nahsi”. The lack of regular correspondences, and the absence in Naxi of shared innovations deemed characteristic of Loloish and Lolo-Burmese, led Bradley to conclude that Naxi is “certainly not a Loloish language, and probably not a Burmish language either” (p. 6).

Some scholars, especially in mainland China, nonetheless maintain the classification of Naxi as a member of the Yi/Lolo group. Gài & Jiāng (1990) base this renewed claim on the high percentage of phonetically similar words between Naxi and Yi/Lolo, though without verifying the regularity of sound correspondences. Lama (2012) includes Naxi among the set of 37 Lolo-Burmese languages among which he proposes subgroupings by two methods, (i) searching for candidates for the status of shared innovations and (ii) conducting automated computation. The latter approach consists of performing Bayesian inference of phylogeny using MRBAYES, and computing phylogenetic networks by means of SPLITS TREE. These two software are applied to a 300-word list from the 37 languages. No judgments of cognacy are passed on the 300 word sets fed as input to the computational procedure, which apparently assumes cognacy in all cases as a default hypothesis. By definition, these methods lead to proposals for subgrouping without questioning the premise that the languages at issue all belong to the same branch.

If, in light of the conclusion reached by Bradley (1975b), one looks outside Lolo-Burmese for languages most closely related to Naxi and Na, suggestive evidence comes from comparison with the neighbouring languages Shixing 史兴语 (also known as Xumi; see Chirkova 2009 and references therein) and Namuyi 纳木依语 (Lāmǎ 1994; see also Sūn 2001 and Yáng 2006), but full-fledged comparison has not been carried out yet, and the state of phonological erosion of these languages is a major impediment to comparative studies.

Sun Hongkai, who included Shixing and Namuyi within a “Qiangic” language group which he defined on the basis of typological similarities, proposed that Naxi (understood as encompassing Naxi and Na) is an “intermediate language” (“*línjiè yǔyán* 临界语言”) between Loloish and Qiangic (Sūn 1984). This compromise view acknowledges typological similarities without contradicting headlong the earlier classification of Naxi within Yi (Loloish) languages.⁸ This amounts to

⁸ The original statement is the following: “纳西语经常被认为是彝语支的语言，大家知道，纳西语在彝语支中是不太合套的一种语言 [David Bradley, Proto-Loloish, 1978: 14], 纳西语动词的互动范畴和羌语支完全一致。此外纳西语方言中还有一些语音、词汇和语法现象，与羌语支语言相一致。纳西语示羌语支和彝语支之间的“临界”语言。即在语言谱

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projecting the presence of Yi-like and Qiangic-like typological features into the indefinite past of Naxi. By a similar reasoning, the presence of words of Chinese, Tai-Kadai and Mon-Khmer origin in Vietnamese could lead to its classification as an intermediate language straddling the divide between these three language families. Historical linguists, however, tend to favour an approach in which borrowings and other changes in the language are gradually identified, one layer after another, eventually resulting in a detailed account of the language's evolution that includes the influences to which the language was subjected through the ages. Thus Maspero, in his study on Vietnamese, identified Chinese elements as belonging to a later layer than Tai-Kadai and Mon-Khmer elements:

Pre-Annamite was born out of the fusion of a Mon-Khmer dialect with a Tai dialect; the fusion may even have involved a third language, which remains unidentified. At a later period, the Annamite language borrowed a huge number of Chinese words. (Maspero 1912: 118)⁹

Four decades later, Haudricourt further attempted to tease apart the Tai and Mon-Khmer components, emphasizing that the notion of “language fusion” can be misleading.

If we admit that there is no such thing as “fusion” between languages, and that genealogical relatedness must be assessed on the basis of core vocabulary and grammatical structure, we are led to consider that the modern form of a language is not determined by its genealogical origin, but by the influences to which it is subjected in the course of its history. (Haudricourt 1953: 121–122)¹⁰

Haudricourt identified a greater proportion of Mon-Khmer words in basic vocabulary as opposed to words of Tai stock, and came to the conclusion that Vietnamese is a Mon-Khmer language. Importantly, this proposed phylogenetic affiliation by no means constitutes a denial of the considerable influence of language

系分类上兼有两种语言集团的不同的特征。也就是说，纳西语同时兼有彝语支和羌语支所特有的某些特征，而动词互动范畴，则是纳西语兼有羌语支的一种重要的语法特征。” (Sūn 1984: 14)

⁹ *Original text*: Le préannamite est né de la fusion d'un dialecte mon-khmer, d'un dialecte thai et peut-être même d'une troisième langue encore inconnue, et postérieurement, l'annamite a emprunté une masse énorme de mots chinois.

¹⁰ *Original text*: Si l'on admet qu'il n'y a pas de « fusion » de langues, et que l'apparement généalogique doit être fondé sur le vocabulaire de base et la structure grammaticale, on sera conduit à penser que ce qui donne sa forme moderne à une langue n'est pas son origine généalogique, mais les influences qui s'exercent sur elle au cours de son histoire.

contact in the course of history – a point emphasized e.g. by Dimmendaal 2011: 268-271, citing Manessy 1990.

It is important to realise that there is no principled way in which one can argue that language x has become “mixed”, i.e. embedded with foreign language material, to an extent where it should be classified as non-genetic, or multi-genetic. There are scales or degrees of borrowing, and it is precisely for this reason that it is *not* a useful taxonomic principle to talk about non-genetic or multi-genetic developments. (Dimmendaal 2011: 271)

There is no hard-and-fast dividing line between cases of contact that are considered to result in language replacement – where the vocabulary inherited from an earlier language is considered as a substratum: piecemeal vestiges of a language that has been replaced by another, e.g. Basque or Celtic elements in Romance languages – and cases where the earlier component still appears substantial enough to motivate classification of the modern language as belonging to that earlier component’s language family, e.g. the Mon-Khmer component in Vietnamese.

Returning to Yongning Na, the traditional tools of comparative-historical phonology appear as the most reliable to unravel this language’s history and clarify its relationship to other languages within the Sino-Tibetan family. There is widespread agreement about the method: “... it is only by searching for lexical and morphological parallels on all sides and by establishing the phonetic equations for such parallels that we can finally decide the genetic relationship of a doubtful group (...)” (Shafer 1955: 98). To what extent this endeavour is successful depends, as Shafer was keenly aware, on the empirical basis: the abundance and reliability of available data.

A tentative family tree was proposed in a preliminary comparative study (Jacques & Michaud 2011) based on Yongning Na, Lijiang Naxi, and Laze – a variety spoken in Muli County. This does not amount to downplaying the importance of phenomena of language contact and areal diffusion, or to claim that genetic inheritance is somehow more essential to a language than features gained through contact. In the Sino-Tibetan family, waves of mutual influence are so strong that concerns about the applicability of the tree model have been voiced for decades (Benedict 1972; Matisoff 1978). Still, the tree model is useful, as one of the tools in the historical linguist’s toolbox: it serves to set out one’s working hypotheses about degrees of phylogenetic closeness between languages – hypotheses which serve as the basis for attempting comparisons and proposing reconstructions for

various (hypothetical) layers of historical depth. In a context of continuing debates about models and methods (see e.g. François 2014), it appears necessary to place relentless emphasis on the fact that the researcher's aim when proposing a tree model is not to float new proposals about classification for classification's sake, but to clarify assumptions made in historical comparison. The real aim is to document the evolution from the hypothesized common ancestor of a language group to the attested language varieties.

The proposal that Yongning Na, Lijiang Naxi, and Laze join into a Naish lower-level subgroup is supported by shared innovations (Michaud 2011). It is further proposed that the Naish subgroup joins with Shixing and Namuyi into a Naic subgroup. At a third, more speculative level, Naic joins with Ersuish (also called Ersuic: Yu 2012) and Qiangic, to form a Na-Qiangic node. Na-Qiangic further joins with Lolo-Burmese, to form a Burmo-Qiangic higher-level grouping, provisionally placed on a par with Bodic, Sinitic, and other primary branches. This is represented visually as Figure 1.1.

This working hypothesis encourages the search for cognates between Naic languages, Ersuish, and Qiangic. Needless to say, in this research programme, comparison with Lolo-Burmese languages is also essential to progress in the historical study of Naish languages: all the languages listed in Figure 1.1 are uncontroversially related, as members of the Sino-Tibetan family, so that comparative analysis of data from all these languages makes sense. The names set in bold type in Figure 1.1 are those of languages from which data were adduced in a comparative study (Jacques & Michaud 2011); the set includes three Lolo-Burmese languages. Systematic comparison between Naic and Lolo-Burmese (conducted e.g. by Li 2015) holds potential for clarifying to what extent typological similarities between Naish and Loloish are due to (i) inheritance, (ii) parallel changes (unrelated developments, from a typologically similar starting-point: e.g. the development of retroflex consonants from initial consonant clusters), and (iii) language contact. There remains considerable room for progress in the reconstruction of the various lower-level subgroups within Sino-Tibetan, including Naish; in turn, progress in documentation and lower-level reconstruction arguably holds potential for a refined understanding of the broader picture of Sino-Tibetan historical linguistics.

1.1.4 Anthropological research: the fascination of the Na's kinship system and family structure

The tonal morphology of Na, which forms the central topic of this book, apparently went unnoticed until the early 21st century. On the other hand, peculiarities

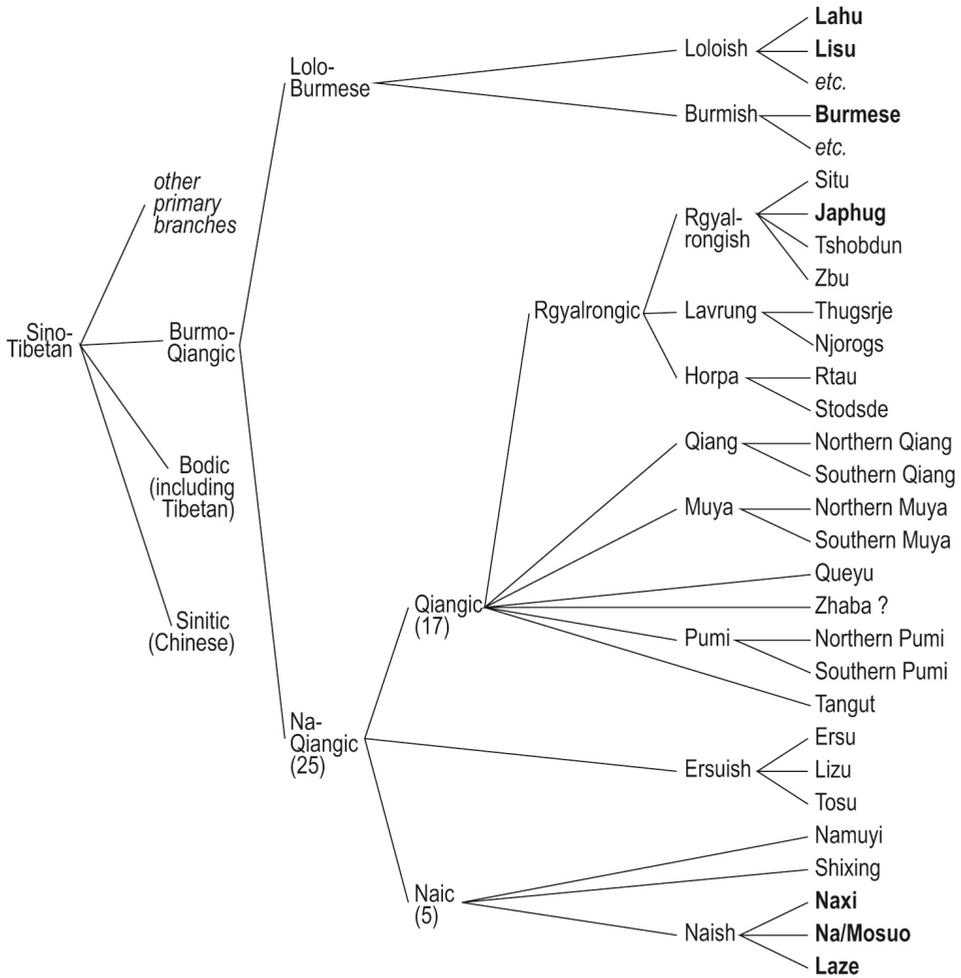


Figure 1.1: A tentative family tree showing the position of Yongning Na within Sino-Tibetan. The languages in bold are those used in a diachronic study (Jacques & Michaud 2011).

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of the Na kinship system and family structure have long been famous well beyond the circles of specialized ethnologists. Here is an excerpt from the highly exoticized account provided by Peter Goullart, a Russian-born traveller, explorer and author who lived among the Naxi in Lijiang from 1942 to 1949, the last years of the period of intense caravan traffic (1920s to 1940s).

The arrival of the members of a certain matriarchic tribe, living about seven days by caravan north of Likiang, always created a furore in Likiang. Whenever these men and women passed through the market or Main Street on their shopping expeditions, there was indignant whispering, giggling and squeals of outraged modesty on the part of Likiang women and girls, and salacious remarks from men. They were the inhabitants of the Yungning duchies across the Yangtze at the apex of the great bend. The Nakhi called them Liukhi and they called themselves Hlihin. The structure of their society was entirely matriarchal. The property passed from mother to daughter. Each woman had several husbands and the children always cried, 'We have mama but no papa.' The mother's husbands were addressed as uncles and a husband was allowed to stay on only as long as he pleased the woman, and if he didn't, could be thrown out without much ceremony. The Yungning country was a land of free love, and all efforts of the Liukhi women were concentrated on enticing more lovers in addition to their husbands. Whenever a Tibetan caravan or other strangers were passing Yungning, these ladies went into a huddle and secretly decided where each man should stay. The lady then commanded her husbands to disappear and not to reappear until called. She and her daughters prepared a feast and danced for the guest. Afterwards the older lady bade him to make a choice between ripe experience and foolish youth. (...)

With their lips heavily rouged and eyes painted, they walked slowly, or rather undulated, through the streets, swaying their hips, smiling and casting an amorous eye on this man or that. That alone was enough to incense the less sophisticated Nakhi women. But when they walked slowly along hanging on the neck of a husband or a lover, and being held by the waist, this was too much for even the brazen Nakhi women, who spat or giggled nervously. (...)

Only twice was my path crossed by Liukhi women and in both cases it resulted in a mild scandal. (Goullart 1955: Chapter 3)

This sample of travellers' reports about "a land of free love" suffices to explain why the Na exert an enduring fascination on the general public. The present

review does not attempt extensive coverage of the considerable anthropological, ethno-historical and sociological literature about the Na. Its aim is to convey a sense of the development of the field, of the historical evolution of approaches and viewpoints, and of the consequences in terms of local people's perception of social scientists who come to Yongning for fieldwork.

1.1.4.1 A major source of information: surveys conducted in the 1960s

The in-depth research report based on sociological surveys conducted in the 1960s (Yúnnánshěng biānjízǔ 1986) constitutes a major resource for the study of Na society. The results of the survey are organized by village, and bring out subtle differences between villages and between individual households. Most later scholarship builds on the data reported in the three volumes of this report – close to one thousand pages in total.

The survey clarifies that, until the 1950s, the typical family structure in the Yongning Plain was matrilinear, with lifelong matrilocal residence. In non-technical terms, this means that brothers and sisters lived all their lives in their mother's house, together with their relatives on the mother's side: cousins, aunts and uncles; and grandmother and her brothers and sisters.

This situation is similar to that found among the Minangkabau (Indonesia) as described by Hadler (2008) and the Nayar (India) as described by Fuller (1976).

Men marry into an extended family, but remain attached to their mothers' houses. They return to that house daily to work the fields, convalesce there in times of sickness, and are eventually buried in the maternal family graveyard. A husband and father is an evanescent figure. In the words of a Minangkabau aphorism, “The *urang sumando* is like a horsefly on the tail of a buffalo, or like ashes on a burned tree trunk. [When a little wind blows, it is gone.]” (...) Minangkabau culture has been termed matrifocal because, although men can be part of the lives of their wives and children, it is mother-centeredness that grounds the family. (Hadler 2008: 6)

Among the Nayar of India, the husband resides with his sister and visits his wife at night (Fuller 1976); in pre-1956 Na society, lovers met discreetly at the woman's home. Among the Na, as among the Minangkabau and the Nayar, the answer to the “matrilinear puzzle” (Richards 1950) – the potential conflict in authority between father and maternal uncle – was that authority rests with the uncle. Fathers did not have a prominent social role; men have commitments to their sisters' children, not to their own, who grow up in another household.

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“According to tradition, it is the *mamak* (maternal uncle) who provides male authority in the lives of children” (Hadler 2008: 6); likewise, among the Na, the male figure of authority was the maternal uncle, as evidenced by proverbs such as (3):

- (3) $mv\text{-}t\text{-}ko\text{-}l \mid dze\text{-}l\text{-}h\text{-}l\text{-}dzo\text{-}l, \mid k\text{-}l\text{-}na\text{-}t\text{-}mi\text{-}t; \mid di\text{-}t\text{-}qo\text{-}l\text{-}se\text{-}t\text{-}dzo\text{-}l, \mid \text{-}t\text{-}v\text{-}l.$
mv\text{-}t\text{-}ko\text{-}l\\$ dze\text{-}l_a -h\text{-}l -dzo\text{-}l k\text{-}l\text{-}na\text{-}t\text{-}mi\text{-}t di\text{-}t\text{-}qo\text{-}l se\text{-}l -dzo\text{-}l
heavens to_fly NMLZ TOP eagle plain to_walk TOP
-t\text{-}v\text{-}l
maternal_uncle

“As the Eagle is greatest of all that fly in the sky, so the Uncle is greatest of all that walk the earth.”

A difference is that while the Minangkabau have (flimsy) marriage ties, and the Nayar practise marriage, this institution was marginal among the Na of the Yongning plain before the post-1956 social upheaval. The chieftains, being in contact with their patrilinear Chinese, Tibetan, Naxi or Pumi peers, had wives – at least as a diplomatic façade. Among commoners, on the other hand, there was no marital exchange between clans or families, and no dowry or brideprice.

The method of data collection used in the 1960s survey bears the stamp of the historical context: a time when the young People’s Republic of China took stock of its new Western possessions. Clearly, unconditional obedience to instructions was expected from the surveyed human subjects. It seems that the objective was reached, and that, for the sake of the sociological survey, the subjects provided candid, detailed statements about their family history and sentimental life course. The fact that all the data was eventually published (and reprinted in 2009), including the real names of the people who entrusted information on their private lives to the visiting ethnographers, is at variance with present-day concerns about the privacy of personal information (as set out in anthropology handbooks, e.g. Fluehr-Lobban 2014, which contains a reproduction of the Code of Ethics of the American Anthropological Association). On the other hand, the social structures described in the survey have undergone such changes since then that the survey report is simply irreplaceable.¹¹ To venture a comparison with the history of exploratory techniques used in experimental phonetics, the results of the

¹¹ A consultant told me in 2008 that during the Cultural Revolution, cereal rations in Yongning were made conditional to the possession of a marriage certificate. Beyond this report, indicative of a perception of a historical divide, an anthropologist would want to obtain fuller details, verifying this information with other consultants, and investigating how the policies were implemented in the various villages and how the local society responded. “The Cultural Revolution” is sometimes used as a cover term because (as mentioned at the end of §1.1.1) the ruling

1960s survey can be likened to the X-ray data collected from the 1930s to the 1970s. This was the window of time between the technical advances that made it possible to carry out cineradiography and the realization that exposure to the high doses of radiation involved carried serious health risks for the person being filmed. This heritage data still constitutes a precious resource to study the sounds of the world's languages (Fant 1960; Leroy & Paris 1974; Bothorel et al. 1986; Bouarourou et al. 2008).

1.1.4.2 Marxist interpretation: Na family structure as a confirmation of Morgan's theory

In the early 1980s, several researchers involved in the survey published books based on these materials, before the publication of the original report (Zhān et al. 1980; Yán & Sòng 1984). These authors adhered to an evolutionary perspective, which led them to the conclusion that Na society was a “living fossil” (*huóhuà shí* 活化石), a remnant of a matriarcal society that existed prior to patriarchy, constituting decisive proof of the reality of Lewis Henry Morgan's theory (1877), as embraced by Marx: that family structure evolved from the consanguine family via the matrilineal clan to the patrilineal nuclear family.

From the point of view of anthropological theory, Na family structure was taken as confirmation of an established theory (itself a “living fossil”: Morgan's theory had been thoroughly discredited in the West for many decades, and only survived in China by the power of dogma), so that, in effect, the fresh data did not make a significant contribution to progress in the field. This may be likened to interpretations given of sunspots (black patches on the surface of the Sun): in the 9th century AD, they were interpreted as planetary transits obscuring part of the Sun (Wilson 1917: 93); in the 17th century, they were taken as evidence of the sun's decay, confirming the pessimistic vision of the world's gradual decadence, as expressed in the works of Walter Raleigh and Thomas Browne: “scientific evidence can only answer the questions that scientists think fit to ask” (Hampson 1968: 21).

To preview a topic which will be taken up below, the view of Na society as a “living fossil”, popularized by advertisements for the Na area as a tourist destination, created no small amount of resentment on the part of the Na (this is reported e.g. by Shih 2010: 132).

party allows some criticism of the Cultural Revolution, and not of other episodes such as the Great Leap Forward (Mazard 2011: 172).

1.1.4.3 Bringing Na family structure to the attention of Western anthropologists: Cai Hua's *A society without fathers or husbands*

While Na society got straightforwardly pigeonholed into one of the evolutionary stages postulated by Marxist-Leninist anthropology (as the earliest stage: matriarchy), it did not conform to postwar Western models of the anthropology of kinship. Browsing through the first pages of Murdock's classic study of family structure (1949: 1–3), it is clear that the Na family does not fit within the typology. Murdock's typology considers the "first and most basic" type of family organization to be the nuclear family ("a married man and woman with their offspring"), of which the other two types of families acknowledged in his typology constitute "combinations": the polygamous family "consists of two or more nuclear families affiliated by plural marriages", and the extended family "consists of two or more nuclear families affiliated through an extension of the parent-child relationship rather than of the husband-wife relationship, i.e., by joining the nuclear family of a married adult to that of his parents" (p. 2). One can imagine the excitement with which a researcher working in Western anthropological circles would pursue the theoretical implications of the observations made in Yongning, which contradict two of Murdock's assumptions: the universality of marriage, and the universality of the nuclear family.

Such was the perspective adopted by Cai Hua 蔡华, a Yunnan-born anthropologist who wrote a Ph.D. at *École des hautes études en sciences sociales* in Paris with Kristofer Schipper, Françoise Héritier and Olivier Herrenschmidt as advisors. Cai Hua's *Une société sans père ni mari: les Na de Chine* (A society without fathers or husbands: the Na of China) (Cai 1997) was the first book to present a study of Na family structure to a non-Chinese-reading audience. The title announces the author's vantage point: presenting the Na as a counterexample to generalizations that previously seemed firmly established. Cai Hua's book aims to draw the attention of the international community of anthropologists to a social structure that calls into question tenets of the anthropology of kinship, such as the presumed universality of marriage. Its diffusion was facilitated by an English translation (Cai 2001). As a sample of the enthusiastic response to the dizzying blend of theoretical challenges and juicy stories contained in the book, an article in the *New York Review of Books* (Geertz 2001) points out challenges to Lévi-Strauss's views on kinship (Lévi-Strauss 1949), and also provides a racy summary of the titillating part of the story:

Sexual intercourse takes place between casual, opportunistic lovers, who develop no broader, more enduring relations to one another. The man "vis-

its,” usually furtively, the woman at her home in the middle of the night as impulse and opportunity appear, which they do with great regularity. Almost everyone of either sex has multiple partners, serially or simultaneously; simultaneously usually two or three, serially as many as a hundred or two. There are no nuclear families, no in-laws, no stepchildren. (Geertz 2001)¹²

From the vantage point of a Western audience, an additional bonus is that the study’s author is Chinese. The workings of a society “without fathers or husbands” are all the more fascinating as they are narrated by an anthropologist whose background is a patriarchal and marriage-centered culture that takes a disparaging view of Na culture as “backward”. In examining Na society, Cai Hua is careful to distance himself from his former colleagues such as Yan Ruxian, denouncing the evolutionary bias in their writings. This earns him the somewhat patronizing praise of colleagues who emphasize the author’s scientific achievement: freeing himself from two preconceptions that could have biased his research, namely Marxist ideology and Chinese preconceptions about forms of kinship and sexuality that are remote from Chinese culture (e.g. Cartier & Elisseeff 1998: 57–58).¹³ The book earned international echo, including a response by Claude Lévi-Strauss (Lévi-Strauss 2004).

The less positive side of the success story is that, in his desire to emphasize the scoop – that Na society presents radical challenges to the anthropology of kinship –, Cai Hua stretches the evidence. One of the Western readers who had access to the Chinese literature examined Cai’s argument in detail and concluded that, “in setting out to make certain points, Cai picks his unREFERRED cases rather selectively and ignores the cases which do not fit his argument” (Wellens 2003). The book is somewhat selective in its presentation of the data, in order to bring

¹² The same two aspects – scientific implications and sexual fascination – recur in reviews of the book. Here is another example: “le propos a de quoi mettre sens dessus dessous la théorie anthropologique qui fait reposer le principe même des sociétés humaines sur l’alliance de mariage. Mais la lecture de ce livre à la fois savant et ingénu est aussi recommandée à ceux que ce problème laisse froids : le tableau des mœurs libertines des Na est digne des plus joyeux fantasmes qui circulaient en Europe dans les années 70” (Journet 1998).

¹³ “Relater (...) les transformations imposées à la société Na représentait en soi une contribution fort honorable de la part d’un ethnologue Han, surtout s’il se montrait capable de prendre ses distances par rapport à l’idéologie marxiste et aux préjugés proprement chinois relatifs à des formes de parenté et de sexualité très éloignées de sa culture. On saura donc tout particulièrement gré à Cai Hua, un jeune chercheur yunnanais venu à Paris compléter sa formation (...), d’avoir réussi ce difficile exercice en faisant littéralement table rase de la plupart des préjugés qui auraient pu handicaper sa recherche.”

out forcefully the uniqueness of this society, represented as “the ‘other’ of the Han Chinese: a society free of the constrictions of Confucian morality” (ibid.). Cai Hua became a professor in anthropology at Peking University, where he continued to focus on the anthropology of kinship, but he did not publish a Chinese version of his book, of which he only translated the title (《无父无夫的社会——中国的纳人》). One possible reason for this choice is that the author was aware that the book, tailored for a Western audience, would encounter a more critical reception on the part of scholars who have access to the ethnographic reports, and now to linguistic evidence data as well. In particular, to call Yongning Na society “a society without fathers or husbands” is to stretch the point. The notion of ‘father’ is by no means absent from the language: the word /ə-ɪdaŋ ʂ/¹⁴ unambiguously means ‘father’. If one wishes to formulate the key observations by contrast with Chinese marriage customs, a more adequate description proposed by He Xueguang 和学光 (p.c.) is *bù qǔ bú jià* 不娶不嫁: men do not *take* a wife into their family (*qǔ* 娶), and women do not *leave* their family to join their partner’s (*jià* 嫁).

In his later foreign-languages publications, Cai Hua continued to lend Na society a prominent position in the typology of kinship structures. In a book published in French (Cai 2008), the author discusses four family structures: Chinese; Na; French; and Samo (Burkina Faso), studied by Cai’s Ph.D. advisor Françoise Héritier. These four societies are neatly arranged into a system of binary oppositions. The first two are described as monolateral, and the latter two as bilateral; the Chinese family as masculine, and Na as feminine. French is bilateral-symmetrical, and Samo bilateral-asymmetrical. The publisher’s blurb emphasizes that the author advances “new epistemological proposals which call into question a certain Western rationalism and would also be useful, it seems, to other human and social sciences”.¹⁵ The different orientations of the author’s anthropological publications in Chinese and in French provide an illuminating example of the enduring divide between ‘Western’ and ‘Chinese’ scholarship, the former apparently encouraging epistemological boldness – sometimes at the expense of breadth and depth of typological surveys, and of precision in detail.

¹⁴ The combination ʂ in this word’s transcription refers to one of the lexical tone categories of Yongning Na: see §2.3.2.

¹⁵ *Original text*: ... de nouvelles propositions épistémologiques qui remettent en question un certain rationalisme occidental et seraient utiles, selon toute apparence, aux autres sciences humaines et sociales.

1.1.4.4 **Beyond the initial scoop: studies of Na society in comparative perspective**

The initial scoop – encountering a society with uncommon family structure – opens into a wealth of issues for anthropologists to explore. Two important Ph.D. dissertations about the Na were completed in 1993: those of Shih Chuan-kang (1993) and Weng Naiqun (1993). These were followed in 1995 by Emily Chao's, which has a stronger focus on the Naxi. A Chinese translation of Shih's dissertation was published in 2008, and an enlarged English edition, with additional fieldwork results, in 2010. These studies provide an in-depth analysis of Na society, on the basis of new fieldwork data.

To venture a critical note about Shih Chuan-kang's publications, his conclusions on linguistic issues are sometimes hasty, as when accepting the folk etymology of the place name 'Yongning', discussed in §1.1. One may likewise entertain reasonable doubt about Shih Chuan-kang's interpretation of the exonym 'Mosuo' found in Chinese chronicles (see Table 1.1).

In the summer of 2001, I made another field trip to Yongning under the auspices of the National Science Foundation. While being jolted around in a Mitsubishi SUV on the way from Lijiang to Yongning, I was ruminating yet again over the candidate words for which the term *Mosuo* and its variants might have been transliterated. When I was mulling over the phrase *mosi*, the legend about the English word *kangaroo* suddenly occurred to me.

In the 1770s, the story goes, when Captain Cook and his explorers in Australia saw a large quadruped hopping animal they had never seen in Europe, they asked: "What is the name of this animal?" "Kangaroo," the aborigines replied. The British assumed this must be the name of the animal and introduced the word into the English vocabulary as such. It turned out, according to the legend, that the word was not the name of the animal. Rather it meant "I don't understand."

Inspired by this legend, I wondered how I could have missed the point for so long. In both the Naxi and Naxi languages, *mosi* means "not know," which can be used as an independent phrase to answer a question. The pronunciation of this phrase is identical in both languages. I had asked this phrase in the field countless times but never thought it was the answer to my long-standing question.

Neither the historical accuracy of the kangaroo legend nor the exact meaning of the word *kangaroo* in the aboriginal language bear any direct

relevance to the origin of the word in question. Rather, the significance of this legend is that it vividly depicts a conceivable scenario in which cultural and linguistic misunderstandings could arise during the initial contact of different cultures. It is not difficult to envision another such scenario: One of the first Chinese speakers to get in touch with the group under consideration asked: “Who are you?” Responding to a language that he did not understand, the person said: “*Mosi*,” meaning “I don’t know (what you are talking about).” The Chinese speaker just took it as an answer to his question and recorded or repeated this “name of the people” in the closest sounds in his own language. (Shih 2010: 25–26)

“Kangaroo legend” is an apt label. ‘Kangaroo’ does not mean ‘I don’t know’: it is the name of a species of kangaroo in Guugu Yimidhirr, a language of the Pama-Nyungan family. To relate the earliest Chinese names for the Na to /*mɿ-l-suɿ*/ ‘[I] don’t know’ is to build another legend in blissful ignorance of linguistic methods. Shih compares present-day Southwestern Mandarin pronunciations with present-day Na (Shih 2010: 26–27); but etymological research at such historical depth should be based on reconstructed forms. The earliest Chinese term, 摩沙, goes back to the Jin dynasty (265–420 AD); reconstructions of Old Chinese suggest that its realization may have been close to **ma sræ* (Baxter 2000). This does not match up well with the (tentative) reconstructions proposed to date for the proto-Naish stage: ‘to know’ is reconstructed as **si* (Jacques & Michaud 2011).

To the linguist, these slight shortcomings in linguistic aspects of an anthropologist’s publications serve as a word to the wise: great care should be exercised to avoid oversimplifications in areas other than one’s own. In the same way as a lack of precision in linguistic analyses on the part of anthropologists casts the shadow of a doubt on their anthropological conclusions, linguists run a risk of missing linguistic insights by taking a simplistic view of social phenomena, and paying insufficient attention to the social nature of language.

On historical topics, a salient aspect of Shih’s study is the author’s relentless insistence that the Naxi and Na are distinct peoples. He proposes a distinct ancestry for the two groups, tracing the one back to the barbarians referred to as *Máoniúzhǒng* 牦牛种 (‘Yak species/kind’) in Han-dynasty Chinese chronicles, and the other to the *Rǎnmáng* 冉駝 of Chinese chronicles. Identifications between present-day ethnic minorities and names given to “barbarian” tribes in early Chinese writings are the subject of intense debate in Chinese scholarship. These identifications are highly speculative, however (Gros 2014b). Shih’s statement that “patrilineal descent has been the norm for thousand of years” among the Naxi’s forebears is not supported by convincing evidence. One may have

an impression that the author, who expresses great sympathy for Na society throughout his study (witness the 2010 title *Quest for Harmony*), adopts his consultants' viewpoint that they are clearly distinct from the Naxi, reifies this perceived difference as a binary opposition between Na and Naxi as ethnic categories, and projects this opposition into the indefinite past.

Shih is so preoccupied with establishing the exceptionality of the Moso that he has rather too hastily dismissed the comparative potential of similar practices found in some regions bordering Tibet. As a matter of fact, one of the merits of the book is that it presents a clear ethnography on the basis of which regional comparisons could be drawn, while fuelling the debate within anthropology of kinship in general. (Gros 2011)¹⁶

An unfortunate consequence of Shih Chuan-kang's entrenched belief in the great historical depth of the Na-vs.-Naxi divide is that it leads him to reject outright the studies of other researchers who hypothesize that the Na and Naxi share a common ancestry, and who put forward a historical synopsis of their gradual divergence. An extreme version of this hypothesis is explored by Jackson (1979: 33–46), who points out “strong resemblances with regard to their kinship patterns in particular”, and suggests that the main differences between Naxi and Na society only have a time depth of about three centuries: in his view, they mostly result from the in-depth sinicization of Naxi culture since the 18th century. Shih vigorously rejects Jackson's theses, and the 2010 edition of his book does not mention Christine Mathieu's (2003) study, *A history and anthropological study of the ancient kingdoms of the Sino-Tibetan borderland – Naxi and Mosuo*, which explicitly sets out to explore the historical relationship between the Na and Naxi.

Admittedly, Jackson's study calls for a thorough revision in light of more recent documentation. It must be remembered that much larger amounts of material are now available than at the time of Jackson's study; this goes a long way towards explaining occasional mistakes, such as the interpretation of the Naxi name of the Na, /**Iy+ci**/ (romanized as *Lü-khi*), as “the people of Lü, the Chinese name for the area” (p. 36), when it actually means ‘the people of the Centre’, and is an exact parallel (cognate) to the Na endonym /**hi+hi**#/. Also, some formulations are deliberately provocative: Jackson likes to sketch Naxi history in broad strokes,

¹⁶ *Original text:* (...) préoccupé d'établir l'exceptionnalité du cas Moso, l'auteur écarte un peu trop rapidement l'intérêt comparatif de cas assez similaires relevés dans certaines régions voisines de la bordure sino-tibétaine. C'est pourtant un des mérites de son ouvrage que de nous livrer une ethnographie claire à même de servir à une entreprise comparative régionale, comme d'alimenter le débat au sein de l'anthropologie de la parenté plus généralement.

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emphasizing decisive junctures such as the year “1723 A.D. when the Mu family was ignominiously dismissed and the area was ‘nationalized’ by the Chinese” (p. 35). During that year, Lijiang was placed under direct Chinese rule and the Mu 木 family of feudal chieftains who had ruled the area since the Yuan dynasty ceased to exercise real control. This is undoubtedly a major landmark in Naxi history. However, one may want to emphasize that the deliberate introduction of Chinese culture and Confucian ideology had begun much earlier: the Mu feudal chieftains’ unswerving allegiance to China dates back to the beginning of their rule, in the 14th century. Viewed in this light, the integration of Lijiang into Chinese territory in the 18th century is not without links to decisions that were made by the ruling family several centuries earlier.

The Mu paid tribute to the imperial court and guarded the frontier on behalf of the Chinese emperors. To develop their realm, they pacified, conscripted, and taxed the local tribes (against fierce resistance), and they also called on large numbers of Chinese migrants from the interior – peasants, artists, craftsmen, literati, Taoist and Chinese Buddhist adepts – who worked on their estates, joined their armies, populated garrisoned villages and towns in tribal territories, and assimilated into the Naxi population. The Mu kings prided themselves on their civilization, in other words: their Sinicization. They were soldiers, and they became scholars, poets and calligraphists. They built palaces in Chinese style; they also built Confucian, Taoist and Buddhist temples, and dedicated arches to the chastity of their wives in Confucian fashion. (Mathieu 2015: 359-360)

A well-documented typological parallel for the appearance of cultural differences due to Sinicization is the case of Vietnam: indepth sinicization in the course of the first millenium AD resulted in differences of mentality between the Vietnamese (speakers of an Austroasiatic language deeply influenced by Chinese) and their Austroasiatic neighbours.¹⁷ Again adopting the linguist’s (admittedly

¹⁷ Haudricourt draws a parallel with the Germanization of the Czechs, a Western Slav group; readers unfamiliar with Haudricourt’s style should be warned that allowance must be made for Haudricourt’s taste for thought-provoking shortcuts. “The Vietnamese are what they are because at bottom they are culturally Chinese. This is exactly like Czechs: they speak a Slavic language, but their civilization is German. Literary German is the Prague variety of German as it was used by the imperial administration of the House of Luxembourg. This explains why there have always been insoluble national problems between Czechs and Slovaks. The Vietnamese have roughly the same history: they assimilated enough Chinese culture to become unclassifiable in the eyes of their neighbours” Haudricourt & Dibié (1987: 97-98). *Original text*: Les Vietnamiens sont ce qu’ils sont parce qu’en fait ils sont chinois. C’est exactement

narrow) perspective, there appears to be evidence supporting the view of a gradual divergence between the Na and Naxi. Careful examination of kinship terms in Naxi suggests that words for relatives on the father's side are mostly borrowings or recent coinages, as they are not cognate across dialects. The same holds true of terms relating to marriage, such as 'husband', 'wife', and 'daughter-in-law'. By contrast, the terms for relatives on the mother's side are of greater antiquity, with cognates among other Naish languages. Viewed in this light, the hypothesis of a divergence in terms of family structure as the Naxi underwent growing Chinese (Confucian) influence should not be lightly dismissed. Jackson's phrasing (p. 37) is: "This is the missing key to the confusion on Naxi kinship: legal patrilinearity yet traditional matrilinearity".¹⁸

1.1.4.5 Present-day sociological studies: the impact of tourism since the 1990s

Since the 1990s, tourism has developed at a staggering pace in the Yongning Na area. A number of books, both in Chinese and in Western languages, cater for the tourist industry by presenting idealized pictures of Na society against its beautiful background: Lugu Lake and the Yongning plain (e.g. Refflet 2006; Lāmù 1998). There also exists abundant scholarly literature on the effects of tourism on Na society. An especially striking contrast could be observed in the 2010s between rituals and songs as practised by villagers among themselves in the village of Liji-azui (利家嘴), far from the tourist area, and the performances staged for tourists on the shores of lake Lugu (Milan 2013). Anthropologists report in-depth effects of tourism such as decreasing reliance on matrilineal kin as wealth increases.

... increased individual access to resources is associated with diminished importance of the kinship group in organising behaviour, a shift away from matrilineal inheritance and erosion of the non-conjugal visiting system.

comme les Tchèques, Marcel Mauss m'avait fait remarquer avant la guerre que les Tchèques parlent une langue slave mais qu'ils ont une civilisation allemande. L'allemand littéraire c'était l'allemand de Prague utilisé par l'administration impériale de la dynastie des Luxembourg. Ce qui explique qu'il y ait toujours eu des problèmes nationaux insolubles entre les Tchèques et les Slovaques. Les Vietnamiens ont à peu près la même histoire, ils ont assimilé assez de civilisation chinoise pour se rendre inclassables aux yeux de leurs voisins.

¹⁸ The present review does not attempt to summarize the rich contents of articles in Chinese about the Na; readers are referred to a two-volume set of collected works about the Na (Lātāmī 2006), organized by themes (Vol. I: ethnology and anthropology; Vol. II: language, customs, religion, culture, music, and book reviews). This edition covers the period from 1960 to 2005. It has some minor limitations, such as incomplete information about the original publication references of work reprinted in the collection, and typographical errors for Latin characters and International Phonetic Alphabet transcriptions.

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(...) Respondents in tourist-impacted areas showed more deviation from matrilineal ideology in terms of household composition and preference for marriage, trends that seem to be associated more with wealth than with cultural assimilation. (Mattison 2010: 171)

Foreign sociologists and anthropologists often take a critical stance, pointing out that “official representations of China’s ethnic minorities have created an image of minority people as dangerous, feminine, and erotic”, and that, in the case of the Na/Mosuo, “early state categorizations of Mosuo gender practices have led to representations of Mosuo ethnicity built around notions of women freely available for sex, to whom present lovers have no future commitments, or of a land where women rule. Matriarchy and sexual availability are central in tourists’ desire to visit the Mosuo” (Walsh 2005: 449–450; see also Schein 1997; Blumenfield 2010). These authors bring to light the ironic reversal whereby “the cultural characteristics the Maoist government tried to change became celebrated as markers of Mosuo cultural uniqueness and value” (Walsh 2005: 457).

The detailed analyses proposed by Stéphane Gros (2001) in his study of the Drung/Dulong ethnic group also apply to other groups in Yunnan and in China at large: images of “minority” identities are constructed to suit the country’s projects. Assimilationist policies, which culminated during the Great Proletarian Cultural Revolution (1966–1976), translate into dual visions of pre-Liberation and post-Liberation societies. Relative toleration during the 1980s, China’s “Reforms and opening up” decade (Zhu 2014), led to the recognition of acceptable cultural features that need not be eliminated along with “bad” inheritance from the past, e.g. granting cultural value to “religion” (*zōngjiào* 宗教) as (precariously) distinguished from “superstition” (*míxìn* 迷信). After 1989, the conservative backlash was accompanied by folklorization of ethnic minorities: providing timeless and monolithic representations of the officially defined ethnic minorities, marketed to cater for the tourist industry and contribute to the country’s GDP. A constant is that images of the “minorities” serve as a means to assert by contrast the homogeneity and modernity of the Han “majority” (Gros 2001: 31). Another constant is the pressure towards assimilation.

Today, on the mere surface, “leisure culture” represents market reasoning rather than a statist logic. This is, after all, what hegemony is all about: naturalization of ruling technologies. (Sigley 2013: 242)

For obvious reasons, publications by scholars with institutional or family ties to mainland China tend to refrain from such criticisms. An extensive literature

focuses on proposals for striking a reasonable balance between the competing demands of economic development and “cultural preservation” (*wénhuà bǎohù* 文化保护). This perspective is in line with the national mottos of economic development, on the one hand, and preservation of social harmony, on the other. Here is an English-language sample.

With its unique natural landscape of a plateau lake and matriarchal culture, Lugu Lake region has recently become an attractive destination for tourists and researchers. Although the present environmental conditions in Lugu Lake region are good, rapid economic and tourism development in recent years has impacted on the regional environment and the traditional Mosuo culture. (...) Unlike the attitude of other races to nature, the Mosuo’s attitudes towards nature greatly benefit environmental protection. (Yan et al. 2008: 49-51)

The article leads up to a list of recommendations, the first being that “Government should play a more positive role in conservation of traditional Mosuo culture and the local environment through increased investment” (Yan et al. 2008: 54).

On a much less predictable note, the 2007 collection of articles by Mosuo scholar and poet Lamu Gatusa 拉木·嘎吐萨 preserves in (novelized) writing some interesting pieces of local history; and publications by Mosuo anthropologist Latami Dashi 拉他咪·达石 span a range of topics and locations, including the Mosuo villages of the Ninglang plain (Lātāmī 2009; 2016). Overviews are provided by Knödel (1995) and Ho (2008).

1.1.5 A review of Na language studies

Historical sources in Chinese offer fascinating glimpses into the language spoken in Yongning centuries ago. The *Yuan Yi Tongzhi* 《元一统志》, a book dated 1286, provides Chinese phonetic equivalents for present-day Lijiang and Yongning as 样渠头和 楼头, respectively. In the variety of Chinese recorded in the 14th-century rhyme table *Zhongyuan Yinyun* 《中原音韵》, the initial of 头 is unvoiced; however, using the reconstruction of ’Phags-pa by Coblin (2007), it is interpreted as ***dəw**, i.e. with the same voicing features as present-day Na and Naxi. The name 楼头 reconstructs as ***ləw dəw** (Jacques & Michaud 2011: 487), which is clearly cognate with the present-day name of Yongning in Na (/ʎi-ɰi/) and Naxi (/ly-ɰy/), discussed at length above (1.1.4.4). This word by itself is sufficient to establish that this place name dates back at least eight centuries; it

also provides evidence on a disputed point of Chinese historical phonology, suggesting that the standard dialect of Yuan dynasty Chinese (Northern Mandarin) retained voiced obstruents (Jacques & Michaud 2011: 487). On the topic of tone, on the other hand, these early notations provide no evidence. The notes of explorers of the turn of the 20th century likewise provide little information about the language and less about tone, and will therefore not be discussed here; readers are referred to Michaud & Jacques (2010) and references therein. The present review of Na language studies focuses on contemporary linguistic research.

1.1.5.1 Information about Na in the *Brief description of the Naxi language*

He Jiren and Jiang Zhuyi's 1985 *Brief description of the Naxi language* mainly focuses on the dialects spoken in the Lijiang plain, but the volume includes a word list of Yongning Na, as well as some observations on phonology, syntax, and dialectal diversity (pp. 107–116; see also Jiāng 1993).

The transcription is not phonemicized, and may not be fully consistent. Only four tones are transcribed over monosyllables: LM (ɹ), M (ɹ), ML (ɹ), and H (ɹ), whereas the analysis presented in this volume brings out six categories (see Chapter 2). He and Jiang based their linguistic research on an analogy with Naxi, a language which both of them could speak: He Jiren as a native speaker, Jiang Zhuyi as a second-language learner. Naxi has a four-way tonal opposition over monosyllables: High, Mid, Low (realized phonetically as low-falling, and transcribed as ɹ by He and Jiang), and Rising. When listening to Yongning Na, they failed to distinguish the two types of rising tones, LH and MH. Moreover, they listened for a difference between M and H tones, which in fact does not exist. Of course, it may also be that the dialect that they investigated differs considerably from that spoken by the consultants who collaborated with me, but this is unlikely in view of the great phonetic proximity between their word list and the data reported here. It is not uncommon for phonemic and tonal analyses based on data collected during short field trips to differ greatly between authors, due to incomplete phonemicization of the data (as pointed out by Matisoff 2004: 329). For example, for Shixing, Sūn (1983) reports 58 initials, whereas Huáng & Rénzēng-Wàngmǔ (1991) report 43, for two subvarieties which are mutually intelligible (Chirkova 2009).

Returning to tones M and H in Yongning Na, in the language variety described here these two tones are neutralized to M in isolation (see §2.3.1.2). Phonetic realizations of this tone (which, under a Praguian approach, can be referred to as an architoneme) vary freely in the upper half of the speaker's tonal space, so that an investigator who starts out from the hypothesis that there are H-tone

monosyllables and M-tone monosyllables would be able to hear differences in pitch that seem to support the hypothesis. The same process of pre-existing assumptions affecting linguistic observation happened to me in the early stages of fieldwork: in my initial transcriptions, there were H-tone words and M-tone words. I later discovered that there was no such opposition in isolation. The phonological M and H tones of Yongning Na can only be brought out by placing the words in context, e.g. adding the copula (as explained in Chapter 2). The distinction between H and M tones made by He and Jiang on the basis of citation forms is thus spurious (unless, as mentioned above, there is a considerable gap between the tone systems of the dialects at issue). ‘Field’, glossed as ‘earth’ (*dì* 地), is transcribed with a High tone: /ɿ̃/, when in fact it carries Mid tone: //ɿ̃+// (the double slashes are used in this volume to distinguish lexical forms from surface-phonological ones). ‘Man’ is transcribed as /xĩ̃/, with M tone; this is indeed the tone that the word carries in isolation, but its behaviour in context reveals that its lexical tone is H (my transcription: //hĩ̃//).

The distribution of Low(-falling) and Low-rising tones in He and Jiang’s data is a puzzle to me, since no monosyllables are pronounced with Low tone in isolation in the language variety that I investigated. Examples include ‘plain, flatlands’, ‘water’ and ‘goose’, transcribed as /dỹ/, /dzĩ/ and /õ/; these three items have different tones in my data: LH tone for ‘plain’, L tone for ‘water’, and LM for ‘goose’. My best guess is that, in the speech of He and Jiang’s consultants, L was a (relatively infrequent) free variant of LH in citation form. It is also possible that the word list combines data from several speakers, and is not dialectally homogeneous. The Naxi data in the same volume is a case in point: the authors present the data as coming from the dialect of the township of Qinglong 青龙 (present-day Changshui 长水), the home of Jiang Zhuyi’s teacher He Zhiwu 和志武; but some data was contributed by He Jiren on the basis of his native dialect, Yangxi 漾西. In the absence of indications about the origin of individual pieces of data, it is extremely difficult to determine which data comes from which dialect.

There are also some issues with He and Jiang’s transcription of vowels and consonants, as is to be expected of initial field notes. Nasality is transcribed only in two syllables, /xĩ̃/ (as in ‘man’, transcribed /xĩ̃+; my data: //hĩ̃//) and /ỹr̃/ (the only example is ‘bone’, transcribed /s̃a+ỹr̃+; my transcription: //s̃æ̃ĩ̃//), whereas the investigation reported in the present volume brings out eight nasal rhymes. Another point of difference is that He and Jiang do not transcribe the uvular consonants reported in Chapter 12 of this volume. Such discrepancies may be due to the fact that the variety described by He and Jiang had fewer phonemes than that described here; but it is not implausible that they failed to distinguish

some sounds that were in fact contrastive.

Conversely, some vowel differences transcribed by He and Jiang may be spurious. The word list contains examples of /li/ (as in /li+/ ‘to look’) and /lie/ (such as /lie/ ‘tea’). In my data ‘tea’ and ‘to look’ have the same initial and rhyme; the vowel /i/ is slightly diphthongized towards [e], and thus close to [lie], which explains why it could be sometimes transcribed as [i] and sometimes as [ie] before the investigator’s ear attunes to the vowel system of Yongning Na. Once again, it is also theoretically possible that these two words did not have the same phonemes in the dialect investigated by He and Jiang.

1.1.5.2 A study of kinship terms, with phonetic observations: Fù (1980)

The linguist Fu Maoji 傅懋勤 visited Yongning in May and June 1979 with He Jiren and Jiang Zhuyi. He collected data in the village of /dzɿ|bɿ+|ɿwɿ/ (Jiabowa 甲波瓦) for a study about kinship terms, presented at the 12th International Conference on Sino-Tibetan Languages and Linguistics (Paris, 1979), then published in Chinese and in French translation (Fù 1980; 1983). The paper is a further testimony to the appeal of Na family structure beyond the circle of professional anthropologists. The article has an appendix containing notes about phonetic transcription. It is interesting to examine these notes in light of a full-fledged phonemic analysis, picking up in retrospect some groundbreaking observations, such as the recognition of uvular /q/, /q^h/ and /ɣ/. Fu Maoji also recorded the approximant /ɻ/, noting that it can appear in front of a vowel (i.e. as an initial consonant) or constitute a syllable on its own; this is no different from the analysis proposed in this volume (12), where the notation chosen is as a retroflex, /ɻ/. However, Fu Maoji’s felicitous insights come together with more puzzling proposals. For instance, his proposed set of uvular consonants includes the fricative /ɣ/, which closer analysis of the target dialect would probably show never to contrast with velar realizations, as in all the Naxi and Na dialects recorded to date. Supposing that, during their joint field trip, He Jiren and Jiang Zhuyi examined Fu Maoji’s notations, they could rightly be skeptical of inclusion of /ɣ/ in the inventory, and their doubts could then extend to Fu Maoji’s (correct) proposal of uvular /q/ and /q^h/ as distinct phonemes. There are further possible reasons for disbelief on their part:

- the uvular sounds [q] and [q^h] are also found in Naxi, where the scope of allophonic variation of velar stops extends well into the uvular region. This can lead speakers of Naxi to the assumption that uvulars in other Naish varieties are also allophones of velars.

- the phonological environments in which /k/ and /k^h/ contrast with /q/ and /q^h/ are restricted (for details, see §12.4.4).

Other aspects of Fu Maoji's notation that can look worrying to colleagues include (i) a distinction between plain and laryngeally constricted /u/, /ʋ/ and /z/ rhymes; (ii) a proposed set of three rhotic vowels in addition to the approximant rhyme [ɹ]; and (iii) an analysis whereby /i/ contrasts with /e/ but /i/ is realized as [e] after apical and apical-dental consonants. There is still some way to go from these notes to a working phonemic notation. As for tone, Fu Maoji's classification into three categories, mid-rising, high-flat, and mid-falling, demonstrates that he commendably chose to start fresh and establish the language's tonal categories on their own terms instead of interpreting them in terms of the Naxi tone system; but again, he did not quite reach the stage at which the relevant categories would have emerged. The piecemeal nature of the report goes some way towards explaining why He Jiren and Jiang Zhuyi did not avail themselves of Fu Maoji's data in their 1985 book. It should however be remembered that conducting fieldwork in Yongning in 1979 was an achievement in itself.

1.1.5.3 An outline of Yongning Na by Yang Zhenhong (2009)

An outline of Yongning Na was published by Yang Zhenhong 杨振洪, a speaker of this language from /ə-ɪbɥ-ɪrwɥ-/ village (*Ābùwǎ cūn* 阿布瓦村), close to the current location of the Yongning high school (original publication in Chinese: Yang Zhenhong 2006; English translation by Liberty Lidz, with improvements made after consulting with the author, published as Yang 2009). This outline by and large follows the structure of He and Jiang's description of Naxi. Some parts of the discussion of phonetics and phonology may require further analysis: among consonants, uvular and retroflex stops are not granted phonemic status; concerning tone, the analysis is based on the four tones attested in Naxi, which entails some shortcomings. Informal exchanges with the author (in 2011) suggest that there are in fact more tone categories in the dialect at issue. Like various other researchers, Yang Zhenhong uses descriptive tools developed for syllable-tone systems such as those of Sinitic languages, which do not constitute a fully adequate means to describe tone in Na. (A similar problem was encountered in studies of Pumi – also known as Prinmi –, where advances were finally realized by researchers with a knowledge of other types of tone systems, such as those of Japanese dialects: see Ding 2001; 2006; Jacques 2011a.)

1.1.5.4 Lexical materials

An anthology of everyday words and expressions in the Mosuo language (Zhībā & Xǔ 许瑞娟 2013) presents vocabulary and expressions arranged by semantic field. The authors are a native speaker from the Lugu Lake area and a doctor in linguistics from Yunnan University. Their fieldwork is described as covering the Yongning plain and the Lake area, but with the Yongning plain as the main research area (p. 2).

Approximations in phonetic notation are so numerous that they make the volume unreliable as a work of reference. Voicing contrasts were challenging for the linguist in the team, whose strengths are in the theory and practice of teaching Chinese as a foreign language. Thus, the name of the mountain /**kr**-**lmv**ʔ/ is transcribed as /**gə**⁵⁵**mu**⁵⁵/, with a voiced initial (p. 17 and elsewhere). The mountain's name in Chinese, *Gemu* 格姆山, may have exerted an influence here. Conversely, the adjective /**dzɿ**ɿ/ 'good' is transcribed as /**tɕa**¹³/, with an unvoiced initial. Some phonemes, such as uvulars, are absent from the notations.

1.1.5.5 Collections of oral literature: Na ritual texts

In the Yongning plain, Tibetan Buddhism (of the Gelugpa school) co-exists with a local tradition of ritual practitioners, called /**da**-**prɿ**ʔ/. Unlike those of the Naxi, the rituals are not written – although some written characters are used by the Na for computing days in divination: see Yáng (1985) and Lǐ (2015: 163-189). The absence of a written form explains in part why these rituals have attracted less attention than the Naxi rituals; the much smaller size and socio-political weight of Yongning as compared with Lijiang, and the difficulty of access to the area until the late 20th century, also go a long way towards explaining why there were few efforts for documenting this aspect of the Na oral tradition.

A bilingual collection published by a native speaker of the languages (Āzémíng 2013) contains (i) a phonetic approximation of each syllable by means of a Chinese character, (ii) a transcription of each syllable in IPA, (iii) a Chinese gloss for each syllable, and (iv) a translation into Chinese for each line. (Most lines contain five, seven, or eight syllables.) This volume is best reserved for readers already familiar with the language, who will be able to identify part of the glosses by making allowance for transcription habits influenced by the *Pinyin* system for the romanization of Chinese. For instance, /**p**/, /**t**/ and /**k**/ are apparently used to transcribe aspirated /**p**^h/, /**t**^h/ and /**k**^h/, respectively, like *p*, *t*, *k* in *Pinyin*: 'white', transcribed as /**puə**/ in this book (p. 4), has an aspirated initial in Na. Tone is not indicated.

During the 2010s, Latami Dashi started an ambitious documentation programme aiming at the publication of an extensive collection of translated and annotated rituals with accompanying video.

In-depth study of these rituals, and comparison with Naxi rituals, holds great promise for an improved understanding of Na cultural dynamics (see Mathieu 2015 and references therein). This study would require a good command of Tibetan philology, an in-depth knowledge of Tibetan Buddhism, and other skills way beyond my field of expertise. A piecemeal observation can be offered to suggest the type of issues of cultural contact related to Na religion: twentieth-century reports suggest that monks and /**da+pr**/ coexisted peacefully, with an established division of labour. One would call the /**da+pr**/ to perform a ritual when killing pigs; the monks on prescribed days of the calendar; and both monks and /**da+pr**/ for the most important events, such as funerals. This peaceful coexistence, and the occasions for contact offered by the rites where both participated, apparently resulted in a measure of convergence. The Na /**da+pr**/ show signs of influence from the highly ritualized behaviour of Buddhist monks. They prepare their rituals with an attention to detail that approaches that of Buddhist monks, asking for all the necessary paraphernalia in advance, such as butter, candles, water, and different types of flour. By contrast, the Yi ritual specialists (called ‘Bimo’ 毕摩 in Chinese) have a habit of requesting objects and accessories suddenly at any point during a ritual, as if acting on their inspiration, on the spur of the moment. The gestures of the /**da+pr**/ also come to resemble those of monks. Conversely, some monks are reported to study the Na horoscope – one of the fields of competence of the /**da+pr**/.

1.1.5.6 Liberty Lidz (2010), *A descriptive grammar of Yongning Na (Mosuo)*

By far the most thorough description and analysis of Yongning Na to date is Liberty Lidz’s Ph.D. dissertation (Lidz 2010), *A descriptive grammar of Yongning Na (Mosuo)*. It concerns the variety of Yongning Na spoken in the village of Luoshui 落水, on the shore of Lugu lake. The dissertation, based on in-depth fieldwork, provides a description of the morphosyntax of the language, and contains 150 pages of transcribed and annotated narratives.

Concerning tone, it has been noted that “[t]he tonal system of Luoshui Narua calls for further analysis. Surface phonological tones are transcribed employing three tonal levels, but a reanalysis in terms of two levels would seem possible in many cases. Mention is made of prolific tone sandhi processes, but tantalisingly, these processes are not elaborated on” (Dobbs & La 2016). It is not unusual for reference grammars to leave open some issues of prosody, such as the status of

tone, or of stress (Zeitoun 2007: 26); in the case of Yongning Na, a division of labour has been tacitly established, whereby I would take up the task of conducting detailed investigations into tone. Such is the aim of the present volume.

1.2 Project and method

1.2.1 The aim: detailed description of a level-tone system of East Asia

Tonal changes permeate numerous aspects of the morphosyntax of Yongning Na. Importantly, they are not the product of a small set of phonological rules, but of a host of rules that are restricted to specific morphosyntactic contexts. Guillaume Jacques (p.c.) notes that irregular morphology in Yongning Na, as also in other Naish languages (and in Pumi), mostly consists of irregular morphotonology. The complexity of this aspect of the language calls for a book-length description, applying “the old philological virtue of exactitude” (Scherer 1885: 152) to this system, in order to arrive at a reasonably comprehensive account.

A search for full-fledged, book-length descriptions of similar systems in other languages suggests that such reference works remain relatively scarce, even concerning the extensive Bantu branch of the Niger-Congo family of languages, famous for the richness of its morphotonology.

Theoretical linguistics is primarily concerned with advancing the theoretical enterprise, and tends to produce short pieces – chapters, articles, squibs. It does not have the writing of grammars as a priority, and few of the theoretical grammars of African languages written during the heyday of transformational theory during the 1960s and 1970s have stood the test of time. (...) Are there enough grammars of sub-Saharan African, especially Bantu, languages? The answer is no. (...) The overwhelming impression is that of the small number of real grammars, and the number is not increasing. (Nurse 2011: xxiii–xxiv)

In addition to quantitative scarcity, there is also an issue of breadth and depth of coverage for those languages that have been the object of book-length descriptions. Linguistic fieldwork consists in “going into a community where a language is spoken, collecting data from fluent native speakers, analysing the data, and providing a comprehensive description, consisting of grammar, texts and dictionary” (Dixon 2007: 12). This all-out endeavour entails decisive advantages for understanding the language as a whole, in its social setting, but breadth of scope can occasionally conflict with depth of investigation of individual topics, such

as tone. “No variety of Bambara has heretofore been the object of a systematic tonological description aiming at full coverage”¹⁹ (Creissels 1992: 199; see also Clements 2000; Hyman 2005). “Even the ‘well described’ languages often suffer from a lack of examples, by which to test the descriptive or theoretical claims” (Nurse 2011: xxiii). Similar observations recur in literature concerning tone systems from various areas of the world. In the field of Mesoamerican languages, the following plea for better documentation of tonal morphology emphasizes the urgency of the work.

We need full paradigms in grammars of tonal languages, not just rules, abstract representations or examples of how a given form is used in a natural context. This is a cordial invitation to descriptive linguists to enrich the field with new data on inflection. It matters. It matters in a time when most languages with complex morphology are dying. By doing so, we will be paying tribute both to the languages and to the field of linguistics, because in a hundred years from now, when all of us are gone, it will only be our data that shall remain for future linguists to continue increasing our understanding of our human languages. (Palancar 2016: 134)

In the field of Sino-Tibetan studies, occasional misrepresentation of the (often complex) tone systems is not unheard of, as noted e.g. by Sun (2003b) for Tibetan and Post (2015: 188) for Tani and languages of Northeast India in general. Fortunately, languages with level-tone systems and rich morphotonological systems are the object of active research. Two grammars of Pumi, a language that uses two tonal levels, are now available (Daudey 2014; Ding 2014). The present account of Yongning Na tone is intended as a contribution to this development in Sino-Tibetan studies.

The study is theory-informed, not theory-driven: the aim is not to bring selected data to bear on topical issues in phonological or morphological debates, but to attempt an in-depth description of a language as it functions. This goal is common to all linguists, and matters more than theoretical differences. An overarching guiding principle is to exercise the greatest vigilance to steer clear of Procrustean models. The theoretical backdrop to the present research is intended to be as unobtrusive as possible, as befits language description and analysis. Linguistic models will be mentioned as the necessity arises. In a nutshell, the method used here essentially rests on the basic principles of classical functional phonology, as set out in handbooks of phonological description (for instance

¹⁹ *Original text*: ... aucun parler bambara (...) n’a jusqu’ici fait l’objet de ce qui mériterait d’être considéré comme une description tonologique systématique visant à l’exhaustivité.

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Martinet 1956: 15, 34–47). This book is intended as an application of the method advocated by Martinet under the name of “dynamic synchrony” (Martinet 1990): the focus is on synchronic description; flatly synchronic description is enriched by observations about current tensions within the system, assessing which of the competing variants are innovative and which are conservative.

It is difficult to select, among Martinet’s various writings, a quote that would neatly summarize the main tenets of this approach. Martinet devoted an entire volume to setting out *A functional view of language* (Martinet 1962). The excerpt below is from another English-language volume, entitled *The internal conditioning of phonological systems*.

A dynamic conception of language presupposes that we do not deal with it as we would with a dead body in the morgue, but try to look at it as a means of satisfying some of the human needs, and essentially that of communication. In other terms, it derives from a functional view of language (...). ... experience has shown that even if language is often used for the satisfaction of other needs as, for instance, that of communion, it is, in the last analysis, mutual understanding that determines the choices of the speakers. (...) At every point in time, with every speaker, what is said and how it is said will show a balance between the desire to communicate, and inertia, be it individuel, i.e. reduction of energy, or social, i.e. preservation of traditional forms at the expense of personal comfort and communicative efficiency. (Martinet 1996: 2–3)

The ultimate aim is to approach the actual processes taking place in the speaker’s brain. When formulating generalizations over the data, i.e. bringing out phonological and morphological rules, efforts were made to keep in mind issues of psychological (cognitive) plausibility.

1.2.2 Field trips and collaboration with consultants

The present results are based on data collected since 2006. Four field trips to Yongning were conducted from 2006 to 2009. Excluding the time spent on travel and on organizational tasks, the duration of these stays was: 50 days in 2006; 35 days in 2007; 58 days in 2008; and 40 days in 2009. From July 2011 to October 2012, I had the wonderful opportunity of staying in China for long-term fieldwork. As my main consultant had by that time moved to the town of Lijiang to take care of a granddaughter, I was based in this town too, working with her for an average of two hours a day. In 2013, 2014, 2015 and 2016, I made short field trips to Lijiang and Yongning, still working mainly with the same consultant.

Currently standard procedures for data collection, as reflected in the ‘Method’ section of papers in phonetics journals, tend to avoid any mention of personal contacts between the investigator and the subjects. Such mentions would be worse than irrelevant, they would be suspicious, since exchanges with consultants beyond providing explicit instructions are viewed as a contaminagen: a threat to the objectivity of the experiment. However, to linguists who have experience of working in collaboration with consultants, whether in a language lab or in the field, it is clear how deeply the relationship established with the consultant influences research. A close look at data collection in language laboratories suggests that important dimensions in the selection of language consultants and the formulation of instructions tend to be overlooked. Worries are seldom voiced about the bias introduced by the use of professional linguists, or multilingual students, as subjects, despite the existence of well-documented differences across speakers: clearly, different people have different abilities (see e.g. Audibert, Aubergé & Rilliard 2008). “The subjects were unaware of the purpose of the experiment” is considered a commendable state of affairs.

Of course, different research purposes call for different data collection methods, and it would be thoroughly unreasonable to expect all investigators to develop strong personal familiarity with subjects who participate in their research. Nevertheless, it is clear that, in the process of describing a language, mutual understanding between the investigator and consultants is of the essence. In this light, the personal details presented here are not simply fieldwork anecdotes: in my view they represent relevant information on data collection. (Further thoughts about data collection are set out in some detail in Niebuhr & Michaud 2015.)

1.2.2.1 First steps in the search for consultants

On the first field trip, Mr. Latami Dashi, a native speaker of Na and a researcher in ethnology based in the Ninglang county seat who had been introduced to me by Picus Ding, accompanied me to his family’s house, where I was invited to reside during all my stays in Yongning. He volunteered to work as a consultant. (His code in the database of Naish speakers is M18.) Mr. Latami has near-native command of both Southwestern Mandarin and Standard Mandarin; it was immediately obvious to both of us, when we began an elicitation session, that long years of daily practice of Mandarin had taken their toll on his proficiency in Yongning Na. He offered to help find a speaker who had a relatively homogeneous linguistic experience, having lived continuously in Yongning since childhood. For my part, I wanted to work with a male speaker, for a technical reason: spectrogram

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reading and electroglottographic analysis, two techniques in which I had some experience, are easier to perform on data from male speakers. Also, we agreed to look for a speaker whose age ranged between 35 and 65. Younger speakers have limited command of the language; the oldest speakers are often the most proficient speakers of Na, but at a certain age speech becomes less audible and communication with strangers more difficult.

Mr. Latami therefore set out to look for a suitable consultant in the neighbourhood. The procedure as he narrated it to me was the following. He invited a candidate over to his place after dinner, treated him to alcohol and sunflower seeds, and launched a conversation about how the language was being lost by the younger generation. Then he explained that there was currently a foreigner staying in the house, who wanted to study and record everyday language; and he asked if the person would agree to work as language consultant.

Several persons were thus invited, said they would consider the proposal, and eventually declined. There may have been a number of reasons for this. Mr. Latami's point of view is that, for want of knowing the ins and outs of linguistic fieldwork, they were suspicious of potential misuses of the information that they would provide, and wary of the blows that their reputation would suffer if their name became associated with debatable materials about Na language and Na culture. There are enough examples of ludicrously simplified portraits of Na culture produced to cater for the tourist industry (as reviewed in §1.1.4.5) to justify their cautious stand.

On the other hand, these people have known Mr. Latami since he was a child, and they would have reason to trust that he would not collaborate in a research project that may harm the image of the community. In his own research on Na culture, Mr. Latami takes care to gather viewpoints from a number of community members. After he has finalized a draft of one of his books, he circulates copies to Na people who are literate in Chinese and asks for their comments. Only after he has received their criticisms, corrections and comments, and worked them into the final version, does the book go to press. This offers no absolute guarantee against resentment on the part of other community members concerning the contents of the book in its final form, but it could go some way towards allaying suspicions.

The negative response of these Na speakers to being invited to participate in data collection can be considered as providing an insight into traditional Na society as a highly conservative agricultural society where deviant behaviour meets with sharp reproach. This has a strong bearing on language: "the strong networks typical of rural life" (Milroy & Milroy 1985: 379) favour not only archaism, but

also the development of innovations that tend to complexify morphology – a process opposite to what happens in cases of creolization. For instance, reflecting on the case of the development of person agreement marking on complementizers in Bavarian German (reported by Bayer 1984), Peter Trudgill speculates that this only happens in tightly-knit, rural communities. The example given is (4), where *ob* ‘whether’ receives second-person agreement *-st* (Trudgill 2011: 82, 112–113).

- (4) ... *obst du noch Minga kummst*
 whether you-SG to Munich come
 ‘whether you are coming to Munich’

To return to Yongning Na, the development of the flamboyant morphotonology described in the present volume may have been favoured by the same social factors that initially led potential consultants to decline sharing their knowledge of Na with me.

Mr. Latami Dashi’s mother seconded her son’s efforts to convince potential consultants that they should not be intimidated by the tasks proposed to them, explaining that the purpose was not to collect folklore, but to study the everyday language; and that the initial stage of the work was as simple as saying the words for ‘head’, ‘hand’, and so on. While she did not succeed in convincing others, she eventually convinced herself, and volunteered as a consultant.

1.2.2.2 Main language consultant

My Na language teacher is Mrs. /la-t^ha-mi/ [æ-t^hsw-t-la-my/]. (Her code in the database of speakers of Naish languages is F4.) She was born in 1950 into a family of commoners – the majority group among the Na, distinct on the one hand from the chieftain’s family, which constituted the nobility, and on the other hand from the serfs. Her birthplace is the hamlet called /ə-la-t-ɣwɣ#/, close to the monastery of Yongning, called *dgra med dgon pa* in Tibetan, a name rendered in Chinese as Zhāměisi 扎美寺. The full address is: Yúnnán province, Lìjiāng municipality, Nínglàng Yí autonomous county, Yǒngníng district, Ālāwǎ village (云南省丽江市宁蒗彝族自治县永宁乡阿拉瓦村). This place is referred to in this volume as ‘Alawa’. The founding of this hamlet is recounted in the narrative Elders3 (about the narratives and other online resources, see §1.2.4.1). My teacher later established a home of her own in a neighbouring hamlet, slightly closer to the road leading to the Yongning marketplace.

My teacher is attached to traditions, closely associated with the teachings of her grandmother, whom she remembers as an outstanding character who tactfully managed a large household. In narratives, she refers to her grandmother as

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/əˈsiː/, ‘greatgrandmother; ancestor of the third generation’, the term of address used by her own children – a way to point her out to the next generation as a living model. My teacher is considered locally as a connoisseur of Na customs: in recent years, when someone in the village had doubts about how a certain ceremony should be performed, they would come to ask her for instructions.

On the other hand, she is well aware of how deeply Na society has been transformed since her childhood, and she does not cling to a bygone past. She is an open-minded character, gaily deriding in retrospect the prejudices that used to prevail in Na communities. For instance, in an account of the introduction of vegetables such as courgettes and eggplants in Yongning, she reports that distrustful and indignant villagers would warn, “Don’t eat those: they are grown in shit!” but that these new crops were eventually adopted, along with traditional Chinese methods for fertilizing soil (narrative: HouseBuilding2). In her childhood, my teacher was one of the actors in a film about the Na and their unusual family structure (*‘A-zhu’ marriage among the Naxi of Yongning*).²⁰ Later, one of her sons became an anthropologist, specializing in Na society, and she met a number of his colleagues. She witnessed how the Na of Yongning became an object of curiosity and fantasy, and how Na culture became folklorized for the promotion of the tourist industry.²¹ Her experiences and reflections shook some of the beliefs that had been passed on to her by her grandmother, such as Buddhist faith. While conscientiously going through the prescribed rituals on a day-to-day basis, her belief in Buddhist teachings such as reincarnation was faltering, although without affecting her commitment to the ideals of benevolence and respect of others. The narratives recorded show her awareness of the cultural relativity of the waning customs and traditions of the Na, to which she nonetheless remains attached.

²⁰ Chinese title: 《永宁纳西族的阿注婚姻》. Black and white. Duration: about 60 minutes (6 film portions of 10 minutes each). Production date: about 1966. Advisor: Qiū Pǔ 秋浦. Scenario: Zhān Chéngxù 詹承绪 and Yáng Guānghǎi 杨光海. Director: Yáng Guānghǎi 杨光海. Photography: Yuán Yáozhù 袁尧柱. Sound recording: Zhào Déwàng 赵德旺. Animations: Zhèng Chéngyáng 郑成杨. Narration: Zhōu Qìngyú 周庆瑜. Chinese summary: “在云南省宁蒗彝族自治县永宁公社的纳西族, 解放前处于封建领主社会, 但长期以来还保存着原始母系社会特征, 保存着以母系为核心的母系家庭, 保存着男不娶, 女不嫁的“阿注婚姻”。男阿注到女阿注家过夜, 晚上来白天走的“半同居”婚姻生活。本片对这种婚姻形式, 特点和母系家庭作了如实的记录。” The film is part of a series about “ethnic minorities” initiated in 1957: 少数民族社会历史科学纪录片.

²¹ One example among many is a report done for the French tabloid *Paris Match*: its special issue “China is changing” (“La Chine change”, May 2001) contains no less than ten pages dedicated to the “Mosuo” (pp. 52–61), including an interview with Latami Dashi and his mother Latami Dashilame (p. 60).



Photo 1.4: The main language consultant, Mrs. 1a-tʰa-mi1 [æ-ʂu-t-la1mɿ], on an outing to the Yongning marketplace. Spring 2008.

Unlike more traditional parents in whose view the monastery was the most prestigious prospect for boys, she encouraged her children – girls as well as boys – to study in the Chinese school system, which she felt was a better gateway to an existence free from daily toil in the fields. Her four children have all found employment outside Yongning, one of them in the county town of Ninglang, two in Lijiang, and one in faraway Shenzhen (Guangdong). Although she lived continuously in the village from the time of her birth, and very rarely left the plain of Yongning (and, indeed, seldom left her village) until she came over to the city of Lijiang to look after a newborn granddaughter in 2010, she was always well aware of the wider world.

Her experience that, beneath the differences in local customs, the human heart is the same everywhere, surfaces in places in the narratives that she agreed to record during the course of our collaboration. She likes to point out similarities between the situations described in her narratives and present-day situations. For instance, mentioning apprentice monks' hopes of finding a good master, she brought out the analogy with my study of Na, for which I likewise needed attentive teachers, as did her grandson at a university in Kunming. Following her instruction, I have always called her /ə-1ma-t/, and have been the grateful object of her affectionate care throughout my stays. She is a model of tact, masterfully fine-tuning relations within the family and beyond. She has been a patient and encouraging teacher, despite her declining health and her heavy workload as

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a mother and a grandmother. While conceivably proud of raising four children under harsh circumstances, she has a strong sense of humour and was never inclined to pose as guardian angel, muse, or Madonna (to cite Baudelaire's impassioned wording: "l'Ange gardien, la Muse et la Madone").

She was a stutterer during her adolescence, but later overcame this difficulty. I may not have noticed had I not been informed, but I now interpret the rare cases of stuttering that occur in recorded audio documents as remnants of this earlier difficulty. Also, she is known in the community as a person who talks quickly. Finally, although she has never suffered from any major otorhinolaryngological ailments, she has noticed changes in her voice over the years, and is no longer able to sing the high-pitched songs of the Na. The reason is partly social: according to local habits, singing is an activity for young people; the voices of singers over the age of forty (fifty at a push) are considered unattractive, and it is unusual for a women past fifty to sing songs. On the occasion when my teacher agreed to sing a song (understanding that this may be a useful part of documenting the language, and that most members of the younger generation do not get to learn traditional songs anymore), it appeared that lack of practice over the years had made her unable to perform songs.

She never travelled to Na villages outside the Yongning plain, such as Labai or the Na villages in Muli county. She can speak a little Southwestern Mandarin Chinese, and occasionally borrows common Chinese words when speaking Na, but her proficiency was very limited until she moved to Lijiang in 2010 and found herself in a predominantly Chinese-speaking environment. Apart from initial vocabulary elicitation, which was done in Chinese, fieldwork was conducted in Yongning Na, the consultant providing explanations in her own language, without translation into Chinese. This certainly made the elicitation process slower than it would have been with a bilingual consultant, but monolingual fieldwork also has its advantages, allowing the investigator to develop a better command of the language.

1.2.2.3 Other language consultants

During the first field trip (2006), as work with consultant F4 began, I reflected on possibilities to extend the work to other speakers. I had in mind the textbook arguments for working with several speakers.

Data from varied sources can guard against distortions resulting from dressage, the observer's paradox, faulty questioning, or pre-scriptive influences of one individual's idiolect. Working with several speakers will provide

the researcher with points of comparison so that he or she can learn to distinguish between reliable and unreliable data. (Chelliah & de Reuse 2011: 180–181)

In the family where I stayed, two members were living in Yongning all the year round: F4, and a daughter-in-law: the wife of her second son. Her daughter-in-law (speaker code: F5), born in 1973, accepted to act as language consultant for basic elicitation, while declining to record any continuous texts such as narratives or dialogues, explaining that she did not feel up to the task. Unlike F4, who after a couple of days eliciting vocabulary agreed to record a narrative, F5 maintained her initial decision not to record anything other than short responses to my questions, except for a few short songs recorded in 2007.

Both F4 and F5 had relatively little spare time, so I would work with one or the other depending on who was available at the time. One day in December 2007 when both were busy, F5 asked a niece to “replace” her for a work session. This offered an opportunity to get insights into the speech of the younger generation. This speaker, F6, was born in 1987. As a high school student in the Ninglang county seat, she only came back home for holidays. Vocabulary elicitation showed that she knew few words, and that her Na phonological system was highly simplified. These observations are reported in a joint book chapter with Latami Dashi: “A description of endangered phonemic oppositions in Mosuo (Yongning Na)” (Michaud & Latami 2011; Chinese translation: Michaud & Lātāmī 2010).

In 2008, two more speakers were recorded. The first was Mr. /ho-dzɿ-tsh^he/ (Chinese: He Jiaze 何甲泽), hereafter M21, born in 1942. He was a retired cadre (*gānbù* 干部), and had lived two years in Kunming and three in Yongsheng. The opportunity to extend the investigation to a male speaker was welcome, and M21 was keen to participate. Elicitation tasks proved challenging for him due to some hearing difficulties, however: he reported full deafness in one ear, and highly reduced sensitivity in the other. His experience of various dialect areas also made him more changeable in linguistic behaviour than the investigator would have wished. Specifically, it did not prove feasible to elicit consistent tone patterns for compound nouns, as some patterns that had been elicited in one session were dismissed in another, only to be reasserted with full confidence at a later point. Later, M21’s youngest son, /dʒu-dzɿ-/ (Chinese: 何独知 He Duzhi), born in 1974, kindly agreed to participate in the linguistic investigation. His knowledge of Na is comparable to that of F5. Like F5, M21 and M23 declined to

1.2.3 Elicitation methods

1.2.3.1 Examination of transcribed texts and direct elicitation

“Texts are the lifeblood of linguistic fieldwork. The only way to understand the grammatical structure of a language is to analyse recorded texts in that language” (Dixon 2007: 22). Following classical methods in linguistic fieldwork, observations from continuous speech (in the case of the present study, mostly narratives) are verified and further investigated through elicitation. A fair amount of transcribed narrative has been collected: more than four hours in total. But a considerably greater amount would be necessary to be able to study the language’s tonal grammar on the basis of these texts alone. Not all possible tonal combinations in compound nouns are found in these texts; and no amount of continuous speech would be enough to obtain all the combinations of numerals from 1 to 100 with the various tonal categories of classifiers, as required for the study of numeral-plus-classifier phrases (Chapter 4). Systematic elicitation was therefore used to investigate one area after another of the tonal grammar of Yongning Na.

Larry Hyman, reflecting on his study of the tones of another Tibeto-Burman language (Thlangtlang Lai), makes the following observation:

Clearly the speaker had never heard or conceptualized noun phrases such as “pig’s friend’s grave’s price”, “chief’s beetle’s kidney basket” (...). It would not impress any psychologist, and it would definitely horrify an anthropologist (...). However, when I need to get $3 \times 3 \times 3 \times 3 = 81$ tonal combinations to test my rules, the available data may be limited, or the language may make it difficult to find certain tone combinations. I am personally thankful that speakers of Kuki-Chin languages are willing to entertain such imaginary notions. It is most significant that the novel utterances are produced with the appropriate application of tone rules. (Hyman 2007a: 34)

Using this method, L. Hyman completed elicitation of data on Thlangtlang Lai tone within six hours (Hyman 2007a: 9). Such swift progress is possible when the linguist is fortunate to work with consultants possessing high metalinguistic abilities, who enter into the linguistic reasoning, and collaborate with the linguist as colleagues. Famous cases include François Mandeville, a speaker of Chipewyan who “possessed the extraordinary ability to dictate texts and to explain forms with lucidity and patience” (Li 1964: 132).

In the case of Yongning Na, the relationship with the consultants was somewhat different. In the course of our collaboration, they developed an understand-

ing of a linguist's interests and aims. In particular, dozens of hours working together made the main consultant familiar with the investigator's body language, so she would understand a repetition-beseeking glance upward from the laptop screen, or volunteer an explanation when a long pause suggested that the investigator was experiencing a doubt. On the other hand, the consultants did not develop metalinguistic intuitions beyond the notion of full homophony between two words. They did not develop any particular interest in linguistic forms, and would only provide linguistic forms corresponding to a precise context. This meant that the elicitation of each desired combination required a great deal of care. To take the example of compound nouns, compounds that were not sufficiently contextualised were produced either as possessive constructions – an English equivalent would be 'skin of the horse', as opposed to the desired 'horse(s) skin' – or as an ungrammatical expression consisting in the mere juxtaposition of the citation forms of the two words. To elicit the compound 'woman(s) blood', for instance, the consultant and the investigator took the time to look for a possible context: imagining that a man-eating demon feels an urge to drink *woman's blood*. Each combination was then double-checked – or rather 'multiple-checked' – by using different example words for the same tonal combination, and eliciting tokens several times during different elicitation sessions. As a result, the elicitation of data from four speakers about this particular point of the tonal grammar extended over the first three field trips, from 2006 to 2008.

1.2.3.2 The issue of cross-speaker differences

One of the findings from the systematic study of compound nouns (reported in Chapter 3) was the high degree of cross-speaker difference.

It is a general observation that tone is highly susceptible to dialectal variation, and in view of existing reports it seems clear that the greater Yongning area is the part of the Naish-speaking area that has the most complex tone systems and the greatest dialectal diversity. In this light, differences observed within the same village, and even within the same family, did not come as a huge surprise.

Another factor of diversity is the gap between age groups created by ongoing language shift to Chinese. F4's four children are more proficient in Chinese than in Yongning Na. All four left Yongning to work; two married Han Chinese spouses without any command of Na; one married a Na spouse from a different dialect area and difficulties of mutual comprehension led the wedded pair to communicate in Chinese instead; one married a Na spouse (F5) but works in faraway Shenzhen and seldom returns home. The generation of her four grandsons and

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granddaughters has even less command of Na, even though it was F4 who took charge of them in their early years. But there are also notable differences in tonal terms between the speech of F4 and that of her daughter-in-law F5, despite their living under the same roof. Documenting the tone system of several speakers is not simply a matter of verifying data: the description is to be conducted separately for each speaker, only comparing the results as a second step. Another difficulty was that transcribed texts are necessary in order to confirm at least part of the patterns obtained through systematic elicitation, but speakers F5, M21 and M23 declined to record narratives. Had they agreed, arriving at a sizeable collection of texts for each of the four speakers would have represented a formidable amount of work – not to mention the difficulty for the investigator of keeping the four systems distinct.

1.2.3.3 A dilemma: tonological depth vs. sociolinguistic breadth

Clearly, it did not appear feasible to explore all areas of the tone system with the same degree of detail for four speakers. This led to the following dilemma: either limiting the extent of investigation – for instance, focusing on compound nouns, or on numeral-plus-classifier phrases –, but eliciting data from a broad sample of speakers, to arrive at adequate sociolinguistic coverage; or extending the investigation to more parts of the linguistic system, working towards a complete picture of the tonal grammar of one speaker, with occasional extension of the investigation to other speakers.

The second option was preferred: attempting as complete a description as possible of the entire linguistic system. Work with consultant F4 appeared more promising because of her much stronger proficiency in Na than the two younger consultants (F5 and M23) and of her relatively homogeneous linguistic lifecourse, as opposed to M21's long years of practice of various dialects of Na and of Chinese. Basing the work primarily on data carefully verified with F4 appeared to be a reliable starting-point for later comparative work, including cross-speaker and cross-dialect studies.

The ultimate research goal – viewed as a collective endeavour – is to document in great detail the synchronic tone system of a number of research locations in the Na-speaking area, and, on this solid empirical basis, conducting comparisons and gradually reconstructing the history of the evolution of tone systems. Ideally, this would lead to a complete account of the origin and development of tone systems in Naish, shedding light on all the stages that led from a non-tonal stage to each of the present-day varieties. This is clearly a long-term endeavour; the first task to be addressed is to attempt a description of the tonal system of one

dialect in its full synchronic complexity.

The analyses of Yongning Na tone reported in the present volume are therefore based on data from consultant F4, unless otherwise mentioned.

1.2.4 Online materials

1.2.4.1 Transcribed and translated narratives and phonological materials

A guiding principle in the present research is that a close association between documentation and research is highly profitable to both. If it is true, as Whalen (2004) puts it, that “the study of endangered languages has the potential to revolutionize linguistics”, and that “the vanguard of the revolution will be those who study endangered languages”, then it is all the more unfortunate that “enormous amounts of data – often the only information we have on disappearing languages – remain inaccessible both to the language community itself, and to ongoing linguistic research” (Thieberger & Nordlinger 2006; see also Woodbury 2003; 2011). “[L]anguage documentation as a paradigm in linguistic research” has been described as having benefits such as the following:

- (i) making analyses accountable to the primary material on which they are based;
- (ii) providing future researchers with a body of linguistic material to analyse in ways not foreseen by the original collector of the data; and, equally importantly,
- (iii) acknowledging the responsibility of the linguist to create records that can be accessed by the speakers of the language and by their descendants. (Thieberger et al. 2016: 1)

The recordings conducted in Yongning have been made freely available online, document after document, since 2011. The recordings are mostly narratives and lexical or phonological elicitation sessions. They are accompanied by metadata (information about the recordings), and, to the greatest extent possible, by full transcriptions, and translations. A list of documents is provided in the Abbreviations section.

The data is hosted by the Pangloss Collection (Michailovsky et al. 2014), a language archive developed at the research centre *Oral Tradition: Languages and Civilizations* (LACITO) of the French National Centre for Scientific Research (CNRS) since 1994. The goal of this archive is to preserve and disseminate recorded and transcribed oral literature and other linguistic materials in (mainly) endangered or poorly documented languages, giving simultaneous access to sound

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recordings and text annotation. “Paired with advances in digital media, accessible corpora of annotated language data not only allow for verification of current analyses; they will, in time, provide answers to as yet unknown research questions, as well as providing a cultural record of value to the broader community” (Thieberger et al. 2016).

For some of the Yongning Na recordings, an electroglottographic signal was collected simultaneously with the audio from the head-mounted microphone. The electroglottographic signal allows for a high-precision measurement of the voice’s fundamental frequency, as well as of other glottal parameters.²² Documents that comprise an electroglottographic signal are accompanied by a special icon in the list of resources, and also on the (static) web page presenting the Yongning Na data: see Figure 1.2 for a screen shot. Figure 1.3 shows a passage from one of the documents as displayed on the web interface.

The Na documents are perennially archived and will continue to be accessible despite future changes that may take place in the Uniform Resource Locator of the Pangloss Collection’s web interface. Stable internet links to directly access a specific location within a text are currently under development at the archive; the aim is “to offer readers the means to interact instantly with digital versions of the primary data, indexed by transcripts” (Thieberger 2009). Seamless navigation between grammars and data is clearly the way to go, providing a direct link to the texts where cited examples are taken from. It is hoped that, by the time the next edition of this volume is released, tools for resolving a multimedia document’s identifier will be all set up and working, allowing for links from the digital book that will direct the user straight to the relevant passage in the online data. In the meantime, it did not appear advisable to provide hyperlinks to the archive’s current interface, because URLs are likely to change in the near future.

Embedding audio excerpts of the cited examples in the PDF file of this volume would have been a technical possibility, but this would not have reached the goal of connecting the analyses to the data, allowing the reader to navigate between the book and the online documentation where the examples can be examined in context.

At present, it remains necessary for users to go to the Pangloss Collection’s online interface, and to locate the document at issue either on the internet page presenting the Na documents (http://lacito.vjf.cnrs.fr/pangloss/languages/Na_en.php)

²² About electroglottography, see the initial report of the invention: Fabre 1957; a synthesis: Baken 1992; some caveats: Orlikoff 1998; discussions about parameters that can be measured: Henrich et al. 2004, Michaud 2004a; and applications to the study of specific linguistic issues, e.g. Brunelle, Nguyễn & Nguyễn 2010; Kuang & Keating 2014.

H1 category of classifiers			
classifier for...	recordings WITH electroglottographic component	recordings WITHOUT electroglottographic component	
	30 to 100	1 to 100	1 to 10
chunks	 AUDIO +  EGG	 AUDIO +  EGG	 AUDIO
handspan		 AUDIO +  EGG	

H2 category of classifiers		
classifier for...	recordings WITH electroglottographic component	recordings WITHOUT electroglottographic component
	30 to 100	1 to 30
days	 AUDIO +  EGG	 AUDIO

M1 category of classifiers		
classifier for...	recordings WITH electroglottographic component	recordings WITHOUT electroglottographic component
	30 to 100	1 to 30
knives	 AUDIO +  EGG	 AUDIO
handfuls		 AUDIO +  EGG
heaps		 AUDIO +  EGG

M2 category of classifiers		
classifier for...	recordings WITH electroglottographic component	recordings WITHOUT electroglottographic component
	1 to 100	1 to 30
months		 AUDIO
pairs	 AUDIO +  EGG	
round objects	 AUDIO +  EGG	

Figure 1.2: Screen shot showing a fragment of the static HTML page presenting the list of resources in Yongning Na in the Pangloss Collection.

or in the automatically generated list of documents, arranged by date of deposit in the archive (http://lacito.vjf.cnrs.fr/pangloss/tools/list_rsc_en.php?lg=Na&aff=Na).

The availability of these audio and electroglottographic data with synchronized transcriptions makes it possible for interested persons to get a feel for the data; it also allows for further research into a broad range of phonetic topics. Given the amount of time that is necessary to produce a state-of-the-art experimental phonetic investigation, it is simply impossible for linguists who are working at the description of an entire language (or of several languages) to launch into a phonetic study to substantiate and refine each of their observations; on the other hand, it appears feasible to collect a sufficient amount of data for interested colleagues to conduct such a study. To take the example of recorded data about numeral-plus-classifier phrases (analyzed in Chapter 4), here are two examples

W1	▣ ▢	ɕwɪ-naɪ	1 +工具的量词	1 +classifier for tools
W2	▣ ▢	ɲiɪ-naɪ	2 +工具的量词	2 +classifier for tools
W3	▣ ▢	soɪ-naɪ	3 +工具的量词	3 +classifier for tools
W4	▣ ▢	zɥɪ-naɪ	4 +工具的量词	4 +classifier for tools
W5	▣ ▢	ɲwɥɪ-naɪ	5 +工具的量词	5 +classifier for tools
W6	▣ ▢	q ^h ɥɪ-naɪ	6 +工具的量词	6 +classifier for tools
W7	▣ ▢	ʂwɪ-naɪ	7 +工具的量词	7 +classifier for tools
W8	▣ ▢	hōɪ-naɪ	8 +工具的量词	8 +classifier for tools
W9	▣ ▢	gɥɪ-naɪ	9 +工具的量词	9 +classifier for tools
W10	▣ ▢	ts ^h eɪ-naɪ	10 +工具的量词	10 +classifier for tools
W11	▣ ▢	ts ^h eɪɕwɪ-naɪ	11 +工具的量词	11 +classifier for tools
W12	▣ ▢	ts ^h eɪɲiɪ-naɪ	12 +工具的量词	12 +classifier for tools
W13	▣ ▢	ts ^h eɪsoɪ-naɪ	13 +工具的量词	13 +classifier for tools

Figure 1.3: A passage from one of the documents as displayed on the web interface.

of phonetic phenomena that could be studied in the future on the basis of the recorded Yongning Na data.

(i) The implementation of tone

The electroglottographic signal has not been exploited so far, except for its occasional use in auditory verification (the pitch can be clearer when listening to the electroglottographic signal than when listening to the audio). This signal could serve in future for a phonetic study of the implementation of tone in Yongning Na. There is a large gap between phonological representations in terms of sequences of level tones, on the one hand, and observed fundamental frequency curves, on the other: from a phonetic point of view, “both F_0 height and F_0 velocity are relevant parameters (...) even for the simplest level tone languages” (Yu 2010: 1). A study of the implementation of tone sequences in Yongning Na would be a useful addition to the existing literature on contextual tonal variation and segmental effects on tone, as studied by Abramson (1979), Gsell (1985) and Gandour & Potisuk (1992) for Thai, and Xu (1997; 1998) for Mandarin.

(ii) The weakened (hypoarticulated) realization of repeated words

When a consultant pronounces a sequence of numeral-plus-classifier phrases, such as /**ɗuɪ-t-k^hwɻɻ** | **ɲi-t-k^hwɻɻ** | **soɭ-k^hwɻɻ** | **zɻ-t-k^hwɻɻ**/ (‘one piece, two pieces, three pieces, four pieces ...’), the tone of the classifier changes (e.g. High in /**ɗuɪ-t-k^hwɻɻ**/ and /**ɲi-t-k^hwɻɻ**/, Low-to-High in /**soɭ-k^hwɻɻ**/, and Mid in /**zɻ-t-k^hwɻɻ**/) but its consonants and vowels do not. As a result, the speaker’s attention focuses on the realization of the new information (essentially: the correct tone sequence for the phrase); in terms of the continuum from hyper-articulation to hypo-articulation (Lindblom 1990), the classifier is hypo-articulated. Specifically, the unvoiced lateral /**ɻ**/ in classifiers such as /**ɻi**/ ‘month’ and /**ɻi**/ ‘armspan’ is occasionally realized as voiced, despite the existence of a voicing opposition between /**ɻ**/ and /**l**/ in Na. Pursuing such observations would shed light on the field of allophonic dispersion of Na phonemes. Due to the nature of the corpus, numerous tokens of each numeral and classifier are available, offering a good basis for statistical treatments.

Importantly, the documents are also open to entirely different uses, including aesthetic enjoyment of a voice captured through the wonder of high-fidelity recording, and preserved unchanged through the magic of digital technology. I for one am not insensitive to the luxury of listening to the Yongning Na recordings at leisure. After a recording session is over, the qualms and concerns of fieldwork recede, leaving room for “the fecund miracle of communication within solitude”, to cite Proust’s eulogy of reading.²³

1.2.4.2 Dictionary

A dictionary of Yongning Na (Michaud 2015a) is available online (i) as an online dictionary in HTML format, (ii) as a PDF document, and (iii) in database format (native Toolbox/MDF format, and LMF format). Entries and examples have translations into English, Chinese and French. There are two supplementary files in the version deposited in the HAL preprint archive:

- (i) the file NaDictionary.xml contains the entire database in XML format following the ISO standard LMF: this is a computer-readable format. For technical documentation on this format, see: <https://pypi.python.org/pypi/pylmflib/1.0>

²³ *Original text*: ... la lecture, dans son essence originale, dans ce miracle fécond d’une communication au sein de la solitude (...). (*Journées de lecture*, in *Pastiches et mélanges*, Paris: Gallimard, 1919, p. 257).

1 Introduction

- (ii) the file NaDictionary.txt contains the entire database in SIL's Toolbox format (MDF); this is the source file on which the editing is done.

This dictionary is conceived of as a work in progress: successive versions will be released, probably every two years or so. Hosting in the HAL preprint archive (at <https://halshs.archives-ouvertes.fr/halshs-01204638>) guarantees long-term preservation.

1.2.4.3 Bibliography

An online bibliography of Naish studies (studies about Naxi, Na, Laze and related languages) was started in 2015, as a Zotero group called 'Naish languages' (https://www.zotero.org/groups/naish_languages/). References will gradually be added and enriched to provide multilingual information: for Chinese-language references, the author name will be provided in Chinese characters, as well as in romanized Chinese (*Pinyin* transcription); and titles and other relevant information will be translated into English. The emphasis is on linguistics, but the team of contributors would also like to include ethnological/anthropological, historical, and sociological work. Any visitor can consult this bibliography; people interested in contributing to its enrichment and maintenance are welcome to get in touch.

2 The lexical tones of nouns

This chapter focuses on the lexical tones of nouns; it is customary in tonal studies to proceed “from the tones of nouns to the general organization of the system” (Rialland & Sangaré 1989; see also Hyman 2014: 526-527). In Yongning Na, tonal oppositions are partly neutralized when words are spoken in isolation. This casts a subtle veil on the tone categories, hiding some of them from the casual observer. Because of these cases of neutralization, issues concerning the behaviour of nouns in context will also be broached in this chapter, which thus serves as an introduction to the Yongning Na tone system as a whole.

The chapter is organized in analytical order. It starts out from a static inventory of tone patterns over domains of different lengths, and gradually progresses towards an analysis. This mode of exposition replicates the progression of analysis during fieldwork, working up from the surface facts. The aim is to allow the reader to evaluate the analysis step by step, and to reflect on possible alternatives, instead of proposing a complete analysis from a top-down perspective.

2.1 A static inventory of tone patterns

Words spoken in isolation are what one starts out from in the earliest stages of fieldwork. Table 2.1 presents an overview of the tone patterns over monosyllabic nouns spoken in isolation. It was not possible to find a minimal set (words distinguished solely by tone) due to the relatively low number of monosyllabic nouns in the language.

Table 2.1: Tone patterns attested over monosyllabic nouns spoken in isolation.

phonetic realization	preliminary label	example
non-rising, non-low	M ?	/zɰwæ/ ‘horse’
low-rising	LM ? LH ?	/bo/ ‘pig’
mid-rising	MH ?	/tʂ ^h æ/ ‘deer’

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The second column of Table 2.1 proposes a first-pass analysis of the three patterns into level tones: L(ow), M(id), H(igh), and their combinations. Justification for the use of a level-tone analysis comes from morphophonological alternations in which the tones partake. Evidence of this will be provided in the course of the analysis. The question-mark in the column ‘preliminary label’ is intended to emphasize that these labels were given in a first pass; some were modified later on in the course of the analysis, as will be explained below. At this initial stage, the essential information is that provided in the leftmost column in Table 2.1, describing the three patterns as follows: a non-rising, non-low pattern; a low-rising pattern; and a mid-rising pattern.

The three surface patterns for monosyllables are the same for other word classes, such as verbs and adjectives. The restrictions on the tones of monosyllables spoken in isolation are the following.

- (i) There are no examples of falling contours.
- (ii) There is no opposition between a high tone and a mid tone: only one type of non-low, non-rising tone is observed. Its realizations occupy the entire upper part of the tonal space, varying from mid to high, with a flat or falling contour. The choice of the label M (rather than H) for this pattern will be explained further below, at the stage of phonological analysis.
- (iii) There is only one contour that starts on a low pitch. Using level-tone labels, this observation can be stated as follows: there is no opposition between LM and LH.
- (iv) There are no examples of low, non-rising tones.

Over disyllabic nouns, seven patterns are observed, as shown in Table 2.2. Since lexical roots are monosyllabic, disyllables result from various processes, such as the lexicalization of the female gender suffix /-mi/ in ‘dog’ and ‘sow’ (this suffix will be studied in detail in §5.1.2). At the present point in the exposition, the aim is to propose a static inventory including all attested tonal categories of disyllabic nouns, irrespective of their internal structure.

Table 2.2 includes two unattested combinations, marked with a double dagger (‡) in the *preliminary label* column. If the tone of the first syllable is non-low, there are four observed tonal patterns on the second syllable: low; mid; high; or mid-rising. If the tone of the first syllable is low, there are three attested patterns on the second syllable: low-rising; mid; or mid-rising.

The restrictions on the distribution of tones on disyllables can be described in static terms as follows.

Table 2.2: Tone patterns attested over disyllabic nouns spoken in isolation.

1 st syllable	2 nd syllable	preliminary label	example
non-low	low	M.L ?	/d̥a.ji/ ‘mule’
non-low	low-rising	‡ M.LM	–
non-low	mid-rising	M.MH ?	/hwɤ.li/ ‘cat’
non-low	mid	M.M ?	/po.lo/ ‘ram’
non-low	high	M.H ?	/hwæ.t͡sæ/ ‘squirrel’
low	low	‡ L.L	–
low	low-rising	L.LM ? L.LH ?	/k ^h y.mi/ ‘dog’
low	mid-rising	L.MH ?	/õ.dv/ ‘wolf’
low	mid (or high)	L.M ? L.H ?	/bo.mi/ ‘sow’

- (i) Only two tones contrast on the first syllable: low and non-low. There can be no contour on the first syllable.
- (ii) A non-low tone cannot be followed by a low-rising tone.
- (iii) A disyllable cannot be low throughout.
- (iv) There is no contrast between a low+mid pattern and a low+high pattern.

There are also strong limitations on tone patterns over three syllables: only twelve patterns are attested. The data in Table 2.3 is from trisyllabic nouns whose degree of lexical integration is even greater than that of disyllables, ranging from transparent compounds, such as ‘Year of the Dragon’ and ‘Year of the Serpent’, to fully undecomposable words, such as ‘lips’. (A hyphen is placed between the two parts of decomposable compounds.) In the same way as for disyllables, the focus here is on the static inventory; the rules relating the tones of compounds to those of their components will be analyzed later (in Chapter 3).

Since there is a three-way opposition on the second syllable, these are labelled as ‘low’, ‘mid’ and ‘high’, whereas for the first syllable, where there is no opposition between mid and high, they are simply labelled ‘low’ and ‘non-low’.

In view of the information in Tables 2.1-2.3, the following generalizations can be proposed:

- (i) A mid (non-low) tone can be followed by one of four tones: low, mid, high, or mid-rising.

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Table 2.3: Tone patterns attested over trisyllabic nouns spoken in isolation.

1 st σ	2 nd σ	3 rd σ	preliminary label	example	meaning
non-low	mid	mid	M.M.M ?	dʒɹ.q^hwɹ.tʃe	awl
non-low	mid	low	M.M.L ?	mɥ.gɥ-k^hɥ	Year of the Dragon
non-low	mid	high	M.M.H ?	njo.bi.li	lips
non-low	mid	mid-rising	M.M.MH ?	bɥ.zɥ-k^hɥ	Year of the Serpent
non-low	low	low	M.L.L ?	mo.jo.mi	owl
non-low	high	low	M.H.L ?	æ.tse.p^hæ	kneebone
low	low	mid	L.L.M ?	t^ho.k^hɥ.mi	male dog
low	low	low-rising	L.LLM ?	dʒu.na.mi	wilderness
low	mid	mid	L.M.M ?	t^ha.zwæ.mi	donkey
low	mid	high	L.M.H ?	æ.li.p^hæ	mirror
low	mid	mid-rising	L.M.MH ?	bi.p^hɥ-dʒu	flood
low	mid	low	L.M.L ?	bæ.bɥ-bɥ	ladybird

- (ii) A low tone can be followed by low, low-rising, mid, or mid-rising.
- (iii) A high tone can only be followed by a low tone.
- (iv) Non-final syllables never carry a contour.
- (v) An entire word cannot carry low tone on all of its syllables.
- (vi) There can never be a trough: a tone surrounded by higher tones (non-low followed by low followed by mid, for instance).

From the above data alone, it is not yet possible to know whether these generalizations concern the level of the word, the phrase, or entire sentences, since “words produced in isolation are minimal utterances showing both lexical and utterance-level (post-lexical) features” (Himmelman 2006: 164). To preview the results of later analysis, the relevant domain is a unit between the word and the utterance, which could be called a phonological phrase. The term adopted here is *tone group* because the defining characteristic of this phonological unit is that it serves as the domain of tonal processes. Tone groups are discussed in detail in Chapter 7.

A dynamic approach to the tone categories of nouns sheds light on the above generalizations about static inventories.

2.2 A dynamic view, bringing out the underlying tonal categories

A dynamic view brings out six underlying tonal categories for monosyllabic nouns, and eleven categories for disyllabic nouns. While reading through the following section, the reader may want to make an occasional leap forward to Table 2.7, which presents a synthetic overview of the full picture of the tone system for nouns as it finally emerges from the analysis.

2.2.1 Monosyllabic nouns: six tonal categories

It was mentioned above that there are three patterns for monosyllables spoken in isolation: low-rising; non-low; and mid-rising. The set of nouns realized as non-low in isolation is not homogeneous, however. Take, for example, the behaviour of /jo/ ‘sheep’, /zɰwæ/ ‘horse’ and /la/ ‘tiger’, all of which are realized with a non-low tone in isolation. In association with the copula, these yield: /jo↓ jɰi/ ‘is (a/the) sheep’, with low tone on the noun and rising tone on the copula; /zɰwæ↓ jɰi/ ‘is (a/the) horse’, with mid tone on the noun, and high tone on the copula; and /la↓ jɰi/ ‘is (a/the) tiger’, with mid tone on the noun and low tone on the copula. Since the morphosyntactic context is the same, these three words must be considered to be representatives of three different lexical tone categories. These three tone categories all neutralize to non-low when the noun is spoken in isolation.

The set of nouns realized as low-rising in isolation is not homogeneous either. Although differences do not surface in combination with the copula, as they do for non-low nouns, in other contexts, such as object-plus-verb combinations, /zæ/ ‘leopard’ and /bo/ ‘pig’ have different behaviours. For example, ‘has bought leopards’ is /zæ↓ hwæ↓-ze↓/, with a L tone on the PERFECTIVE suffix, whereas ‘has bought pigs’ is /bo↓ hwæ↓-ze↓/, with M tone on the suffix.

To sum up, out of the three surface patterns on monosyllables in isolation, only one (MH) corresponds to a single phonological set: all the words realized with MH tone in isolation have the same tone pattern in a given morphosyntactic context. The two others constitute the neutralization of underlying categories: the low-rising contour corresponds to two underlying categories, and the non-low tonal realization corresponds to three categories (see Table 2.7a). A dynamic view thus brings out six tonal categories of monosyllables.

2.2.2 **Disyllabic nouns: eleven tonal categories**

The same procedure as above was also applied to disyllabic nouns, i.e. looking at the behaviour of nouns in different morphosyntactic contexts, in order to find out how many tone categories need to be distinguished.

It was discovered that the nouns realized with a M.M pattern in isolation belong to two distinct categories: one after which the copula carries L tone, and one after which the copula carries H tone. One set is illustrated by /**po**·**lo**·**ni**·/ ‘ram’, /**po**·**lo**·**ni**·/ ‘is (a/the) ram’. The other is illustrated by /**zwa**·**zo**·/ ‘colt’, /**zwa**·**zo**·**ni**·/ ‘is (a/the) colt’.

Likewise, the nouns realized with a M.H pattern in isolation make up two distinct sets, the one illustrated by /**ky**·**se**·/ ‘flea’, /**ky**·**se**·**ni**·/ ‘is (a/the) flea’, the other by /**hwa**·**tsæ**·/ ‘squirrel’, /**hwa**·**tsæ**·**ni**·/ ‘is (a/the) squirrel’.

Finally, the nouns realized with a L.M pattern in isolation (which could also be transcribed as L.H: there is no opposition between a L.M pattern and a L.H pattern, as mentioned above) fall into no less than three categories. These three categories are brought out by intersecting evidence from two contexts: with a following copula, and with a following possessive, as shown in Table 2.4. Addition of the copula sets apart a category exemplified by ‘Naxi’, after which the copula receives H tone. Addition of the possessive sets apart a category exemplified by ‘boar’, which depresses the tone of the possessive to L, as opposed to its realization as M for the other words. While the evidence used to bring out the tone categories is morphotonological – looking at the behaviour of nouns in context –, the tone categories must be described as lexical, since the difference in the surface-phonological tone strings shown in Table 2.4 must be ascribed to a difference between the lexical items at issue, and hence, to a difference in lexical tone category.

In total, this yields eleven tonal categories of disyllables.

Table 2.4: Examples illustrating the existence of three tone categories of nouns neutralized to L.M in isolation.

in isolation	meaning	with copula	with possessive
/ bo · mi ·/	sow	bo · mi · ni ·	bo · mi · by ·
/ bo · ta ·/	boar	bo · ta · ni ·	bo · ta · by ·
/ na · hi ·/	Naxi	na · hi · ni ·	na · hi · by ·

2.3 Phonological analysis of the tone categories of nouns

As reported in the preceding paragraphs, a number of tonal categories were brought out on the basis of their different behaviour in various morphosyntactic contexts. The phonological analysis of these categories is up against an issue of circularity, since the tone categories of the simplest units – monosyllabic nouns – can only be brought out by examining their combinations with various other morphemes whose tone categories, at this stage, have not been analyzed either. In practice, however, bootstrapping is often required when analyzing a new language variety: groping for a correct analysis by trial and error.

A step forward in the analysis of the tones of nouns was made possible by progress in the analysis of the tones of other morphemes. Through an analytic process set out further below, it was realized that the copula carried lexical L tone, and that the possessive carried lexical M tone. On this basis, it became possible to propose a phonological analysis for each of the tone categories of nouns.

The two tonal categories of nouns illustrated by /**la**↓ **ji**↓/ ‘is (a/the) tiger’ and /**zwæ**↓ **ji**↓/ ‘is (a/the) horse’ were analyzed as follows. In the first case, the copula surfaces with its own lexical tone. ‘Tiger’ represents the simplest case, analyzed as having lexical M tone, a phonological tone identical with the surface tone in this context. (The same analysis is proposed for the category of disyllables illustrated by /**po**↓ **lo**↓/ ‘ram’.) In the second case, ‘horse’, the copula surfaces with a H tone which must be supposed to be projected onto it by the noun. ‘Horse’, therefore, exemplifies a tone category characterized by a H tone which can only surface on a following syllable: a floating H tone. This phenomenon warrants a separate subsection to explain the motivation for using this label.

2.3.1 A floating H tone

2.3.1.1 Theoretical backdrop: a quick introduction to floating tones

Floating tones, sometimes called vowelless tones (Goldsmith 2002: 84), are entities postulated to explain categorical modifications of the tonal string. Floating tones do not surface directly, but affect the tones of neighbouring morphemes. For instance, the definite article in Bamana (Mande subgroup of Niger-Congo) has a floating L tone as its signifier, illustrated in (1-2). The examples are reproduced as (1a) and (2a), with tone indicated by means of accents; equivalents using tone letters are provided as (1b) and (2b).

2 The lexical tones of nouns

- (1) a. Mùsò tɛ́ yàn
 woman NEG.be here
 ‘There is no woman/there are no women here.’
- b. Mu|so| tɛ̃| yan|
 woman NEG.be here
 ‘There is no woman/there are no women here.’
- (2) a. Mùsò-` tɛ́ yàn
 woman-ART NEG.be here
 ‘The woman is not here.’
- b. Mu|so|- | tɛ̃| yan|
 woman-ART NEG.be here
 ‘The woman is not here.’

In (2), the floating L tone triggers two changes: (i) contact between the L tone of the noun ‘woman’ and the floating L tone of the definite article triggers the insertion of a H tone at the end of the noun, due to a general rule inserting a buffer high tone between two low tones, hence /**mùsò**/ (/L.H/) instead of /**mùsò**/ (/L.L/); and (ii) downstep¹ of the H tone of the following morpheme, the negation /**tɛ́**/.

Comparative evidence typically reveals that floating tones originate in the reduction (segmental ellipsis) of a syllable. The Bamana article is considered to originate in *-ò; the full form (a vowel and a tone) “is still attested in numerous varieties spoken on the geographic periphery of the Manding area: Mandinka, Xa-sonka, Worodugukan, Marka-Dafin, some Kagoro dialects” (Vydrin 2016). These phenomena are firmly attested in the Bantu subgroup of the Niger-Congo family.

A purely tonal morpheme occurs when a morpheme that was segmentally expressed, as a syllable carrying a tone, is reduced to a mere tone, and is only manifested through its association with another morpheme. This is not the only scenario, however: “floating tone” is also employed to refer to cases where a morpheme loses one of its syllables and thereby acquires a floating tone. For instance, bisyllabic verbs are postulated for earlier states of the Igbo language; later “the syllabicity of the final syllables is lost, leaving floating tones, which (...) shift to the left and knock the first tones off in front of the verb morpheme” (Hyman & Shuh 1974: 94).

¹ Downstep is a distinctive lowering of tone; about the history of this notion, see Rialland (1997). Downstep is not indicated in the example transcript, because this it is a phonological consequence of the presence of a floating L tone. Alternatively, one could transcribe the downstep – the usual convention is as an exclamation mark – instead of the floating L: /**Mùsò !tɛ́ yàn**/.

Concerning the choice of terms, Voorhoeve (1967: 424) used the labels “presegmental tonemes” and “postsegmental tonemes”; he was still looking for an adequate cover term for both sets, and seems to have been aware of the awkwardness of the pleonastic label “nonsegmental tonemes”, which he grazed (but avoided) by using “nonsegmental H and L”. He put forward the notion of *floating tones* in 1971. In the early 1970s, tonologists still felt that it was necessary to enclose this recently coined term in quotation marks at first occurrence, as in the following commentary about Fe’Fe’ (Bantu, Niger-Congo):

[In the word ‘pot’ /càg ‘/] an earlier high tone suffix was present. Historically, there was an accompanying vowel, but synchronically, a mere “floating” high tone is posited. ... this high tone causes the preceding L tone to raise to a raised-low tone via the process of low-raising. (Hyman & Shuh 1974: 86)

The term gradually caught on, and is currently standard in Bantu studies.

In most Grassfields languages [a subgroup of Bantu], the segmental morphology has been greatly simplified. In the historical development of the Grassfields languages, many of the lost segments and syllables have given rise to what are known in this literature as “floating tones”. The tones originally linked to the lost syllables and segments persisted and morphed into the current tonal systems. In some Grassfields languages, these floating tones have largely been lost. However, in others, such as Medumba, they are still robust. (Franich, O’Connor & Barnes 2012: 33)

Carry-over of the notion of floating tone to one of the lexical H tone categories of Yongning Na is motivated by the fact that the Na tone at issue is never realized over the word to which it is lexically attached: this H tone can only be anchored to a following morpheme. “Floating tone” is used in the present volume as a synchronic concept, “the concept of *floating* having here the meaning of non-realised tones in an isolated context” (Somé 2000: 61).

An important caveat is that the use of this concept borrowed from the field of Bantu tone studies does not imply the same diachronic analysis as proposed for floating tones in Bantu, where all floating tones are assumed to originate in the segmental ellipsis of a syllable. Floating tones in Bantu result from the loss of segmental materials that were formerly present; by contrast, in Yongning Na, comparative evidence (set out in §2.3.1.3) suggests that the evolutionary mechanism at play was different.

2.3.1.2 **The synchronic facts about the floating tone in Yongning Na**

An example illustrating the floating H tone category of Yongning Na is the monosyllable for ‘horse’, realized in isolation as /zɰwæ↓/. The word for ‘colt’, realized in isolation as /zɰwæ↓zo↓/, offers a neat opportunity to extend the analysis to disyllables: the H tone that appears in /zɰwæ↓zo↓ji↓/ ‘is (a/the) colt’ is interpreted as reflecting the floating H tone lexically attached to the noun ‘colt’, in a way that is exactly parallel to /zɰwæ↓ji↓/ ‘is (a/the) horse’.²

Since this floating H tone is the only type of H tone that may be lexically attached to a monosyllable, it is convenient to transcribe it as a simple H tone on monosyllabic nouns in the glossary and in examples within this volume: e.g. ‘horse’ is transcribed as //zɰwæ↓//. For disyllables, however, there is an opposition between this floating H tone and a word-final H tone (as in /hwæ↓tsu↓/ ‘rat’). This complexity of syllabic anchoring makes it necessary to use a nonstandard symbol: a symbol not used in the International Phonetic Alphabet. Desperate tones call for desperate measures. The pound symbol # was chosen to stand for the end of a lexical word, adopting notation of the word as /zɰwæ↓zo↓#/, and of the tonal category as #H.

To repeat this important point with another example, the #H-tone word ‘little brother’ and the M-tone word ‘little sister’ have the same tonal pattern in isolation (M on both syllables: /gi↓zu↓/ ‘little brother’, /go↓mi↓/ ‘little sister’), but the former yields /gi↓zu↓ji↓/ ‘is little brother’ (tone sequence: M.M+H), the latter /go↓mi↓ji↓/ ‘is little sister’ (tone sequence: M.M+L). The analysis proposed is that ‘little brother’ has a final H tone which remains unassociated unless it can associate to a following syllable: a H tone that is floating at the end of the word. The association of this floating H tone requires specific morphosyntactic conditions. For instance, the possessive, as a clitic, is not a suitable host for a floating tone; it receives M tone (by default), leaving the H tone unassociated (and thus not surfacing in the resulting pattern), hence /gi↓zu↓by↓/ ‘of (a/the) little brother’, tonally identical to /go↓mi↓by↓/ ‘of (a/the) little sister’.

² Noun-plus-copula combinations behave tonally like object-verb combinations, of which a detailed account is presented in Chapter 6. The rules yielding the surface-phonological tone pattern are morphosyntactically conditioned: not all combinations of a #H tone and a L tone yield a /M ... M.H/ sequence.

2.3.1.3 The floating H tone of Yongning Na corresponds to an overt H tone in neighbouring dialects

Observations on a neighbouring dialect, that of the village of /p^hvɿdzo#ɿ/ (in Chinese: Labai 拉伯村), offers indirect supporting evidence for the H tone postulated for the Yongning Na tonal category illustrated by ‘horse’. My only contact with the Labai dialect so far has been through two work sessions with Mr. Lamu Gatusa, a researcher at the Academy of Social Sciences in Kunming. (His name in Na is /laɿmbvɿ kvɿt^hvɿsaɿ/, 拉木·嘎吐萨; his pen-name is Shi Gaofeng 石高峰.) The monosyllables of Yongning Na analyzed as having a (floating) H tone correspond to monosyllables with H tone in Labai. Examples are presented in Table 2.5. When a word is spoken in isolation in Yongning Na, the H tone does not surface (/M// and /#H// are neutralized to /M/), whereas it is realized as H in Labai. The #H::H correspondence among monosyllables is the tonal correspondence that has the most examples. The only exceptions are (in the order Labai::Yongning) **hō::lo** for ‘rib’ and **hǎ::hǎ** for ‘gold’, for which no explanation can be offered at present. The M::M correspondence is also widely attested; for monosyllables, it is exceptionless: all the monosyllables that belong to the M tone category in Yongning Na correspond to M-tone monosyllables in Labai. (A recording of some of the words is available online from the Pangloss Collection; the title of the resource is: M28_Vocabulary.)

On disyllables, the floating H tones of Yongning correspond to H tones in Labai. Disyllables that have M tone in Yongning likewise carry M tone on both of their syllables in Labai; disyllables that have #H tone in Yongning have a H tone on their second syllable in Labai. Some examples are provided in Table 2.6.

Another neighbouring dialect, that of the village of Wujiao 屋脚, just across the border with the county of Muli in Sichuan (四川凉山州木里县屋脚乡), yields similar correspondences: lexical field notes kindly communicated in 2012 by Xu Duoduo 许多多, then a graduate student at Tsinghua University, reveal that words that belong in the #H tone category in Yongning Na (neutralized with M in isolation) have an overt H tone in Wujiao. This H tone is phonetically transcribed by Xu Duoduo as ⁵³ (high-to-mid) on the basis of commonly observed realizations in isolation, but in the absence of a ⁵⁵ (high level) tone in her notes this can confidently be interpreted as a H tone. Here is a handful of examples: /k^hv⁵³/ ‘dog’; homophone: ‘to steal’; ‘garlic’, /kv⁵³/; and ‘hair’, /h^v53/. No counterexample has been found.

The above comparisons provide neat confirmation from comparative evidence for the H tone category independently postulated for Yongning Na. Needless to say, the tonal correspondences between Yongning, Labai and Wujiao call for

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Table 2.5: Examples illustrating the #H::H tone correspondence for monosyllabic nouns between Yongning and Labai.

gloss	Yongning		Labai
	/in isolation/	//underlying form//	
earth	tʂe ↓	tʂe #↓	tɕi ↓
hail	dzo ↓	dzo #↓	dzo ↓
sky	mɤ ↓	mɤ #↓	mɤ ↓
fire	mɤ ↓	mɤ #↓	mi ↓
star	ku ↓	ku #↓	ku ↓
snow	bi ↓	bi #↓	mbi ↓
pond	ɕwæ ↓	ɕwæ #↓	ŋɕwæ ↓
canal	q^hæ ↓	q^hæ #↓	q^hæ ↓
urine	dzu ↓	dzu #↓	ŋɕzu ↓
gall	ku ↓	ku #↓	ku ↓
blood	sɣ ↓	sɣ #↓	sɣ ↓
head	ɣo ↓	ɣo #↓	ɣo ↓
Pumi	bɣ ↓	bɣ #↓	bɣ ↓
man	zo ↓	zo #↓	zo ↓
bronze	æ ↓	æ #↓	æ ↓
salt	ts^he ↓	ts^he #↓	ts^he ↓

a systematic investigation, based on much more extensive data than has been collected so far. The tone system of Labai warrants an in-depth study in its own right, and a detailed comparison with Yongning. The tone system of Wujiao appears at first blush to be more similar to that of Yongning, but nonetheless with enough differences to warrant a monographic description.

2.3.1.4 The creation of floating H tones: a consequence of phonotactic constraints?

The correspondence between floating H tones in Yongning and overt H tones in Labai raises the issue of whether an earlier overt H tone became floating in Yongning, or conversely, an earlier floating H tone became an overt H tone in Labai. Cross-linguistically, the more common scenario is that of overt tones being set afloat by changes in metrical structure. For instance, in Manding languages (Mande branch of Niger-Congo), it has been hypothesized that, at one stage of

Table 2.6: Examples illustrating the M::M and #H::MH tone correspondences for disyllabic nouns between Yongning and Labai.

correspondence	meaning	Yongning	Labai
M::M	little sister	go-lmi↓	go-lmi↓
	ancestor	ə-lp ^h v↓	ə-lp ^h ə↓
	Bai	fi-lbv↓	li-lbv↓
	mother	ə-lmi↓	ə-lmi↓
	body	gv-lmi↓	gv-lm ^v v↓
	heel	m ^v -l ^t hu↓	mi-l ^t hu↓
	thigh	do-lbæ↓	do-lbæ↓
	buttock	do-lbv↓	do-lbv↓
	nostril	ni-lq ^h v↓	ni-lq ^h ə↓
	back	gv-l ^d v↓	gv-l ^d v↓
	breast	ka-lp ^v ↓	ŋga-lp ^v ↓
	belly	bi-lmi↓	bi-lmi↓
	plait	hæ-lp ^r ↓	hæ-lp ^r ↓
	sun	ni-lmi↓	ni-lmi↓
	moon	fi-lmi↓	li-lmi↓
	stone	lv-lmi↓	lv-lmi↓
	powder	t ^s a-lb ^r ↓	t ^s a-lmba↓
	hot spring	ɬ-lq ^h v↓	ə-lq ^h ə↓
	paddy field	ci-l ^v ↓	ʂu-l ^v ↓
	#H::MH	little brother	gi-lzu#↓
grandson		z ^v -l ^v #↓	z ^v -l ^v ↓
granddaughter		z ^v -lmi#↓	z ^v -lmi↓
sole		mi-lb ^r #↓	mi-lb ^r ↓
nose		ni-lg ^r #↓	ni-lŋg ^r ↓
craftsman		po-l ^d zu#↓	po-l ^d z ^v ↓
forehead		to-lk ^r #↓	to-lk ^r ↓
host		da-lp ^v #↓	nda-lp ^v ↓

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language evolution, there were heavy syllable rhymes, VV or VN, which could carry up to two tonal levels, and that, at a later stage exemplified by Bambara, the distinction between heavy rhymes and light rhymes was lost, and the second tone on former heavy rhymes became floating (Creissels & Grégoire 1993).

Assuming that in Naish languages too the evolution was from overt tones to floating tones, this section ventures a speculative scenario about the evolutionary processes whereby an earlier overt H tone could become floating in Yongning.

A specificity of Yongning Na, as compared with Labai, is the prohibition of tone-group-initial H tone. A consequence of this exceptionless rule is that, at the surface-phonological level, it is impossible to have H tone on a monosyllable said in isolation, or tone patterns H.M, H.MH, H.L or H.H on disyllables said in isolation.

This synchronic fact might shed light on the diachronic origin of the floating H tone in Yongning Na. The proposed scenario is the following: there used to be a *H tone on monosyllables, and a *H.M pattern on disyllables. As the number of possible contrasts over a group-initial syllable collapsed from three (H, M, L) to two (M, L), the opposition between tone categories M.M and H.M for disyllables, and M and H for monosyllables, was threatened. Of course any merger would have been possible:

The linguistic system, with its myriad phonetic and semantic pressures effecting changes simultaneously and at times antagonistically, always emerges functionally unscathed, its semantic clarity intact. (Silverman 2015: 697)

Still, it is not unreasonable to hypothesize that the functional yield of the M-vs.-H tonal opposition was high enough in Na that there was some pressure to avoid a simple merger (a loss without compensation). Seen in this light, shifting the threatened H tones from their position on the first syllable of the word was a response that preserved the lexical oppositions. For monosyllables, there was no extra syllable available for the initial H tone to move to; this resulted in a floating H tone. For disyllables, reassociation of the initial H tone to the second syllable would have caused confusion with the M.H category (characterized by a H tone attached to the last syllable). Instead, the H tone became floating; this preserved the lexical distinctions, and also preserved a parallel with monosyllables, such that all former word-initial H tones were now floating H tones.

This scenario supposes that, at the time of the change, the rest of the system was as it is now: that the present-day M and H# categories have not changed their nature since then. This would suggest that the creation of floating H tones

is a relatively recent event in the evolution of the prosodic system of Yongning Na.

2.3.2 Word-final H tone and the ‘flea’ H tone category

It was mentioned above that the words ‘squirrel’ and ‘flea’, realized with a M.H pattern in isolation (as /hwæ-|tsæ/ and /kʷ-|ʂe/), respectively), have different underlying tones.

The former has a simple tonal behaviour: its H tone attaches to the last syllable of the lexical word. This is where it appears in all contexts. Under the present analysis, the first syllable of the word receives a M tone by default, yielding a surface-phonological M.H pattern.³

The latter, on the other hand, is much more elusive. ‘Flea’ is a fitting example word for the tone category to which it belongs, serving as mnemonic of its propensity to hop around with less predictability than its host could hope for. When a word carrying this tone is pronounced in isolation, the H tone associates to its last syllable: /kʷ-|ʂe/ ‘flea’ has a M.H tone sequence at the surface-phonological level. When the copula is added, the result is /kʷ-|ʂe-|ji/ ‘is (a/the) flea’, with H tone on the copula; this is the same surface-phonological pattern that is observed with the floating H tone, as exemplified by /gi-|zuu-|ji/ ‘is (a/the) little brother’. When the noun is followed by the possessive, no H tone reaches the phonological surface: the observed form is /kʷ-|ʂe-|bɣ-|/ ‘of (a/the) flea’, with M tone on both syllables of the noun and also on the possessive. Again, this pattern is the same as that observed with the floating H tone: /gi-|zuu-|bɣ-|/ ‘of (a/the) little brother’. This raises the issue of the mode of association of the ‘flea’ H tone. It does not sit on the lexical word’s last syllable; and it does not float in the same sense as the ‘little brother’ (#H) tone, which is never realized on the word to which it is lexically associated.

One possibility that was entertained in previous reflections about this type of H tone is that its association could be specified relative to a unit higher than the word. In Na, the syllable is the smallest relevant unit for tonal association and the tone-bearing unit at the surface-phonological level, and the tone group is the highest relevant unit: successive tone groups are entirely independent from the

³ This pattern might also be analyzed as consisting of a MH contour associated to the first syllable, contrasting with the tone category MH#, in which the MH contour is associated to the last syllable (for example: /hwɣ-|li/ ‘cat’). There is no evidence that the tone at issue consists of a MH contour, however. The analysis adopted here is therefore as a lexical-word-final H tone, transcribed as H#.

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point of view of their phonological tones. In-between these two levels, one may propose to distinguish additional levels:

- the lexical word, to which tone categories are lexically associated
- the tonal word: a combination of lexical words, such as noun plus verb in S+V or O+V combinations, and noun plus noun in compounds
- the tonal phrase: a tonal word plus any added clitics and affixes

It was proposed in an article written in 2013 and published in 2015 that the ‘flea’ H tone attached to the right edge of the tonal phrase. While lexically associated to a word, this type of H tone would hop all the way to the right of this higher prosodic domain. In cases where the last syllable in the tonal phrase is a suitable host, the H tone attaches to it; otherwise, such as in the case of the possessive suffix, the H tone remains unassociated, and does not make it to the surface-phonological level.

Upon further reflection, the search for a defining characteristic of the ‘flea’ H tone category with respect to a given level in the prosodic hierarchy does not appear as a promising strand of analysis. This tone has a propensity to be realized later than the word to which it is lexically associated, but it shares this behaviour with the floating H tone. For instance, the main consultant’s family name has the ‘flea’ H tone; when spoken in isolation, it is realized as /la-t^ha-mi/; when the ASSOCIATIVE clitic / =ɟ/ is added to it, it yields /la-t^ha-mi+=ɟ/ ‘the Latami family; the Latamis’; and addition of the A/TOP suffix /-ŋu/ yields /la-t^ha-mi+=-ŋu/ ‘by the Latami family’. Impressionistically, this may seem to be typical of the behaviour of the ‘flea’ tone: hopping, or gliding, all the way to the right edge of the tonal phrase, as the morphotonological opportunity for it arises. But this behaviour is not a defining characteristic of the ‘flea’ H tone category: the floating H tone yields the same results in association with these added syllables. The behaviour of the various lexical tones in combination with other morphemes, as set out in table form in Chapters 2 to 6, provides ample evidence that metaphorical descriptions of the tones (as semi-personified entities) will not take us very far. It would be misleading to build a narrative account of the process whereby the noun’s H tone would ‘hop’ or ‘glide’ onto the verb. The synchronic reason that the copula receives H tone when following the ‘flea’ H tone is because there is a morphotonological rule to that effect.

It does not appear feasible to pinpoint the exact phonological nature of the ‘flea’ H tone category by proposing one defining characteristic. Instead, it is more appropriate to view it first and foremost as one of the tones within the

system, defined by the set of oppositions in which it enters in the full range of morphotonological contexts. The special feel of a tone can warrant giving it a nickname, as a convenient label, referring to the ‘little brother’ tone (#H) as a *floating* H tone, and to the ‘flea’ H tone as a *gliding* H tone, for instance; but this label should not be mistaken for a definition. The symbol chosen for transcribing the ‘flea’ H tone is H\$, where the dollar sign ‘\$’ is added to distinguish this tone from the other two lexical H tones: H# (lexical-word-final H tone) and #H (floating H tone). The choice of an arbitrary symbol (the dollar sign) is intended to reflect the abstract nature of this tone category, as one of the distinctive tones within the Yongning Na system.

This category is admittedly small, with only forty-five example words in the dictionary (Michaud 2015a) as against 180 examples for the word-final H tone category, H#, and 345 examples for the floating H tone category, #H. But it is firmly attested, and there are productive rules of compounding, prefixation and suffixation that feed into this category (as will be set out in later chapters).

To sum up, disyllabic (and polysyllabic) nouns with H tone must be divided into three categories, labelled H#, H\$ and #H. A H tone on the last syllable of a disyllabic or polysyllabic noun may have different origins. It may be the realization of a High tone that is anchored to the last syllable of the lexical word: H#. Or it may be the realization of H\$ tone. It is impossible to distinguish these in isolation. The third of these categories – #H – denotes a noun that carries a floating H tone. In order to find out the underlying tones of words, they have to be heard in various contexts. For nouns, these are: tone-group-final position (as when they are spoken in isolation); tone-group-internal position; and when followed by a suffix such as the possessive. (On the notion of tone group: see Chapter 7.) The lexical tone can be identified with certainty by matching up the behaviour of the word in these various contexts.

2.3.3 An added complexity concerning L tone: a postlexical rule for all-L tone groups

‘Sheep’, realized in association with the copula as /joɭ ni/ (i.e. with a low-rising contour on the verb), is a case where the noun’s phonological tone is hypothesized to surface as such: a L tone. A slight complexity is that the copula surfaces with a low-rising tone. This makes sense in view of the exceptionless observation that an entire utterance cannot carry low tone on all of its syllables. The sequences L+L (monosyllabic noun+copula) and L.L+L (disyllabic noun+copula) cannot surface as such, due to a general prohibition against all-L tone groups in Yongning Na. The contour observed at the end of a sequence of L tones is

interpreted as resulting from the post-lexical addition of an extra tone. The same applies to the tonal category of disyllables exemplified by /k^hɣ̌jmi/ ‘dog’.

Concerning the transcription of low-rising contours, the choice between notation as LM or LH could appear as a nonissue, insofar as there is no contrast (at the surface-phonological level) between LM and LH contours. But in the case of /jǒǰni/ ‘is (a/the) sheep’ or /k^hɣ̌jmi/ (realization of ‘dog’ in isolation), there is a language-internal argument for analyzing the endpoint of the contour (a post-lexical tone) as H rather than M. As will be set out in §2.4.3, the M tone in Na is a phonologically inert tone; if the postlexical tone added to all-L sequences were M, this would be the only instance of rule leading to the association of a M tone to a syllable that is already linked to another tone. The postlexical tone added at the end of a sequence of L tones is therefore analyzed as H, and the rising contour found in ‘dog’, ‘wilderness’, ‘... is a sheep’ will hereafter be written as LH, hence /k^hɣ̌jmi/, /džǔǰnǎǰmi/ and /jǒǰni/, respectively.

2.3.4 Contour tones as sequences of level tones

As mentioned in the static overview presented earlier, there are no phonological falling contours in Yongning Na: no syllables carry phonological tones HL, HM, or ML. Also, tone-group-initial H is never observed.

Rising contours, on the other hand, do exist. They are restricted to the last syllable of a tone group: a rising contour is not found on a non-group-final syllable, except in some special cases discussed in §7.3. The two observed contours are M-to-H and L-to-H (the latter constituting the neutralization of LM and LH in the underlying phonological form). Unlike the low-rising contour, the phonological behaviour of the mid-rising contour, MH, is straightforward. When the word is tone-group-final, the contour is realized as such: a rising tone with a non-low starting-point, e.g. in /ťs^hæ̌/ ‘deer’ and /hw̌ɣ̌li/ ‘cat’. (Note that when a word is pronounced in isolation, it constitutes a tone group on its own: the beginning of the word is also the beginning of the tone group, and the end of the word is also the end of the tone group.) When there is a following syllable within the tone group, the MH contour unfolds, projecting its H part onto that syllable. Unlike the floating High tone (#H), which cannot attach to a following clitic, the MH contour can unfold over any syllable. With the copula, this yields /ťs^hæ̌-ǰni/ ‘is (a/the) deer’ and /hw̌ɣ̌li-ǰni/ ‘is (a/the) cat’. With the possessive, it yields /ťs^hæ̌-b̌ɣ̌/ ‘of (a/the) deer’ and /hw̌ɣ̌li-b̌ɣ̌/ ‘of (a/the) cat’.

Low-rising contours, on the other hand, raise some rather subtle issues for description and analysis, addressed in the following paragraphs.

2.3.5 An alternative analysis of the //LM// and //LH// categories: could the two terms of the opposition be //LM// and //LML//?

Concerning the two categories of tones neutralized to a low-rising tone in isolation, illustrated by /zæ/ ‘leopard’ and /bo/ ‘pig’, at least two analytical options are open. Assuming (for reasons which will become clearer below) that the perfective suffix carries a M tone unless affected by what precedes, the realization of ‘has bought leopards’ as /zæ↓ hwæ↑-ze↓/, with a L tone on the suffix, vs. a M tone for ‘has bought pigs’, /bo↓ hwæ↑-ze↓/, could be put down to a floating L tone, parallel to the floating H tone found in the tone categories illustrated by ‘horse’. This tone would remain unassociated when ‘to buy (a/the) panther’ is spoken without a suffix, and associate to the suffix when one is available. The tone pattern of ‘to buy (a/the) panther’ would then be //LML//, as against a simpler //LM// pattern for ‘to buy a pig’. In turn, the difference between these two object-plus-verb phrases would be put down to a //LML// vs. //LM// tone contrast on the noun. The //LML// sequence could also be transcribed as //LM+#L//: //LM// followed by a floating L tone.

Another analytical option is suggested by the static observation that a H tone is always followed by L tones within a speech unit which is referred to here as a *tone group* (about which see full details in Chapter 7). In this light, the lowering of the tone of the PERFECTIVE suffix in /zæ↓ hwæ↑-ze↓/ ‘... has bought leopards’ could be ascribed to the presence of a preceding H tone, which depresses the tones of all the syllables that follow it: the phonological form would be //zæ↓ hwæ↓-ze↓//.⁴ In turn, the H tone carried by the verb ‘to buy’ in this construction would originate in a lexical LH tone on the noun ‘leopard’.

Of these two options, the second is currently favoured because floating L tones are not required anywhere else in the description of the language, whereas there are strong reasons to use the concept of floating H tone in the description of Yongning Na morphotonology. Also, an evolutionary scenario setting out the conditions of appearance of the floating H tone can be proposed (see §2.3.1.4), whereas positing a floating L tone would be a flatly synchronic descriptive device. Still, there is no overwhelming evidence for rejecting the analysis of the tone of the ‘leopard’ category as a sequence of three levels: LM, plus a floating L tone (LM+L#). Such cases of analytical indeterminacy are important to understanding the evolutionary potential of the system – a topic which will be taken up in Chapter 9.

⁴ The L tone on the PERFECTIVE suffix is not a phrase-level phenomenon: there are contexts where a clause-final (and utterance-final) suffix carries a tone other than L, e.g. in / ... æ↓ hwæ↑-ze↓/ ‘... bought chicken’.

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Under the present analysis, the tone categories //LM// and //LH// contrast not only on monosyllables but also on disyllables. These two tones surface in the same way except when the word is followed by a clitic. For instance, //boJmi-/ ‘sow, female pig’ and //boJta/ ‘boar, male pig’ are realized with the same surface-phonological tone pattern, not only in isolation but also when followed by the copula: /boJ-mi-ɲi/ ‘... is (a/the) sow’, /boJ-ta-ɲi/ ‘... is (a/the) boar’ (these could also be transcribed as /boJ-miɲ/ and /boJ-taɲ/: in Yongning Na, L.M.L and L.H.L never contrast with each other at the surface-phonological level). The contexts that can disambiguate their tone pattern are exemplified by /boJmi-bɲ/ ‘... of (a/the) sow’ vs. /boJ-ta-bɲ/ (which could also be transcribed /boJ-taɲ-bɲ/) ‘... of (a/the) boar’: in the latter expression, the possessive clitic //bɲ// receives L tone.

At first, the differences observed across speakers had led to the hypothesis that the word for ‘boar’ may be an exception (Michaud 2008b: 191). But the existence of the opposition was later confirmed in the speech of the consultant of reference, F4, in elicited combinations and also in narratives.

For the first category, ‘sow’, the analysis of the tone pattern as LH is ruled out: if one were to transcribe this as /boJ-mi/, then one would have to transcribe the form with the possessive as /ɰ boJmi-bɲ/ ‘... of (a/the) sow’, since the possessive surfaces with the same tonal level as the noun’s second syllable; but the tone sequence H+H is never observed elsewhere in Yongning Na. (By an exceptionless rule, a syllable following a H-tone syllable receives L tone; this will be referred to in Chapter 7 as “Rule 4”.) This leads us to propose with full confidence an analysis as LM.

As for ‘boar’, a phonological analysis as //boJ-ta/ makes good phonological sense, insofar as all the tones that follow are lowered to L, as expected following a H tone. When a word of this tonal category is followed by the possessive //bɲ//, the latter carries L tone; this is the same as after a disyllable with H# tone, as shown in (3a-3b), where the notation as LH for ‘boar’ is adopted.

- (3) a. hwæ-tʃsæɲ-bɲ | ‘... of (a/the) rat’
 b. boJ-taɲ-bɲ | ‘... of (a/the) boar’

In addition, all compounds involving the tone category of ‘boar’ (‘boar’s head’, ‘boar’s blood’ ...) have the same pattern, which can be described as L followed by H followed by a sequence of L tones (L.H.L..). This is again parallel to the //H#// category, where the tone pattern of all compounds is M followed by H followed by a sequence of L tones (M.H.L..).

Under the present analysis, disyllables and monosyllables both undergo a neu-

tralization of the underlying //LM// and //LH// categories when they are realized in isolation.

An alternative would be to analyze the tone pattern of ‘sow’ as //LM//, and that of ‘boar’ as //LML//. This analysis equally captures the fact that any following tones are lowered to L; in view of Rule 5 (“All syllables following a H.L or M.L sequence receive L tone”), L.M.L can only be followed by more L tones. This is the analysis that I chose at first, including in the first version of the Yongning Na glossary deposited in the STEDT project (2011). Describing this tone category as a sequence of three levels did not seem exceedingly complex at the time, in view of the complexity of other categories, such as //L+MH// and //LM+#H//. However, the latter two lexical tone categories are composed of two parts, one associating to the beginning of the word, the other to its end; //LML// would be the only pattern specifying three levels in a row. Moreover, it would be the only category for which a //ML// contour would be posited. Notation as //LH// is therefore adopted here. One may nonetheless keep in mind that an analysis as //LML// would also be possible. Such cases of analytical uncertainty do not merely constitute recondite topics for the phonologist to ponder, they also provide insights into the system’s potential for evolution, since language learners also face these competing analytical options when constructing their own phonological systems.

2.3.6 About cases of neutralization of the opposition between //LM// and //LH//: is the product /LM/ or /LH/?

Monosyllables of //LM// and //LH// tone categories, such as //boʌ// ‘pig’ and //zæʌ// ‘leopard’, are realized in isolation with the same tone: a low-rising contour. Phonetically, a low-to-mid realization and a low-to-high realization are both acceptable. My consultants sometimes corrected my productions of this tone category because the starting-point was not low enough, which entails risks of confusion with //MH//. On the other hand, they never corrected me for a mistaken endpoint (too high or too low).

In an attempt to find out to what extent there is a preference for a phonetically [Mid] or phonetically [High] endpoint for the low-rising contour, I tried fishing for corrections from consultant F4 on several occasions, producing two variants of words such as ‘pig’ and ‘leopard’, both with a low starting-point, one with what I intended as a moderate rise (approximately up to F₀ mid-range), and one which I intended as a strong, rapid rise towards a [High] final target. I asked the consultant to choose which of the two productions sounded better. The answer was always ‘both are correct’ (/ɲiʈ-bæʈ | hoʌ/: two-CLF correct).

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The choice made here is to transcribe the product of the neutralization of //LM// and //LH// as /LH/ at the surface-phonological level; but there is no decisive phonetic argument for this notation. The product of tone neutralization tends to be phonetically less definite than the product of consonantal neutralization. For instance, the opposition between coronal and retroflex stops in Yongning Na is neutralized in front of /**u**/, and the product of neutralization is clearly a retroflex: [**t^hu**] is a well-formed syllable in Yongning Na, and [**t^hu**] is not. On the other hand, the product of the neutralization of //H// and //M// in isolation occupies the entire portion of the phonetic tone space corresponding to these two tones: it is a non-low tone, and it may not prove appropriate to try to assign it a more precise phonetic label, such as either ‘high’ or ‘mid’.

2.3.7 On the anchoring of tones respective to word boundaries

The M and L tone categories of Yongning Na are hypothesized to associate to the *first* syllable of a lexical item, and to spread from there onto the entire word; as for H tone (or rather, the three categories of H tones), its association is specified respective to the *last* syllable of the lexical item. There are thus tone categories that are anchored to the beginning of the word, and others to its end. Two of the tone categories of Yongning Na have both anchorages: they are made up of two parts, the first of which is anchored at the beginning of the lexical word, and the second at its end. These two categories are //LM+#H// and //LM+MH#// (see Table 2.7b). The ‘+’ sign denotes the boundary between their first and second part. Thus the H tone in the phrase /**na**hĩ+**ni**/ ‘... is (a/the) Naxi’ is interpreted as the manifestation of a floating H tone, and the lexical tone of this category analyzed as //LM+#H//: a //LM// contour plus by a floating H tone, //H//. Likewise, //LM+MH#//, exemplified by //ō**l**dy**1**// ‘wolf’, is analyzed as a tonal category consisting of two parts: a //LM// tone, plus a final //MH// contour. In both cases, the pound symbol indicates the syllabic anchoring of the second part of these two-part tone categories: after the end of the lexical word for //LM+#H//; and at the end of the lexical word (i.e. on its last syllable) for //LM+MH#//.

These two tone categories may seem staggeringly complex, being composed of two parts each of which associates at a different end of the same word. The complexity is real, and probably goes a long way towards explaining why there are only two such tone categories in Yongning Na, and not the full range of combinations that would be theoretically possible: there is no //LM+H\$// tone, for instance. On the other hand, seen from inside the Na tone system, the behaviour of these two tone categories, //LM+#H// and //LM+MH#//, is not all that complex, insofar as it results straightforwardly from that of the constituent elements. The

tones only need to be specified as consisting of two parts, A+B, each of which takes care of its own mode of association, like A and B when they appear on their own. The mode of association of the A part of the tone (the //LM// part) in //LM+#H// and //LM+MH#// is straightforward; likewise for the B part (//#H// and //MH#//, respectively). As suggested by the ‘+’ symbol in //LM+#H// and //LM+MH#//, the complexities in these two-part tone categories add up; they do not multiply.

2.4 General observations about the system

Some generalizations emerge from the observations made above. There are three tonal levels in Yongning Na, H(igh), M(id) and L(ow). The tone-bearing unit is the syllable, more specifically the syllable rhyme. There is no distinction in terms of syllable weight, and thus no need for a decomposition into moras: any syllable rhyme, including syllabic consonants, can function as a tone-bearing unit for one or two tonal levels. Out of six theoretically possible contours (HM, HL, MH, ML, LH, and LM), only three are attested as lexical categories: MH, LH and LM, e.g. /tʂ^hæ/ ‘deer’, /zæ/ ‘leopard’, and /bo/ ‘pig’. Moreover, at the surface-phonological level, (i) contours are restricted to tone-group-final position, and (ii) LM and LH are neutralized to LH. Stated differently, each syllable within a tone group carries one of three levels: H, M or L, and the last syllable can carry one of the following: H, M, L, MH, or LH. There are no phonological falling contours (HL, HM or ML) on a single syllable, only rising contours.

The following paragraphs propose an overview of the system of lexical tones for nouns, and some reflections on its structure.

2.4.1 Usefulness of an autosegmental approach

A first general observation that can safely be made in view of the data presented so far is that tone in Yongning Na is best analyzed in terms of autosegmental models: models in which the tones are AUTonomous from the SEGMENTS (i.e. vowels and consonants). These models were originally developed for Sub-Saharan tone systems, but have been convincingly applied to certain languages of the Tibeto-Burman area (see, in particular, Hyman & VanBik 2002). The choice of these descriptive concepts is motivated by language-internal evidence; it is by no means dictated by a priori theoretical commitments. I am fortunate to be familiar with two strikingly different tone systems of Asia: that of Yongning Na, which has phonetically simple and morphophonologically complex tones; and that of

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Northern Vietnamese, which has phonetically complex and morphophonologically inert tones. To me, it is clear that Yongning Na is to be described as having a level-tone system, unlike Vietnamese in which “there are no objective reasons to decompose [...] tone contours into level tones or to reify phonetic properties like high and low pitch into phonological units such as H and L” (Brunelle 2009c; see also Brunelle, Nguyễn & Nguyễn 2010; Kirby 2010; 2011). This issue is discussed further in §10.3.1.

2.4.2 Recapitulation of the lexical tone categories

Tables 2.7a and 2.7b set out the analysis of the six tone categories of monosyllabic nouns and the eleven categories of disyllabic nouns. To date, no single morphosyntactic context bringing out all the tonal contrasts of nouns has been found: each context brings out only some of the oppositions, whereas others are neutralized. For instance, addition of the copula brings out the opposition between //M// and //H// tones (/M+L/ vs. /M+H/ for monosyllables, /M.M+L/ vs. /M.M+H/ for disyllables). This opposition is neutralized to /M/ and /M.M/ respectively in isolation. On the other hand, addition of the copula neutralizes the tonal contrasts that appear in isolation between //H//, //MH#// and //H\$/ on disyllables: all three yield /M.M+H/ with the copula, whereas they are realized as /M.M/, /M.MH/ and /M.H/ respectively in isolation. So it is necessary to elicit a word in several contexts to determine its lexical tone. Tables 2.7a and 2.7b provide information on the tone categories (i) in isolation, (ii) when followed by the copula //ɲi//, in frame (4), and (iii) when followed by the possessive clitic //bɣ+//.

- (4) $[\text{ʂ}^{\text{h}}\text{u}^{\text{t}}$ _____ ɲi
DEM.PROX target item COP
‘This is (a/the) _____.’

A recording of disyllabic nouns in frame (2) is available online; its identifier is: NounsInFrame.

This set of three contexts is sufficient to bring out all oppositions, except that between //LM// and //LH// on monosyllables, which only surfaces in a very restricted number of contexts. (As was mentioned above, §2.2.2, one such context is in association with the verb ‘to buy’: for instance, the //LM//-tone word ‘pig’ yields /boɭ hwæ-t-ze/ ‘... bought pigs’, whereas the //LH//-tone word ‘leopard’ yields /zæɭ hwæ-t-ze/ ‘... bought leopards’.)

The proximal demonstrative //ʂ^hu^t// always carries the same surface tone in (4), regardless of the tonal category of the following item; as a consequence, only

Table 2.7a: The lexical tone categories of monosyllabic nouns.

analysis	in isolation	+COP	+POSS	example	meaning
// LM //	LH	L+H	L+H	bo ↓	pig
// LH //	LH	L+H	L+H	zæ ↓	leopard
// M //	M	M+L	M+M	la ↑	tiger
// L //	M	L+LH	L+M	jo ↓	sheep
// #H //	M	M+H	M+M	zɰwæ ↓	horse
// MH# //	MH	M+H	M+H	tʂ^hæ ↓	deer

the tonal pattern of the rest of the sentence is indicated in Table 2.7a–b. On the other hand, no tone is indicated for the copula in frame (4), because its surface tone changes according to the tone category of the target word.

Dots indicate boundaries between syllables within the lexical word, and the ‘+’ sign indicates the boundary between the noun and a following morpheme. For instance, the information provided in Table 2.7b for disyllabic L-tone nouns is: L.LH in isolation, and L.L+H with copula and with possessive clitic. As an example, the word ‘dog’ is /k^hɰ↓mi/ in isolation, yielding /k^hɰ↓mi↓ ni/ ‘... is (a/the) dog’ and /k^hɰ↓mi↓-bɰ/ ‘... of (a/the) dog’.

The leftmost column (“analysis”) presents the lexical tone categories. The three following columns contain surface-phonological transcriptions. Examples in the column before last are transcribed according to the phonological tone categories, following conventions set out in §2.4.4.

In light of this synthetic view, the distributional observations made above can be flipped around. For instance, instead of stating that “a monosyllabic noun that carries a M tone in isolation may belong in one of three distinct underlying categories”, it can now be said that the three non-contour lexical tones, //M//, //L// and //H//, all neutralize to /M/ when a monosyllable is said in isolation. Among disyllables, //M// and //H// neutralize to /M.M/; //L// and //H// neutralize to /M.H/; and //LM//, //LH// and //LM+#H// neutralize to /L.M/.

When the possessive clitic //bɰ// is added after a monosyllabic noun, yielding, for example, /bo↓-bɰ/ ‘of the pig’, contours unfold over the two syllables of the resulting combination: //LH// yields /L+H/ (as does //LM//, following neutralizing with //LH//), and //MH// yields /M+H/. The non-contour tones, //M//, //L// and //H//, do not affect the possessive, which surfaces with default /M/.

This last point offers crucial evidence for the distinction between contours

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Table 2.7b: The lexical tone categories of disyllabic nouns.

analysis	in isolation	+COP	+POSS	example	meaning
// M //	M.M	M.M+L	M.M+M	po · lo ↓	ram
// #H //	M.M	M.M+H	M.M+M	z wæ↓ zo #↑	colt
// MH# //	M.MH	M.M+H	M.M+H	h wɿ· li ↑	cat
// H\$ //	M.H	M.M+H	M.M+M	k ɿ· ʃe ↓\$	flea
// H# //	M.H	M.H+L	M.H+L	h wæ↑ ʃ æ↓	squirrel
// L //	L.LH	L.L+H	L.L+H	k ^h ɿ↓ mi ↓	dog
// L# //	M.L	M.L+L	M.L+L	da · ʃi ↓	mule
//LM+MH#//	L.MH	L.M+H	L.M+H	ō ↓ d ɿ↑	wolf
//LM+#H//	L.M	L.M+H	L.M+M	na ↓ hi #↑	Naxi
//LM //	L.M	L.M+L	L.M+M	bo ↓ mi ↓	sow
// LH //	L.M	L.M+L	L.M+L	bo ↓ ɦ a↓	boar

(//LM//, //LH// and //MH#//) on the one hand and the floating H tone (//#H//) on the other. The second part of a contour is realized on the possessive; the floating H tone is not. The interpretation proposed is that the possessive clitic cannot provide anchorage for a tone, whereas it can host a tone level that is part of a tone anchored to a preceding syllable. The //MH#// contour tone in Table 2.7a–b has a stable phonological anchorage: it is anchored to the syllable preceding the clitic. From there, the second part of the contour can be projected onto the possessive clitic – a process of contour unfolding which is distinct from processes of tonal anchoring.

A schematic representation in successive stages is presented in Figure 2.1, taking as an example a disyllabic noun that belongs in the //MH#// tone category. Stage 1 is the input: the noun has //MH#// tone; and the copula has //L// tone. Stage 2 shows the anchoring of the MH tone pattern onto the last syllable of the lexical word. This anchorage is part of this lexical tone’s specification, as indicated by the symbol # in the label MH#. Stage 3 represents one-to-one mapping of levels to available syllables. The MH contour associates to syllables one by one, starting from its point of anchorage, namely the noun’s last syllable, which receives M. The H level associates to the following syllable: the copula. This leaves no syllable available for the copula’s lexical L tone, which remains unassociated, and does not surface at all. The first syllable of the noun, which has not been assigned a tone in the above process of association of the lexical tone, remains

toneless. Stage 4 represents the hypothesized process whereby it receives a M level, by default. Stage 5 corresponds to the surface-phonological result.

The process of association is the same for the possessive clitic //bɥ+//, as shown in Figure 2.2.

By contrast with MH#, the floating H tone (#H) does not anchor to any of the syllables of the word to which it is lexically attached, and the possessive clitic is unable to provide such anchorage. Since this H tone receives syllabic anchorage neither onto the word to which it is lexically attached, nor on the possessive clitic that follows it, it remains unassociated, and does not surface at all in this context: see Figure 2.3.

The figure for the ‘flea’ tone, H\$, would be identical with Figure 2.3: the possessive clitic is not a suitable host, so that the H\$ remains unassociated, and does not surface at all in this context.

The behaviour of the word-final H tone (H#) is shown in Figure 2.4: in this case, the L tone on the clitic results from an exceptionless phonological rule whereby all tones following H are lowered to L (see §7.1.1).

These step-by-step representations go into more detail than tonologists with an experience of level tones may find necessary. It does not appear indispensable to draw similar figures for the other lexical categories of Yongning Na, though this could be offered as an exercise for an introductory phonology class. (If you are reading this during my lifetime, you are welcome to get in touch to discuss issues of Yongning Na tonology and tonological representations.)

2.4.3 M as a default tone

The above analysis assumes that M serves as a default tone: syllables that are not specified for tone receive M. For instance, a //H// tone carried by a disyllabic noun can only manifest itself on a following word: the H tone, though lexically attached to the noun, never appears on the noun itself. Both syllables of the noun receive /M/ tone in the surface-phonological form. Under the present analysis, this is understood as default tone assignment. Likewise, /M.L/ is observed as a surface pattern on disyllabic and polysyllabic words, such as /**da-tji**/ ‘mule’, but this pattern is analyzed as the manifestation of a lexical-word-final L tone (notation: //L#//), the /M/ tone on the first syllable being a default tone, not a lexically specified tone. Evidence for this analysis will be presented in the course of the discussion, drawing on the combinatorial properties of tones, such as the tones of compound nouns.

One may be tempted to push this analysis further and try avoiding specifying the M tone anywhere in the model of lexical tones. As stated above, disyllables

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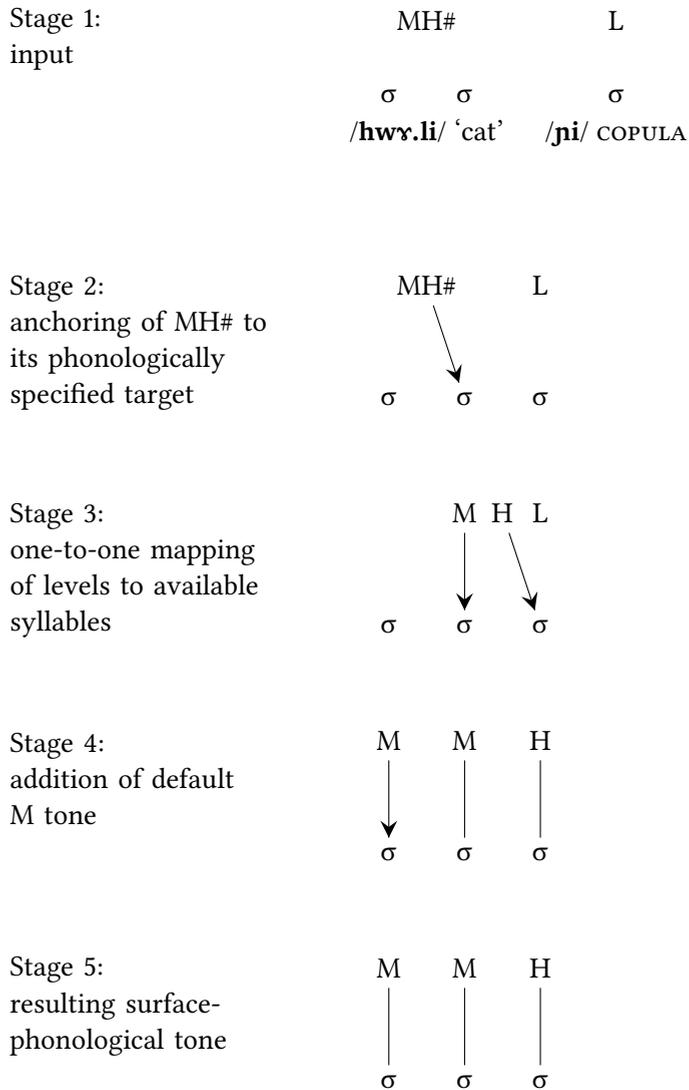


Figure 2.1: A detailed representation of tone-to-syllable association for /hwɔ̃.li-ɲi/ 'is (a/the) cat'.

2.4 General observations about the system

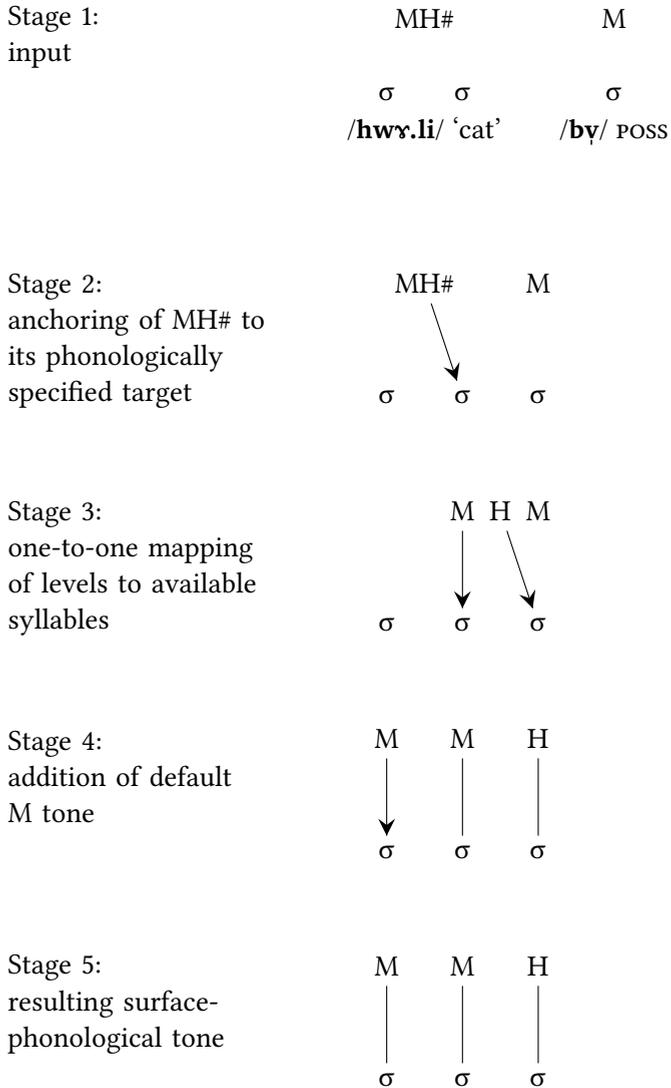


Figure 2.2: A detailed representation of tone-to-syllable association for /hwɹ.li-bɹ/ 'of (a/the) cat'.

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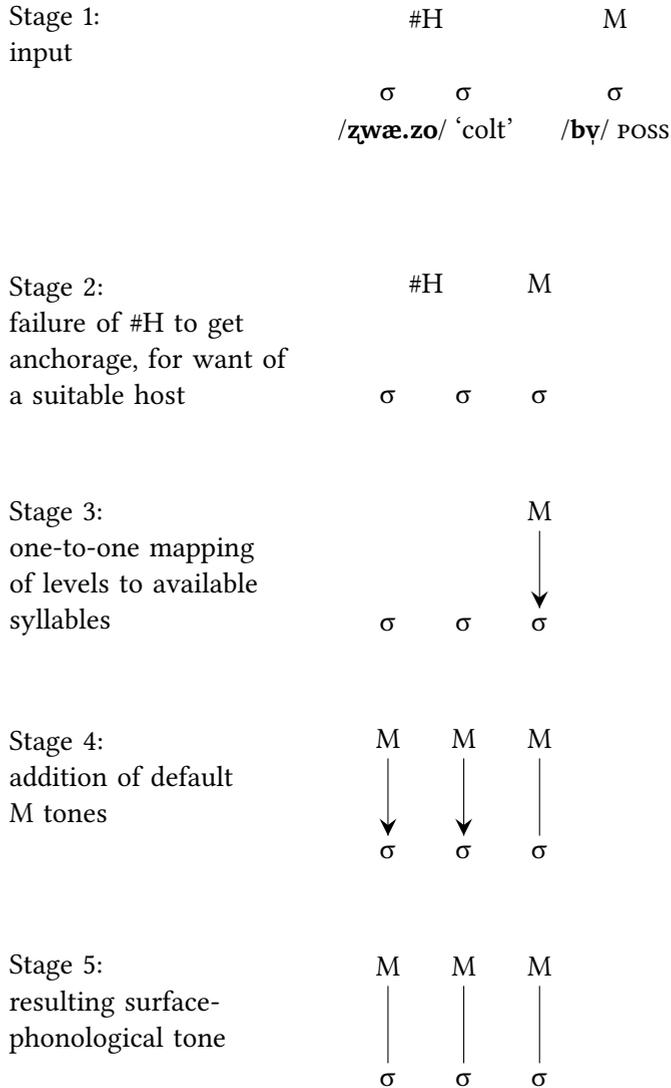


Figure 2.3: A detailed representation of tone-to-syllable association for /z̥wæ.t̥zo.t̥bɤ/ ‘of (a/the) colt’.

2.4 General observations about the system

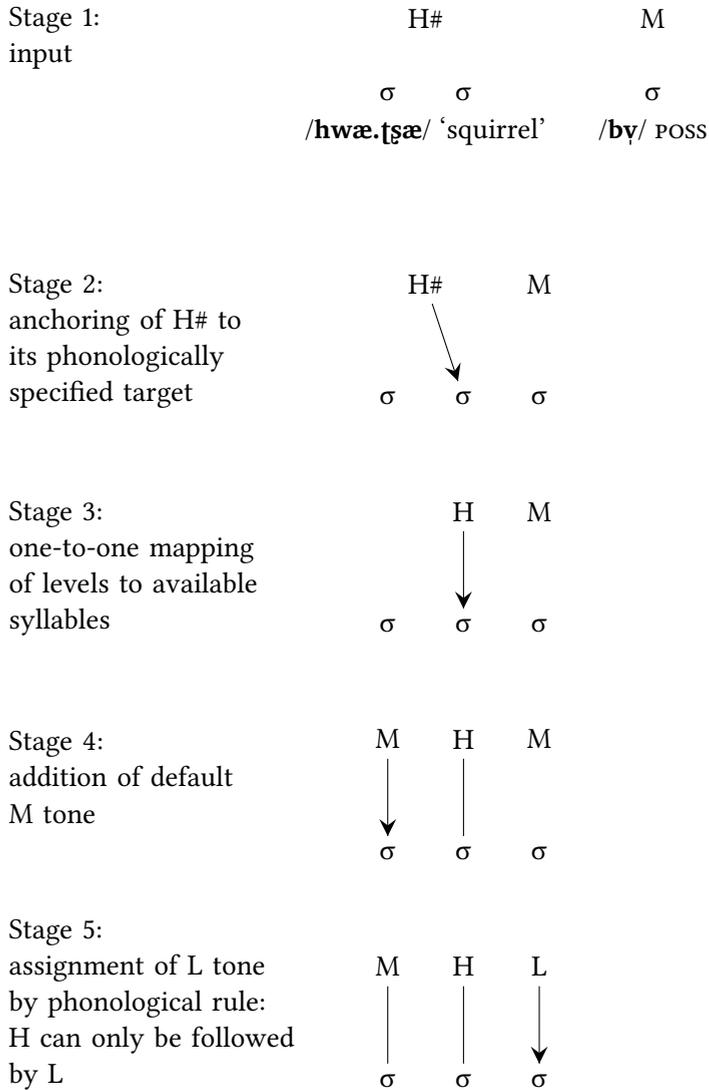


Figure 2.4: A detailed representation of tone-to-syllable association for /hwæ.tʃæɪ.bɪ/ 'of (a/the) squirrel'.

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such as /**da-tji**/ ‘mule’, with a surface-phonological M.L pattern, are analyzed as having a final L (notation: //L#//), the M tone on the first syllable being a default tone, whereas disyllables such as /**boJmi**+/ , with surface L.M, are analyzed as having a phonological //LM// tone, i.e. specifying phonologically the M tone of their second syllable. The reason for this analysis is that L tone spreads progressively (‘left-to-right’) onto syllables that are unspecified for tone (this is referred to as Rule 1; see Chapter 7 for further detail). In Table 2.7b, disyllables that carry L tone on both of their syllables are accordingly analyzed as possessing a simple lexical L tone. In transcriptions, L tone is indicated on both syllables by convention (e.g. the word for ‘dog’, //k^hɣJmi//), so as to stay close to surface forms, but underlyingly, these words are analyzed as carrying a simple //L// tone. Disyllabic nouns that have a /L.M/ pattern (L on first syllable, M on second syllable) are analyzed as having a phonological /M/ on the second syllable, blocking L-tone spreading.

Under an approach dispensing with M at the lexical level, the syllabic anchoring of all L tones would need to be specified. This would to some extent be parallel to H tones, whose modes of association to the syllabic string are indicated with reference to the end of the lexical word, transcribed as #, and of the tone group, transcribed as \$. However, if the L.M pattern were reanalyzed as a word-initial L tone, it would be necessary to specify that it does not spread, unlike other L tones. Reanalyzing the //LM// category as a non-spreading L, contrasting with a spreading L, is a theoretical possibility; but positing two different types of L tones, with different phonological behaviours, is costly in terms of descriptive simplicity.

Another alternative would be to analyze the surface /L.H/, /M.L/ and /L.L/ surface patterns as the realization of initial //L//, final //L//, and //L.L// (with L tone specified on both syllables), respectively, avoiding any reference to L-tone spreading. However, L-tone spreading is such a commonly attested process in Yongning Na that this alternative does not appear promising either. Moreover, another device would be needed in order to describe MH contour tones, since they cannot be described simply as H tones. It would be necessary to posit a separate type of H tones: a contour-creating H tone, in addition to the three types recognized so far.

For these various reasons, it seems much preferable to adopt a model using M in the lexical specification of some of the categories.

2.4.4 *The notation of tonal categories in lexical entries*

This section explains the choices made for the notation of tonal categories in lexical entries (typically as head words in lexicon entries), as exemplified for

nouns in Table 2.7a–b.

One typographical option would be to indicate the phonological category in superscript at the beginning or end of the word, e.g. //zwæ^{#H}// for ‘horse’, and //õ.dv^{LM+MH#}// for ‘wolf’. This notation, which separates tone from vowels and consonants, is unambiguous, and computationally easy to implement. It also reflects the analysis proposed here: that tone in Na is lexically associated to entire lexemes, not to individual syllables. On the other hand, working out the tone-to-syllable mapping requires complete familiarity with the tone-to-syllables mapping rules; it appeared better to offer a transcription that looks closer to the surface phonology, indicating a tone at the end of each syllable. Following standard usage, International Phonetic Alphabet tone letters (Chao 1930) were chosen: ɿ for High, ɿ̄ for Mid, ɿ̇ for Low, ɿ̂ for Low-to-Mid, ɿ̃ for Low-to-High, and ɿ̄̂ for Mid-to-High.

This is strictly equivalent to Africanist notation by means of accents: for instance, //boɿ̂// ‘pig’ could be written as //bõ̂// in Africanist notation. Tone letters are favoured over accents for want of a satisfactory solution to the typographic issue of combinations of diacritics, e.g. how to indicate a rising contour on a syllable such as /ɿ̂̃/.

Instead of tone letters, numbers are favoured in Chinese-language publications. The strict equivalents would be the following: ɿ corresponds to ⁵, ɿ̄ to ³, ɿ̇ to ¹, ɿ̂ to ¹³, ɿ̃ to ¹⁵, and ɿ̄̂ to ³⁵. There are further complexities here, however. Chinese authors use at least two numbers for each tone: one for its beginning and one for its endpoint. To reflect the insight that there is no phonologically relevant change in pitch in the course of the H, M and L tones, these three level tones could be transcribed by doubling the number indicating their relative pitch level, transcribing the H tone as ⁵⁵, the M tone as ³³, and the L tone as ¹¹. But this notation would differ from Chinese linguists’ conventions, because the use of two numbers leads them to pay attention to any differences in pitch between a tone’s beginning and endpoint (differences which are crucial in the description of systems where tones do not simply consist of sequences of levels: see §10.3.1). For instance, since the L tone in Yongning Na is often realized phonetically as a fall in pitch, rather than as a flat, sustained low pitch, it will be transcribed by Chinese linguists as ²¹ or ³¹ (e.g. the L tone of Naxi is transcribed as ³¹ by Hé & Jiāng 1985). Notation as ¹¹ would not be an accurate representation of the Low tone’s phonetic realization, and would thus be counterintuitive to users accustomed to this system. Notation as ³¹ would obscure the phonological nature of the L tone, however, wrongly suggesting that tones “³¹” (i.e. L tone in the present

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description) and “¹³” (i.e. LM tone) are each other’s mirror image.⁵ For tonologists accustomed to Chinese-style tone numbers, I therefore strongly recommend using the following set of equivalences, which may initially seem counterintuitive, but which are less likely to lead to phonological misinterpretations: ⁵⁵ for 1, ³³ for 1, ¹¹ for 1, ¹³ for 1, ¹⁵ for 1, and ³⁵ for 1.

In the process of mapping tonal categories to syllables written in IPA, some cases are simple: for instance, the tone category LM can be represented by associating both levels to monosyllables, for instance //bo// ‘pig’, and distributing them over the two syllables of disyllables, for instance //bo.mi/ ‘sow’. Not all cases are that straightforward, however.

For monosyllables, one adjustment was made when mapping tonal categories to syllables written in IPA, concerning the H tone. On monosyllables, there is only one type of H tone: a floating H (notation: #H), that can only surface after the syllable to which it is lexically attached. In the absence of a distinction between various types of H tones, it appeared economical to dispense with the character ‘#’, which indicates the mode of syllabic association, and to write the tone simply as 1. Thus, ‘horse’ is transcribed simply as //zwæ1//, rather than //zwæ#1//.

For nouns of two syllables or more, on the other hand, there are three types of H tones, namely H#, #H and H\$, so an indication about syllabic anchoring cannot be entirely dispensed with. At least two diacritics need to be used to make the three-way distinction among H#, #H and H\$. The first of these three is indicated by a simple H-tone mark 1, as its mode of anchoring appears as phonologically simplest: sitting inert on the last syllable, and never moving from there. Hence //hwæ1tsæ1//, not //hwæ1tsæ1#//, for ‘squirrel’.

Moreover, when writing the lexical (deep-phonological) form of words, it appeared better to indicate a tone for each syllable; this introduces a degree of redundancy. For instance, ‘dog’ is transcribed as //k^hv.mi1//, not //k^hv.mi// or //k^hv1mi1//: the L tone is indicated (redundantly) on both syllables. Likewise, the M tone is indicated on the first syllable of disyllables, even for tone categories for which this M tone can be analyzed phonologically as unspecified, hence //hwæ1tsæ1//, not //hwæ1tsæ1//, for ‘squirrel’; //zwæ1zo#1//, not //zwæ1zo#1//, for ‘colt’; //kv1se1\$//, not //kv1se1\$//, for ‘flea’; and //hwv1li1//, not //hwv1li1//, for ‘cat’.

Conversely, the tone pattern analyzed as LM+#H is, by convention, represented in the lexical form of disyllables simply as L on the first syllable and #H on the second, because indicating the M tone as a tone letter would wrongly suggest

⁵ Reflections about notational choices for level tones and their influence on the phonological analysis of tone in Naish languages are set out in Michaud (2013b).

the presence of a contour on the syllable to which it would be associated. Hence, the notation chosen is //nǎjhĩ#ʔ// for ‘Naxi (person)’.

2.4.5 Attested and unattested lexical tones

The static regularities brought out in §2.1 can be reformulated in dynamic terms, as resulting from a set of tone rules. These are discussed in detail in Chapter 7, which also presents the fundamental phonological unit for tonal computation: the tone group. As a preview, the key facts are the following:

- contours are only realized as such in tone-group-final position; in non-group-final position, the second level (the H portion in MH, for instance) associates to the following syllable.
- L tone spreads progressively (left-to-right).
- all tones following H are lowered to L.
- H and M are neutralized to M in tone-group-initial position.

These generalizations, together with the observation that there are no falling contours on a single syllable, rule out all of the nonattested lexical tone patterns for monosyllables, and most of the patterns for disyllables: † H.L, † H.M, † M.LM, † M.LM, and so on. On the other hand, there is a combination that is compatible with the language’s phonotactics and yet unattested: there is no //LM+H\$// tone pattern, whereas there are //LM+MH#// and //LM+#H// patterns. (As for //LM+H#//, it is undistinguishable from //LH//, since for a disyllable both formulas result in the same tonal assignment: L on the first syllable, and a fixed H on the second.) This gap in the system can be interpreted as pointing to a relatively marginal status of //H\$//.

2.4.6 Phonological regularities and morphotonological oddities

Looking back at the data in Table 2.7a–b, it is tempting to look for phonological regularities that would capture all the observed patterns. However, such a search would come up against facts that resist phonological generalizations.

The search for phonological regularities is soon up against sets of facts that resist phonological generalizations, however. For instance, there is no obvious reason why L should surface as M in isolation. This may have to do with the prohibition of all-L tone groups (about which see §2.3.3), and *a fortiori* all-L utterances; but for verbs this is repaired by adding a post-lexical final H tone, so

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that verbs with lexical //L// tone surface with a /LH/ contour when they are spoken in isolation (see Table 6.1b). If the tone system were based on a set of phonological rules – rules applying uniformly in all morphosyntactic contexts –, lexical //L// on a noun would be expected to surface as /LH/, not as /M/. A similarly puzzling case is that of the //L// tone on disyllabic nouns. A word such as //k^hɣ̌mi// ‘dog’ yields /k^hɣ̌mi/ in isolation, as expected, but when followed by the copula it yields /k^hɣ̌mi ɲi/ ‘is (a/the) dog’: the copula loses its lexical //L// tone. There is no obvious reason why this should be so: one would have expected a /L.L.L/ sequent, //†k^hɣ̌mi ɲi//, realized as /†k^hɣ̌mi ɲi/ following the addition of a post-lexical H tone to avoid an all-L phonological word.

This asymmetry in the tonal treatment of the copula after a //L//-tone noun, depending on the number of syllables in the noun, points to a crucial aspect of Yongning Na tone: many tone rules have narrowly restricted fields of application; they apply in highly specific morphosyntactic contexts, and are sensitive to the number of syllables (and internal makeup) of the morphemes at issue.

These reflections about the overall outlook of the Yongning Na tone system will be taken up in §10.4, in light of the account of Na morphotonology to which we now proceed (Chapters 3-6).

3 Compound nouns

Tonal processes applying within the noun phrase constitute a major part of the Yongning Na tone system. They also shed light on evolutionary processes. In Na, as in other Sino-Tibetan languages that have undergone considerable phonological erosion (such as Tujia 土家语, Bai 白语, Namuyi 纳木依语, or Shixing 史兴语, also known as Xumi), many roots that used to be phonologically distinct have become homophonous. As a consequence, there exists a strong tendency towards disyllabification. The study of synchronic tonal processes reveals which processes – such as compounding and affixation – feed into which categories of disyllabic nouns. It also brings out, by contrast, those disyllabic nouns whose tones are different from what one would expect in view of currently productive rules. In turn, this draws attention to these outlier nouns, raising the issue of where they got their tone from – whether they date back to a time when different tone rules applied, for instance.

Tonal phenomena taking place within the noun phrase in Na will be presented in the following order: compounds (this chapter); numeral-plus-classifier phrases (Chapter 4); and combinations between nouns and grammatical morphemes (Chapter 5).

Compounding is a highly productive word formation process in Na: “Compounding is the prevalent morphological process” (Lidz 2010: 344). This is also true of many other languages of East and Southeast Asia (on Sinitic: Arcodia 2012: *passim*). Determinative compounds (the *tatpuruṣa* compounds of Sanskrit grammar) are more common than coordinative compounds (Sanskrit *dvandva*). In Yongning Na, determinative compounds, such as ‘tiger’s skin’, and coordinative compounds, such as ‘mother and daughter’, do not follow the same tone rules. For instance, the determinative compound ‘nanny goat’s back’, /ts^hu-mi-ɣy-dɿʅ/, carries H# tone (a final H tone), whereas the coordinative compound ‘father and mother’, /ə-dɑ-t-ə-mi#ʅ/, carries tone #H (a floating H tone), even though the input tones are the same: both ‘nanny goat’ and ‘father’ have H\$ tone; and both ‘mother’ and ‘back’ have M tone. Determinative compounds and coordinative compounds are therefore presented separately.

3.1 Determinative compound nouns. Part I: the main facts

In Yongning Na, the order of constituents in determinative compounds is determiner plus head, as is generally the case in Sino-Tibetan (Michailovsky 2011).

In some tonal languages, possessive constructions (genitival syntagms) and compounds (complex lexemes) are distinguished by their tone patterns. In Kita Malinké, for instance, ‘the meat of the cow’ is /mìsì sùbù/, and ‘beef, cow meat’ is /mìsì-sùbù/ (Creissels & Grégoire 1993). The latter is characterized by tonal compactness (*compacité tonale*): the tone pattern of the compound is determined by that of its first component, which is the determiner. Similarly, in Yongning Na, no tonal change takes place in possessive constructions, whereas tonal changes take place in compounds – although the tone changes are more complex than in Malinké, as will be explained further down. In Na, the two constructions are conspicuously different: in possessive constructions, the possessive /bɣʔ/ is added after the determiner, before the head, e.g. /hwɣʔ-liʔ/ ‘cat’, /ɬɣʔ/ ‘brains’, /hwɣʔ-liʔ-bɣʔ | ɬɣʔ/ ‘brains of the cat’. The first noun – the determiner – and the possessive particle form a single tone group. As for the second noun – the head –, its tone pattern remains the same as in isolation. By contrast, the tones of compounds are not simply the concatenation of those of their constituents. The present analysis progresses in increasing order of abstraction, from the surface-phonological patterns of compounds to the underlying system.

Determinative compounds are sometimes divided into “free combinations” and “fixed combinations”. The former consist of two nouns that are not habitually associated, e.g. /gi-na-mi-t-njɣʔ|w/ ‘bear’s eye’: the two nouns are combined into a noun phrase in the context of a given utterance. The former constitute lexicalized combinations, e.g. /zi.lhɣʔ#ʔ/ ‘body hair (of humans)’, literally ‘monkey’s hair’. There is a cross-linguistic tendency for compounds to stray away from regular morphophonological patterns and from the semantics that one would expect on the basis of their constituting elements. In detail, there is a wide range of situations: the meaning may be specialized whereas the phonological form remains undistinguishable from that of a newly coined compound; conversely, the phonological form may be irregular whereas the meaning is as expected on a flatly synchronic basis.

Thus in Zarma, *háw bì* /ox/black/ is a syntagm with a perfectly regular form, which would be expected to mean ‘black ox’, but which refers to the buffalo – an animal that resembles the ox, and whose colour is black. In *cùrò bì*, one easily recognizes *cùrò* ‘bird’ and *bì* ‘black’, but the meaning is ‘guinea fowl’; in this case, semantic specialization is accompanied by

a tonal irregularity: a syntagm meaning ‘black bird’ would be expected to have the form *cùrò bìi*. (Creissels 1991: 121)¹

The lack of straightforward correspondence between semantic regularity and morphophonological similarity is an important parameter to take into account when exploring a tone system. Semantics cannot be used as the sole criterion to tease apart morphophonologically irregular compounds. The distinction made in this chapter is therefore not between “fixed” and “free” combinations, but between regular and irregular combinations. From a morphotonological point of view, the relevant parameter is whether the tone pattern of a compound follows productive rules or not.

3.1.1 The role of the number of syllables

Tonal changes in compounding are only observed when the second term – the head – is less than three syllables in length, i.e. in combinations of the form $\sigma + \sigma$, $\sigma + \sigma\sigma$, $\sigma\sigma + \sigma$, $\sigma\sigma + \sigma\sigma$, $\sigma\sigma\sigma + \sigma$, or $\sigma\sigma\sigma + \sigma\sigma$. Otherwise no tone change takes place. What matters is thus not the total number of syllables of the resulting compound, but the number of syllables of the head. Examples (1a–1c) constitute an illustration.

- (1) a. $lo\uparrow sy\downarrow \mid -hi\downarrow na\uparrow mi\# \uparrow$
 ‘Lugu lake’
 b. $fi\uparrow di\downarrow -di\downarrow mi\downarrow$
 ‘Yongning plain’
 c. $gi\uparrow na\uparrow mi\uparrow -nj\uparrow \uparrow \uparrow \uparrow \uparrow$
 ‘bear’s eye’

The place names in (1a-b) have the same syntactic structure: $/lo\uparrow sy\downarrow/$ (Chinese: 落水 *Luòshuǐ*) is the name of a village on the shore of Lugu lake, and $/fi\uparrow di\downarrow/$, literally ‘the central place’, is the name of Yongning. The relationship in both cases is between determiner and head: ‘the lake of $/lo\uparrow sy\downarrow/$ ’, ‘the plain of $/fi\uparrow di\downarrow/$ ’. In (1a), both parts of the compound retain their lexical tones: $/lo\uparrow sy\downarrow/$ ‘Luoshui’, and $/hi\downarrow na\uparrow mi\# \uparrow/$ ‘lake’. The compound ‘Lugu lake’, $/lo\uparrow sy\downarrow \mid -hi\downarrow na\uparrow mi\# \uparrow/$, must

¹ *Original text*: Ainsi en zarma, *háv bìi* /boeuf/noir/ est un syntagme de formation parfaitement régulière dont on attendrait qu’il signifie « boeuf noir », mais qui désigne le buffle (animal semblable au boeuf et de couleur noire). Dans *cùrò bìi*, nous reconnaissons facilement *cùrò* « oiseau » et *bìi* « noir », mais la signification est « pintade » ; dans ce cas, le figement sémantique s’accompagne d’une irrégularité tonale : le syntagme signifiant « oiseau noir » serait *cùrò bìi*.

3 Compound nouns

be analyzed as consisting of two tone groups (this is reflected by the symbol |, which indicates tone group boundaries); if it constituted one tone group, its tone pattern would be † **lo**†**sy**†**hi**†**na**†**mi**†, by application of Rule 5: “All syllables following a H.L or M.L sequence receive L tone”. (For a recapitulation of the tone rules, see Chapter 7.) In (1b), the expected tone change takes place, by application of Rule 5: the lexical tone of ‘plain’ is M (/di+mi+/, but in the context of this compound it is lowered to L. Example (1c), from /gi+na+mi#/ ‘bear’, and /njv+lu+/ ‘eye’, illustrates the fact that tonal change takes place in compounds with a three-syllable determiner, provided that the head comprises no more than two syllables.

To venture an intuitive comment, it is clear from the data set out below (Table 3.4 and following tables) that heads undergo more tonal changes than determiners in compounding. In particular, there are numerous cases where a H tone that originates lexically on the determiner associates to the last syllable of the head. If this happens in a $\sigma\sigma+\sigma$ compound, the distance between the site to which the tone is lexically attached and the syllabic position of the tone in the surface phonology is no greater than one syllable. In a $\sigma\sigma+\sigma\sigma$ compound, this distance increases to two syllables. (In this rule-of-thumb calculation, the H tone is considered to be associated to the lexical word’s last syllable: remember that H tones never appear on a word-initial syllable.) Impressionistically, the same process applying to a $\sigma\sigma+\sigma\sigma\sigma$ compound would become rather unwieldy, resulting in a H tone moving three syllables away from where it sat in the lexical representation. This is by no means a cognitive impossibility – staggeringly complex tonal phenomena are firmly attested in the world’s languages, and by some estimates Yongning Na would rate as a complex system. Still, it seems clear that in this instance the observed pattern (dividing the compound into two parts) is a strategy to bypass tonal computation, thereby avoiding a source of complexity. The asymmetrical state of affairs whereby $\sigma\sigma\sigma+\sigma\sigma$ compounds undergo tonal change, but $\sigma\sigma+\sigma\sigma\sigma$ compounds do not, makes intuitive sense in light of the asymmetry of the two cases in terms of tonal computation.

This suggests a preference (in this particular language and dialect) for tonal processes that do not result in tonal movements of more than two syllables at a time. This observation will be taken up below, in the discussion of the tone patterns that result from compounding: a tendency to avoid long-distance movement of tones can be observed.

3.1.2 How the tone patterns were collected

Some lexicalized compounds are found in the dictionary compiled in the course of fieldwork (Michaud 2015a); others can be found in narratives, e.g. /ə̀tmi+ræ̀tɿ/ ‘mother’s neck’ in Tiger2.86. In order to obtain all possible tonal combinations of determiner and head, systematic elicitation was also used. The main language consultant, F4, was extremely reluctant to accept semantically implausible combinations. She gradually understood that the unusual combinations that I put forward were designed to obtain a particular sequence of tones; she nonetheless retained a strong commitment to a common-sense use of language, standing on the firm ground of common usage. In the consultant’s view, compounds such as ‘flea’s back’ and ‘flea’s liver’ did not stretch plausibility too far, and I gratefully recorded them. But she would definitely not have accepted combinations such as ‘chief’s beetle’s kidney basket’ (to cite an example used by Hyman 2007a, taken up in Evans 2010: 225). It was nonetheless possible to obtain all combinations in the end, searching through the word list to arrive at the least implausible combinations, and discussing possible contexts with the consultant. This made the elicitation process slower than it would have been with a consultant who readily agreed to create any combination. A consultant’s conservative behaviour may have some advantages, however: one might have suspicions that a consultant whose imagination runs free from the trammels of common sense could occasionally take similar liberties with the language’s ordinary rules.

Recordings of over 1,500 compounds are available online from the Pangloss Collection (recordings: DetermCompounds1 through DetermCompounds16). In all cases where examples are also found in texts, the tone patterns are identical with those obtained through systematic elicitation. Table 3.1 provides an example word for each tonal category of noun used to build compounds referring to body parts of animals.

3.1.3 The facts: surface-phonological tone patterns

The tone patterns of compound nouns in Yongning Na are set out in Tables 3.2a–e as a function of the tones of their constituting elements. The tone of the determiner is indicated in the leftmost column, and the tone of the head in the top row. For instance, ‘tiger’ /làt/ carries lexical M and ‘skin’ /yuu/ carries lexical LM; the tone of the compound ‘tiger’s skin’ can be looked up at the intersection of row M and column LM in Table 3.2a. The information ‘M.L’ provided in the cell at the intersection of row M and column LM indicates that the surface-phonological tone of the compound at issue is M.L: /làt-yuu/ ‘tiger’s skin’. Tables 3.2a and

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Table 3.1: Example words used to elicit body-part compound nouns.

tone	determiners	meaning	heads	meaning
LM	bo ↓	pig	yuu ↓	skin
M	la ↓	tiger	by ↓	intestine
L	jo ↓	sheep	my ↓	fat
#H	zwæ ↓	horse	sr ↓	blood
MH#	ts^hæ ↓	deer	ty ↓	brains

M	po ↓ lo ↓	ram	gy ↓ dy ↓	back
#H	zwæ ↓ zo #↓	colt	ni ↓ gy #↓	nose, snout
MH#	hwɣ ↓ li ↓	cat	qy ↓ tsæ ↓	voice
H\$	hwɣ ↓ mi ↓\$	she-cat	hu ↓ mi ↓\$	stomach
L	k^hy ↓ mi ↓	dog	ny ↓ mi ↓	heart
L#	da ↓ ji ↓	mule	ti ↓ pi ↓	ear
LM+MH#	õ ↓ dy ↓	wolf	ji ↓ tsæ ↓	waist
LM+#H	na ↓ hi #↓	Naxi person	njæ ↓ q^hæ #↓	eye sand, rheum
LM	æ ↓ mi ↓	hen	njɣ ↓ lu ↓	eye
LH	bo ↓ la ↓	boar	hi ↓ zæ ↓	uvula
H#	hwæ ↓ tsu ↓	rat	ɾæ ↓ ty ↓	neck

3.2b present monosyllabic heads, and Tables 3.2c and 3.2d disyllabic heads.

Like simple nouns, compounds must be elicited in at least two contexts to bring out their underlying tone categories, because the opposition between tone categories such as //M// and //H// is neutralized in isolation. Compound nouns, like simple nouns, were elicited (i) in isolation and (ii) in the carrier sentence /**ts^hu**↓ | **N ni**↓/, ‘This is N’. In cases where the copula surfaces with its lexical L tone, only one tone pattern is indicated in the cell. For instance, ‘tiger’s skin’ is /**la**↓-**yuu**↓/ (tone: /M.L/), and the copula surfaces with L tone after this compound: /**la**↓-**yuu**↓ **ni**↓/ ‘is tiger’s skin’. The information provided in Table 3.2a in the cell at the intersection of row M and column LM is therefore simply ‘M.L’: the fact that only one pattern is provided means that this pattern is unchanged when a copula is added. On the other hand, in cases where the copula bears a tone other than its lexical L tone, the tonal string that is obtained when adding the copula is indicated after a comma. For instance, the information ‘M.M, M.M.H’ provided at the intersection of row M and column M in Table 3.2a indicates that the tonal string of the compound at issue is M.M when said in isolation, e.g. /**la**↓-**by**↓/

‘tiger’s intestine’, and that addition of a copula yields a M.M.H pattern: /**la**-**by**-**ni**/ ‘is tiger’s intestine’.

When there are tonal variants, alternatives are separated by slashes. For instance, the indication ‘M.L.L/M.M.H’ in row #H, column H\$ of Table 3.2c means that these compounds can have either of two patterns: M.L.L or M.M.H, e.g. /**z**wæ-**h**u-**mi**/ or /**z**wæ-**h**u-**mi**/ for ‘horse’s stomach’. For the sake of typographical economy, sequences of four M tones (M.M.M.M) have been abbreviated to ‘M...M’. Adjacent cells with identical contents have been grouped by using boxes in dashed lines. For instance, the tone pattern of any disyllabic compound with a L-tone determiner is /L.LH/, hence all the cells in the ‘L’ row in Table 3.2a are grouped into one box delimited by dashed lines, containing the indication ‘L.LH’. This process has not been pushed to an extreme, however: rows and columns have not been systematically rearranged in order to maximize the number of merged cells; and adjacent cells have not been merged in cases where it appeared that a merger made the table less easy to read and did not yield relevant insights. For instance, in the bottom left-hand corner of Table 3.2a, it did not appear appropriate to merge cells in the ‘H’ and ‘MH’ rows, as the reasons for the compounds carrying a /M.H/ tone pattern were likely to be different in these two rows (as set out in §3.2.4 and §3.2.5, respectively). The purpose of Table 3.2a is to set out the facts in a legible and unambiguous way; this is only one step towards the long-term goal of arriving at an advanced linguistic model, with new ways of modelling regularities and irregularities within paradigms (Sagot & Walther 2013).

In view of the rarity of three-syllable nouns, only one three-syllable determiner was used: /**gi**-**na**-**mi**#1/ ‘bear’ (tone: #H). The data is set out in Table 3.2e.

3.1.4 Analysis into underlying tone patterns

The tonal strings reported in Tables 3.2a–e can be analyzed into abstract patterns. Here are two examples.

- The sequence /L.LH/ can be interpreted as the realization of a simple //L// tone: it spreads over the two syllables of the compound, yielding //L.L//, and this sequence is further supplemented by a postlexical H tone due to Rule 7 (“If a tone group only contains L tones, a post-lexical H tone is added to its last syllable”).
- The sequence /M.M/ in /**la**-**by**-/ ‘tiger’s intestine’ could be the realization of underlying //M// or //H// (recall that //H// is a H tone that is floating,

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Table 3.2a: Surface-phonological representation of the tones of compound nouns.
Monosyllabic head and monosyllabic determiner.

tone	LH; LM	M	L	H	MH
LM	L.M			L.M, L.M.H	L.MH
LH	L.H	L.L	L.H		
M	M.L	M.M, M.M.H	M.L	M.M, M.M.H	M.MH
L	L.LH				
H	M.H	M.M, M.M.H			M.L
MH	M.H			M.H, M.M.H	

and can only be realized after the lexical item at issue). The fact that the copula receives a H tone when it follows this compound (/lɑː˥˥bɿ˥˥jɿ/ ‘is tiger’s intestine’) reveals that the underlying tone pattern is #H.

The result of analysis is presented in Tables 3.3a–e, which contains all the information required to generate the surface-phonological patterns of compounds, following the standard tone-to-syllable association rules set out in §7.1.

In the description of these patterns, reference must be made to a juncture that is internal to the tone group: one that separates the determiner from the head. This juncture is indicated by the symbol °; the same symbol will be used in the description of numeral-plus-classifier phrases in Chapter 4. Thus, L° refers to a L tone that attaches to the first part of an expression, and °L to a L tone attaching to its second part.

3.1 Determinative compound nouns. Part I: the main facts

Table 3.2b: Surface-phonological representation of the tones of compound nouns. Monosyllabic head and disyllabic determiner.

tone	LH; LM	M	L	H	MH
M	M.M.L	M.M.M, M.M.M.H	M.M.L	M.M.M, M.M.M.H	M.M.L
#H	M.M.H	M.M.M, M.M.M.H			M.M.L
MH#	M.M.H	M.M.M.H			M.M.H
H\$	M.M.H	M.M.M, M.M.M.H	M.M.H, M.M.M.H	M.M.M, M.M.M.H	M.H.L
L	L.L.H	L.L.L.H			L.L.H
L#	M.L.L				
LM+MH#	L.M.H	L.M.M.H	L.M.H, L.M.M.H		
LM+#H	L.M.H	L.M.M, L.M.M.H	L.M.H	L.M.M, L.M.M.H	L.M.H
LM	L.M.L	L.M.M	L.M.L	L.M.M, L.M.M.H	L.M.M.H
LH	L.H.L				
H#	M.H.L				

Table 3.2c: Surface-phonological representation of the tones of compound nouns. Disyllabic head and monosyllabic determiner.

tone	M	#H	MH#	H\$	L	L#	LM+MH#; LM+#H; LM; LH	H#
LM; LH	L.M.M	L.M.M, L.M.M.H	L.M.MH / L.H.L	L.M.H, L.M.M.H	L.M.L	L.M.L / L.L.H	L.H.L (=L.M.L)	L.M.H / L.L.H
M	M.M.M	M.M.M, M.M.M.H	M.M.MH	M.M.H, M.M.M.H	M.L.L	M.M.L	M.L.L	M.M.H
L	[L.L.LH]			L.L.H	L.L.LH	L.L.H	L.H.L	L.L.H
#H	M.M.H	M.M.M, M.M.M.H	M.H.L	M.L.L / M.M.H	M.H.L	M.M.H	M.H.L	M.M.H
MH#	M.M.H	M.M.M, M.M.M.H	M.M.MH	M.H.L	M.H.L	M.M.H	M.H.L	M.M.H

Table 3.2d: Surface-phonological representation of the tones of compound nouns. Disyllabic head and disyllabic determiner.

tone	M	#H	MH#	H\$	L	L#	LM+MH#; LM+#H; LM; LH	H#
M	M...M	M...M, M...MH	M...MH	M.M.M.H, M...MH/ M.M.H.L	M.M.L.L	M.M.M.L	M.M.L.L	M.M.M.H
#H	M.M.M.H	M...M, M...M.H	M.M.H.L	M.M.M.H, M...MH/ M.M.H.L / M.M.M.H	M.M.H.L	M.M.M.H	M.M.H.L	M.M.M.H
MH#	M...MH	M...MH	M.M.H.L	M.M.H.L / M.M.M.H	M.M.H.L	M.M.M.H	M.M.H.L	M.M.M.H
H\$	M...M, M...M.H	M...M, M...M.H	M.M.H.L / M.H.L.L	M.M.H.L	M.M.H.L	M.M.M.H	M.M.H.L	M.M.M.H
L	L.L.L.H	L.L.L.L.H	L.L.H.L	L.L.L.H	L.L.H.L	L.L.L.H	L.L.H.L	L.L.L.H
L#	M.L.L.L	M.L.L.L	M.L.L.L	M.L.L.L	M.L.L.L	M.L.L.L	M.L.L.L	M.L.L.L
L+MH#	L.M.M.H	L.M.M.M, L.M.M.M.H	L.M.H.L	L.M.H.L	L.M.H.L	L.M.M.H	L.M.H.L	L.M.M.H
LM+#H	L.M.M.M	L.M.M.M	L.M.H.L	L.M.M.H, L.M.H.L	L.M.H.L	L.M.M.L	L.M.L.L	L.M.M.H
LM	L.M.M.M	L.M.M.M	L.M.M.M.H	L.M.M.M.H	L.M.L.L	L.M.M.L	L.M.L.L	L.M.M.H
LH	L.H.L.L	L.H.L.L	L.H.L.L	L.H.L.L	L.H.L.L	L.H.L.L	L.H.L.L	L.H.L.L
H#	M.H.L.L	M.H.L.L	M.H.L.L	M.H.L.L	M.H.L.L	M.H.L.L	M.H.L.L	M.H.L.L

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Table 3.2e: Surface-phonological representation of the tones of compound nouns. Compounds with a trisyllabic #H-tone determiner: ‘bear’+body part.

head		compound	
form	tone	surface form	surface tone
ɣu ‘skin’	LM	gi+na+mi+ɣu	M.M.M.H, M.M.M.H.L
by ‘intestine’	M	gi+na+mi+by	M.M.M.M, M...M.H
my ‘grease’	L	gi+na+mi+my	M.M.M.MH
sy ‘blood’	H	gi+na+mi+sy	M.M.M.M, M...M.H
ɬy ‘brains’	MH	gi+na+mi+ɬy	M.M.M.L

gy+dy ‘back’	M	gi+na+mi+gy+dy	M.M.M.M.M, M...M.H
ni+gy ‘nose’	#H	gi+na+mi+ni+gy	M.M.M.M.M, M...M.H
qy+tsæ ‘throat’	MH#	gi+na+mi+qy+tsæ	M.M.M.H.L
hu+mi ‘stomach’	H\$	gi+na+mi+hu+mi	M.M.M.M.H, M...M.H
ny+mi ‘heart’	L	gi+na+mi+ny+mi	M.M.M.H.L
hi+pi ‘ear’	L#	gi+na+mi+hi+pi	M.M.M.M.H
ji+tsæ ‘waist’	LM+MH#	gi+na+mi+ji+tsæ	M.M.M.H.L
njy+lu ‘eye’	LM	gi+na+mi+njy+lu	M.M.M.H.L
xæ+ty ‘neck’	H#	gi+na+mi+xæ+ty	M.M.M.M.H

Table 3.3a: The underlying tonal categories of compound nouns. Monosyllabic head and monosyllabic determiner.

tone	LM; LH	M	L	#H	MH
LM; LH	LH	LM	LH	LM+#H	LM+MH#
M	°L	#H	°L	#H	MH#
L	L				
H	#H°	#H			°L
MH	H#			H\$	

3.1 Determinative compound nouns. Part I: the main facts

Table 3.3b: The underlying tonal categories of compound nouns. Monosyllabic head and disyllabic determiner.

tone	LH; LM	M	L	H	MH
M	°L	#H	°L	#H	°L
#H	#H°	#H			
MH#	H#	MH#			H#
H\$	#H°	#H	H\$	#H	H#°
L	L+H#	L			L+H#
L#	L#°				
LM+MH#	LM+MH#°	LM+MH#	LM+H\$		
LM+#H		LM+#H	LM+H#	LM+#H	LM+H#
LM	LM°L	LM	LM°L		LM+MH#
LH	LH				
H#	H#°				

3 *Compound nouns*

Four tonal categories of head nouns always behave the same way in compounds: the opposition between LM, LH, LM+#H and LM+MH# is neutralized. These tone categories for heads are therefore pooled together in the above tables. Among determiners, the opposition between LH and LM on monosyllables is neutralized; accordingly, these two tones are also pooled together in the tables.

Let us now proceed to an analysis of the patterns thus brought to light.

3.2 **Determinative compound nouns. Part II: discussion**

Many of the combinations in Tables 3.3a–e make sense in terms of the tone categories of the determiner and head. In the simpler cases, the tone of the determiner expresses itself first, then the tone of the head expresses itself to the extent allowed by the tones already assigned. Within this category, the tone patterns of compounds in which the tone of the determiner is H#, LH or L# are so simple as to appear trivial: in these three cases, only the tone of the determiner expresses itself.

When the determiner has H# tone (a H tone associated to its last syllable), tonal oppositions on the head are neutralized, irrespective of the number of syllables: see the last rows of Tables 3.3a–e. The compound carries H#^o, i.e. a H tone on the last syllable of the determiner. This can be interpreted as the result of the straightforward association of the H# tone to the determiner: H on its last syllable, and M on its first syllable, by default. The lowering of all the following tones to L results from Rules 4 and 5: “A syllable following a H-tone syllable receives L tone”, and “All syllables following a H.L or M.L sequence receive L tone”. This is shown in Figure 3.1, using ‘rat’s stomach’ (/hwæːtswɪl-huːlmiː/) as an example.

The same analysis can be extended to the two other tone categories of disyllables after which all tonal oppositions are neutralized: LH and L#. The tone pattern of the former, applied to the first part of the compound (the determiner), precludes any tone other than L on the following syllables, by Rules 4 and 5. The L# tone pattern of the latter results in association of a L tone to the second syllable of the determiner, whose first syllable receives M by default; this M.L sequence precludes, again, any tones other than L on the next syllables, by Rule 5.

In the other cases, which constitute a majority, the tonal oppositions on the head are not entirely neutralized: the tone of the compound cannot be arrived at without knowledge of the tone of the head noun. This observation casts doubt on the adequacy of the representation proposed in Figure 3.1, which assumes determiner-driven tonal association. If the process were one of step-by-step as-

Table 3.3c: The underlying tonal categories of compound nouns. Disyllabic head and monosyllabic determiner.

tone	M	#H	MH#	H\$	L	L#	LM+MH#; LM+#H; LM; LH	H#
LM; LH	LM	LM+#H	LM+MH#	LM+H\$	L	L+#H° / L	L+#H°	LM+H# / L+H#
M	M	#H	MH#	H\$	°L	°L#	°L	H#
L	L			L+H#	L	L+H#	L+#H°	L+H#
#H	H#	#H	#H°	°L / H#	#H°	H#	#H°	H#
MH			MH#	#H°				#H

Table 3.3d: The underlying tonal categories of compound nouns. Disyllabic head and disyllabic determiner.

tone	M	#H	MH#	H\$	L	L#	LM+MH#; LM+#H; LM; LH	H#
M	M	#H	MH#	H\$ / #H°	°L	°L#	°L	[H#
#H	[H#		[#H°	H\$ / #H° / H#	[#H°	[H#	#H°	
MH#		MH#		#H° / H#			MH#°	
H\$		#H	[#H° / H#°		#H°		#H°	
L	L+H#	L	L+H#	L+H#	L+#H°	L+H#	L+#H°	L+H#
L#	[L#°							
LM+MH#	[LM+H#	[LM+#H	[LM+MH#°	[LM+MH#° / H#	[LM+MH#°	[LM+H#	[LM+MH#°	[LM+H#
LM+#H				[LM°H\$	[LM+#H°			
LM	LM°		LM+MH#		LM°L	LM°L#	LM°L	
LH	[LH							
H#	[H#°							

3.2 Determinative compound nouns. Part II: discussion

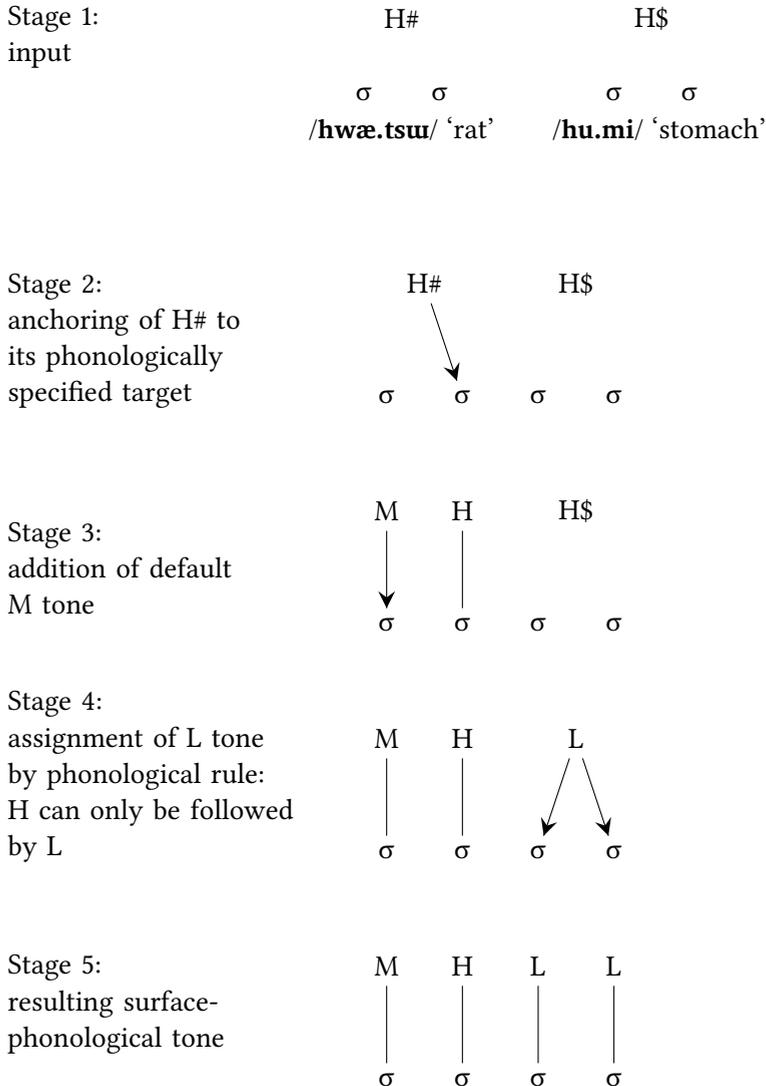


Figure 3.1: A hypothesis about how H# tone on the determiner associates to the entire compound. Example: /hwæ|tsu|]-hu|mi|/ 'rat's stomach'.

3 Compound nouns

Table 3.3e: Examples and underlying tonal categories of compound nouns with a trisyllabic, #H-tone determiner: ‘bear’+body part.

head		compound	
form	tone	underlying form	underlying tone
uɿ ‘skin’	LM	gi+na+mi+ɣuɿ	H#
bɣ+ ‘intestine’	M	gi+na+mi+ɸv#	#H
mɣ ‘grease’	L	gi+na+mi+mɣ	MH#
sɣ ‘blood’	H	gi+na+mi+sɣ#	#H
ɬɣ ‘brains’	MH	gi+na+mi+ɬɣ	L#

gɣ+dv+ ‘back’	M	gi+na+mi+gɣ+dv#	#H
ni+gɣ+ ‘nose’	#H	gi+na+mi+ni+gɣ#	#H
qɣ+tsæ ‘throat’	MH#	gi+na+mi+qɣ+tsæ	#H ^o
hu+miɿ\$ ‘stomach’	H\$	gi+na+mi+hu+miɿ\$	H\$
nɣ ‘heart’	L	gi+na+mi+nɣ	#H ^o
ɬi+pi ‘ear’	L#	gi+na+mi+ɬi+pi	H#
ji+tsæ ‘waist’	LM+MH#	gi+na+mi+ji+tsæ	#H ^o
njɣ+ɿ ‘eye’	LM	gi+na+mi+njɣ+ɿ	#H ^o
ɸæ+ɿ ‘neck’	H#	gi+na+mi+ɸæ+ɿ	H#

sociation of the tones of the determiner, then of the head, one would expect neutralization of all tonal oppositions on the head when the determiner carries MH# tone. On the analogy of Figure 3.1, one would expect the behaviour shown in Figure 3.2, i.e. that the tone pattern for ‘cat’s ear’ would be †**hwɣ+li+ɬi+pi**. But the observed tone is H#: /**hwɣ+li+ɬi+pi**/.

Note that the double daggers † added to the labels ‘Stage 2’, ‘Stage 3’ and ‘Stage 4’ in Figure 3.2 aim to emphasize that this representation is only proposed to bring out the *ad hoc* nature of the representation in Figure 3.1: tone association in compounds is not always determiner-driven. The representation in Figure 3.1 nonetheless appears to correspond to a reality, but one that is specific to H# tone: this tone is anchored onto a word’s final syllable, and this anchoring is not affected by compounding.

A few of the combinations in Tables 3.3a–e appear counter-intuitive in terms of the input tones. For instance, a M-tone determiner plus a M-tone monosyllabic head combine to a compound with a floating H tone, #H. This result could

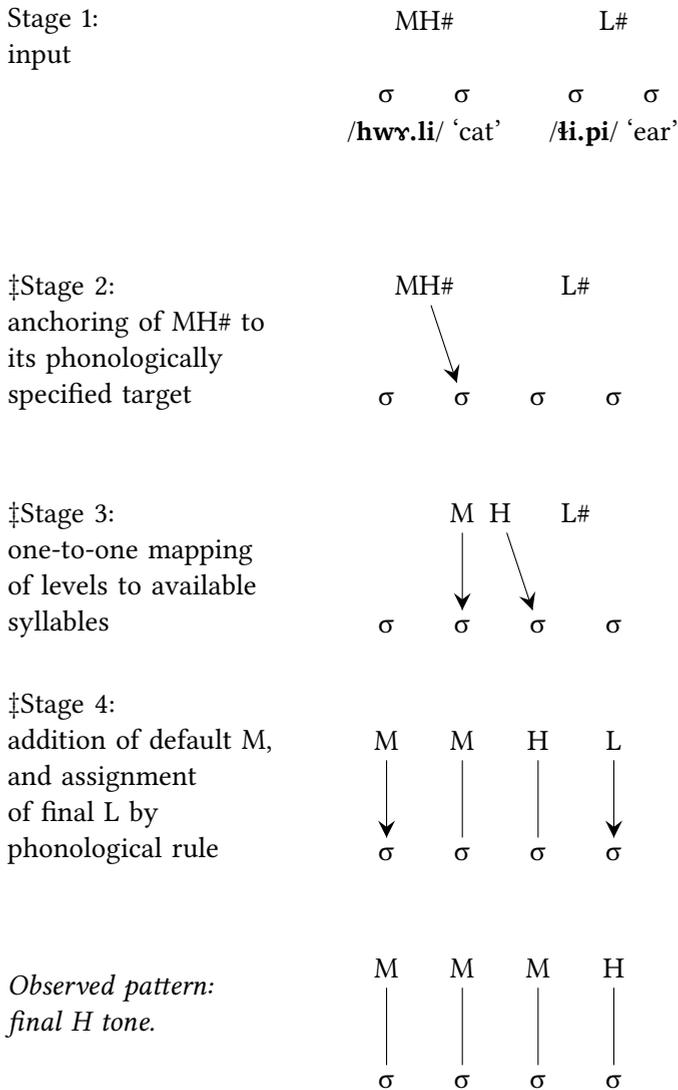


Figure 3.2: Tone-to-syllable association expected for ‘cat’s ear’ under the mistaken hypothesis of determiner-driven tone association: ‡hwɿ-li-li-pi, as contrasted with the observed pattern: /hwɿ-li-li-pi/.

3 Compound nouns

go so far as to cast doubt on the correctness of the analysis of the tone category of the two components of the compound as M, since this tone is expected to be inactive (see §2.4.3). However, while a disyllabic determiner with M tone and a monosyllabic head with M tone likewise yield a compound with #H tone, a compound made of a M-tone determiner and a disyllabic M-tone head carries a simple M tone. The analysis of the lexical category as M does not appear mistaken: the unexpected #H output is not the result of a general phonological rule of Na whereby any combination of two M tones would produce a floating H; it results from a morphophonological rule that is specific to this syntactic construction.

Such observations may come as a slight disappointment to the linguist, whose job is to account for all observations through a model that is as simple and elegant as possible. Here, as in many other domains of the Yongning Na tone system, it is clear that the gap between the lexical tones of words and their tonal realizations in context is not simply a matter of sandhi rules operating on a phonological level. The behaviour of the three-syllable noun ‘bear’, /gi-na-mi#1/, as a determiner is a case in point: it patterns almost like disyllabic #H-tone nouns, such as ‘colt’, /zwæ-ɬo#1/, but not quite. When the head is a L-tone monosyllable, the output tone is MH#, instead of #H when the determiner is disyllabic.

The following discussion of tone patterns is arranged by tone of the determiner.

3.2.1 LM-tone determiners

A LM tone on the determiner results in the assignment of L on the first syllable of the compound, and M on its second syllable, in all cases. A relatively high number of tone sequences are allowed after /L.M/, which allows for some of the tone categories of the head to manifest themselves in full. Over three syllables, one may observe /L.M.L/, /L.M.M/, and /L.M.H/. Over four syllables, † L.M.L.M and ‡ L.M.L.H are ruled out, because the sequence /M.L/ can only be followed by /L/, by virtue of Rule 5 (“All syllables following a H.L or M.L sequence receive L tone”). Accordingly, the tones that are compatible with the realization of an initial LM pattern are observed to manifest themselves: LM plus #H, LM plus MH#, LM plus H\$ and LM plus H# are realized as such – a concatenation of the two input tones. As expected, a M tone on the head has no effect on the final tone pattern, which is simply LM. Likewise, the combinations LM plus #L and LM plus H# for quadrisyllabic compounds surface as such.

Some of the surface patterns are analytically indeterminate. For instance, the result of the combination of a monosyllabic LM determiner and a monosyllabic MH# head is LMH (e.g. /bo-ɬɿ1/ ‘pig’s brains’). This could be analyzed as

L°MH#: L tone on first part, and MH# on second part. Or it could be analyzed as LM+MH#: the LM portion of the pattern yields L on the first syllable and M on the second, followed by assignment of a MH contour to the last syllable, in this case also the second syllable. Both analyses are equivalent insofar as they generate the same output, but it appears simpler to describe this tone pattern as the concatenation of the two input tones: LM+MH#. Under this analysis, the pattern is the same for trisyllabic compounds and quadrisyllabic compounds ($\sigma\sigma+\sigma$ and $\sigma\sigma+\sigma\sigma$); the different surface patterns (L.M.MH for $\sigma\sigma+\sigma$, and L.M.M.H for $\sigma\sigma+\sigma\sigma$) result straightforwardly from the general rules of tone-to-syllable mapping summarized in §7.1.

In the case of a disyllabic compound with a LM-tone determiner, a L or LM tone on the head cannot express itself, since the determiner's LM contour has already projected its endpoint (M) on the second syllable. While the M tone can in some respects be considered as default (see §2.4.3), as the endpoint of a LM contour it counts as a fully specified tone. Such cases are not typologically infrequent; this point will be returned to in the discussion in Chapter 10. In derivational terms, this interpretation of the Yongning Na facts could be phrased as follows: at the point when the tone of the head could come into play, both syllables of the compound are already specified for tone, resulting in the neutralization of LM, M and L as the second components of disyllabic compounds with a LM determiner.

On the other hand, if the compound has three or four syllables, a L or LM tone on the head can express its L tone, resulting in LML on three-syllable compounds, and in LMLL on four-syllable compounds (by virtue of Rule 5: "All syllables following a H.L or M.L sequence receive L tone").

To sum up, the tones of all compounds with a LM determiner obtain through the concatenation of that of their two components, modified by subsequent application of the general rules that apply to tone groups (as recapitulated in Chapter 7).

3.2.2 M-tone determiners

After M tone, one would expect the tone of the second component of the compound to express itself fully. This prediction is not entirely realized, however. As expected, the L and LM tones are neutralized after M due to Rule 5 ("All syllables following a H.L or M.L sequence receive L tone"). On the other hand, as mentioned at the outset of this chapter, the tone pattern #H (a floating H tone) that results from the combination of a M-tone determiner with a monosyllabic M-tone head does not conform to the regularities observed for the other combinations. The #H° variant for disyllabic M-tone determiner plus H\$-tone head is

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likewise unexplained. A third unexpected pattern is the °L output of the combination of disyllabic M with monosyllabic MH#, which one would expect to surface as MH#, as is the case in each of the other combinations, i.e. $\sigma\sigma+\sigma\sigma$, $\sigma+\sigma\sigma$, and $\sigma+\sigma$. These three cases point to the fact that some combinations are not simply the product of a set of rules applying throughout the tone system. Children acquiring Yongning Na need to learn a great number of tone patterns individually, acquiring tonal morphology in a comparable way to children learning the morphology of Rgyalrongic or Kiranti languages, to cite two subgroups of Sino-Tibetan that have flamboyant morphology (Michailovsky 1975; Van Driem 1990; Sun 2000; Jacques 2004).

3.2.3 L-tone determiners and what they reveal for the analysis of the head noun

Rule 1 is that L tone spreads progressively ('left-to-right') onto syllables that are unspecified for tone. The tone patterns of compounds with L-tone determiners provide an interesting testing-ground for determining whether or not the lexical tones which have an initial M tone in their surface form are specified for tone on their first syllable: if they were, that initial M tone would be expected to block L-tone spreading; on the other hand, if that syllable is unspecified for tone, it should receive a L tone through spreading.

The observed patterns lend support to the analysis of the disyllables with High tone (#H, H\$, and H#) as unspecified for tone on the first syllable: if the head has one of these tones, a L tone on the determiner spreads onto the first syllable of the head.

The same analysis can be extended to the L# tone: L+L# yields H#, e.g. /k^hv₁mi₁/ 'dog' and /ti₁pi₁/ 'ear' yield /k^hv₁mi₁-ti₁pi₁/ 'dog's ear', where the L tone on the first syllable of the head is analyzed as resulting from L-tone spreading. (More below about the H element in the tone of this compound.)

The weight of this argument is admittedly decreased by the fact that the tone patterns after a L-tone determiner cannot be generated through the application of a set of general rules. It is not entirely clear to what extent the processes at play in this particular morphosyntactic context (determinative compounds) relate to the general rule of L-tone spreading. If the determiner is monosyllabic and combines with another monosyllable, all oppositions are neutralized; the compound carries L tone. In the other three length combinations ($\sigma\sigma+\sigma\sigma$, $\sigma\sigma+\sigma$, $\sigma+\sigma\sigma$), the picture is more complex. A floating H tone (#H) on the head is always disregarded, and the result is L. In combination with a M-tone head, the result is L except for $\sigma\sigma+\sigma\sigma$ which yields L+H#: a sequence of L tones and a final H

(L.L.L.H). The presence of a final H is not due to a general rule preventing the L tone from spreading more than one syllable to its right: for instance, the L+#H compound //joJ-gyJdɿJ// ‘sheep’s back’ carries a simple L tone, which spreads over the two syllables of the head. (It surfaces as /joJ-gyJdɿJ/, with a final rise, due to postlexical H-tone addition: Rule 7.) A combination of L+L on disyllables also yields a result that is unexpected under the hypothesis that the tones of the determiner and head are simply concatenated: L+#H^o (surface form: L.L.H.L).

Ad hoc accounts can be put together for individual patterns, e.g., for the quadri-syllabic combination of L and H\$ (illustrated by /k^hɿJmiJ-huJmiJ/ ‘dog’s stomach’), that the L tone spreads onto the first syllable of the head, which is unspecified for tone, and is then blocked by the presence of a tonal specification on the last syllable; the resulting L+H\$ pattern is neutralized with L+H#, yielding the surface result. However, such accounts do not generalize to the entire data set. For instance, in some cases it seems as if dissimilation were at play. In the example /k^hɿJmiJ-nɿJmiJ/ ‘dog’s heart’, from a L+L input, there is a H tone on the first syllable of the head. This suggests a process of dissimilation whereby the L tone on the head dissimilates to an initial H tone. A L+L input yields a simple L output for words of other lengths, however ($\sigma\sigma+\sigma$, $\sigma+\sigma$ and $\sigma+\sigma\sigma$). Here again, it appears that these patterns are learnt individually and applied in table-lookup fashion.

3.2.4 H-tone determiners: #H, H# and H\$

Compounds with a #H-tone determiner have the same output tone whether the determiner is monosyllabic or disyllabic. This is taken as a confirmation of the initial hypothesis that the tone category of monosyllables illustrated by /zɿwæ#J/ ‘horse’ and the category of disyllables illustrated by /giJ-zuJ#J/ ‘little brother’ are phonologically identical. For the sake of typographical simplicity, and in the absence of an opposition between different types of H tones on monosyllabic nouns, ‘horse’ is transcribed as /zɿwæJ/ rather than /zɿwæ#J/, omitting the information on the segmental anchoring of its H tone.

For the H tones (#H, H#, H\$) as for the L tone, there are differences in the tone of the compound depending on the number of syllables of the head noun. Attempts at generating the tones of these compounds from the input tones on the basis of a set of rules were unsuccessful. A general observation can be made nonetheless:

A floating H (#H) and a tone-group-final H (H\$) are never observed to reassociate more than one syllable to their right.

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This regularity is specific to determinative compounds. It does not hold in other parts of the tonal morphology, witness the following example: /la-t^ha-miŋ\$/ ‘Latami’ (family name), /la-t^ha-mi-t=ɟ-t-ŋuŋ/ ‘by the Latamis’, where H\$ tone moves two syllables away from the word to which it is lexically attached.

In combinations with a monosyllabic head, the #H tone is preserved in six cases out of ten. As this tone attaches at the end of the word that carries it, this amounts to a one-syllable shift to the right from its original position. In combinations with a disyllabic head, the #H tone is never present in the output, as if it could not move more than one syllable away from its original position without changing its nature. Eight of the sixteen combinations have a fixed, word-final H tone instead (H#).

In this light, the H# tone that occurs on H#-plus-H# combinations (with a disyllabic head) must be interpreted as originating in the H# tone of the head, not of the determiner.

The above generalization captures the fact that the H\$ tone never surfaces on compounds with a disyllabic head. But no hypothesis can be proposed as to why it surfaces when the head is a L-tone monosyllable, and not in association with any other monosyllabic head. Interestingly, the seven combinations that have two or three variants all involve a H\$-tone head noun, pointing to the relative instability of this tone category.

3.2.5 MH-tone determiners

In determinative compounds, MH tone, like other tones containing a H level, is not observed to move more than one syllable to the right. When the head is monosyllabic and the determiner disyllabic, a MH tone on the determiner moves onto the last syllable of the compound. In $\sigma + \sigma$, on the other hand, the MH tone does not move as a whole: it appears to remain associated to the determiner, and to project its H level onto the head – except when the head has a #H or MH tone. Again, those are simply piecemeal observations: no set of rules can be proposed to generate the tones of these compounds from the input tones.

3.2.6 Determiners carrying LM+MH# tone or LM+#H tone

The behaviour of LM+MH# and LM+#H when they appear on determiners provides evidence for their phonological analysis. At first glance it would appear that the former could be analyzed as L+MH#. For the surface patterns in isolation, this would yield a /L.MH/ output tone string, the same as LM+MH#, and

the label would be shorter. But if this tone were phonologically L+MH#, its initial L level would be expected to spread as a sequence of Ls, followed by a final MH contour. In fact, these two categories (LM+MH# and LM+#H) unfold as L.M over the first two syllables of polysyllabic compounds, showing that they are to be analyzed as comprising a LM pattern.

Apart from this observation, the interpretation of individual combinations is not straightforward. The cases that seem to make good sense in terms of the input tones do not greatly outnumber those that seem opaque. For instance, LM+MH# followed by a monosyllable with #H or MH yields a pattern comprising a tone-group-final H tone (H\$), exactly like a combination of monosyllables with MH on the determiner and #H or MH on the head. But the parallel ends here: if the head is a L-tone monosyllable, a MH-tone determiner yields a final H tone (H#), whereas a LM+MH# determiner yields a tone-group-final H (H\$).

3.2.7 About cases of neutralization of tonal oppositions on the head

Four tonal categories of disyllabic head nouns always behave in the same way: the oppositions between LM, LH, LM+#H and LM+MH# are neutralized. This neutralization is not a direct result of the application of the tone rules that hold throughout the tonal grammar of Yongning Na, as set out in Chapter 7. In principle, one could imagine a morphotonological rule whereby L tone on the determiner and LM+MH# on the head would yield L°LM+MH# on the entire compound, through the simple concatenation of the two tones. Thus, ‘sheep’s waist’ (input tones: L and LM+MH#) would be †joJ-ji|tʂæ¹. Such a compound would not violate conditions on well-formedness. What may be the reasons why it is not attested?

As observed at the outset of §2.4, in the simplest cases, the tone of the determiner expresses itself first, then the tone of the head expresses itself to the extent allowed by the tones already assigned. The four categories LM, LH, LM+#H and LM+MH# all have an initial L tone; in almost all cases, expression of this L tone on the head results in the creation of a /H.L/ or /M.L/ sequence at the juncture between the determiner and the head. Taking the simple example of a M-tone determiner, such as /po|lo-|/ ‘ram’, and a LM+MH#-tone head, such as /ji|tʂæ¹/ ‘waist’, tone assignment can be hypothesized to take place as follows: (i) the compound /po.lo-ji.tʂæ¹/ ‘ram’s waist’ first receives M on its first two syllables, from association of the M tone of the determiner, yielding /po|lo-|ji.tʂæ¹/; (ii) since the tone of the determiner is M, a tone that does not spread, the tone of the head can express itself, by left-to-right association of its tone pattern; its first syllable receives L, through association of the first tone level in the LM+MH# pattern,

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yielding /**po·lo·t·ji·l̥tɕæ**/; (iii) the last syllable receives L tone through application of one of the phonological rules that govern tone association in Yongning Na, namely Rule 5: “All syllables following a H.L or M.L sequence receive L tone” (see Chapter 7). This yields /**po·lo·t·ji·l̥tɕæ**↓/.

Another way of thinking of it would be to consider that the lexical tone pattern of the head gets associated in full, yielding † **po·lo·t·ji·l̥tɕæ**¹, and that this expression, which does not constitute a well-formed tone sequence due to the presence of a trough in the middle (the L in the M.M.L.MH sequence), is repaired by deletion of the final MH sequence and its replacement by L. Rule 5 could then be rephrased as Rule 5’: “All syllables following a H.L or M.L sequence are lowered to L”. Rule 5’ would apply after association of the entire LM+MH# tone pattern, whereas under the present account, Rule 5 applies as soon as the M.L sequence is created, i.e. as soon as a L tone associates to the syllable /...·**ji**·.../. Since both views have the same practical implications, the choice of one or the other can be made freely in view of one’s theoretical preferences.

The situation illustrated by /**po·lo·t·ji·l̥tɕæ**↓/ ‘ram’s waist’ is widespread: the only case in which a tone pattern beginning in L could express itself fully on the head would be when the determiner has L tone, as in the fictitious example of a realization of ‘sheep’s waist’ (input tones: L and LM+MH#) as † **jo·l̥·ji·l̥tɕæ**¹. In this light, the neutralization of the opposition between LM, LH, LM+#H and LM+MH# in this context can be viewed as the generalization of a pattern of neutralization which in most cases is obtained simply by application of Rule 5: “All syllables following a H.L or M.L sequence receive L tone”.

3.2.8 A tendency to avoid long-distance movement of tones

It was noted at the outset of this chapter, when discussing compounds of five syllables and more, that there was in Yongning Na a tendency to avoid processes that would result in long-distance movement of tone, namely reassociation of a H tone more than two syllables away from the word to which it is lexically attached. This tendency is confirmed by observations about the tone patterns that result from compounding. A floating H tone (#H) on the determiner plus a M tone on the head yields a final H tone (H#) on the compound, not a floating H tone (#H). A consequence is that the H tone does not move further away, as a floating tone would do. To propose an impressionistic description of the process: the H tone only floats once; at the outcome of the compounding process, its mode of association does not retain any potential for further movement. It appears highly significant that the only $\sigma\sigma+\sigma\sigma$ compounds that carry a floating H tone result from an input where the head had this tone in the first place, i.e. cases

where the floating H tone does not move in the process of compounding. It seems as if a floating H tone loses its ability to float when it becomes modified by compounding.

The association of L tone to long stretches of syllables does not constitute a counterexample to the tendency to avoid long-distance movement of tones. This is tone spreading, neutralizing all tonal oppositions on an entire portion of the tone group; it is not an instance of tonal movement (reassociation of a tone away from the word to which it is lexically attached). The process is summarized in Chapter 7 as Rule 5: “All syllables following a H.L or M.L sequence receive L tone”.

3.2.9 Slips of the tongue

Hesitations, variants, and tonal slips of the tongue can offer insights into the tone system. A full-fledged study of this captivating topic would require more fine-grained tools than have been employed so far. The boundary between an acceptable variant and a commonly occurring mistake is not altogether clear, and the main consultant’s judgments sometimes wavered between one and the other. While the greatest care was exercised to verify the data, the initial dichotomy between starred forms (mistakes) and acceptable variants would need to be followed up by specific experiments to ascertain the degree of acceptability of variants along a precise scale. (On the notion of gradient acceptability, see e.g. Goldrick 2011; Kirby & Yu 2007.)

To explore slips of the tongue, it is useful to take into account, for each of the surface-phonological tone patterns, (i) the rules from whose application it can result, and (ii) the morphosyntactic constructions in which it is attested. For instance, the L tone category is not attested in any $\sigma\sigma+\sigma$ determinative compound (see Table 3.3b), so that cases where a $\sigma\sigma+\sigma$ compound is realized erroneously with a L tone cannot be put down to analogy with other $\sigma\sigma+\sigma$ compounds. (Examples include the realization of ‘dog’s brains’ as $\ddagger k^h\check{v} \downarrow mi \downarrow \downarrow v \downarrow$ instead of $/k^h\check{v} \downarrow mi \downarrow \downarrow v \downarrow$, in the recording *DetermCompounds12*.) It may be relevant here that $\sigma+\sigma\sigma$ compounds with the same tonal input yield a L tone (see Table 3.3c): the slip of the tongue may be an example of interference between the tone rules that apply on compounds with different syllabic patterns. But the erroneous pattern could also result from the mistaken application of a phonological rule of tone spreading, Rule 1: “L tone spreads progressively onto syllables that are unspecified for tone” (see §7.1.1). In that case, the mistake would consist in pairing the words together with a solely phonological adjustment instead of a morphophonological one.

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Tonal slips of the tongue (“slips of the larynx”?) in the recorded Na data could also be adduced to argue that there is a special closeness between certain pairs (or subsets) of tonal categories. The M tone category seems more liable to confusions with #H than with other tones. For instance, errors involving /la+ɬy/ ‘tiger’ consist in the substitution of the tone pattern expected for a #H-tone word: †la+ɬy/ ‘tiger’s brains’, instead of /la+ɬy/, and †la+hu|mi/ ‘tiger’s stomach’, instead of /la+hu|mi|. (There are two instances of both of these mistakes; the recordings are: DetermCompounds6 and DetermCompounds7.) There are also instances of substitutions between H\$, MH# and H#, e.g. †hwy|li+sy/ instead of /hwy|li+sy/ for ‘cat’s blood’, and †hwy|li+ɬy/ instead of /hwy|li+ɬy/ for ‘cat’s brains’. Confusions are found between LM+H# and LM+MH#^o over compounds involving a LM+#H-tone head. In the first case, a H tone floats all the way to the last syllable of the compound. In the second case, a H tone associates to the first syllable that follows the determiner.

3.2.10 Perspectives for comparison across speakers

The entire data set discussed here was provided by the consultant of reference, F4. Data was also elicited from three other speakers: F5, who is F4’s daughter-in-law; M21, a relative of F4, belonging to the same generation; and M23, who is M21’s son. All three are less proficient speakers than F4, due to long stays away from Yongning in the case of M21, and to a generation gap in the case of F5 and M23, both proficient speakers of Southwestern Mandarin.

Unsurprisingly, some cross-speaker differences are observed. Analysis of these data is crucial to understanding the dynamics of the tone system. Some of the differences reflect the fact that the nouns at issue belong in different categories in the speech of the other consultants. For instance, ‘flea’ is /ky|ʂe/ in F4’s speech (LH tone), whereas in M21’s speech it is /ky|ʂe#/ (H tone). Likewise, ‘boar’ is /bo|ʂa/ in F4’s speech (LH tone), whereas in M21’s speech it fluctuates between /bo|ʂa/ and /bo|ʂa/ (LM+MH# tone). The difference in tones for ‘boar’s nose’ between the two speakers – /bo|ʂa|ni|gɣ/ for F4 (tone: LH), and /bo|ʂa|ni|gɣ#/ (tone: LM+#H) for M21 – is interpreted not to have originated in a difference in the rules that determine the tonal output for compounds, but in a difference in input tones. Both compounds follow the regularities summarized in Tables 3.3a–e, but the input combination is different.

This situation requires a full checkup of each consultant’s lexical tone system as a preliminary to the selection of compound nouns for elicitation. On this basis, cross-speaker differences in the tone rules can be brought out.

3.2 Determinative compound nouns. Part II: discussion

To begin with a comparison within close age groups, Table 3.4 presents an overview of differences between F4 and M21.

Table 3.4: Differences between speakers F4 and M21 in the tones of compounds.

input	output		example
	F4	M21	
M+M			
($\sigma+\sigma$)	#H	L#	‘tiger’s intestine’: la-t-bv#l vs. la-t-by-l

#H+H\$			
($\sigma\sigma+\sigma\sigma$)	H#, H\$, or #H ^o	^o L	‘colt’s stomach’: zwæ-tzo-t-hu-tmi-l vs. zwæ-tzo-t-hu-lmi-l

The output that is obtained when combining two M tones over monosyllables is different for the two speakers, and this output is odd in both cases: why not use a simple concatenation of the input tones, yielding M tone for the compound, as happens for the other M+M compounds ($\sigma\sigma+\sigma\sigma$, $\sigma\sigma+\sigma$ and $\sigma+\sigma\sigma$)? For both F4 and M21, the output differs from what would be expected as default. The combination #H+H\$ over disyllables ($\sigma\sigma+\sigma\sigma$) is also different for the two speakers, who both refused the other’s variant when I tried it on them.

An interesting characteristic of these different patterns is that they nonetheless have a family resemblance: M21’s patterns are not that different from F4’s. M21 has ^oL tone on the phrase ‘colt’s stomach’ ($\sigma\sigma+\sigma\sigma$); this same ^oL tone is found in F4’s data, when monosyllabic input nouns with these tones are combined into a compound ($\sigma+\sigma$). Such observations suggest that subtle processes may be at play, whereby individuals bound by social ties tend towards a degree of convergence. One could speculate that, in cases where speakers wish to promote a feeling of community, for instance in relaxed discussions with members of the extended family, they tend to accommodate to their interlocutor’s tone patterns, occasionally adopting new patterns so as to emphasize linguistic common ground over differences. Since one and the same surface tone pattern is open to several phonological interpretations (e.g. /M.M/ may be the realization of underlying //M// or //H//), this process of accommodation can result in a proliferation of divergent variant forms from one speaker to another. Speakers’ adoption

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of tone patterns from their customary interlocutors could thus explain the extent of the observed pool of morphotonological variation. By a related process, speakers may tend to select from within this pool of variation those patterns that they feel will be most accessible to their addressee: avoiding, among possible variants, those that are felt to be most sharply at variance with the addressee's. Conversely, self-assertive speakers who want to distance themselves from the addressee could favour linguistic patterns that they feel are most different from those used by the addressee: this would be a possible path whereby a variant acquires prominence and eventually comes to be generalized by that speaker.

To test these hypotheses, one could examine dialogues, for instance comparing F4's tone patterns in conversations with different family members – whose tone patterns will need to be described with the greatest possible precision. This is a perspective for future research; it holds promise of bringing out mechanisms that play a key role in the evolution of the tone system, thereby shedding light on the system's synchronic outlook.

3.2.11 Exceptional items

Some compounds possess lexical tones that differ from those that would be expected on the basis of their constituting elements. The irregularity can be due to the determiner, as in the first four lines of Table 3.5, or to the head, as in its last line. These examples are discussed one by one below.

3.2.11.1 The noun 'Naxi'

The noun 'Naxi' (/na.jhĩ#ŋ/, tone: LM+#H) yields irregular results in two quadrisyllabic compounds (see the recording DetermCompounds16):

- (i) with MH# tone: /na.jhĩ+^hku^hldziŋ/ 'Naxi leggings'. The regular tone pattern would be †na.jhĩ+^hku^hldziŋ (underlying tone: LM+MH#°); however, this pattern is not acceptable. The observed tone is LM+#H°. An example of the regular tone pattern is /na.jhĩ+ŋwɣ+p^hæŋ/ 'Naxi tile'.
- (ii) with L tone: /na.jhĩ+^hbaŋlaŋ/ 'Naxi clothes'. The regular tone pattern would be †na.jhĩ+^hbaŋlaŋ (underlying tone: LM+#H°, which can also be described as LM+MH#°), but it is not acceptable. The observed tone is LM+H#. An example of the regular tone pattern is /na.jhĩ+^hsuŋt^hiŋ/ 'Naxi knife'.

'Naxi' has a special status in Yongning Na. On the one hand, it refers to an ethnic group perceived as distinct from the Na: the Naxi of the Lijiang plain, some

Table 3.5: Compounds whose tones differ from those that would result from the application of the synchronic tone rules.

observed compounds	tone pattern	expected pattern	irregular word	meaning	tone
na.lhĩ-1-k^hur dzɿ 'Naxi leggings'; na.lhĩ-1-ba la 'Naxi clothes'	LM+#H°; LM+H#°	LM+MH#°; LM+#H°	na.lhĩ #	Naxi	LM+#H
ji - gɣ-1- dzu 'mucus'	MH#	#H	ji - gɣ#	nose	#H
my -1- xo 'sky'	H#	#H	my	sky	#H
ji - by 'cows' stable'	MH#	#H	ji	ox	MH#

lv - mi-1- tsa by 'fine sand'; q^ha - dze-1- tsa by 'sweetcorn flour'; dze - lv -1- tsa by 'wheat flour'	°L	M	tsa - by-1	powder	M

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of whom settled in Yongning since the early 20th century but still retain their distinct costumes and language. On the other hand, it is made up of the endonym of the Na, compounded with the word for ‘person, human being’, so that its independence from ‘Na’ is problematic. The exceptional treatment of this noun may have to do with the perceived necessity of handling the term in such a way as to attempt to avoid its perception as a compound meaning ‘Na person’.

3.2.11.2 The nouns ‘nose’ and ‘hair’

‘Mucus’ is /**ni-gɻ-t-dzɯ**/ ‘mucus’ (tone: MH#); on the basis of /**ni-gɻ**#/ ‘nose’ and /**dzɯ**/ ‘water’, the expected tone would be #H (/†**ni-gɻ-t-dzɯ**#/). Likewise, ‘hair’ is /**ɣo-hṽ**/; on the basis of /**ɣo**/ ‘head; top’ and /**hṽ**/ ‘hair’, one would expect /†**ɣo-hṽ**#/.

The etymology of these two words seems self-evident, but mucus is not just a kind of water (‘nose-water’). The difference between hair on the head and on the body is less obvious, but many languages have different terms for the two, even if they share the same root, as in Na (where ‘body hair’ is /**zi.jhṽ**#/, literally ‘ape hair’). These semantic distinctions may shed light on the irregular tone patterns of these two words, suggesting an early lexicalization of the compound. The discrepancy between the tones of these items and the output of the currently productive tone rules for compounds may be due to different tone rules that applied at the time when they were created. Or they may result from an evolution of the compound away from the regular tone pattern, triggered by the perception of their status as lexical units rather than compounds. This second possibility might sound less plausible than the first, but item-by-item tone change accompanying lexicalization is a salient characteristic of the tone system of Laze, a language closely related to Na (Michaud 2008a; 2009; Michaud & Jacques 2012), so this possibility should not be lightly dismissed.

3.2.11.3 The noun ‘flour, powder’

The word for ‘powder, flour’ is /**tɕa-bɻ**/, with M tone. According to the synchronically productive rules, the combination of this word with /**lɻ-mi**/, ‘stone’, /**q^ha-dze**/ ‘sweetcorn’ and /**dze-lɯ**/ ‘wheat’ should yield a simple M-tone output, e.g. †**lɻ-mi-tɕa-bɻ** for ‘fine sand’. But the observed forms are /**lɻ-mi-tɕa.lɻ**/ ‘fine sand’, /**q^ha-dze-tɕa.lɻ**/ ‘sweetcorn flour’, and /**dze-lɯ-tɕa.lɻ**/ ‘wheat flour’, all with a M.M.L.L tone pattern (phonological notation: °L). This may well be related to the fact that /**tɕa-bɻ**/ is a Tibetan loanword. As for the exact reason why the word acquires L tone in these compounds, there is no way

to tell, until a detailed study of the various layers of Tibetan loanwords can be conducted.

3.2.11.4 The noun ‘sky’

The disyllabic form of the noun ‘sky’ is /**my**+**bo**l\$/ . The monosyllabic root for ‘sky’ is /**my**l/; if the second syllable were /**bo**l/ ‘head; top’, one would expect the compound to have tone #H (on the basis of the regularities set out in Tables 3.2a–e). But the second syllable may be the particle ‘on’ – itself likely to be grammaticalized from ‘head’.

3.2.12 Conclusions about determinative compounds

The tone patterns of determinative compounds present a complex picture. On the one hand, about half the patterns can be straightforwardly explained as the concatenation of the two input tones, followed by application of the general rules governing the adjustment of successive tones within a tone group. On the other hand, it was not found possible to capture the other half of the patterns by means of a set of rules.

At this point, it may be useful to speculate about possible ways in which the tones of the determiner and head could combine.

The simplest theoretical possibility would consist in having no tone change at all in compounds. Such is the case in some languages (such as Mandarin), showing that tone change is not necessary to compounding.

A second possibility would be the complete neutralization of tonal oppositions on the determiner, or on the head. Neutralization of oppositions on the determiner would seem odd, because the linear order is determiner-first, and processes of tone spreading and tone reassociation in Yongning Na are all perseverative (towards following syllables, not preceding syllables), so that the loss of tonal oppositions among determiners would drastically reduce the number of tone patterns on compounds at the surface-phonological level.

Neutralization of tonal oppositions on the head is attested in the neighbouring language Shixing (a.k.a. Xumi), where only the tone of the determiner expresses itself, i.e. all tonal oppositions on the head are neutralized, and the 3×3 tonal combinations among nouns boil down to three patterns on compounds (Chirkova & Michaud 2009). The example of Shixing shows that it is possible for this neutralization to occur in a language where tonal oppositions have a high functional yield. The existence of a greater number of lexical tones in Yongning Na may explain in part why such massive neutralization did not take place in this language.

3 *Compound nouns*

A third theoretical possibility would be the concatenation of the input tones. Under such a configuration, the tone of the determiner would express itself first, and given the limitations on tone sequences within a tone group (summarized as Rules 1–7: see Chapter 7), this would leave little room for the tone of the head to express itself. H tones of the lexical categories #H, H\$, MH#, LM+MH#, LM+#H and H# have a propensity to associate towards the end of the word, so that they would preclude the expression of any other tone on the compound. Likewise, the L tones of the lexical categories L and L# would spread rightward all the way to the end of the compound noun. The tone of the head could only express itself when the determiner has M tone: M+L would yield °L, M+#H would yield #H, and so on.

The state of affairs found in Yongning Na is more complex than any of the above three theoretical possibilities: compounds have tone rules of their own, which differ subtly from simple concatenation of the two input tones. When the determiner carries #H, H\$ or MH#, the H part of the tone does show a propensity to associate to a following syllable within the compound, as expected on the basis of the mode of association of these lexical tones (studied at length in Chapter 2), but it tends to remain close to the position that it had in the determiner, and the nature of its anchoring is sometimes modified in compounding. For instance, H \$ tone yields H\$, #H°, #H or H#° (with a range of different modes of association) depending on the tone of the head.

3.3 **Coordinative compounds**

3.3.1 **The main facts**

In the closely related language Naxi, the tones of coordinative compounds are simply the concatenation of those of their constituents, e.g. /**ni·nɿ**↓-**jæ·qæ**↓**zɿ**↑/ ‘wife and husband’ from /**ni·nɿ**↓/ ‘wife’ and /**jæ·qæ**↓**zɿ**↑/ ‘husband’.² In Yongning Na, on the other hand, coordinative compounds are tonally active, to an extent comparable with determinative compounds.

Coordinative compounds are less common than determinative compounds, however, and less easy to elicit systematically. Syntactically, coordinative constructions can be applied to any pair of nouns. This is exemplified by the names of public houses in English. Combinations like “Fox and Hounds” or “Dog and

² This tonally inert compound is of little phonological interest; on the other hand, it has some ethnolinguistic interest. As the Naxi of Lijiang like to point out, this compound places the wife in front of the husband, in contradiction of basic Confucian principles.

Duck” refer to hunting traditions; others, such as “Bear and Ragged Staff”, refer to heraldry. Once the pattern is established, new coordinative combinations can be created at will, such as the humorous “Snail and Salad”, where the relationship between the two terms – and their relationship to the food served in the pub – is offered to the customer’s fancy. In Yongning Na, any two nouns can be coordinated by means of the conjunction /-laʔ/, but coordinative compound nouns are not as easy to coin: the two nouns must refer to entities that are commonly paired together.

Three sources were found: pairs of animal names of the two sexes, and their offspring, such as ‘ewe and ram’, ‘ram and ewe’, ‘ewe and lamb’, and ‘ram and lamb’; pairs of kinship terms, such as ‘uncle and nephew’ and ‘mother and daughter’; and successive numerals followed by the same classifier, such as ‘two or three years’, ‘five or six months’ or ‘four or five days’. A broad sample of the first two sets can be found in the online recording CoordCompounds; the third set is found in CoordCompounds2. The consultant (F4) preferred to remain within the bounds of common sense, and non-matching pairs such as ‘mother and nephew’ or ‘grandmother and brother’ were avoided. The elicited data is set out in Table 3.6b. As elsewhere, a slash separates variants. Some tone patterns that were proposed by the investigator and refused by the consultant are indicated, with a star, in the output column: for instance, in view of the existence of two variants for the combination /zyʔhiʔ-ŋwɿʔhiʔ/ ~ zyʔhiʔ-ŋwɿʔhiʔ/ ‘four or five months’, whose input nouns both have L tone, it was attempted to apply the L tone pattern of the variant /zyʔhiʔ-ŋwɿʔhiʔ/ to other combinations of two L-tone nouns, such as ‘nephews and nieces’, /zeʔvʔ-zeʔmiʔ/. The fact that the L variant is not possible for these expressions (‡zeʔvʔ-zeʔmiʔ) is indicated through the mention ‘(‡L)’ in the output column.

Three suffixes appear repeatedly in the table: the female/AUGMENTATIVE suffix /-miʔ/, the male suffix /-pʰvʔ/, and the child/male/DIMINUTIVE suffix /-zoʔ/. These suffixes are discussed in more detail in §5.1.

Most examples are quadrisyllabic, from two input disyllables ($\sigma\sigma+\sigma\sigma$). The two disyllabic examples ($\sigma+\sigma$) at the top of the table are written without a hyphen, on the basis of the intuition that they are more strongly integrated than the others. Trisyllabic examples are of the structure *disyllable plus monosyllable* ($\sigma\sigma+\sigma$), showing a preference for coordinative compounds where the first term has at least as many syllables as the second. Hexasyllabic compounds can be created, e.g. /ŋwɿʔhiʔmiʔ-qʰvʔhiʔmiʔ/ (Dog2.64) ‘the fifth and sixth months’.

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Table 3.6a: Compounds of less than four syllables, arranged by input tones.

compound	meaning	input	output
my̌ dǐ1	universe ('sky' + 'earth')	H and LM	MH#
zǒ my̌1	child ('son' + 'daughter')	H and LH	H#
ə̌ mǐ1- my̌1	mother and daughter	M and LH	°L
ə̌ mǐ1- zǒ#1	mother and son	M and H	#H
ə̌ dǎ1- my̌1	father and daughter	H\$ and LH	H#
ə̌ dǎ1- zǒ#1	father and son	H\$ and H	#H

3.3.2 Discussion: tonal variability and lexical diversity

The existence of variants was already observed for some determinative compounds (see Tables 3.3a–e), but the overall proportion of combinations that have variants is low: 7 combinations out of 257, all of which have H\$ tone on the head noun. For coordinative compounds, on the other hand, less regularity is observed. There are not only tonal variants, but compounds with identical input tones and different outputs. Among quadrisyllabic compounds, two different outputs (on different examples) are found for no less than six tonal combinations: those with input tones M and M; #H and M; MH# and M; H\$ and M; H\$ and #H; and L and #H. A seventh combination, #H and #H, even has three different outputs. For quadrisyllabic compounds, the proportion of tone combinations with two or three different outputs is about one out of four.

The general picture is thus one of great tonal variability. But coordinative compounds are not simply characterized by a general looseness of their tone patterns, whereby two or three tonal variants would be acceptable for any combination of input tones. For instance, the consultant considers it incorrect to say † **gǐ|zǔ1-|gǒ|mǐ1** for 'little brothers and little sisters', † **ts^hǔ1-|zǒ1-|tǒ|qǎ1** for 'kids and little nanny goats', † **ə̌|zǐ1-|ə̌|p^hy̌1** for 'ancestors', † **ə̌|my̌1-|gǒ|mǐ1** for 'sisters', † **ts^hǔ1-|mǐ1-|pǒ|lǒ1** for 'nanny goat and billy goat', or † **ə̌|dǎ1-|ə̌|mǐ1** for 'father and mother'. This points to a degree of lexicalization of the tone patterns of compounds: certain items come to carry a habitual tone pattern to the exclusion of others. Cross-speaker comparison (within the family, then extending the comparison to other micro-dialects) will be necessary to explore the paths of development of idiosyncratic preferences. This relates in subtle ways to the seman-

Table 3.6b: Quadrisyllabic compounds with M as the first input tone.

compound	meaning	input	output
ə+p ^h v̄- z̄v̄- v#̄]	great-uncle and great-nephews	M and M	#H
ə+p ^h v̄- z̄v̄- mi#̄]	great-uncle and great-nieces		
ə+si- ə+p ^h v#̄]	3 rd -generation ancestors		
ə+si- z̄v̄- mi#̄]	(great-)grandmother and granddaughters		
ə+si- z̄v̄- v#̄]	great-grandmother and grandsons		
ḡv̄- d̄v̄- ḡv̄- mi-	(human) body	M and M	M
jo- mi- po- lo-	ewe and ram		
q̄z̄w̄æ- mi- q̄z̄w̄æ- p ^h v̄-	male and female sparrow		
q̄u- fi- ji- fi-	one or two months		
ji- fi- so- fi-	two or three months	M and M	°L
b̄æ- mi- b̄æ- p ^h v#̄]	female and male duck	M and #H	#H
b̄æ- mi- b̄æ- zo#̄]	female duck and duckling		
b̄v̄- mi- b̄v̄- zo#̄]	female yak and yak calf		
ʂ̄u- fi- h̄ō- fi#̄]	seven or eight months	M and H\$	#H
ə- mi- ze- mi-	aunt and niece	M and L	°L
ə- mi- ze- v̄-	aunt and nephew		
b̄v̄- mi- b̄v̄- ʂ̄w̄æ-	female and male yak		
dzo- mi- dzo- p ^h v̄-	female and male lizard		
so- fi- z̄v̄- fi-	three or four months		

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Table 3.6c: Quadrisyllabic compounds with #H as the first input tone.

compound	meaning	input	output
gi ↓ zu ↓- go ↓ mi ↓#↓	little brothers and sisters	#H and M	#H
bæ ↓ zo ↓- bæ ↓ mi ↓#↓	duckling and female duck		
bæ ↓ p^hv ↓- bæ ↓ mi ↓#↓	male duck and female duck		
ts^hu ↓ zo ↓- to ↓ qa ↓	kids and little nanny goats	#H and M	H#
zɿ ↓ v ↓- zɿ ↓ mi ↓	grandchildren	#H and #H	H#°
hwɿ ↓ p^hv ↓-	tom-cat and kitten	#H and #H	#H / #H°
hwɿ ↓ zo ↓#↓ /			
hwɿ ↓ p^hv ↓- hwɿ ↓ zo ↓			
ho ↓ mi ↓- ho ↓ p^hv ↓#↓ /	female and male pheasant		
ho ↓ mi ↓- ho ↓ p^hv ↓			
dzi ↓ mi ↓- dzi ↓ zo ↓#↓ /	female and baby buffalo		
dzi ↓ mi ↓- dzi ↓ zo ↓			
dzi ↓ zo ↓- dzi ↓ mi ↓#↓ /	baby and female buffalo		
dzi ↓ zo ↓- dzi ↓ mi ↓			
la ↓ mi ↓- la ↓ p^hv ↓#↓ /	female and male tiger		
la ↓ mi ↓- la ↓ p^hv ↓			
la ↓ mi ↓- la ↓ zo ↓#↓ /	female and baby tiger		
la ↓ mi ↓- la ↓ zo ↓			
zɿ ↓ ni ↓- ɣwɿ ↓ ni ↓#↓ /	four or five days		
zɿ ↓ ni ↓- ɣwɿ ↓ ni ↓			
ɛv ↓ p^hv ↓- ɛv ↓ mi ↓#↓	male and female crane	#H and MH	#H
hwɿ ↓ p^hv ↓- hwɿ ↓ mi ↓	tom-cat and she-cat	#H and H\$	H#
hwɿ ↓ zo ↓- hwɿ ↓ mi ↓	cats: kitten and parents		
ɣwɿ ↓ ni ↓- q^hv ↓ ni ↓	five or six days	#H and H\$	°L
ɣur ↓ ni ↓- hō ↓ ni ↓	seven or eight days		
zɿwæ ↓ zo ↓- zɿwæ ↓ mi ↓ /	colt and mare	#H and L	#H° / H#
zɿwæ ↓ zo ↓- zɿwæ ↓ mi ↓			
p^hx ↓ p^hv ↓- p^hx ↓ mi ↓ /	male and female hyena		
p^hx ↓ p^hv ↓- p^hx ↓ mi ↓			
gɿ ↓ ni ↓- ts^he ↓ ni ↓ /	nine or ten days	#H and L	°L / L+H#
gɿ ↓ ni ↓- ts^he ↓ ni ↓			
k^hv ↓ zo ↓- k^hv ↓ my ↓ /	male and female puppies	#H and H#	H#° / H#
k^hv ↓ zo ↓- k^hv ↓ my ↓			

Table 3.6d: Quadrisyllabic compounds with MH# as the first input tone.

compound	meaning	input	output
ə-ʈzi-ə-p ^h ɥʌ	elders, grandparents	MH# and M	MH#
æ-ʈmɥʌ-go-ʈmiʌ	sisters, female siblings	MH# and M	H#
ə-ʈzi-ʈzɥʌɥʌ	grandmother and grandsons	MH# and #H	#H ^o
ə-ʈzi-ʈzɥʌmiʌ	grandmother and granddaughter		
æ-ʈmɥʌ-giʌzɔʌ	brethren, brothers		
ɬwʌk ^h ɥʌ-ʈniʌk ^h ɥʌ	one or two years	MH# and MH#	MH# ^o
ə-ʈɥʌ-ʈzeʌɥʌ	uncle and nephew	MH# and L	MH# ^o
ə-ʈɥʌ-ʈzeʌmiʌ	uncle and niece		
zo-ʈhɥʌ-ʈmɥʌzoʌ	descendants		
ʈniʌk ^h ɥʌ-ʈsoʌk ^h ɥʌ (‡ ʈniʌk ^h ɥʌ- soʌk ^h ɥʌ)	two or three years	MH# and L	H#
ʂwʌk ^h ɥʌ-ʈhōʌk ^h ɥʌ / ʂwʌk ^h ɥʌ-ʈhōʌk ^h ɥʌ	seven or eight years	MH# and H#	MH# ^o / H#

tic diversity of coordinative compounds. Importantly, it is not always possible to arrive at the meaning of coordinative compounds simply on the basis of their two constituents. For instance, /**hwɥʌzo-ʈhwɥʌmiʌ**/, made up of ‘kitten’ and ‘she-cat’, does not mean ‘kitten and she-cat’ (the child and the mother), but refers to cats in general, as a species. The terms for male and female puppies, /**k^hɥʌzo#ʌ**/ and /**k^hɥʌmv#ʌ**/ respectively, have come to be used as names for human newborns: an unlovely name is supposedly chosen to repel demons who may be lurking around to take their lives.³ The real name is only given after a couple of months, or sometimes as late as one full year after birth. The two terms /**k^hɥʌzo#ʌ**/ and /**k^hɥʌmv#ʌ**/, and their compound /**k^hɥʌzoʌk^hɥʌmvʌ**/, have become culturally specialized and have ceased to be used to refer to real puppies. There is thus a broad

³ This is known in Chinese as “milk name” (乳名 *rǔmíng*), and constitutes one of the types of “names intended to avoid attracting the unwanted attention of gods, sparing the name-bearers the misfortunes wrought by the god’s wrath or jealousy” Chen (2016: 118).

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Table 3.6e: Quadrisyllabic compounds with H\$ as the first input tone.

compound	meaning	input	output
ts^hu-mi-t-po-lol	nanny goat and billy goat	H\$ and M	H#
ə-dɑ-t-ə-mi#	father and mother, parents	H\$ and M	#H
q^hɣ-ɦi-l-ɣu-ɦi	six or seven months	H\$ and M	H#°
ə-ɲi-t-s^hi-ɲi#	these days	H\$ and #H	#H
ə-ɲi-t-s^hi-ɲi#	these years		
ɬ^hæ-mi-t-ɬ^hæ-zo#	doe and stag		
hwɣ-mi-t-hwɣ-zo# / hwɣ-mi-t-hwɣ-zo \$	she-cat and kitten	H\$ and #H	#H / H\$
hwɣ-mi-t-hwɣ ᵐᵛ / hwɣ-mi-t-hwɣ ᵐᵛ# / hwɣ-mi-t-hwɣ ᵐᵛ	she-cat and tom-cat	H\$ and #H	#H° / #H / H\$
q^hɣ-ɲi-l-ɣu-ɲi / q^hɣ-ɲi-t-ɣu-ɲi / q^hɣ-ɲi-t-ɣu-ɲi# (‡ q^hɣ-ɲi-t-ɣu-ɲi l\$)	six or seven days	H\$ and #H	H#° / #H° / #H
hō-ɲi-l-gɣ-ɲi / hō-ɲi-t-gɣ-ɲi / hō-ɲi-t-gɣ-ɲi# (‡ hō-ɲi-t-gɣ-ɲi l\$)	eight or nine days		
ɲi-ɲi-t-so-ɲi (‡ ɲi-ɲi-l-so-ɲi)	two or three days	H\$ and MH#	H#
ɖu-ɲi-t-ɖu ᵐᵛ / ɖu-ɲi-t-ɖu ᵐᵛ	one day and one night		#H°
ɬ^hæ-mi-t-ɬ^hæ-zo# / ɖu-ɲi-t-ɲi-ɲi#	doe and fawn one or two days	H\$ and H\$	#H
hō-ɦi-l-gɣ-ɦi / ɲi-ɲi -so-ɲi	eight or nine months two or three days	H\$ and L	H#°
ə-ɲi-t-ɣu-ɲi	in the past	H\$ and LM+#H	#H°

Table 3.6f: Quadrisyllabic compounds with L as the first input tone.

compound	meaning	input	output
bɣ̣ ʂwæ bɣ̣ mi / bɣ̣ ʂwæ bɣ̣ mi 	male yak and female yak	L and M	L / L+#H°
kɣ̣ pʰɣ̣ kɣ̣ mi / kɣ̣ pʰɣ̣ kɣ̣ mi 	male and female falcon		
dzo pʰɣ̣ dzo mi / dzo pʰɣ̣ dzo mi 	male and female lizards		
mɣ̣ zo ə mi / mɣ̣ zo ə mi 	young woman and (her) mother	L and M	L+#H° / L+H#
gɣ̣ ʰi tsʰe ʰi / gɣ̣ ʰi tsʰe ʰi 	nine or ten months		
mɣ̣ zu ni mi 	brothers and sisters	L and #H	L+#H°
zɣ̣wæ mi zɣ̣wæ zo so ɲi zɣ̣ ɲi 	mare and colt three or four days	L and #H	L
ŋwɣ̣ ʰi qʰɣ̣ ʰi 	five or six months	L and H\$	#H°
ji mi zɣ̣ qo ze ɣ̣ ze mi ji bɣ̣ ji mi pɣ̣ mi pɣ̣ pʰɣ̣ pʰɣ̣ mi pʰɣ̣ zo 	cow and calf nephews and nieces bull and cow female and male frog female hyena and hyena pup	L and L	L+#H° (‡ L)
zɣ̣ ʰi ŋwɣ̣ ʰi / zɣ̣ ʰi ŋwɣ̣ ʰi 	four or five months	L and L	L / L+#H°
so kʰɣ̣ zɣ̣ kʰɣ̣ 	three or four years	L and L#	L+H#

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Table 3.6g: Quadrisyllabic compounds with L# as the first input tone.

compound	meaning	input	output
z wæ s <u>u</u> -z w æ z <u>o</u>]	stallion and colt	L# and #H	L# ^o
z wæ s <u>u</u> -z w æ m i]	stallion and mare	L# and L	L# ^o
g ɥ k ^h ɥ -t s ^h e k ^h ɥ]	nine or ten years		
z ɥ k ^h ɥ -ŋ w ɣ k ^h ɥ]	four or five years	L# and L#	L# ^o
ŋ wɣ k ^h ɥ -q ^h ɥ k ^h ɥ]	five or six years	L# and H#	L# ^o

Table 3.6h: Quadrisyllabic compounds with LM as the first input tone.

compound	meaning	input	output
ɑ ʁ o -z i -dɥ	the household	LM and M	LM ^o
p ɥ t s u -p ɥ m i]	small and large combs	LM+MH# and L	LM+MH# ^o
p ɣ t ʃ i -p ɣ m i]	tadpole		
æ m i -æ ʃ wæ]	hen and cock	LM and H#	LM+H#
æ m i -æ t s u]	hen and chicks		
b o m i -b æ -bɥ]	sow and piglets		
z æ p ^h ɥ -z æ m i]	male and female panther	LM and LM+#H	LM ^o L
d ɥ m i -d ɥ p ^h ɥ]	female and male weasels	LM+#H and LM	LM+#H ^o
ɑ m i -ɑ p ^h ɥ]	female and male goose	LM+#H and LM	LM+#H ^o
ɖ u z o - ɖ u m i]	female and male mule	+#H	

Table 3.6i: Compounds of four to six syllables with H# as the first input tone.

compound	meaning	input	output
q ^h ɥ k ^h ɥ - ʃ u k ^h ɥ]	six or seven years	H# and MH#	H# ^o
h o k ^h ɥ - g ɥ k ^h ɥ]	eight or nine years	H# and L#	H# ^o
æ - ʃ wæ - æ m i]	cock and hen	H# and LM	H# ^o
ŋ wɣ ʃ i m i -q ^h ɥ ʃ i m i]	the fifth and sixth months		

range of situations, from elicited combinations which the consultant may never have conceptualized before (such as ‘male and female jackal’) to highly lexicalized expressions. This sheds indirect light on the observed tonal variability. However, the overall number of examples is too small to determine with confidence which of these outputs are currently productive. It may be that some disyllables are treated as indecomposable units, whereas others are treated as compounds, with different tonal outputs. For instance, ‘she-cat’ and ‘tom-cat’ both have the same lexical tone (H\$), but ‘she-cat and tom-cat’ and ‘tom-cat and she-cat’ have different tone patterns. This could derive from a stronger perception of the second syllable as an affix for one of these two nouns, but it is more likely that the two compounds have different degrees of lexicalization (frequency of use). One may follow currently productive rules, whereas the other is learnt as a single unit. The logical next step to investigate this issue would be to test the perceived degree of ‘naturalness’ (i.e. degree of lexicalization and frequency of use) of the various compounds.

The tone patterns observed to date are summarized in Tables 3.7a and 3.7b. When two different (and mutually exclusive) patterns are observed over different compounds, these patterns are separated by a semi-colon. In cases of free variation (over the same compound), the patterns are separated by a slash. In order to save space, tone categories for which there are no examples are omitted from the table.

Table 3.7a: The tones of coordinative compounds. Part a: monosyllabic second noun. A question mark indicates that no example was found.

type of 1 st noun	tone of 1 st noun	tone of 2 nd noun		
		LM	L	#H
monosyllables	#H	MH#	H#	?
disyllables	M	?	°L	#H
	H\$?	H#	#H

Sixty percent of the combinations are identical with those found on determinative compounds. There is a considerable proportion of combinations for which no example was found; to the cells containing a question mark in the table must be added the empty columns, which are omitted from the table. Only 35 combinations were observed, out of a theoretically possible 257 (6 × 6 for $\sigma+\sigma$ compounds, 11 × 6 for $\sigma\sigma+\sigma$ compounds, and 11 × 11 for $\sigma\sigma+\sigma\sigma$ compounds). Since there

Table 3.7b: The tones of coordinative compounds. Part b: combinations among disyllables. A question mark indicates that no example was found.

		2 nd noun							
tone of 1 st noun	M	#H	MH#	H\$	L	LM+#H	LM	H#	
M	M; #H	#H	?	?	°L	?	?	?	
#H	H#; #H	H#°; H#/#H°	#H	H#	#H° / H#	?	?	H# / H#°	
MH#	MH#; H#	MH#°	?	?	MH#°	?	?	?	
H\$	H#; #H	#H; #H° / H#	#H°	#H	?	#H°	?	?	
L	L+H# / L+#H°	L; L+#H°	?	?	L+#H°	?	?	?	
L#	?	L#°	?	?	L#°	?	?	?	
LM+MH#	?	?	?	?	LM+MH#°	?	?	?	
LM+#H	?	?	?	?	?	LM+MH#°	LM+MH#°	?	
LM	LM°	?	?	?	?	LM°L	?	LM+H#	
LH	?	?	?	?	?	?	?	?	
H#	?	?	?	?	?	?	H#°	?	

is nothing to restrict input combinations, the gaps in Tables 3.7a and 3.7b must be considered as accidental. This is due in part to the limitations of available materials, but the scarcity of examples, and the diversity of their tone patterns, also provides food for morphotonological thought: coordinative compounds are much less common than determinative compounds. It may be that there is no such thing as a full-fledged set of productive tone rules governing their tone patterns, and that newly minted coordinative compounds are based on analogy with compounds perceived as reflecting regular tone patterns. A touch of expressivity may be involved in the process: greater weight placed on one of the two elements in the compound, on semantic-stylistic grounds, could contribute to the selection of one tone pattern over another. This would constitute a clue to the observed diversity.

3.3.3 Compound given names and terms of address

Compound given names and terms of address constitute independent sets of facts: they do not follow the regularities brought out above for coordinative compounds.

In Yongning, given names are of Tibetan origin; they are chosen by the Buddhist priests, on the basis of the date of birth, and consist of a combination of two disyllabic names. For instance, /**ji-tci-dwɿma**ɿ/ is made up of /**ji-tci**ɿ/ and /**dwɿma**#ɿ/; /**dwɿdqzɿ-tshɿwɿ-tɿ**ɿ/ is a combination of /**dwɿdqzɿ**ɿ/ and /**ts^hwɿ-tɿ**#ɿ/. The tones of the second name are lowered to L in all cases, even when they could in theory combine without problem. For instance, /**dwɿdqzɿ**ɿ/ and /**ts^hwɿ-tɿ**#ɿ/ could combine as ‡ **dwɿdqzɿ-tshɿwɿ-tɿ**#ɿ: this would not violate conditions of well-formedness. The observed lowering of the last two syllables in the attested form, /**dwɿdqzɿ-tshɿwɿ-tɿ**ɿ/, cannot be put down to the application of tone rules that apply throughout the system: this lowering is specific to given names – another instance of a tone rule applying in a highly specific morphosyntactic context.

One of the two parts of the given name serves as the usual term of address; it may be the first or (less commonly) the second. For instance, the full given name of M18 is /**tæɿsɿwɿ-tshɿwɿ-tɿ**ɿ/, but people address him as /**tæɿsɿwɿ**ɿ/. The name that was bestowed on me by a priest of the Yongning monastery is /**ji-tɿsɿwɿ-ti-tɿ**ɿ/; F4 chose to call me by the second part of that compound given name: /**ti-tɿ**ɿ/. Her son (M18) argued that the shortened name should be /**ji-tɿsɿwɿ**ɿ/, but F4 maintained her initial choice, providing no further explanation than that she preferred /**ti-tɿ**ɿ/. The choice depends in part on considerations of homonymy within the extended family: there are so few names that homonymy is a real issue, and

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concerns about inappropriate use of proper names runs deep in Asian cultures.⁴ This shows that speakers retain a clear awareness of the two parts of the name as distinct components.

The lowering to L of the second part of compound names is an exceptionless and productive rule. It only applies to given names, not to compound names made up of a term of address and a two-syllable given name. For instance, a woman named /**ki+zo#**l/ may be addressed as /**ə+mi+ki+zo#**l/ (“Mother **ki+zo#**l, Aunt **ki+zo#**l”) by her nephews and nieces. This term of address is not realized as † **ə+mi+ki**lzo, as would be the case if it were treated in the same way as compound given names. As another example, the full name of F4’s grandmother was /**ɬuɿma+py**l^huɿl/. Her siblings addressed her as /**py**l^huɿl/, using the second part of the given name, and her nephews and nieces, as well as other persons from the village, addressed her respectfully as “Mother /**py**l^huɿl/” (which could also be translated as “Aunt /**py**l^huɿl/”), /**ə+mi+py**l^huɿl/. The lowering of the last syllable is due to Rule 5, which prohibits tonal troughs such as † M.M.L.M within a tone group.

3.4 Compound nouns containing adjectives

Compounds containing adjectives are a difficult topic in Yongning Na, not least because they are the product of lexicalization, and cannot be elicited systematically by asking consultants to coin compounds on the fly to bring out a full set of synchronic rules, as can be done for N+N compounds. As a preliminary to the study of lexicalized compounds, it is useful to present adjectival phrases.

The tonal categories of adjectives will be brought out in §6.1.3. As a background to the discussion in the present section, here is a preview of the results: the four

⁴ This is evidenced by the taboo on using the names of important characters (emperors, but also one’s elders) in China and neighbouring countries – a practice known as *bihui* 避讳. In Yongning Na, the concern is not limited to elders, as illustrated by the following anecdote. In the course of telling the story *BuriedAlive2*, consultant F4 realized that the name of the woman protagonist, /**ɬ**l^hɿ^he+**ɬ**uɿmaɿl/, happened to be that of a member of her household. The consultant immediately substituted another name, /**no**l^hɿ+**ts**h^huɿl^hl/, exempt from association with family members. The character in the story has a less than glorious role; the coincidence of names threatened to build an unwanted association between the family member and the character’s shameful behaviour. The consultant would have preferred me to erase this version of the story altogether, so as to leave no trace of this unfortunate coincidence of names; but she found comfort in the explicit disclaimer which is present on the recording, to the effect that the character’s name was /**no**l^hɿ+**ts**h^huɿl^hl/ and *not* /**ɬ**l^hɿ^he+**ɬ**uɿmaɿl/, and as we had already spent much time working on the transcription she agreed that we should complete it and allow access to this linguistic document.

tonal categories of adjectives are L, M, H, and MH, with a subdivision among L-tone items, distinguishing L_a and L_b .

3.4.1 A productive construction: N+ADJ+RELATIVIZER

In Yongning Na, the association of adjectives to nouns is realized by the construction N+ADJ+RELATIVIZER/NOMINALIZER /-hĩ/. For instance, /**ɖu**_a/ ‘big’ yields /**ɖu**_a-hĩ/ ‘(which is) big’, and /**su**/ ‘new’ yields /**su**-hĩ/ ‘(which is) new’; these are added after the noun as a separate tone group, as in /**ni**-zo | **ɖu**_a-hĩ/ ‘big fish’, /**p**^{hi} | **su**-hĩ#/ ‘brand new linen cloth’, /**tɕ**^o | **su**-hĩ#/ ‘new ladle’, and /**q**^{hw} | **su**-hĩ#/ ‘new bowl’. No tonal interaction takes place between the noun and adjective. If an intensifier is substituted for the relativizer, the construction becomes a statement: /**ni**-zo | **ɖu** | **zwa**/ ‘the fish is very big’. The construction /**ni**-zo | **ɖu**/ likewise means ‘the fish is big’.

In example (2), the numeral-plus-classifier phrase has the effect of nominalizing a construction that would otherwise mean ‘the fish is/was really big’, rather than ‘a very big fish’.

(2)	ni ³³	zɔ ³³	du ⁵⁵	zwa ¹³	du ³³	mi ³¹
	fish		big	INTS	one	CLS
	鱼		大	很	一	量词

‘a very big fish’ (example 187 from Lidz 2010: 215)

Noun phrases that contain a noun and an adjective are lexicalized nouns: names of entities that require an explanation in the dictionary, and not phrasal constructions (descriptions associating a quality to the entity that the noun refers to). For instance, /**zu**-na/, from /**zu**/ ‘alcohol’ and /**na**_b/ ‘black’, does not mean ‘black alcohol, alcohol of a black colour’, but refers to a specific type of strong, high-quality alcohol. The nouns /**ə**-mi-**ɖu**/ and /**ə**-mi-**tɕi**/, referring to the mother’s older sisters and younger sisters respectively, are lexical units, even though they can still be transparently analyzed as made up of /**ə**-mi-/ ‘mother’ plus the adjectives /**ɖu**_a/ ‘large’ and /**tɕi**_a/ ‘small’.

There also exist compounds with the adjectives ‘big’ and ‘small’ for maternal uncles, /**ə**-ɸ/: /**ə**-ɸ-**tɕi**/ for ‘mother’s younger brother’, and /**ə**-ɸ-**ɖu**/ for ‘mother’s elder brother’. However, constructions with the RELATIVIZER/NOMINALIZER /-hĩ/ are more common: to clarify whether one is referring to the mother’s elder brother or younger brother, the former is called /**ə**-ɸ | **ɖu**_a-hĩ/, and the latter /**ə**-ɸ | **tɕi**_a-hĩ/. (Examples of both are found in Caravans.75, 76, 78, 79, 177–179, 196, 259 and Elders3.23, 31, 32.)

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The study of successive occurrences within the same text confirms that the construction with the RELATIVIZER/NOMINALIZER /-hĩ/ , although it may seem cumbersome, is the standard construction to associate an adjective to a noun. This construction is not followed by a synthetic, compact N+ADJ construction at later occurrences. Examples are shown in (3) and (4).

- (3) mɤ̃ʌzo/ | ɖwɔ̃-hĩ/ , | zõ-mɤ̃ | ɖwɔ̃-hĩ/ | ɖwɔ̃-ʌwɔ̃ dzõ tsuɔ̃
 mɤ̃ʌzõ ɖwɔ̃_a -hĩ̃ zõ-mɤ̃ ɖwɔ̃_a -hĩ̃ ɖwɔ̃-ʌwɔ̃ dzõ_b
 young_lady large NMLZ child large NMLZ un-CLF EXIST
 tsuɔ̃
 REP

‘It is said that [this couple] had a big girl, a big child (=a child who thought very seriously for her age).’ (Reward.59)

- (4) mɤ̃ʌzo/ | ɖwɔ̃-hĩ̃-kĩ (...)
 mɤ̃ʌzõ ɖwɔ̃_a -hĩ̃ -kĩ
 young_lady large NMLZ DAT

‘to his elder daughter, [the father said...].’ (Reward.65)

This is a notable difference from Naxi. For instance, ‘important person, great personage; adult’ in Naxi is /hi-ɖwɔ̃/ ‘person’+‘big’. In Yongning Na, the same concept is: /ɖwɔ̃-hĩ/ , ‘big’+REL, with ‘person’ as the implicit referent (e.g. Sister. 13, 14, 34; Sister3.31, 36, 38, 41; and BuriedAlive3.5).

3.4.2 Lexicalized compounds of N+ADJ structure

The adjectives that appear in lexicalized combinations with nouns in the examples provided above are /nɑ̃_b/ ‘black, dark’, /ɖwɔ̃_a/ ‘large’ and /tɕĩ_a/ ‘small’. Is it a coincidence that ‘black’ is also the adjective used in the textbook English example of *black bird* and *blackbird*? The compound noun *blackbird* refers to *Turdus merula*, a species of thrush, while the combination of noun and adjective *black bird* refers to any bird of a black colour. The former, *blackbird*, carries stress on the first element of the compound (for short: “first-element stress”), whereas the latter, *black bird*, carries last-element stress: primary stress on *bird*. The meaning of *black bird* can be deduced from the meaning of its elements and the meaning of the construction, whereas the meaning of *blackbird* cannot be arrived at on the basis of the meaning of the elements. First-element stress is generally interpreted as a marker of degree of lexicalization. It has been observed, in a study of English, that “the number of adjectives that work in the way that *black* does

in our *exemple-type* seems to be very restricted” (Bauer 2004: 9). Examples are shown in Table 3.8.

Table 3.8: Types of adjectives that appear in compound nouns in English (from Bauer 2004: 9).

type of adjectives	examples	example compounds
some colour adjectives	<i>black, blue, brown, green, grey, red, white</i>	<i>blackboard, blue-tit, brownstone, greenfly, greyhound, redfish, whiteboard</i>
<i>grand</i> in words of family relationships	<i>grand</i>	<i>grandfather</i>
a miscellaneous set of monosyllabic gradable adjectives	<i>broad, dry, free, hard, hot, mad, small, sweet</i> (among others)	<i>broadcloth, dry-cell, freepost, hardboard, hotbed, madman, small-arm, sweetcorn</i>
a small set of non-gradable monosyllabic adjectives	<i>blind, dumb, first, quick</i> (= ‘alive’), <i>square, whole</i>	<i>blindsided, dumbcluck, first-day, quicksand, squaresail, wholestitch</i>
a very small number of disyllabic adjectives	<i>bitter, narrow, silly</i>	<i>bitter-cress, narrow-boat, sillyseason</i>

At the end of a quest using corpus-query tools to explore hypotheses about the relevance of factors such as the frequency of the particular collocations involved, contrasting patterns of premodification, and the collocations in which the particular adjectives are used, the author concludes that the gaps are likely to be accidental. In Na, as in English, adjectives that appear in compound nouns do not constitute a closed set.

In English, there is variation across speakers – and even for one and the same speaker – in judgments about stress patterns, and in stress assignment in actual speech. In Yongning Na, on the other hand, noun-plus-adjective compounds are conspicuously different from attributive constructions, since the latter comprise a RELATIVIZER. This makes it easy to identify these compounds in Yongning Na. Their tonal analysis, however, is not straightforward. Examples are shown in

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table form, arranged by the tone of the adjective: L_a in Table 3.9, L_b in Table 3.10, M in Table 3.11, and H in Table 3.12. (No compounds with MH-tone adjectives have been observed as yet.) All these items are lexicalized: for instance, the phrase /tʂʰæ·na/ refers to a legendary stag, which only spirits are able to hunt down; it is thus different from an attributive construction ('black deer').

In terms of tone, the compounds exhibit diversity that suggests uneven time depth, or different evolutionary paths. Of the five compounds that relate to monosyllabic roots with H tone, three have $L\#$ tone, and two have $H\#$ tone. This is not related to any obvious structural property of the compounds: the compound /hṽ·na/, literally 'black hair', does not refer to a type of hair, but to 'wild animal', referring by synecdoche to the *possessor* of dark hair;⁵ on the other hand, the compound /ʂe·na/, literally 'dark meat', refers to a sort of meat (lean meat). (In the Indian linguistic tradition, /hṽ·na/ 'black hair' for 'wild animal' would be referred to as a *bahuvrihi* compound: a compound that denotes a referent by a certain characteristic.) Semantically, there is no salient difference either. In the $L\#$ -tone compounds for 'dark hair' and 'lean meat' the adjective /na/ can be argued to have a literal interpretation as 'black, dark': traditionally, pigs and cattle were only slaughtered once a year, so that fresh meat was the exception; the norm for lean meat was the preserved sort, with a dark brown colour. By contrast, prinsepia is not black or dark-coloured, and /kʰṽ·na/ for 'dog' carries no hint of hair colour, so it may be argued that one of the two tone patterns corresponds to a semantically bleached use of the adjective. But this is less clear in the case of /si·na/, 'wood' + 'dark', for 'deep forest': here the semantic indication of darkness seems clearly present.

Similar difficulties are encountered in compounds involving other adjectives: /zo·dʒu#/ 'eldest son' and /ʂo·dʒu/ 'tadpole' (literally 'big head') have different tones ($\#H$ and $MH\#$, respectively), although both contain a noun root that has H tone in association with the adjective /dʒu/ 'big'.

To venture speculative hypotheses about the origin of these variegated tone patterns, first, they may belong to different historical layers, and hence result from different sets of tone rules. For instance, 'deep forest', 'dog' and 'prinsepia' may be earlier than 'wild animal' and 'lean meat', as the compounds look less transparent semantically. The morpheme /na/ could be analyzed as a suffix in some cases, and as an adjective in others, on the analogy of the analysis of the

⁵ Interestingly, the association of darker fur with wildness (less disposition to domestication) is confirmed by scientific studies of animal domestication (Trut 1999); the phenomenon is apparently due (at least in part) to links between levels of stress in an individual and amount of melanine, itself reflected in darker fur (Burchill & Thody 1986): individuals in the wild experience greater stress. Wild yaks have darker hair than domestic yaks (Leslie & Schaller 2009).

3.4 Compound nouns containing adjectives

Table 3.9: Examples of compounds containing the L_a-tone adjectives /mo_a/ ‘old’, /ɟw_a/ ‘large’, /tɕi_a/ ‘small’, and /p^hɣ_a/ ‘white’. Note that no monosyllabic form is attested synchronically for ‘stone’ and ‘ard’.

head noun			compound		
form	tone	meaning	form	tone	meaning
hi ¹	H	person	hi ¹ mo ¹	H#	elderly person
zɰæ ¹	H	horse	zɰæ ¹ mo ¹	H#	old horse
si ¹	H	wood	si ¹ mo ¹	H#	old wood, old tree
ly ¹ mi ¹	?	stone	ly ¹ mo ¹	H#	old stones
ts ^h o ¹	L	human being	ts ^h o ¹ mo ¹	L	old man
æ ¹ ɣɰ ¹	?	ard	æ ¹ mo ¹	LH	used ard (out of use)
ko ¹	H	head	ko ¹ ɟw ¹	MH#	tadpole
zo ¹	H	son	zo ¹ ɟw ¹	M	eldest son
mɰ ¹	LH	daughter	mɰ ¹ ɟw ¹	L#	eldest daughter
ə ¹ mi ¹	M	mother	ə ¹ mi ¹ ɟw ¹	L#	mother’s elder sister
ə ¹ ɣ ¹	MH#	maternal uncle	ə ¹ ɣ ¹ ɟw ¹	MH#	mother’s elder brother
ə ¹ bo ¹ \$	H\$	paternal uncle	ə ¹ bo ¹ ɟw ¹	MH#	father’s elder brother
mɰ ¹	LH	daughter	mɰ ¹ tɕi ¹	LH	youngest daughter
zo ¹	H	son	zo ¹ tɕi ¹	H#	youngest son
ə ¹ mi ¹	M	mother	ə ¹ mi ¹ tɕi ¹	L#	mother’s younger sister
ə ¹ ɣ ¹	MH#	maternal uncle	ə ¹ ɣ ¹ tɕi ¹	H#	mother’s younger brother
ə ¹ bo ¹ \$	H\$	paternal uncle	ə ¹ bo ¹ tɕi ¹	H#	father’s younger brother
tɕw ¹	M	cloud	tɕw ¹ p ^h ɣ ¹	L#	white cloud

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Table 3.10: Examples of compounds containing the L_b-tone adjectives /na_b/ ‘black’.

head noun			compound		
form	tone	meaning	form	tone	meaning
hɿ̄]	H	hair	hɿ̄+na]	L#	wild animal
ʂe]	H	meat	ʂe+na]	L#	lean meat
si]	H	wood	si+na]	H#	deep forest
k ^h ɿ̄]	H	dog	k ^h ɿ̄+na]	H#	dog (<i>in formal speech</i>)
tɕ ^h i]	H	thorn	tɕ ^h i+na]	H#	prinsepia
zɯ+	M	alcohol	zɯ+na]	L#	high-quality alcohol
njɿ/	LH	eye	njɿ+na]	L#	eyeball
tʂ ^h æ1	MH	deer	tʂ ^h æ+na]	H#	legendary black stag

Table 3.11: Examples of compounds containing the M-tone adjectives /pɿ+/ ‘dry’, /bæ+/ ‘stupid’, /t^hi+/ ‘clever’, /ts^hi+/ ‘hot’ and /ʂæ+/ ‘long’.

head noun			compound		
form	tone	meaning	form	tone	meaning
ha]	H	food	ha+pɿ]	L#	dry cooked rice (as opposed to gruel)
zo]	H	son	zo+bæ]	L#	idiot
my/	LH	daughter	my t ^h i]	L	clever woman
dzu]	L	water	dzu ts ^h i]	L	hot water
zu+	M	life	zu+ʂæ+	M	long life

Table 3.12: Examples of compounds containing the H-tone adjectives /q^hæŋ/ ‘cold’ and /d̪æŋ/ ‘short’.

head noun			compound		
form	tone	meaning	form	tone	meaning
dzuŋ	L	water	dzuŋ.q^hæŋ	L	cold water
zuŋ	M	life	zuŋ.d̪æŋ#ŋ	#H	short life

morpheme /mɔ¹³/ by Lidz (2010: 182), distinguishing the adjective ‘old’ from its use as a suffix meaning ‘dear (indicating respect)’.

Another possibility is that some of these compounds are not based on the association of a monosyllabic noun with a monosyllabic adjective, but constitute a reduced form of longer words. For instance, the syllable /si-ŋ/ in /si-ŋnɑŋ/ could result from the truncation of a disyllable meaning ‘forest’. In the case of ‘eldest son’ and ‘tadpole’, such a process appears rather implausible, as they seem to have a straightforward link to the monosyllabic nouns ‘son’ and ‘head’, respectively. If one nonetheless tries to push this hypothesis, one might hypothesize that ‘tadpole’ was built on the basis of a disyllabic noun, which in principle could still be present in another dialect.

A third possibility is that the adjective is not the same in all of these words: in synchrony, there exists another adjective, /nɑŋ/, meaning ‘important, serious (e.g. a wound)’, and this adjective, or some other adjective pronounced [nɑ], may have provided the second syllable in some adjectival compounds. This does not apply to ‘eldest son’ and ‘tadpole’, where the adjective seems recognizably identical – unless interpretation of ‘tadpole’ as ‘big head’ is folk etymology, but this seems fitting enough as the name of this small and amusing animal, which is an obvious candidate for frequent replacement through expressive coinages.

A fourth possibility is that differences in frequency of use lead to differences in tone patterns, through the adoption of certain preferential patterns for frequently-occurring compounds. Patterns of preference would be expected to fluctuate in time, further complicating the picture.

So far, while there is some evidence of consistency, it mostly concerns synchronically trivial patterns. For instance, it does not come as a surprise that Mid-tone /zuŋ-ŋ/ ‘wine’ and Low-tone /nɑŋb/ ‘black’ yield a compound with M+L surface tone pattern, /zuŋ-ŋnɑŋ/: this looks like a case of simple concatenation. This tone pattern is also found with another adjective that has the same lexical tone,

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/dzɻ˥˥/ ‘good’. Disyllabic /kuɻ˥ dzɻ˥/ was easily extracted from the compound /kuɻ˥ dzɻ˥ hã˥ dzɻ˥/ ‘auspicious day’, from /kuɻ˥/ ‘star’ and /hã˥/ ‘evening, night’ (a term used to count days). (The L# tone pattern of /kuɻ˥ dzɻ˥/, literally ‘good star’, results in the following two syllables receiving L tone, through Rule 5.) The tone pattern of /kuɻ˥ dzɻ˥/ ‘good star’ is the same as that of /zɻ˥˥na˥/ ‘high-quality wine’. This could suggest that both compounds belong to the same historical layer, but more examples would be necessary to investigate this issue further.

To sum up: in view of the relatively limited number of examples and their heterogeneity, it does not appear illuminating to pool them all into a table summarizing the tonal output of N+ADJ compounds. Provisionally, examples are simply listed in Table 3.9, arranged by adjective, by decreasing number of examples.

As a first general observation, it can be seen that the patterns in noun-plus-adjective compounds are not identical to those in noun-plus-verb combinations (described in Chapter 6). For instance, ‘hot water’, /dzɻ˥˥tsʰi˥/, has L tone, from an input of L and M on the noun and adjective respectively; in noun-plus-verb combinations (object plus verb or subject plus verb) with the same tonal input, the output is M.

The diachronic trend is for monosyllabic nouns to become less frequent, being replaced by disyllables. As disyllabic forms of nouns become lexicalized, the tonal correspondence between the noun-plus-adjective combination and the root ceases to be accessible to the speakers of the language, making the disyllables’ tone patterns more vulnerable to replacement (through analogy or contact between dialects) than in cases where the root still exists as a monosyllable. Thus, ‘stone’ and ‘ard’ are only attested as disyllables in the current state of the language, but compounds with the adjective ‘old’ contain a monosyllabic root. This raises the issue of whether it makes sense to extract a monosyllable from the disyllabic compound with ‘old’. From this perspective, the root for ‘stone’ would be reconstructed with a H tone, as *lɻ˥, on the analogy of the H tone on the monosyllables ‘person’, ‘horse’ and ‘wood’. But closer examination shows that ‘old stone’ is not in common use in Yongning Na, and has no clear meaning of its own. It is a recent coinage, only found in a saying – example (5) – where it serves as a parallel to /si˥mo˥/ ‘old wood’; its tone pattern also adopts that of ‘old wood’.

- (5) lɻ˥mo˥ F | dzɻ˥˥ | le˥-qɻ˥˥; | si˥mo˥ F | le˥-dze˥˥ kɻ˥˥! | no˥ F | ə˥tse˥ |
 le˥-ʂɻ˥˥ mɻ˥˥-tʰa˥˥ | di˥˥!
 lɻ˥mo˥ dzɻ˥˥ le˥ qɻ˥˥_a si˥mo˥ le˥-
 old.stones water ACCOMP to_carry_away old_wood ACCOMP

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dze↓_a -kɣ↑ no↓ əʔtse↓ le↓- ʂw↑_a mɣ↓-
to_cut ABILITIVE 2SG INTERROG.why ACCOMP to_die NEG
tʰɑ↓ di↓_a
PERMISSIVE EXIST.SPATIAL

“Old stones are carried away by the stream; and old wood gets chopped down! And you, why won’t you die?” (Field notes. Context: jeering an elderly person. Na tradition assigns human beings a lifespan of sixty years; people getting past seventy are considered to be well past their expected lifespan.)

Further analysis will require gathering more examples, sorting them into sets according to their tone patterns, identifying the historical layers that they belong to, and examining their process of formation. As a first step in this direction, the following paragraph discusses items that are currently on the verge of lexicalization.

3.4.3 N+ADJ combinations in the process of lexicalization

In between adjectival constructions such as /əʔɣ↑ | **ɟw↓-hī↓**/ ‘mother’s elder brother’ on the one hand, and lexical items such as /əʔɣ↑-**ɟw↑**/ (also meaning ‘mother’s elder brother’) on the other, there are cases that offer insights into the process of lexicalization. ‘Elderly person’ is /hī↓-**mo↓**/, from /hī↓/ ‘person’ and /**mo↓**_a/ ‘old’. In a set of twenty texts, this noun appears fifteen times, always in the plural, as /hī↓-**mo↓** = **ɶæ↓**/; the fact that it is followed by a clitic shows that it is a full-fledged noun. But there is a higher number (23) of occurrences of /hī↓-**mo↓-hī↓**/, again from /hī↓/ ‘person’ and /**mo↓**_a/ ‘old’, but with addition of the relativizer /-hī↓/. This is not quite like the adjectival construction presented in : in that construction, the noun constitutes a tone group on its own, e.g. /tɕʰo↓ | **su↑-hī#↓**/ ‘new ladle’, whereas ‘elderly person’ is realized as /hī↓-**mo↓-hī↓**/, in one tone group. At a push, it would be possible to say /hī↓ | **mo↓-hī↓**/ ‘a person that is old’, but this is judged decidedly awkward in the attested contexts where /hī↓-**mo↓-hī↓**/ is found. The interpretation that can be proposed is that /hī↓-**mo↓**/ is on its way towards lexicalization – as evidenced by the tonal interaction between its two constituting morphemes – but the perception of its second syllable as an adjective remains strong enough for the relativizer to be commonly added after it. The impossibility of adding the agent suffix (‡ **hī↓-mo↓-ŋw↓**) or the topic marker (‡ **hī↓-mo↓-ʂʰw↓**) shows that /hī↓-**mo↓**/ is not fully lexicalized yet. It is compulsory to add an intervening plural or relativizer: /hī↓-**mo↓** = **ɶæ↓-ŋw↓**/, /hī↓-**mo↓** = **ɶæ↓-ʂʰw↓**/, /hī↓-**mo↓-hī↓-ŋw↓**/, and /hī↓-**mo↓-hī↓-ʂʰw↓**/.

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For purposes of synchronic description, the notations adopted are /hĩ+moŋ=ɹæ/ and /hĩ+ moŋ-hĩ/. In /hĩ+moŋ=ɹæ/, the sequence /hĩ+moŋ/ is transcribed as a lexical unit, with no hyphen or blank space between its two syllables. In /hĩ+ moŋ-hĩ/, the first syllable is analyzed as a noun, and separated by a blank space from the adjective that follows.

This notational distinction aims to draw attention to the versatility of disyllables made up of a noun and an adjective. To take another example of this general phenomenon, /zo+bae/, from /zoŋ/ ‘son; man’ and /bae/ ‘stupid; dumb (unable to speak)’, has clearly nominal uses, meaning ‘dumb man; stupid man’; more than twenty examples are found in the Lake narrative, one of whose main protagonists is a dumb person. The noun can be followed by the agent suffix: /zo+bae-ŋu/ (Lake3.29, Lake4.24); there is no need for an intervening RELATIVIZER/NOMINALIZER for quantization purposes: /zo+bae du-ɣ/ ‘a dumb person’ (Lake4.4), /zo+bae tʰu-ɣ/ ‘this dumb person’ (Lake4.6), /zo+bae tʰɣ-ɣ/ ‘that dumb person’ (Lake4.12-14). It can also appear right in front of a verb: /zo+bae | go+bo+di/ ‘the dumb man drove cattle’. But the word also has adjectival uses: in a context of self-deprecation where someone accuses himself of being stupid, /zo+bae/, another person may comfort him by saying: /mɣ+zo+bae/ ‘[No, you are] not stupid!’

The antonym of /zo+bae/ in this adjectival sense is /zo+tʰi/ ‘clever; clever person’, which has the same structure, from /zoŋ/ ‘son; man’ and /tʰi/ ‘able; capable; clever; sharp’. The two words have different tones – L# tone for /zo+bae/, vs. M tone for /zo+tʰi/ – despite their constituting morphemes having the same tones. This tonal difference alerts us to the possibility that the two words may have different time depths. Syntactically, the two words also differ: it is not possible to say /‡ mɣ+zo+tʰi/ ‘not clever’, on the analogy of /mɣ+zo+bae/ ‘not stupid’. The first syllable of these two words is bleached enough for them to be used as adjectives for men and women alike, but in their nominal use they can only refer to men: /zo+bae/ means ‘stupid man’, and /zo+tʰi/ ‘clever man’; the corresponding words for women are /mɣ+bae+mi/ and /mɣ+tʰi/.

3.4.4 A lexicalized compound of ADJ+N structure

So far, one lexicalized compound of ADJ+N structure has been found: /pɣ+ly/ ‘nonirrigated farmland; dry land’, clearly related to /pɣ/ ‘dry’ and /ly/ ‘field’. This word shows no phonological signs of antiquity, such as a difference in consonant, vowel or tone from its etymological components. But comparative evidence suggests that it may have some time depth: it is also found in Naxi, as /pɣ+ly/, with the same ADJ+N structure, the same meaning, and the same trans-

parency in terms of its components (in Naxi, ‘dry’ is /pɣJ/, and ‘field’ is /lɯ-ɿ/). Naxi has another ADJ+N compound containing ‘dry’: /pɣJdyJ/ ‘dry land (as opposed to water)’ (Pinson 2012: 55; note that there is a typographical error in the phonetic transcription, /pɣJdyJ/, which should be /pɣJdyJ/, as indicated by the orthographic transcription).

3.4.5 A lexicalized compound of V+ADJ structure

As a final observation about compounds, this paragraph discusses the only lexicalized compound of V+ADJ structure observed so far: /ts^ho-ɬɯJ/ ‘group dance’, a dance that can involve from ten to about a hundred people. Its components are /ts^ho-ɬb/ ‘to jump’ (in a nominalized reading) and /ɬɯJ_a/ ‘large’. An alternative reading in which /ɬɯJ_a/ would have an adverbial reading (‘jumping a lot’) would be implausible, because /ɬɯJ_a/ does not have attested adverbial readings.

This is not a productive construction: it is not possible to create compounds such as ‘banquet’ from the verb ‘to eat’ and the adjective ‘large’, for instance. Still, the existence of this word can be interpreted as evidence of an occasional permeability of word classes. Across languages, the distinction between nouns and verbs may be more or less stringent (Launey 1994). In Naish languages, there are some borderline items. For example, Na /kɣ-ɬɯJ/, like Naxi /kɯ-ɬɯJ/, has both verbal and nominal uses, namely ‘to speak’ and ‘speech; language’ in Naxi and ‘to tell’ and ‘speech’ in Na.

3.5 Concluding note

The complexity of the state of affairs in Yongning Na arguably sheds light on the considerable diversity of patterns: from one dialect to the next, and even between different speakers from the same village (not to mention the existence of variants within a single idiolect). A few compounds happen to carry the same tone sequence as would the simple juxtaposition of their constituting elements. Most carry a tone pattern that reflects their status as compounds; among these, the nature of the compound (determinative or coordinative) can further be identified in a few cases, whereas in the others it must be arrived at on the basis of semantic information.

4 Classifiers

This chapter presents the tonal behaviour of classifiers in association with numerals, demonstratives and nouns.

A classifier is “a type of limited noun that occurs only after numerals (...), and whose selection is determined by a preceding (overt or implicit) noun” (Matisoff 1973: 88). In view of their great importance in the language, and of the complexity of their tone patterns, classifiers are dealt with in a chapter of their own. The bulk of the chapter (§4.1) deals with the tonal behaviour of classifiers in association with numerals. Classifiers also associate with demonstratives, however (§4.2). In addition, numeral-plus-classifier or demonstrative-plus-classifier phrases may interact tonally with a preceding noun (§4.3).

4.1 Numeral-plus-classifier phrases

Within the small group of Naish languages, tonal alternations in numeral-plus-classifier phrases are vestigial in Naxi (as spoken in the plain of Lijiang), limited in Laze, and ubiquitous in Yongning Na. Consider the diversity of tones found on classifiers in /**ni**-**ni**/ ‘two days’, /**so**-**ni**/ ‘three days’, /**zy**-**ni**/ ‘four days’ and in /**ni**-**k^h**/ ‘two years’, /**so**-**k^h**/ ‘three years’, and /**zy**-**k^h**/ ‘four years’. This section proposes an extensive synchronic description and analysis.¹

4.1.1 Elicitation procedures

For each noun in the vocabulary list collected in the course of fieldwork, a note was made of the classifiers typically associated with the noun (following the example of E. Henderson’s dictionary of Bwe Karen [1997]). This obviously does not capture the full range of stylistic possibilities in the choice of classifiers, which are best studied from their actual use: “a noun can be accompanied by various

¹ An earlier version of this chapter was published as Michaud (2013c). Among other minor differences, the present version uses subscript letters to distinguish subcategories of tones for classifiers, e.g. M_a and M_b instead of the earlier notation as M1 and M2.

4 Classifiers

classifiers depending on context, so it may not be adequate to describe one of these as the primary classifier at a lexical level” (François 2000: 167).²

To the list of classifiers obtained through lexical elicitation were added measure words (‘inch’, ‘armspan’, ‘heap’...) and time units (‘day’, ‘month’, ‘year’...). The term ‘classifier’ is understood here in the syntactic sense of any noun that may appear directly after a numeral. Table 4.1 presents one example of each of the tone categories brought out for monosyllabic classifiers. Disyllabic classifiers will be dealt with separately in §4.1.4.

Table 4.1: One example of each of the tonal categories of classifiers.

classifier	tone	description: classifier for...
ɖwæ̃	H _a	steps (of stairs)
ɲĩ	H _b	day
hã̃	MH _a	nights
kỹ	MH _b	people, persons
nã	M _a	tools
dzĩ	M _b	pairs of non-separable objects, e.g. shoes
dzẽ	L _a	pairs of separable objects, e.g. pots, bottles
dzĩ	L _b	trees, bamboo
zɣ̃	L _c	lines/patterns (in weaving or drawing)

Establishing the underlying tonal categories was not a straightforward task, because the tone of the classifier is affected by the numeral with which it is associated, as illustrated by the examples provided at the outset of this chapter. The classifier for days carries a H tone in /**ɲĩ**-**ɲĩ**/ ‘two days’, a LH tone in /**so**-**ɲĩ**/ ‘three days’, and a M tone in /**zɣ̃**-**ɲĩ**/ ‘four days’. The classifier for years has a MH tone in /**ɲĩ**-**k^hɣ̃**/ ‘two years’, a LH tone in /**so**-**k^hɣ̃**/ ‘three years’, and a L tone in /**zɣ̃**-**k^hɣ̃**/ ‘four years’. The first step in the analysis consisted of conducting systematic elicitation, to find out how many tonal categories there were. A first data set was elicited in 2009, covering the range of numerals from 1 to 30, and a second set in 2011, covering the range from 1 to 100 and including more classifiers.

Elicitation yielded less than fully consistent results, due in part to the unusual nature of the counting task for the consultant. Although familiar with the pro-

² *Original text*: ... le même nom peut être accompagné de plusieurs classificateurs selon les contextes, sans qu’il soit légitime d’en privilégier un comme fondamental dès le lexique.

cess of linguistic elicitation, she easily became confused when counting all the way from 1 to 30 or 100. One and the same noun-plus-classifier combination was realized with different tone patterns during different elicitation sessions. When such discrepancies were pointed out to the consultant, she would identify one pattern as correct and reject the others as mistaken. However, these *a posteriori* judgments also wavered: a variant that had been brushed aside as mistaken would come up again in a later session, and the consultant would then insist that it was correct. Initially, I wrongly assumed that only one tone pattern could be correct, but then it gradually became clear that there are two variants for some of the phrases. For instance, the association of ‘47’ with the classifier for round objects can be realized either as /zy+ts^hi|sɯ+|+|w/ or as /zy+ts^hi|sɯ+|+|w|. (Variants like this one are discussed in §4.1.3.3. To preview the result of analysis: in the first variant, the phrase is parsed into two tone groups, whereas in the second, it is parsed as one tone group.) Taking into account these two factors – that occasional mistakes are not uncommon in systematic elicitation, and that two variants can both be correct –, a comprehensive description could finally be arrived at.

The entire set of transcribed recordings is available online, with both a surface-phonological transcription and an indication of the underlying tonal pattern. Some technical details are presented in the next paragraphs.

4.1.2 The recordings

A total of 2,810 numeral-plus-classifier phrases were recorded, divided into 41 files. In the recordings that extend from 1 to 100, the intervals [50..59] and [80..89] were not recorded, because previous elicitation had shown that their tone patterns were identical with those of [40..49] and [60..69], respectively. Shortening the list of numerals reduced consultant fatigue.

No amount of continuous speech would be enough to obtain all the numeral-plus-classifier combinations from 1 to 100, hence the choice to resort to systematic elicitation. However, some of the combinations are also attested in the transcribed narratives.

4.1.3 Results: nine tonal categories

A summary of all the results is presented in Tables 4.2a–d and 4.3a–d, which contain, in tightly packed form, the information necessary to generate the surface-phonological tone patterns of all numeral-plus-classifier phrases in Yongning Na.

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The mass of information presented in this set of tables may seem staggering. Were it not for the clear evidence from recorded data, one could suspect that this multiplicity of tone patterns was an artefact of elicitation procedures.

Variants are separated by a slash (/). For typographical reasons, the table is divided into two halves: the H and MH tones (H_a , H_b , MH_a and MH_b) in a first table (4.2), and the M and L tones (M_a , M_b , L_a , L_b and L_c) in a second table (4.3).

Numeral-plus-classifier phrases typically constitute one single tone group³ – although speakers can choose to split them into two groups for expressive (emphatic) purposes, as will be discussed in Chapter 7. The juncture indicated by ° is internal to the tone group. It does not separate two tone groups, but two parts within the tone group.

The items in Tables 4.2a–d and 4.3a–d that begin with ° do not have any specified tone on their first portion; that portion receives a Mid tone by default. Thus, the phrase ‘33 days’ has a °L tone pattern: a Low tone that associates after the juncture, yielding /...so.jni|. Since Low tones do not spread regressively (‘right-to-left’), the first portion receives M by default (/so-ts^{hi}-.../), resulting in the final output /so-ts^{hi}-so.jni|/.

Likewise, the items that end with ° do not have any specified tone on their second portion. That portion receives its tones by the application of the tonal rules that govern tonal groups in Yongning Na. For instance, ‘forty years’ has the tone pattern $L\#^\circ$: $L\#$ tone (a final L tone) associates to the first half of the phrase, yielding /zy-ts^{hi}-i|...|. As already mentioned, tones do not spread leftward; the first syllable receives a Mid tone by default. At this point a tonal rule applies (see Chapter 7 for more details): Rule 5, “All syllables following a H.L or M.L sequence receive L tone”. This amounts to saying that a tone cannot be surrounded by higher tones within a tone group: there are no | MLM | sequences, nor are there | HMM |, | HLM |, | MLMH |, and so on. The only possible tone on the second portion of the phrase is therefore L. The final output is /zy-ts^{hi}-i|k^hv|/, as shown in Figure 4.1.3.

4.1.3.1 How the tonal categories were brought out and labelled

All of the classifiers in Table 4.1 fall into one of nine tonal categories, based on their tonal behaviour when combined with numerals. These are shown in the nine data columns of Tables 4.2a–d and 4.3a–d. In turn, these categories were grouped into four sets on the basis of their similarities. For instance, H_a and H_b

³ The *tone group* is the unit within which tonal processes apply in Yongning Na. It could also be referred to as *phonological phrase*. Chapter 7 is devoted to this morphotonological unit, which is fundamental to Na prosody.

Table 4.2a: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. H and MH tones. Numerals from 1 to 25.

	H _a	H _b	MH _a	MH _b
1	H\$	H\$	MH#	MH#
2	H\$	H\$	MH#	MH#
3	L	L	L	L
4	#H	#H	L#	L
5	#H	#H	L#	L
6	H\$	H\$	H#	H\$
7	#H	#H	MH#	MH#
8	H\$	H\$	H#	H\$
9	#H	#H	L#	L
10	L	L	L	L
11	L	L	L	L
12	L	L	L	L
13	L	L	L	L
14	L+H\$	L	L+H#	L+H#
15	L+H\$	L	L+H#	L+H#
16	L+H\$	L	L+H#	L+H#
17	L	L	L	L
18	L+H\$	L	L+H#	L+H#
19	L+H\$	L	L+H#	L+H#
20	#H	#H	MH#	MH#
21	H\$	H\$	MH#	MH#
22	H\$	#H	MH#	MH#
23	°L	°L	°L	°L
24	#H	#H	°L#	°L
25	#H	#H	°L#	°L

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Table 4.2b: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. H and MH tones. Numerals from 26 to 50.

	H _a	H _b	MH _a	MH _b
26	H\$	H\$	H#	H\$
27	#H	#H	MH#	MH#
28	H\$	H\$	H#	H\$
29	#H	#H	°L#	°L
30	#H	#H	MH#	MH#
31	H\$	H\$	MH#	MH#
32	H\$	#H	MH#	MH#
33	°L	°L	°L	°L
34	#H	#H	°L#	°L
35	#H	#H	°L#	°L
36	H\$	H\$	H#	H\$
37	#H	#H	MH#	MH#
38	H\$	H\$	H#	H\$
39	#H/°L	#H	°L#	°L
40	L#°	L#°	L#°	L#°
41	L#°H\$ / L#°	L#°H\$ / L#°	L#°MH# / L#°	L#°MH#
42	L#°H\$ / L#°	L#°#H / L#°	L#°MH# / L#°	L#°MH#
43	L#°L	L#°L / L#°	L#°L	L#°L
44	L#°#H / L#°	L#°#H / L#°	L#°L#	L#°L
45	L#°#H / L#°	L#°#H / L#°	L#°L#	L#°L
46	L#°H\$	L#°H\$	L#°H#	L#°H\$
47	L#°#H	L#°#H	L#°MH#	L#°MH#
48	L#°H\$	L#°H\$	L#°H#	L#°H\$
49	L#°#H / L#°L	L#°#H / L#°	L#°L#	L#°L
50	L#°	L#°	L#°	L#°

Table 4.2c: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. H and MH tones. Numerals from 51 to 75.

	H _a	H _b	MH _a	MH _b
51	L#°H\$ / L#°	L#°H\$ / L#°	L#°MH / L#°	L#°MH#
52	L#°H\$ / L#°	L#°#H / L#°	L#°MH / L#°	L#°MH#
53	L#°	L#°	L#°L	L#°L
54	L#°#H / L#°	L#°#H / L#°	L#°L#	L#°L
55	L#°#H / L#°	L#°#H / L#°	L#°L#	L#°L
56	L#°H\$	L#°H\$	L#°H#	L#°H\$
57	L#°#H	L#°#H	L#°MH#	L#°MH#
58	L#°H\$	L#°H\$	L#°H#	L#°H\$
59	L#°#H / L#°	L#°#H / L#°	L#°L#	L#°L
60	LM°H\$	LM°H\$	LM°H#	LM°#H
61	LM°H\$	LM°H\$	LM°H#	LM°#H
62	LM°H\$ / LM°#H	LM°#H	LM°H#	LM°H#
63	LM°H\$ / LM°L	LM°H\$ / LM°L	LM°H#	LM°H#
64	LM°#H	LM°#H	LM°H#	LM°H#
65	LM°#H	LM°#H	LM°H#	LM°H#
66	LM°H\$	LM°H\$	LM°H#	LM°H#
67	LM°#H	LM°#H	LM°H#	LM°H#
68	LM°H\$	LM°H\$	LM°H#	LM°H#
69	LM°#H / LM°L	LM°L	LM°H#	LM°H#
70	L#°	L#°	L#°	L#°
71	L#°H\$ / L#°	L#°H\$	L#°MH# / L#°	L#°MH#
72	L#°H\$ / L#°	L#°#H	L#°MH# / L#°	L#°MH#
73	L#°L	L#°L	L#°L	L#°L
74	L#°#H	L#°#H	L#°MH# / L#°	L#°L
75	L#°#H	L#°#H	L#°MH# / L#°	L#°L

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Table 4.2d: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. H and MH tones. Numerals from 76 to 100.

	H _a	H _b	MH _a	MH _b
76	L#°H\$	L#°H\$	L#°H# / L#°	L#°H#
77	L#°#H	L#°#H	L#°MH# / L#°	L#°MH#
78	L#°H\$	L#°H\$	L#°H# / L#°	L#°H#
79	L#°#H / L#°L	L#°#H	L#°L#	L#°L
80	LM°H\$	LM°H\$	LM°H#	LM°H#
81	LM°H\$	LM°H\$	LM°H#	LM°H#
82	LM°H\$	LM°#H	LM°H#	LM°H#
83	LM°H\$	LM°H\$ / LM°L	LM°H#	LM°H#
84	LM°#H	LM°H\$	LM°H#	LM°H#
85	LM°#H	LM°#H	LM°H#	LM°H#
86	LM°H\$	LM°H\$	LM°H#	LM°H#
87	LM°#H	LM°#H	LM°H#	LM°H#
88	LM°H\$	LM°H\$	LM°H#	LM°H#
89	LM°#H	LM°#H	LM°H#	LM°H#
90	L#°	L#°	L#°	L#°
91	L#°H\$	L#°H\$	L#°MH# / L#°	L#°MH# / L#°
92	L#°H\$	L#°#H	L#°MH# / L#°	L#°MH# / L#°
93	L#°L	L#°L	L#°L	L#°L
94	L#°#H	L#°#H	L#°L# / L#°	L#°L
95	L#°#H	L#°#H	L#°L# / L#°	L#°L
96	L#°H\$	L#°H\$	L#°H# / L#°	L#°H# / L#°
97	L#°#H	L#°#H	L#°MH# / L#°	L#°MH# / L#°
98	L#°H\$	L#°H\$	L#°H# / L#°	L#°H# / L#°
99	L#°L	L#°#H	L#°L# / L#°	L#°L
100	#H	#H	MH#	MH#

Table 4.3a: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. M and L tones. Numerals from 1 to 25.

	M _a	M _b	L _a	L _b	L _c
1	M	M	L#	L#	L#
2	M	M	L#	L#	L#
3	M	M	L	M	M
4	L	L	H#	H#	H#
5	L	L	H#	H#	H#
6	H#	H\$	H#	H#	H#
7	M	M	L#	L#	L#
8	H#	H\$	H#	H#	H#
9	L	L	H#	H# / L#	H#
10	M	M	L	M	L
11	M	M	L#	L#	L#
12	M	M	L#	L#	L#
13	M	M	L#	L#	L#
14	L+H#	L	L+H#	L+H#	L+H#
15	L+H#	L	L+H#	L+H#	L+H#
16	L+H#	L	L+H#	L+H#	L+H#
17	M	M	L#	L#	L#
18	L+H#	L	L+H#	L+H#	L+H#
19	L+H#	L	L+H#	L+H#	L+H#
20	M	M	°L	°L	°L
21	M	M	°L#	°L#	°L#
22	M	M	°L#	°L#	°L#
23	°L / M	M / °L	°L	°L	°L
24	°L	°L	H#	H#	H#
25	°L	°L	H#	H#	H#

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Table 4.3b: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. M and L tones. Numerals from 26 to 50.

	M _a	M _b	L _a	L _b	L _c
26	H#	H\$	H#	H#	H#
27	M	M	°L#	°L#	°L#
28	H#	H\$	H#	H#	H#
29	°L	°L	H#	°L# / H#	H#
30	M	M	°L#	°L	°L#
31	M	M	°L#	°L#	°L#
32	M	M	°L#	°L#	°L#
33	°L	°L	°L	°L	°L
34	°L	°L	H#	H#	H#
35	°L	°L	H#	H#	H#
36	H#	H\$	H#	H#	H#
37	M	L	°L#	°L#	°L#
38	H#	H\$	H#	H#	H#
39	°L	°L	H# / °L#	H# / °L#	H# / °L#
40	L#°	L#°	L#°	L#°	L#°
41	L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
42	L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
43	L#°	L#°M / L#°	L#°M / L#°L	L#°M / L#°	L#°M / L#°
44	L#°H# / L#°	L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
45	L#°H# / L#°	L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
46	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
47	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
48	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
49	L#°H# / L#°	L#°L	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
50	L#°	L#°	L#°	L#°	L#°

Table 4.3c: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. M and L tones. Numerals from 51 to 75.

	M _a	M _b	L _a	L _b	L _c
51	L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
52	L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
53	L#°	L#°M / L#°	L#°M / L#°L	L#°M / L#°	L#°M / L#°
54	L#°H# / L#°	L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
55	L#°H# / L#°	L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
56	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
57	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
58	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
59	L#°H# / L#°	L#°L	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
60	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
61	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
62	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
63	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
64	LM°H# / L +MH#°L	LM°H\$	LM°H#	LM°H#	LM°H#
65	LM°H# / L +MH#°L	LM°H\$	LM°H#	LM°H#	LM°H#
66	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
67	LM°H# / L +MH#°M	LM°#H	LM°H#	LM°H#	LM°H#
68	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
69	LM°H# / L +MH#°L	LM°H\$ / LM°L	LM°H#	LM°H#	LM°H#
70	L#°	L#°	L#°	L#°	L#°
71	L#° / L#°M	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
72	L#° / L#°M	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
73	L#° / L#°M	L#°M / L#°	L#°L	L#°M / L#°	L#°M / L#°
74	L#° / L#°H#	L#°L	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
75	L#° / L#°H#	L#°L	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°

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Table 4.3d: The underlying tone patterns of the nine categories of numeral-plus-classifier phrases. M and L tones. Numerals from 75 to 100.

	M _a	M _b	L _a	L _b	L _c
76	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
77	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L# / L#°
78	L#°H# / L#°	L#°H\$ / L#°	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
79	L#°L / L#°H#	L#°L	L#°H# / L#°	L#°H# / L#°	L#°H# / L#°
80	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
81	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
82	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
83	LM°H# / L +MH#°M	LM°H\$	LM°H#	LM°H#	LM°H#
84	LM°H# / L +MH#°L	LM°H\$	LM°H#	LM°H#	LM°H#
85	LM°H# / L +MH#°L	LM°H\$	LM°H#	LM°H#	LM°H#
86	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
87	LM°H# / L +MH#°M	LM°#H	LM°H#	LM°H#	LM°H#
88	LM°H#	LM°H\$	LM°H#	LM°H#	LM°H#
89	LM°H# / L +MH#°L	LM°H\$ / LM°L	LM°H#	LM°H#	LM°H#
90	L#° / L#°	L#°	L#°	L#°	L#°
91	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L#
92	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L#
93	L#°M / L#°	L#°M / L#°	L#°M / L#°	L#°M / L#°	L#°M / L#°
94	L#°L / L#°H#	L#°	L#°H# / L#°	L#°H# / L#°	L#°H#
95	L#°L / L#°H#	L#°	L#°H# / L#°	L#°H# / L#°	L#°H#
96	L#°H# / L#°	L#°H\$ / L#°	L#°H#	L#°H# / L#°	L#°H#
97	L#°M / L#°	L#°M / L#°	L#°L# / L#°	L#°L# / L#°	L#°L#
98	L#°H# / L#°	L#°H\$ / L#°	L#°H#	L#°H# / L#°	L#°H#
99	L#°L / L#°H#	L#°L	L#°H#	L#°H# / L#°	L#°H# / L#
100	M	M	L#	L#	L#

4.1 Numeral-plus-classifier phrases

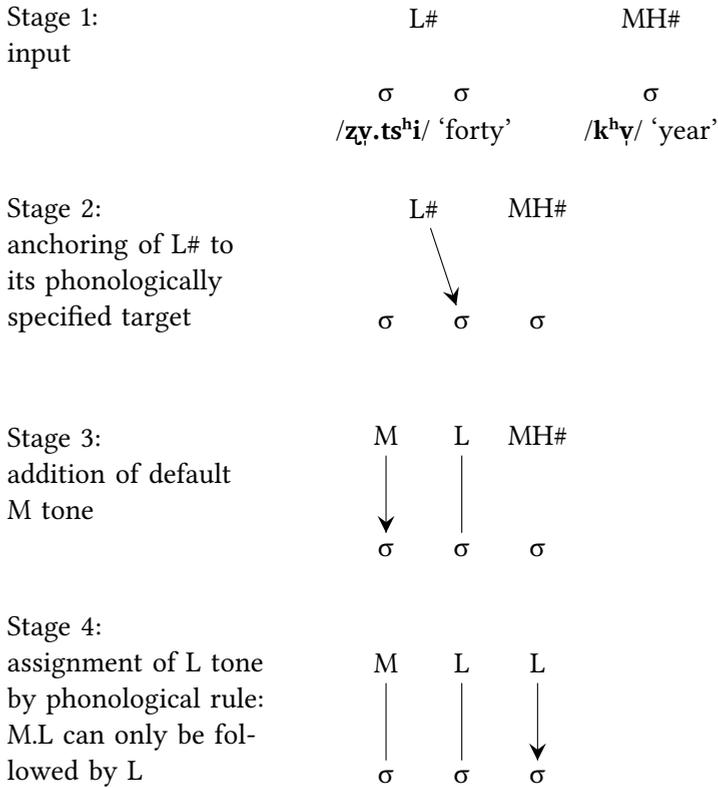


Figure 4.1: A detailed representation of tone-to-syllable association for the numeral-plus-classifier phrase /zɣts^hiɿ-k^hɣɿ/ ‘forty years’.

are identical except for fourteen of the numerals: [14..16], [18..19], 22, 32, 42, 52, 62, 72, 82, 92, 99. Finally, labels were chosen for these four sets and the nine subcategories, as explained below.

Decisive evidence for the analysis of the tones of classifiers could, in principle, come from those classifiers that correspond transparently to a noun (or verb). However, only few such classifiers were observed, and tonal correspondences between lexical word and classifier are not straightforward. For example, the classifier for blows is /dɑɿ/. Under the hypothesis made here (that its tonal category is MH), the correspondence with the verb /dɑɿ/ ‘to hit, to strike’ looks transparent, though its affiliation to the subcategory MH_b within MH still requires explanation. Similarly, ‘mountain, hill’ is /ɣwɣɿ/ (M tone), and as a classifier it yields /ɣwɣɿ/ ‘heap(s) of’ (M_a category); and ‘beam’, /dzoɿ/, has /dzoɿ/ (category H_a)

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as its self-classifier. Taking these three examples, it might appear that the tone category of a classifier is identical to that of the corresponding noun or verb.

Other examples, however, do not exhibit such simple correspondences. A different tonal correspondence for H-tone nouns is exemplified by /**kuɿ**/ ‘star’, which yields /**kuɿ**/ (M_b category) as a self-classifier. ‘Bowl’ is /**q^hwɿ**/ (LM tone), whereas as a classifier it yields /**q^hwɿ**/ ‘bowlful’ (MH_a category).

Another source of evidence could be the treatment of borrowings from Mandarin: it can be assumed that the tone subcategories used to accommodate recent borrowings are productive in synchrony. However, only one borrowing was observed: /**tɕi**/ for ‘pound (weight unit)’. Its tonal category is MH_a , i.e. the majority category for classifiers with a MH tone, but it would be unreasonable to draw general conclusions from this isolated example.

In the absence of decisive evidence from either of these two sources, the choice of labels for the nine categories brought out by distributional analysis was guided by structural hints. The tone of the classifiers after ‘6’ and ‘8’ is not highly informative, since almost all tonal oppositions are neutralized in this context (the only tones that are observed are H# and H\$). Likewise, in phrases involving ‘3’ and ‘10’, only two patterns are observed. After ‘4’ and ‘5’, four groups can be distinguished, but if these patterns were indicative of the classifiers’ lexical tone, the system would only contain two High tones (#H and H#) and two L tones (L# and L). There would be no Mid tones, and no contours. This would be completely unlike the lexical tones of the other monosyllabic nouns found in Yongning Na, which consist of H, M, L and two types of rising contours, analyzed as MH and LM.

On the other hand, the tone patterns in association with the numerals ‘1’ and ‘2’ make good sense as labels for tonal categories. These four patterns are H, MH, M and L, all of which exist as lexical tones for nouns. They are therefore provisionally adopted, adding a letter to distinguish the subcategories (two for H, MH and M, and three for L), by order of decreasing frequency (e.g. there are more classifiers in category M_a than M_b). Such labels for subcategories are also used for verbs, among which it is necessary to distinguish two subcategories of L tones, L_a and L_b , and three categories of M tones, M_a , M_b and M_c (see Chapter 6). Note, however, that these subcategories are established separately for nouns and verbs, and that no arguments can be proposed to identify these subcategories across parts of speech. For instance, the label ‘ L_a ’ as used for verbs does not refer to the same category as ‘ L_a ’ for classifiers. In order to preclude misunderstandings, it would be possible to use different subscript letters, for instance using Greek letters for subcategories of classifiers, and Latin letters for subcategories of verbs.

Latin letters are used in all cases with a view to avoiding an excessive load of symbols.

The labels L, M, H and MH adopted here make sense under the (admittedly simplistic) assumption that the contribution made by the tone of the classifier will be reflected statistically in the tone pattern of the numeral-plus-classifier phrase. Averaging over the entire range of tone patterns from the number 1 to the number 100, the categories that have a High tone after ‘1’ and ‘2’ (labelled H_a and H_b in Tables 4.2a–d and 4.3a–d) are also those with the highest proportion of H tones (either on their own: H#, #H, H\$, or as part of a MH or MH# contour) and the lowest proportion of L tones. Conversely, the categories that have a Low tone after ‘1’ and ‘2’ (labelled L_a , L_b and L_c in Tables 4.2a–d and 4.3a–d) have the lowest proportion of H tones and the highest of L tones. The other two categories (with subgroups M_a and M_b ; and MH_a and MH_b) are between these two extremes; again as expected, M_a and M_b have a higher proportion of M tones, and a lower proportion of H tones, than MH_a and MH_b . These rule-of-thumb comparisons, which do not carry demonstrative value, are simply mentioned to convey a feel for the overall outlook of the data. Another indirect way of approaching this data consists of examining the occasional mistakes made by the consultant.

4.1.3.2 About mistaken realizations in the recordings

As was mentioned earlier, the task of realizing long series of numeral-plus-classifier phrases was challenging for the consultant. Among the 2,810 tokens, 7% have a mistaken tone pattern,⁴ i.e. a tone pattern which the consultant (F4) consistently judged to be incorrect (a tonal slip of the tongue) when we returned to the data after recording sessions.

These mistakes reflect in part the phonological complexity of the tone patterns at issue. The notion that mistakes may provide insights about language dates back at least to Henri Frei’s *Grammar of mistakes* [1929] (see also Fromkin 1973 and Rossi & Peter-Defare 1998, among others). The usefulness of speech errors and word games to gain insights into tonal systems was shown by Hombert (1986: 180–181). Speakers of Mandarin, Cantonese, Minnan (Taiwanese) and Thai tend to move the tones with the syllables when changing a $C_1V_1C_2V_2$ sequence into $C_1V_2C_2V_1$ or $C_2V_2C_1V_1$, whereas speakers of Bakwiri, Dschang-Bamileke and Kru tend to leave tone patterns unchanged; see also Wan & Jaeger (1998) on Mandarin.

⁴ This figure includes some items that were deleted from the sound files at an early stage of the study, before the principle of preserving the recordings unchanged was adopted.

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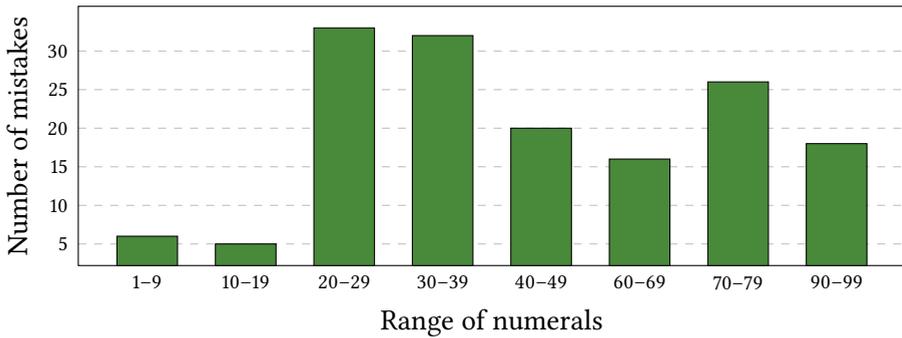


Figure 4.2: Number of mistakes in the recorded numeral-plus-classifier phrases as a factor of the range of tens.

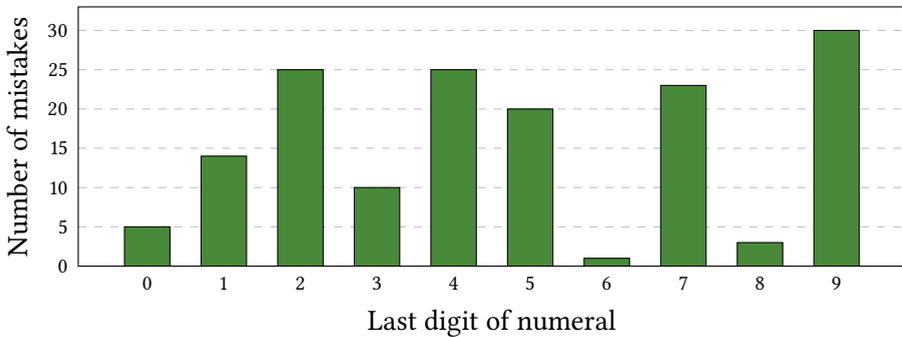


Figure 4.3: Number of mistakes in the recorded numeral-plus-classifier phrases as a function of the last digit (units).

Figure 4.2 shows the distribution of mistakes as a factor of the range of tens: how many of the observed mistakes concern numerals between 1 and 9 (leftmost bar), 10 and 19 (second bar), etc. The ranges of numerals between 50 and 59, and between 80 and 89, are not represented: they were not included in the recordings (to reduce the consultant's fatigue), because the phonological tone patterns for 50–59 are identical with those for 40–49, and those for 80–89 with 60–69.

Figure 4.3 shows the distribution of mistakes as a factor of the last digit (units): how many mistakes concern numbers ending in '1' (namely 1, 11, 21, 31, 41, and so on), in '2', etc.

The data is not symmetrical enough for a full-fledged statistical treatment. In particular, (i) there is more data for some tonal categories than others, (ii) some combinations have several repetitions, and (iii) there is slightly more data in the

range [1..10] than for higher numerals.

4.1.3.3 About variants of tone patterns

No observed numeral-plus-classifier phrase has more than two acceptable variants for its tone pattern. Many of the variants can be explained in light of general rules which hold within a tone group in Yongning Na. If the numeral-plus-classifier phrase is treated as one single tone group, then these rules apply, and modify the tone pattern.

For instance, in the case of ‘44’ plus the classifier for tools, /nɑ/ (category M_a), the phrase /zɣ-tsh^hi | zɣ-t-nɑ/ is not a well-formed tone group, since it is not in keeping with Rule 5, in that the L tone on /tsh^hi/ is surrounded by higher tones. This syntactic phrase is therefore to be analyzed as consisting of two tone groups: /zɣ-tsh^hi | zɣ-t-nɑ/ (tone pattern: L#°H#). If this phrase were treated as one tone group, the tones of its last two syllables would be lowered to L. This is precisely what happens in the tonal variant that is attested for this phrase: /zɣ-tsh^hi | zɣ-t-nɑ/ (tone pattern: L#°). The two variants can therefore be described as (i) a form consisting of two tone groups, and (ii) a simplified form, whose tonal pattern results straightforwardly from its treatment as a single phonological group. (The same phenomenon is reported in the Lataddi dialect: see Dobbs & La 2016.)

The same applies to all tonal patterns in the range [40..59], [70..79] and [90..99], since the first two syllables (corresponding to ‘40’, ‘50’, ‘70’ and ‘90’ respectively) have a Mid-plus-Low pattern. This pattern precludes any tone other than L on the following syllables within the same tone group (since that would not be in keeping with Rule 5). One would therefore expect all of these combinations to have two variants. This holds true as a general rule: when the consultant indicated a complex form and I tried substituting a simplified form, that form was never rejected by the consultant. On the other hand, for some combinations only the simpler form is acceptable. For instance, for ‘44’ with a classifier of category M_b, such as /lɯ/ (the classifier for round objects), one has to say /zɣ-tsh^hi | zɣ-t-lɯ/ (tone pattern: L#°).

Supposing, on the analogy of category M_a, that †zɣ-tsh^hi | zɣ-t-lɯ was acceptable at an earlier stage of the language, it must be supposed to have fallen out of use. This is in keeping with the expected preference for simpler forms in language evolution. For category M_a, where there are currently two variants in common use, the consultant’s intuition is that the complex variant, /zɣ-tsh^hi | zɣ-t-nɑ/, is somewhat “slow” and “clumsy”: in discourse, it conveys special emphasis and is only appropriate as part of an expressive strategy to draw attention to the figure at issue. To sum up, the integration of numeral-plus-classifier

phrases into one single phonological group is the general rule.

Interestingly, when a phrase ends in two Low-tone syllables, it is possible to test whether these Low tones result from the levelling down of originally non-Low tones (as in the case of /zɣ-tʰi|zɣ-l-na/), mentioned above) or whether they reflect an underlying Low tone. In the latter case, it is possible to divide the phrase into two tone groups. The second group, having an underlying L tone, receives a postlexical final H tone, by the application of Rule 7 (“If a tone group only contains L tones, a post-lexical H tone is added to its last syllable”). For example, ‘23 years’ (category MH_a) can be realized either as /ɲi-tʰi|so-l-k^hɣ/ or as /ɲi-tʰi | so-l-k^hɣ/, revealing that its underlying tone pattern is M^oL, whereas with the classifier for tools it would be incorrect to say † zɣ-tʰi | zɣ-l-na: the variant with a division into two groups is /zɣ-tʰi | zɣ-l-na/. This explains neatly why the contour-creating final H tone is only allowed for some of the phrases. A device for forcing the division of the phrase into two tone groups consists of inserting the syllable /la/, ‘and’, before the last digit: e.g. /sɯ-tʰi-la | q^hɣ-l-ɣwɣ/ ‘79 heaps’.

4.1.4 Disyllabic classifiers, and what they reveal about the tones of numerals

Only a few disyllabic classifiers were observed. One is a reduplicated monosyllable: /tʰe-tʰe/ ‘the width of a room’.⁵ Others are nouns, e.g. /ji-q^hv#/ ‘bull’s horn’ can be used as a classifier, since bull horns were used to drink or to pour liquids, for instance to pour water into a pot. The noun ‘bull’s horn’ is in competition, in its use as a classifier, with another classifier referring specifically to hornfuls of liquids, /q^hɣ-t^hɣ/, which is more commonly used than the noun. The classifier for strides (large steps) is disyllabic: /pɣ-lɣa/. ‘Bottle’, /to-lbi#/, can be used as a noun, /to-lbi# | q^hɣ-l-ɣwɣ/ ‘a bottle’, or as a classifier, /zɣ-l | q^hɣ-t^hɣ/ ‘a bottle of wine’. The classifier for ladlefuls is /bɣ-ldze/, and that for handfuls (using both hands) is /lo-ldzi/.

The disyllabic classifiers observed to date fall into one of four tonal categories: M, #H, L, and LM+#H. In terms of their behaviour in association with numerals, these four tonal categories fall into two sets: M and #H on the one hand, L and LM+#H on the other. Tables 4.4a and 4.4b present the data.

Overall, there is much less diversity of tones for disyllabic classifiers than for monosyllabic ones.

⁵ Roselle Dobbs (p.c. 2016) points out that the classifier /tʰe-tʰe/ ‘the width of a room’ may be reduplicated from the verb /tʰe-l/ ‘to stretch’.

Table 4.4a: The tonal behaviour of disyllabic classifiers with lexical M or #H tone.

numeral	example form	output tone	meaning
1	ɖɯɹ-ɥ ^h ɥ-t ^h v#ɿ	#H	one hornful
2	ɲi-ɥ ^h ɥ-t ^h v#ɿ	#H	two hornfuls
3	soɿ-ɥ ^h ɥ-t ^h v#ɿ	L	three hornfuls
4	zɥ-ɥ ^h ɥ-t ^h v#ɿ	#H	four hornfuls
5	ŋwɣ-ɥ ^h ɥ-t ^h v#ɿ	#H	five hornfuls
6	ɥ ^h ɥ-ɥ ^h ɥ-t ^h v#ɿ	#H	six hornfuls
7	ɣwɹ-ɥ ^h ɥ-t ^h v#ɿ	#H	seven hornfuls
8	hõ-ɥ ^h ɥ-t ^h v#ɿ	#H	eight hornfuls
9	gɥ-ɥ ^h ɥ-t ^h v#ɿ	#H	nine hornfuls
10	ts ^h eɿ-ɥ ^h ɥ-t ^h v#ɿ	L	ten hornfuls

Table 4.4b: The tonal behaviour of disyllabic classifiers with lexical L or LM+#H tone.

numeral	example form	output tone	meaning
1	ɖɯɹ-toɿbiɿ	#H	one bottle
2	ɲi-toɿbiɿ	#H	two bottles
3	soɿ-toɿbiɿ	L	three bottles
4	zɥ-toɿbiɿ	#H	four bottles
5	ŋwɣ-toɿbiɿ	#H	five bottles
6	ɥ ^h ɥ-toɿbiɿ	#H	six bottles
7	ɣwɹ-toɿbiɿ	#H	seven bottles
8	hõ-toɿbiɿ	#H	eight bottles
9	gɥ-toɿbiɿ	#H	nine bottles
10	ts ^h eɿ-toɿbiɿ	L	ten bottles

4.1.5 Two numerals plus a classifier, conveying approximation

Two numerals can accompany a classifier, conveying an approximative number. This can be likened to the coordinative construction ‘NUM or NUM’ in English: ‘one or two’, ‘two or three’... This construction is less common than coordinative compounds consisting of two numeral-plus-classifier phrases, such as **ɖɯɹ-ɲi-ɲi-ɲi** ‘one day-two days’ and **ɲi-ɲi-ɲi-soɿ-ɲi** ‘two days-three days’, presented

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in §3.3. Table 4.5 sets out the results of elicitation with the noun ‘day’. A dash indicates that the combination is not in use.

Table 4.5: Expressions conveying an approximative number: two numerals plus a classifier.

numerals	association of two numerals	meaning
1 and 2	ɖwɪ-ɲi-ɲi	‘a few days’
2 and 3	–	
3 and 4	–	
4 and 5	zɪ-ŋwɪ-ɲi	‘four or five days’
5 and 6	ŋwɪ-q^hv-ɲi	‘five or six days’
6 and 7	–	
7 and 8	ʂwɪ-hõ-ɲi	‘seven or eight days’
8 and 9	–	
9 and 10	gɪ-ts^he-ɲi	‘nine or ten days’

This is not to say that there is a hard-and-fast rule against the combinations marked with a dash ‘–’ in Table 4.5, only that those combinations seemed less felicitous to the consultant, for various reasons that may involve considerations of homophony along with frequency of use and semantics. For instance, the expression /**ɖwɪ-ɲi-ɲi**/, literally ‘one-two days’, actually means ‘a few days’, somewhat like ‘a couple of days’ in English. Since this expression easily covers the range from one to four, it makes the combinations ‘2 and 3’ and ‘3 and 4’ unnecessary. Among higher numbers, a reason why the combination ‘7 and 8’ is deemed acceptable may be that it corresponds to ‘a week or so’, a span of time that has some relevance in the consultant’s current view of time, in which weeks are a relevant unit because the grandchildren’s schooling is punctuated by the succession of weeks. Seen in this light, the combination ‘6 and 7’ should be about as relevant as ‘7 and 8’, and could be acceptable at a push: †**q^hv-ʂwɪ-ɲi** ‘six or seven days’.

These expressions hold a potential for shedding additional light on the tonal behaviour of numerals, and of numeral-plus-classifier combinations. Systematic elicitation of phrases consisting of two numerals and a classifier was not conducted, however because these patterns did not appear to constitute a full, productive paradigm in the consultant’s speech. There was a concern that elicitation of unusual forms (many of them new coinages) would not yield consistent results

(as mentioned in §1.2.3.1). This is one of many topics that remain for future research.

4.1.6 Conclusions

From the mass of information set out in this chapter, it is clear that the tone patterns of numeral-plus-classifier phrases encapsulate information not derived from synchronic rules. The system as presented in Tables 4.2a–d and 4.3a–d is regular and productive, in that all the classifiers of a given tone category have the same tone patterns. As it lends itself straightforwardly to computer implementation, a simple Perl script was written (available from the author). It takes as its input the classifier's tone category and segmental composition and a numeral (or range of numerals) from 1 to 100. The data in Tables 4.2a–d and 4.3a–d is stored inside the script, allowing for the tone pattern to be recovered through table lookup. The surface-phonological tone pattern is then assigned to the phrase on the basis of the general principles governing tone assignment in Yongning Na (which are encoded into the script), such as that simple L and M tones attach to all the syllables within their domain, tone sequences attach to syllables left-to-right, and so on. For instance, providing as input the numeral '44', the segments /na/, and the tonal category M_a , the script yields the following two variants: /zy^hts^hi|zy^h-na/ (tone pattern: L#°H#) and /zy^hts^hi|zy^hJ-na/ (tone pattern: L#°).

In the present version of the script, all of the information set out in Tables 4.2a–d and 4.3a–d is encoded in full, specifying the tone patterns of 900 combinations (9 tone categories of classifiers times 100 numerals). This allows for straightforward table-lookup, but is uneconomical from the point of view of linguistic modelling. The addition of some rules could significantly reduce the number of combinations that need to be indicated. In particular, the tone patterns of [40..49] are identical with those of [50..59]; likewise for [60..69] and [80..89]. Numerals ending in '1' also have identical patterns with those ending in '2', with a few exceptions in category H_b . The information provided for each subcategory (H_a and H_b , M_a and M_b , and so on) could also be simplified by considering one of the subcategories as the norm, and only supplying the forms for the other subcategories where they differ from that norm.

However, it is clear that even after this simplification task had been conducted, large numbers of tonal patterns would still need to be specified individually. For instance, neither H_a nor H_b can be considered as a simplified version of the other: while the systematic application of a L tone to all the phrases from '10' to '19' suggests that H_b is a simplified version of the patterns of H_a , the presence of different tones after numerals ending in '1' and '2' is a complexity found for H_b and

not for H_a . The latter observation is a striking counterexample to the pan-Naish generalization that the numerals ‘1’ and ‘2’ always have the same tone patterns – a generalization which holds for Naxi and Laze, and for all the rest of the Na data. One case of idiosyncratic tone patterns was observed: /toŋ/ ‘armful’ belongs to the H_a category, but the combination ‘11 armfuls’ is realized as /ts^he-ŋdɯ-ŋto/ instead of the expected †ts^heŋdɯ-ŋto/.

4.2 Demonstrative-plus-classifier phrases

A demonstrative and a following classifier are always integrated into a single tone group. The elicitation procedure was similar to that used for numeral-plus-classifier phrases, and similar puzzles were encountered.

As explained in the previous section, the tonal categories of classifiers were established on the basis of their behaviour when combined with numerals. The nine categories are: H_a and H_b ; MH_a and MH_b ; M_a and M_b ; and L_a , L_b and L_c . As for the proximal demonstrative, /ts^hu#ŋ/, and the distal demonstrative, /t^hv#ŋ/, they both carry lexical #H tone. The expectations were that, in demonstrative-plus-classifier phrases, (i) there would be no difference between proximal and distal demonstratives, since they have the same lexical tone, and (ii) all classifiers within each of the nine tonal categories would have the same tonal behaviour.

The first prediction was verified: phrases containing /ts^hu#ŋ/ ‘this’ and /t^hv#ŋ/ ‘that’ always have the same tonal behaviour. The second prediction, on the other hand, was incorrect: some of the tonal categories for classifiers proved to be less than fully homogeneous. The account provided below starts out from the simplest cases, and progresses towards the category for which the greatest degree of divergence was found (category L_c).

When combined with demonstratives, H_a and H_b behave in the same way, as do MH_a and MH_b . This is the simplest part of the picture: the distinction between H_a and H_b is neutralized in this context, and the distinction between MH_a and MH_b is likewise neutralized. Categories M_a and M_b behave differently from each other, but each in a consistent and simple way, with only one possible pattern: demonstrative plus M_a -tone classifier yields $L\#$, e.g. /t^hv-ŋnaŋ/ (classifier for tools); demonstrative plus M_b -tone classifier yields #H, e.g. /t^hv-ŋɯ#ŋ/ (generic classifier).

Among Low tone categories of classifiers, L_a and L_c are relatively straightforward. All L_a -tone classifiers have the same behaviour, allowing two variants: $H\#$ and $H\$$. Both variants are firmly attested. The speaker expresses a preference for the former, but this slight imbalance appears to be the same for all examples,

suggesting that there does not exist any clear (lexicalized) preference for the one or the other in association with a specific classifier.

Category L_b is the most problematic. In the production data, there are three variants, H#, H\$ and MH#. But for some classifiers of this category (e.g. /mi L_b /, the classifier for animals, and /k^hu L_b /, the classifier for long objects), MH# is by far the most frequent pattern, with H\$ as an occasional variant, and H# rarely attested. For other classifiers (including /mi L_b /, the classifier for animals, and /k^hu L_b /, the classifier for long objects), H# and H\$ appear with comparable frequency, whereas MH# is seldom found.

When several variants were proposed by the investigator and the consultant was asked which ones were correct, a similar picture emerged: MH# is strongly preferred for some classifiers, with H\$ as an acceptable variant, whereas H# is dispreferred, either refused as incorrect, or judged as marginally acceptable only. For other classifiers, the preferred form is H#, H\$ tone is an acceptable variant, and MH# tone is not. Table 4.6 presents these two sets, provisionally labelled as ‘type I’ and ‘type II’.

The consultant’s acceptance of variants fluctuated from session to session, but the distinction between types I and II was confirmed in the course of an extensive series of elicitation sessions. Examples from the recorded texts provide evidence to the same effect.

The tone patterns for all categories of classifiers are set out in Table 4.7, using the distal demonstrative, /t^hv#1/, as an example. (As mentioned above, the tone patterns for the proximal demonstrative, /t^hu#1/, are the same.) The corresponding online recordings are DemClf, DemClf2 and DemClf3.

The ‘type I’ and ‘type II’ distinction among L_b -tone classifiers constitutes an impressive extra complexity within the system. Category L_b is a subdivision within the L category of tones; its further division into types I and II constitutes a subdivision within a subdivision. From the point of view of phonological output, type I within the L_b category yields an output (MH# / H\$) which does not coincide with any other within the system.

4.3 Tonal interactions with a preceding noun

Occasionally, there is tonal interaction between numeral-plus-classifier or demonstrative-plus-classifier phrase and the preceding noun, as in (1).

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Table 4.6: The two subcategories of L_b -tone classifiers, based on their behaviour in association with demonstratives.

type	tone pattern in association with demonstrative	form	classifier for
L_b , type I	MH# most common; H\$ attested; H# dispreferred or refused	bo ↓ _b	headdresses
		dɥ ↓ _b	small groups
		dzi ↓ _b	trees
		jo ↓ _b	ounces
		k^hu ↓ _b	long objects
		lo ↓ _b	valleys
		ʔi ↓ _b	armspans
		mi ↓ _b	animals
		p^hɥ ↓ _b	fields
		t^hɥ ↓ _b	sets of ten
		tɕ^hi ↓ _b	meals
		wɣ ↓ _b	loads
		wo ↓ _b	teams of oxen
L_b , type II	H# most common; H\$ attested; MH# dispreferred or refused	pɣ ↓ _b	ladders, doors
		po ↓ _b	packs
		ɣo ↓ _b	types, sorts
		ɣu ↓ _b	times
		ts^he ↓ _b	leaves
		tɥ ↓ _b	large chunks

- (1) ə+mi+! | pæ+k^hwɣ+ so+-[u] ki| mæ:!
 ə+mi+ pæ+k^hwɣ#| so| [u+ ki+_a mæ+
 INTJ silver_coin three CLF to_give DISC.PTCL
 ‘Wow! [(S)he] is giving you three silver coins!’

According to the main consultant’s memories, (1) is the type of comment that uncles and aunts would make when a child received significant amounts of money on the occasion of their coming-of-age ceremony. To offer only one coin would be inappropriate, as gifts should come in pairs. Two coins is a beautiful gift. Three coins is beyond expectations (the equivalent at the time of about half a month’s salary).

The tonal derivation for the phrase ‘three silver coins’ is as follows:

Table 4.7: The tone patterns of demonstrative-plus-classifier phrases.

tone pattern		example	
tone of CLF	tone of DEM+CLF phrase	classifier for	DEM+CLF phrase
H _a , H _b	H\$ / #H	chunks	t ^h v-t-k ^h wɿŋ\$ / t ^h v-t-k ^h wɿŋ#ŋ
MH _a , MH _b	L#	cattle	t ^h v-t-p ^h oŋ
M _a	L#	tools	t ^h v-t-naŋ
M _b	#H	generic	t ^h v-t-[ɬu#ŋ]
L _a	H# / H\$	quantities	t ^h v-t-mɿŋ / t ^h v-t-mɿŋ\$
L _b , type I	MH# / H\$	animals	t ^h v-t-miŋ / t ^h v-t-miŋ\$
L _b , type II	H# / H\$	doors	t ^h v-t-pɿŋ / t ^h v-t-pɿŋ\$
L _c	MH# / H# / H\$	plains	t ^h v-t-diŋ / t ^h v-t-diŋ / t ^h v-t-diŋ\$

- (i) the input tones are: #H for ‘silver coin’, //p^hæ-t-k^hwɿŋ#ŋ//; L for ‘three’; and M for the classifier, //ɬu-t//
- (ii) the numeral-plus-classifier phrase ‘three-CLF’ carries M tone: //so-t-ɬu-t//
- (iii) the noun plus the numeral-plus-classifier phrase yields a H# tone: //p^hæ-t-k^hwɿŋ-t-so-t-ɬuŋ#ŋ//

Examples of such tonal interaction include /mɿŋŋoŋ t^hv-t-ɬu/ ‘that girl’, girl-DEM.DIST-CLF (ComingOfAge2.60; Tiger2.139, 141, 147) and /ə-t-v-t^hv-t-v-t/ ‘that uncle’, uncle-DEM.DIST-CLF (Tiger2.109). The underlying tonal category of such expressions can be established in the same way as that of nouns, by tests such as adding the copula. ‘Three silver coins’, /p^hæ-t-k^hwɿŋ-t-so-t-ɬuŋ/, yields /p^hæ-t-k^hwɿŋ-t-so-t-ɬuŋ ŋiŋ/, revealing that its tone is H#: //p^hæ-t-k^hwɿŋ-t-so-t-ɬuŋ#ŋ//. ‘That uncle’, /ə-t-v-t^hv-t-v-t/, yields /ə-t-v-t^hv-t-v-t ŋiŋ/, revealing that its tone is #H: //ə-t-v-t^hv-t-v-t#ŋ//.

Why is tonal change only occasional? Here are some speculations.

If tone change were systematic, it would entail the neutralization of some of the tonal distinctions among classifiers. This is because the number of possible tone patterns in tone groups of three syllables or more is not as high as the combinatorial diversity in sequences of short tone groups. For instance, after disyllabic nouns carrying a L# tone, determiners would have their tones lowered to L (by application of Rule 5: “All syllables following a H.L or M.L sequence

receive L tone”), which would entirely neutralize tonal oppositions among classifiers. Even if the majority of contrasts between different categories of classifiers were retained, tonal change would increase opacity, as there would be more cases where surface-phonological tone on determiners differed from underlying tone. Given the high degree of complexity of the tone system of classifiers, an increase in opacity would be likely to create a pressure towards the simplification of the system. The fact that tonal interaction between a noun and a following determiner is only occasional may thus favour the preservation of the current system. Functionally, having the choice between both options allows for richer linguistic content.

While discourse factors are paramount in determining whether there is interaction between the noun and its determiner, the tonal category may also play a role. All other things being equal, some tones appear to resist interaction, whereas others are prone to interaction. For instance, the change of H\$ plus L# to MML appears easy; division into two tone groups is possible, but it requires a strong stylistic load (emphasizing separate constituents). Conversely, there may be combinations whose integration is especially difficult to obtain, requiring a strong stylistic load emphasizing close association.

The implication for the analysis of the tone system would be that some tones are stronger than others, triggering tone changes that are simple, easy to implement, and exceptionless. The spreading of L# onto following syllables appears as the best example. Other tones are weaker: tone change involving them is not straightforward, and tends to allow several variants. Tone H\$ is a case in point. This hierarchy of strength would be reflected in the frequency of tonal interactions between a noun and a following determiner (N+DEM+CLF, N+NUM+CLF).

Systematic verification of these hypotheses would require a greater amount of texts than is available at the time of writing.

4.4 A concluding note about classifiers

The tone patterns of phrases containing classifiers cannot be accounted for by phonological sandhi, nor do they obey a well-defined set of morphotonal rules. These tone patterns need to be learnt one by one. While this system may appear staggeringly complex, phrases containing classifiers are frequent in discourse, a factor which is known to favour the preservation of irregular morphology.

5 Combinations of nouns with grammatical words

This chapter brings together data on a wide range of constructions containing nouns, from morphological derivation – mostly nouns containing gender suffixes – through reduplication, to combinations of nouns with particles in discourse.

5.1 Derivational affixes: gender suffixes and kinship prefix

5.1.1 Introduction to gender suffixes

The most common derivational affixes in Na are gender suffixes: */-mi/*, */-zo/* and */-p^hv/*, carrying the meaning ‘female, mother’, ‘son, young’, and ‘male’, for instance in */hi+mi/* ‘female roebuck’, */hi|zo/* (variant: */hi+zo#l/*) ‘young roebuck’, and */hi|p^hv/* (variant: */hi+^hp#l/*) ‘male roebuck’ (Lidz 2010: 177-179).¹

The suffixes */-mi/* and */-zo/* also serve as augmentative and diminutive suffixes, respectively. ‘Mother’ stands for ‘large’, and ‘son’ for ‘small’, as in numerous languages of the area (see Mazaudon 2003 on Tamang, and a cross-language discussion by Matisoff 1992). For instance, */k^hv/* ‘basket (carried on back)’ yields */k^hv+mi|* ‘large basket’ and */k^hv+zo#l/* ‘small basket’. In many cases, the augmentative or diminutive meaning has faded away. For instance, monosyllabic */ljv/* and suffixed */ljv|mi|* are both used to refer to the same object, namely main (supporting) beams. The augmentative and diminutive suffixes are not highly productive: there is no diminutive counterpart (*†ljv|zo#l/*) to */ljv|mi|* ‘main beam’, for instance. The slanting beams upholding the roofing (planks or tiles), which rest on the supporting beams, are called */zy|lu|*. When referring to a large-sized slanting beam, it is not possible to use an augmentative *†zy|lu|mi|*, with the intended meaning of ‘a large-sized */zy|lu|*’. Instead, constructions

¹ Liberty Lidz’s notations are */-mi33/*, */-zɔ33/* and */-p^hu33/*. Consultant F4’s word for ‘grandmother’s brother’, */ə+^hp^hv+/*, is glossed by L. Lidz as ‘grandfather (father of mother or father)’ in the Luoshui dialect, and transcribed as */a33-p^hv33/* (p. 766), but it also appears as */a33-p^hu33/* at places, e.g. pp. 269, 503 and 763.

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with the adjectives /tɕi_a/ ‘small’ or /dʒu_a/ ‘large’ are used.

Etymologically, the second syllable of the words /ɲi_ami_a#ɿ/ ‘sun’ and /ɕi_ami_a/ ‘moon’, which currently lack monosyllabic counterparts, probably originates in the same morpheme /mi/. Since these two words also have the same structure in Naxi (/ɲi_ame_a/ and /ɕe_ame_a/) and Laze (/ɲie_amie_a/ and /ɕie_amie_a/), two languages closely related to Na, their disyllabic status is likely to have some historical depth. The suffix /zo/ appearing in /ɲi_azo_a#ɿ/ ‘fish’, another disyllable without a monosyllabic counterpart, is also found in Laze (/ze_a/ ‘son’, /ɲi_aze_a/ ‘fish’), whereas Naxi has a monosyllable: /ɲi_a/.

The discussion below covers names of animals and peoples with gender suffixes, as well as the augmentative and diminutive suffixes. Needless to say, words that contain a /mi/ syllable of other origins were excluded, e.g. the name of the Yongning monastery, /dʒæ_ami_a/, which is a loanword from Tibetan *dgra med*.

The suffixes for *female*, *young* and *male* are related to the free morphemes /mi_a/, /zo_a/ and /p^hv_a/, which appear in contexts such as /tʂ^hu_a | mi_aɲi_a/ ‘this is a female’, /tʂ^hu_a | zo_aɲi_a/ ‘this is a young/male’, /tʂ^hu_a | p^hv_aɲi_a/ ‘this is a male’. In this case, there is no mystery in the evolution from the nouns to the derivational affixes. The free forms /zo_a/ ‘young/male’ and /p^hv_a/ ‘male’ have different tones (H and M, respectively), whereas the suffixes /-zo/ and /-p^hv/ always have the same tonal patterns, even sharing the same tonal variants, as in the example of ‘roebuck’. Neutralization of tonal oppositions on these nouns in the process of grammaticalization as gender suffixes is not complete, however: the tonal behaviour of /-zo/ and /-p^hv/ differs from that of the female suffix /-mi/.

From a tonal point of view, there is thus evidence that these three derivational elements have become distinct from the free nouns in which they originate. This is reminiscent of classifiers: the study of classifiers provided in Chapter 4 reveals that the tone system of classifiers is not identical to that of free nouns, and that the tone of a classifier is not necessarily the closest equivalent of that of the noun from which it derives. To repeat an example from §4.1.3.1, there are two tonal correspondences among classifiers for H-tone nouns, the one illustrated by ‘beam’, /dʒo_a/, which has /dʒo_a/ (category H_a) as its self-classifier, and the other illustrated by /ku_a/ ‘star’, which yields /ku_b/ (M_b tone category) as a self-classifier. Seen in this light, differences in tone between a noun as a full form and as a derivational suffix are not a particularly out-of-the-way finding in the context of Yongning Na morphotonology.

The difference in tone patterns between /-mi/ and the other two suffixes suffices to establish that suffixes are not toneless. But due to the limited number of suffixes, it is not possible to tell for sure how many tones exist on suffixes.

Moreover, ascertaining the tones of suffixes is not an easy matter. A simple test consists in combining them with a M-tone noun: the M tone has properties that make it suitable for use in tonal tests. M can be followed by any tone (unlike H, which can only be followed by L), and it does not spread (unlike L), so it would seem to offer the best possible context for the lexical tone of the following morpheme to manifest itself in. This works out well for verbs: a useful tonal test consists in observing a verb's tone after a M-tone morpheme such as the NEGATION prefix, /mɣɿ-/. In this context, H-tone verbs surface with H tone, MH-tone verbs with MH tone, and so on (see §6.1.1). But the three gender suffixes all yield the same result after a M-tone monosyllable, e.g. /laɿmi#ɿ/ 'female tiger', /laɿzo#ɿ/ 'baby tiger' and /laɿpʰv#ɿ/ 'male tiger'. After disyllabic M-tone nouns, on the other hand, the results are different: /siɿguɿɿmiɿ/ 'female lion' vs. /siɿguɿɿzo#ɿ/ 'baby lion'. On this slender basis, the two sets of suffixes are provisionally transcribed as carrying lexical L and H tone, respectively. They are transcribed hereafter as //miɿ//, //zoɿ// and //pʰvɿ//. It must be cautioned that this tentative identification does by no means encapsulate all the information about the tonal behaviour of these two types of suffixes, which is set out in table form below.

5.1.2 The facts

Tables 5.1a–g and Tables 5.2a–b present the data concerning the suffixes //miɿ//, //zoɿ// and //pʰvɿ//. The examples are arranged by tone of the suffixed form. Tables 5.1a–g present disyllables, and Tables 5.2a–b trisyllables. Nouns in which the suffixes are augmentative or diminutive and not gender suffixes are italicized (i.e. nouns that do not refer to animals or ethnic groups). As elsewhere, a slash separates variants. A dash (–) in a cell indicates that the form does not exist: for instance, it is not possible to use a /zo/-suffixed word for 'piglet' (the attested form is /bæɿbyɿ/). A double dagger † preceding a noun indicates that it is a form that was proposed by the investigator and rejected by the consultant. For instance, "zæɿmi#ɿ († zæɿmiɿ)" for 'female panther' indicates that the tonal variant † zæɿmiɿ, tested by the investigator on the analogy of the existence of a L-tone variant /ziɿmiɿ/ for 'female ape', whose root belongs in the same category as 'panther', was rejected by the consultant.

Table 5.1a includes two roots, 'thumb' and 'bee', which are not synchronically attested as monosyllables, but whose internal reconstruction yields a LM-tone root.

Table 5.1a: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. LM-tone roots.

correspondences	root	suffixed forms		
		//-mi// 'female'/AUG	//-zo// 'child'/DIM	//-p ^h v// 'male'
first type	sow	bo ₁ mi ⁺	-	bo ₁ p ^h v ⁻
	hen	æ ₁ mi ⁺	-	-
	<i>thumb</i>	lo ₁ mi ⁺	-	-
	bee	dze ₁ mi ⁺	-	-
second type	yak	by ⁻ mi ⁺	by ⁻ zo ₁ # ₁ / by ⁻ zo ₁	by ⁻ p ^h v ₁ # ₁ / by ⁻ p ^h v ⁻
	sparrow	dʒwæ ⁻ mi ⁺	dʒwæ ⁻ zo ₁ # ₁ / dʒwæ ⁻ zo ₁	dʒwæ ⁻ p ^h v ₁ # ₁ / dʒwæ ⁻ p ^h v ⁻
	hawk	kɔ ⁻ mi ⁺	kɔ ⁻ zo ₁ # ₁ / kɔ ⁻ zo ₁	kɔ ⁻ p ^h v ₁ # ₁ / kɔ ⁻ p ^h v ⁻
	<i>steamer</i>	by ⁻ mi ⁺	by ⁻ zo ₁ / by ⁻ zo ₁ # ₁	-
third type	weasel	dv ₁ mi ₁ # ₁ / dv ₁ mi ⁺	dv ₁ zo ₁ # ₁ / dv ₁ zo ⁻	dv ₁ p ^h v ₁ # ₁ / dv ₁ p ^h v ⁻
	goose	ɑ ₁ mi ₁ # ₁ / ɑ ₁ mi ⁺	ɑ ₁ zo ₁ # ₁ / ɑ ₁ zo ⁻	ɑ ₁ p ^h v ₁ # ₁ / ɑ ₁ p ^h v ⁻
	lizard	dzo ₁ mi ₁ # ₁ / dzo ₁ mi ⁺	dzo ₁ zo ₁ # ₁ / dzo ₁ zo ⁻	dzo ₁ p ^h v ₁ # ₁ / dzo ₁ p ^h v ⁻
	<i>ladle</i>	tɕ ^h o ₁ mi ₁ # ₁ / tɕ ^h o ₁ mi ⁺	tɕ ^h o ₁ zo ₁ # ₁ / tɕ ^h o ₁ zo ⁻	-
fourth type	Na (people)	na ₁ mi ₁ # ₁ / na ₁ mi ⁺	na ₁ zo ₁ # ₁ / na ₁ zo ⁻	-
	jackal <i>road, path</i>	p ^h ɣ ₁ mi ₁ / zɣ ₁ mi ₁	p ^h ɣ ₁ zo ₁ # ₁ / p ^h ɣ ₁ zo ⁻	p ^h ɣ ₁ p ^h v ₁ # ₁ / p ^h ɣ ₁ p ^h v ⁻

5.1 Derivational affixes: gender suffixes and kinship prefix

Table 5.1b: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. LH-tone roots.

correspondences	root	suffixed forms		
		//-mi↓// 'female'/ AUG	//-zo↓// 'child'/DIM	//-p ^h v↓// 'male'
first type	panther	zæ↓mi#↓ (‡ zæ↓mi↓)	zæ↓zo#↓ (‡ zæ↓zo↓)	zæ↓p^hv#↓ (‡ zæ↓p ^h v↓)
	monkey	zi↓mi#↓ / zi↓mi↓	zi↓zo#↓ / zi↓zo↓	zi↓p^hv#↓ / zi↓p^hv↓
	buffalo	t^ha↓mi#↓ (‡ t ^h a↓mi↓)	t^ha↓zo#↓	t^ha↓p^hv#↓
	muntjac	tɕ^hu↓mi#↓ / tɕ^hu↓mi↓	tɕ^hu↓zo#↓ / tɕ^hu↓zo↓	tɕ^hu↓p^hv#↓ / tɕ^hu↓p^hv↓
	<i>plane</i> (<i>tool</i>)	t^hi↓mi#↓ (‡ t ^h i↓mi↓)	t^hi↓zo#↓ (‡ t ^h i↓zo↓)	–
	second type (isolated example)	<i>slope</i>	to↓mi↓	to↓zo↓
third type	<i>plain</i>	di↓mi↓	–	–
	woman	mɤ↓mi↓	mɤ↓zo↓	–

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Table 5.1c: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. M-tone roots. Only one type of correspondence.

root	suffixed forms		
	//-mi/ 'female' AUG	//-zo/ 'child'	//-p ^h v/ 'male'
tiger	la+mi#l	la+zo#l	la+p ^h v#l
goral	se+mi#l	se+zo#l	se+p ^h v#l
message	q ^h wæ+mi#l	–	–

Table 5.1d: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. L-tone roots. Only one type of correspondence.

root	suffixed forms		
	//-mi/ 'female'/AUG	//-zo/ 'child'/DIM	//-p ^h v/ 'male'
woman	m ^h v+mi+	–	–
ewe	jo+mi+	jo+zo#l / jo↓zo↓	jo+p ^h v#l / jo↓p ^h v↓
roebuck	fi+mi+	fi+zo#l / fi↓zo↓	fi+p ^h v#l / fi↓p ^h v↓
bottle	ky+mi+	ky↓zo↓ (‡ ky+zo#l)	–
large river	dzu+mi+	–	–

For two of the items that lack a monosyllabic counterpart, the tone of the root can be arrived at through internal reconstruction. Since there is a substantial number of examples (seven) of LM-tone disyllables corresponding with LM-tone monosyllables, and there is no other attested source for LM-tone disyllables, /dze↓mi+/ 'bee' and /lo↓mi+/ 'thumb' can be hypothesized to be derived from LM-tone roots. For most of the items that lack a monosyllabic counterpart, however, internal reconstruction does not lead to a clear-cut conclusion, because several tone categories of roots feed into the same tone categories of disyllables. For instance, /ni+zo#l/ 'fish' could originate in a monosyllabic root of any tone category except H, and M-tone words with the /-mi/ suffix, such as /q^hv+mi+/ 'fox', could be derived from any of the following four tone categories of monosyllables: LH, L, H or MH. The last two items in Table 5.1g, 'hwamei (a species of bird)' and '(cigarette) lighter', carry a tone that does not correspond to any of the attested

Table 5.1e: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. H-tone roots.

correspondences	root	suffixed forms		
		//-mi↓// 'female'/ AUG	//-zo↓// 'child'/DIM	//-p ^h v↓// 'male'
first type	cow	ji↓mi↓	ji↓zo#↓	ji↓p ^h v#↓
	horse	zwa↓mi↓	zwa↓zo#↓	zwa↓p ^h v#↓
	dog	k ^h v↓mi↓	k ^h v↓zo#↓	k ^h v↓p ^h v#↓
second type	Pumi (people)	br↓mi#↓	br↓zo#↓	–
	pheasant	ho↓mi#↓	ho↓zo#↓	ho↓p ^h v#↓
	<i>cooking pan</i>	v↓mi#↓	v↓zo#↓	–
third type	<i>door</i>	k ^h i↓mi↓	k ^h i↓zo#↓	–
	<i>canal</i>	q ^h æ↓mi↓	q ^h æ↓zo#↓	–
	<i>tree trunk</i>	ʃ↓mi↓	–	–

correspondences.

The purpose of Tables 5.1a–g and 5.2a–b is to provide a bird's eye view of the tonal correspondences. It does not present information about etymology and frequency of use: for instance, that /ʃ↓mi↓/ 'tree trunk' etymologically means 'big bone'; that /po↓lo↓/ is a more common form for 'ram' than the /-p^hv↓/ suffixed form; and that /-zo↓/ suffixed forms are more common than /-p^hv↓/ suffixed forms to refer to male mules and water buffalos. Such facts are adduced in the discussion below; they can be looked up in the corresponding entries in the dictionary (Michaud 2015a).

5.1.3 Discussion

The tonal correspondences between monosyllabic roots and disyllables are not bijective. The diversity of tonal correspondences suggests that disyllables with these suffixes have different degrees of lexicalization, and different degrees of historical depth. It would thus be misleading to think of all the suffixed forms as the result of a synchronic, currently productive morphological process. Semantically, there is a continuum between disyllables with a clearly female meaning, such as 'sow', and disyllables in which the semantic content of the suffix has

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Table 5.1f: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words. MH-tone roots.

correspondences	root	suffixed forms		
		//-mi/ // 'female' / AUG	//-zo/ // 'child' /DIM	//-p ^h v/ // 'male'
first type	cat	hwɣ+mi] \$	hwɣ+zo#] / hwɣ+zo] \$	hwɣ+p ^h v#] / hwɣ+p ^h v] \$
	doe	tʂ ^h æ+mi] \$	tʂ ^h æ+zo#] / tʂ ^h æ+zo] \$	tʂ ^h æ+p ^h v#] / tʂ ^h æ+p ^h v] \$
	goat	ts ^h u+mi] \$	ts ^h u+zo#] / ts ^h u+zo] \$	ts ^h u+p ^h v#] / ts ^h u+p ^h v] \$
	crane	ɣv+mi] \$	ɣv+zo#] / ɣv+zo] \$	ɣv+p ^h v#] / ɣv+p ^h v] \$
	wasp	tɕu+mi] \$	tɕu+zo#] / tɕu+zo] \$	tɕu+p ^h v#] / tɕu+p ^h v] \$
	<i>basket</i>	k ^h ɣ+mi] \$	k ^h ɣ+zo] \$ (‡ k ^h ɣ+zo#])	–
	<i>needle</i>	ɣo+mi] \$	ɣo+zo#] / (‡ ɣo+zo] \$)	–
	<i>scales</i>	tɕu+mi] \$	tɕu+zo] \$ (‡ tɕu+zo#])	–
	<i>bowl</i>	q ^h wɣ+mi] \$	q ^h wɣ+zo] \$ (‡ q ^h wɣ+zo#])	–
	<i>stomach</i>	hu+mi] \$	–	–
second type (isolated example)	<i>building</i>	zi+mi+	–	–

become bleached, e.g. /k^hv]mi] / which simply means ‘dog’, not specifically ‘she-dog’. After semantic bleaching, suffixes need to be added anew to specify gender. For instance, on the basis of /njɣ+mv]mi] / ‘camel’, the words for ‘camel calf’ and

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Table 5.1g: Nouns with gender suffixes or AUGMENTATIVE/DIMINUTIVE suffixes. Disyllabic words without a corresponding monosyllable.

possible root tone	meaning	suffixed forms		
		//-mi↓// 'female'/AUG	//-zo↓// 'child'/DIM	//-p ^h v↓// 'male'
M or H	water buffalo	dzi↓mi#↓	dzi↓zo#↓ (‡ dzi↓zo↓)	dzi↓p^hv#↓
	granddaughter	zy↓mi#↓	–	–
	<i>sun</i>	ni↓mi#↓	–	–
L or LM	duck	bæ↓mi↓	bæ↓zo#↓	bæ↓p^hv#↓
	<i>large vat</i>	dzo↓mi↓	dzo↓zo#↓	–
	<i>sword</i>	ɤæ↓mi↓	ɤæ↓zo#↓	–
LH, L, H or MH	<i>tummy, belly</i>	bi↓mi↓	–	–
	fox	ɖɣ↓mi↓	–	–
	little sister	go↓mi↓	–	–
	<i>moon</i>	ɦi↓mi↓	–	–
	<i>king, lord</i>	ko↓mi↓	–	–
	louse	ʂe↓mi↓	–	–
	wife	tʂ^hv↓mi↓	–	–
LM or LH	frog	pɣ↓mi↓	–	pɣ↓p^hv↓
LM, LH or H	<i>tongue</i>	hi↓mi↓	–	–
	<i>large comb</i>	pɣ↓mi↓	–	–
	<i>axe</i>	bi↓mi↓	–	–
	<i>heart</i>	nɣ↓mi↓	–	–
	niece	ze↓mi↓	–	–
	mule	ɖu↓mi#↓	ɖu↓zo#↓	ɖu↓p^hv#↓
LM or H	<i>bow</i>	zy↓mi↓	zy↓zo#↓	–
any tone except LH	fish	–	ni↓zo#↓	–
unclear	hwamei (bird)	tɕu↓mi↓	–	–
	<i>cigarette lighter</i>	tse↓mi↓	–	–

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Table 5.2a: Nouns with gender suffixes or augmentative/ diminutive suffixes.
Three-syllable words derived from M-tone disyllables.

correspon- dences	root	suffixed forms		
		//-mi↓// ‘female’	//-zo↓// ‘child’	//-p ^h v↓// ‘male’
first type	rabbit	t ^h o↓li↓-mi↓	t ^h o↓li↓-zo#↓	t ^h o↓li↓-p ^h v#↓
	serpent	zɣ↓bæ↓-mi↓	zɣ↓bæ↓-zo#↓	zɣ↓bæ↓-p ^h v#↓
	lion	si↓gu↓-mi↓	si↓gu↓-zo#↓	si↓gu↓-p ^h v#↓
	earth- worm	dzu↓dɣ↓-mi↓	dzu↓dɣ↓-zo#↓	dzu↓dɣ↓-p ^h v#↓
second type	demon	si↓bɣ↓-mi#↓	si↓bɣ↓-zo#↓	–
	ghost	ts ^h o↓q ^h wɣ↓-mi#↓	ts ^h o↓q ^h wɣ↓-zo#↓	–
	Bai (people)	ʔi↓bɣ↓-mi#↓	ʔi↓bɣ↓-zo#↓	–

Table 5.2b: Nouns with gender suffixes or augmentative/ diminutive suffixes.
Three-syllable words without a corresponding disyllable.

root tone	root	suffixed forms		
		//-mi↓// ‘female’	//-zo↓// ‘child’	//-p ^h v↓// ‘male’
L	bird	ɥ↓dze↓-mi↓	ɥ↓dze↓-zo↓	ɥ↓dze↓-p ^h v↓
L#	bat	dze↓bɣ↓-mi↓	dze↓bɣ↓-zo↓	dze↓bɣ↓-p ^h v↓
	owl	mo↓jo↓-mi↓	mo↓jo↓mi↓-zo↓	mo↓jo↓mi↓-p ^h v↓
LM+MH#	wolf	õ↓dɣ↓-mi↓	õ↓dɣ↓-zo#↓	õ↓dɣ↓-p ^h v#↓
H#	camel	nɣ↓mɣ↓-mi↓	nɣ↓mɣ↓mi↓-zo↓	nɣ↓mɣ↓mi↓-p ^h v↓
unclear	cicada	dzu↓dze↓mi#↓	–	–
	vulture	se↓lɣwɣ↓-mi↓	–	–

‘male camel’ come out as /**njɣ**-**ɪmɥ** **ɪmi**↓-**zo**↓/ and /**njɣ**-**ɪmɥ** **ɪmi**↓-**p^hɥ**↓/, respectively. But these two forms, while readily understandable, are considered awkward by the main consultant. Does this mean that the /-**mi**↓/ component in /**njɣ**-**ɪmɥ** **ɪmi**↓/ ‘camel’ is still perceived as carrying its *female* meaning? Not necessarily: the slight weirdness of /**njɣ**-**ɪmɥ** **ɪmi**↓-**zo**↓/ ‘camel calf’ and /**njɣ**-**ɪmɥ** **ɪmi**↓-**p^hɥ**↓/ ‘male camel’ seems due to the /...**mi**.**zo**/ and /...**mi**.**p^hɥ**/ sequences, where the suffix re-activates, as it were, the gender denotation of /-**mi**↓/ in ‘camel’.

The study of combinations for which two tone patterns are acceptable, e.g. /**hwɣ**-**ɪzo**↓#/ and /**hwɣ**-**ɪzo**↓\$/ for ‘male kitten’, reveals that tonal variants are item-specific (lexicalized). Out of the set of nine words suffixed in /-**zo**↓/ or /-**p^hɥ**↓/ corresponding with a MH-tone root, only four allow both variants, #H and H\$. Among the nine words, four refer to names of objects: ‘basket’, ‘needle’, ‘scales’, and ‘bowl’. Interestingly, none of these four allows a tonal variant: they all fall into one category or the other (#H for ‘needle’, and H\$ for the other three). By contrast, four of the five animal names allow both variants. The difference between the gender suffixes and size suffixes in this respect is not clear-cut: some nouns referring to objects allow two variants. For instance, ‘ladle’ conforms to the exceptionless existence of two tonal variants for LM-tone roots: /**tɕ^ho**↓**ɪmi**↓#/ ~ /**tɕ^ho**↓**ɪmi**↓/, and /**tɕ^ho**↓**ɪzo**↓#/ ~ /**tɕ^ho**↓**ɪzo**↓/. Still, there appears to be a statistical tendency for animal names to preserve a tonal flexibility reflecting the stronger perception of their internal structure by the speakers.

In some cases, it is possible to identify factors that have played a role in contributing to the current tonal output: ‘little bottle’, /**ky**↓**ɪzo**↓/, does not have the expected #H-tone variant /†**ky**↓**ɪzo**↓#/; to the consultant, the latter form immediately summoned up the given name /**ky**↓**ɪzo**↓#. Supposing that ‘little bottle’ once had two tonal variants, L and #H, the pressure to avoid homophony may have played a role in the selection of the variant /**ky**↓**ɪzo**↓/ to the exclusion of the other.

The discussion below is arranged by tone of the root noun, following the order of presentation of data in Tables 5.1a–g and 5.2a–b. For each tone category of roots, forms suffixed with //**-mi**↓// are discussed first, then forms with //**-zo**↓// and //**-p^hɥ**↓//.

5.1.3.1 LM-tone roots

Table 5.1 brings out no less than four tone correspondences between monosyllables with LM tone and their suffixed forms: LM, as in /**bo**↓**ɪmi**↓/ ‘sow’ and /**æ**↓**ɪmi**↓/ ‘hen’; M, as in /**by**↓**ɪmi**↓/ ‘female yak’, /**dʒwæ**↓**ɪmi**↓/ ‘female sparrow’ and /**ky**↓**ɪmi**↓/ ‘female falcon’; LM+#H, in /**dy**↓**ɪmi**↓#/ ‘female weasel’, /**a**↓**ɪmi**↓#/ ‘goose’, /**dzo**↓**ɪmi**↓#/ ‘female lizard’, and /**na**↓**ɪmi**↓#/ ‘Na woman’; and finally L, in /**p^hɣ**↓**ɪmi**↓/

‘female jackal’.

Three LM-tone nouns outside the semantic sphere of animal names can carry the /-mi/ suffix, with three of the above four tone patterns. One has M tone: /bylmi/ ‘big food steamer’ (from /by/ ‘food steamer’). Another has LM+#H tone: /tɕ^hoɿmi#/ ‘big ladle’ (from /tɕ^hoɿ/ ‘ladle’). The third has L tone: /zɿɿmi/ ‘road, path’, which does not specifically mean ‘large road’ anymore: the monosyllable /zɿ/, likewise meaning ‘road, path’, is falling into disuse.

Given the diversity of these patterns, it is hard to establish the relative chronology of the tone rules that produced the four types (LM, M, LM+#H, and L). A few hints may be detected nonetheless. While LM-tone monosyllables correspond to no less than four tone categories of suffixed forms, LM-tone suffixed forms only correspond to LM-tone monosyllables. In other words, LM-tone monosyllables are the only source of LM-tone disyllables. On this basis, the two words /dzeɿmi/ ‘bee’ and /loɿmi/ ‘thumb’, for which there is no corresponding monosyllable in the present state of the language, can plausibly be interpreted as originating in nouns of the LM category: *dzeɿ and *loɿ. Judging from these two reconstructed examples, and from the two examples ‘sow’ and ‘hen’, all of which belong to basic vocabulary, the LM::LM correspondence between monosyllable and disyllable can be hypothesized to reflect an older pattern.

The tone patterns for the //zo/ and //p^hv/ suffixes are fully consistent with those for the suffix //mi/: in the second type of correspondences in Table 5.1, forms suffixed with //zo/ or //p^hv/ always have #H (with L as a variant) when the form suffixed with //mi/ has M; in the third type, words with any of these three suffixes have LM+#H, with LM as a variant.

On the other hand, these patterns differ widely from those observed in other syntactic structures, such as compound nouns and combinations between nouns and verbs or adjectives. Thus, different syntactic structures are reflected in different tone rules – with the added complexity of numerous lexicalized oddities.

5.1.3.2 LH-tone roots

Monosyllables with LH tone correspond with disyllables carrying LM+#H. But some items also have a L-tone variant, namely /ziɿmi#/ and /ziɿmi/ for ‘female monkey’, and /tɕ^huɿmi#/ and /tɕ^huɿmi/ for ‘female muntjac’. However, /zæɿmi#/ ‘female panther’ does not allow this variant: the form ‡zæɿmi is not acceptable. Outside the field of animal names, /t^hiɿmi#/ ‘large plane’ (from /t^hi/ ‘plane [carpentry tool]’) cannot be realized as ‡t^hiɿmi; conversely, the word for ‘large slope’ (from /to/ ‘slope’) is /toɿmi/, and cannot be pronounced ‡toɿmi#.

No certainty can be reached at present on whether two different tone rules ap-

plied at different times – in which case the existence of two variants would be a development due to analogy or dialect contact – or the two variants used to coexist for all items and were lost by individual lexical items. The fact that variants are present for the majority of items within the same correspondence class suggests the latter hypothesis.

Two other patterns are illustrated by only one example each. These words may be relatively old: M tone in /**di**-**mi**-/ ‘plain’ (compare ‘earth, land’, /**di**/), and LH tone in /**ljɣ**-**mi**-/ ‘main beam’.

5.1.3.3 M-tone roots

Monosyllables with M tone yield disyllables with #H tone: /**la**-**mi**-/ ‘female tiger’ and /**se**-**mi**-/ ‘female goral’. This pattern is also found, outside the semantic field of animal names, in /**q^hwæ**-**mi**-/ ‘message; letter’. That this rule is currently productive was verified by adding the augmentative suffix to the M-tone noun /**qwæ**-/ ‘bed mat’, yielding /**qwæ**-**mi**-/ ‘large bed mat’.

The situation is more complex for disyllables with //**mi**// added as a suffix. There are two attested patterns: °L, in /**si**-**gu**-**mi**-/ ‘lionness’, /**zy**-**bæ**-**mi**-/ ‘female serpent’ and /**t^ho**-**li**-**mi**-/ ‘hare’; and #H, in /**fi**-**by**-**mi**-/ ‘woman of the Bai ethnic group’. The latter correspondence coincides with that found for monosyllables. To determine which of the two is currently productive, the suffix was added to a word to which it is not normally attached: ‘earthworm’, /**dzu**-**dy**-/, as the earthworm is a hermaphrodite. For elicitation, the imagined context was the following: a child wonders whether there are such things as male and female earthworms, and asks, ‘Are there such things as female earthworms?/Do female earthworms exist?’ The speaker had no hesitation in formulating the question as: /**dzu**-**dy**-**mi**- | **ə**-**dzo**-/?, with °L tone on the suffixed form /**dzu**-**dy**-**mi**-/ ‘female earthworm’.

5.1.3.4 L-tone roots

Words derived from L-tone monosyllables yield M-tone disyllables: /**jo**-**mi**-/ ‘ewe’, /**fi**-**mi**-/ ‘female roebuck’, and /**my**-**mi**-/ ‘woman’. The form /**my**-**mi**-/ for ‘woman’ is attested in a proverb discussed in the narrative “The Sister’s Wedding” (Sister. 130, 131, 139, 158, 171 and Sister3.3, 113, 117). This form is no longer understood by younger speakers, such as M23. It is therefore likely that the correspondence between L-tone roots and M-tone disyllabic forms reflects a tone rule that has a relatively great time depth. The same pattern is observed in /**ky**-**mi**-/ ‘large bottle’ and /**dzu**-**mi**-/ ‘large river’.

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The tone patterns for the */-zoŋ/* and */-p^hɣ/* suffixes are identical for roots with tones L and LM.

5.1.3.5 H-tone roots

For words derived from H-tone monosyllables, there are three patterns: #H tone, in */ho-tmi#ŋ/* ‘female pheasant’ and */bɣ-tmi#ŋ/* ‘Pumi woman’; L tone, in */zwæŋ-tmi/* ‘mare’, */ji-tmi/* ‘cow’ and */k^hɣ-tmi/* ‘dog’ (about which more below); and M tone, in three highly lexicalized words: */k^hi-tmi-t/* ‘main door’, */q^hæ-tmi-t/* ‘canal; large ditch’, and */t̥-tmi-t/* ‘tree trunk’ (etymologically ‘large bone’).

The first pattern makes good synchronic sense: the tones of the monosyllable and disyllable correspond neatly with each other. The semantic relationship is also clear: the monosyllabic term (‘pheasant’, ‘Pumi’) does not refer to gender, and the suffixed term does (‘female pheasant’, ‘Pumi woman’). The second and third patterns are not phonologically transparent. Concordantly, the semantic relationship is less clear in some cases. The words for ‘mare’, ‘cow’ and ‘dog’ illustrate three stages in the gradual evolution of the suffix’s meaning. The monosyllable for ‘horse’, */zwæŋ/*, is in common use, and the word for ‘mare’, */zwæŋ-tmi/*, simply specifies gender. On the other hand, the monosyllable */ji/* for ‘cow’ is not in frequent use; there are more than ten different disyllables pronounced */ji/*, six of them with H tone, and the */-tmi/* suffix serves the purpose of disambiguation. In this role, while the suffix retains its female meaning, it can be said to function as an animal suffix just as much as a female suffix. The third example, */k^hɣ-tmi/*, ‘dog’, is already further down this evolutionary path: it refers to dogs both male and female, and the monosyllable */k^hɣ/* for ‘dog’ is seldom used (but firmly attested, witness Dog.1, 3, 45 and Dog2.68, 74-77, 79). These observations suggest that #H may be the tone of more recently derived words, and L a tone that used to obtain at an earlier stage, and that remains lexically preserved in some old words.

This conjecture is confirmed by examples from outside the semantic field of animal names: */sa-tmi/* ‘Cannabis indica’ (the psychotropic plant), which corresponds to monosyllabic */saŋ/* ‘Cannabis sativa’ (used to produce thread), has L tone. The semantic content of the suffix is ‘large’, referring to the size of the leaves (as an aside: in Mandarin too, ‘cannabis’ 大麻 *dàmá* is derived from ‘hemp’ 麻 *má* by addition of the augmentative 大 *dà* ‘large’). Again, this suggests that L was the tone that used to obtain at an earlier stage. By contrast, ‘large pot’, */ɣ-tmi#ŋ/*, a less clearly lexicalized and probably more recent disyllable, carries #H tone, as does */su-t̥-tmi#ŋ/* ‘backbone, spine’ (compare */su-t̥-t̥#ŋ/* ‘tree trunk’).

5.1 Derivational affixes: gender suffixes and kinship prefix

The tone patterns for the */-zoŋ/* and */-p^hɣŋ/* suffixes are identical for roots with tones H and M.

5.1.3.6 MH-tone roots

MH-tone monosyllables all correspond to disyllables with H\$ tone. The following examples were observed: */hwɣ-miŋ/* ‘she-cat’; */tʂ^hæ-miŋ/* ‘hind’; */ts^hu-miŋ/* ‘nanny goat’; */ɣɣ-miŋ/* ‘female crane’; */tɕu-miŋ/* ‘wasp’; and, from outside the semantic field of animal names, */k^hɣ-miŋ/* ‘large basket’, */ɣo-miŋ/* ‘big needle’, */tɕu-miŋ/* ‘large basket’, and */hu-miŋ/* ‘stomach, bowels’ (the latter now more common than monosyllabic */hu/*, and with no strong connotation of ‘big’).

Words with the */-zoŋ/* and */-p^hɣŋ/* suffixes can carry either #H, a pattern which is widely attested with these suffixes, or H\$, the same tone found in */-miŋ/*-suffixed items.

5.1.3.7 Some observations about other lexical tones

As predicted by Rule 5 (“All syllables following a H.L or M.L sequence receive L tone”: see §7.1.1), L# tone spreads over the suffix, yielding M.L.L: */dze-ɣɣ-miŋ/* ‘female bat’, */dze-ɣɣ-zoŋ/* ‘little bat, pup’, and */dze-ɣɣ-p^hɣŋ/* ‘male bat’. On this basis, a disyllabic **mo-ŋjoŋ* can confidently be extracted from */mo-ŋjoŋ-miŋ/* ‘owl’. (Remember that the asterisk indicates a reconstruction, not an ungrammatical form.)

The tone patterns of ‘cicada’, ‘vulture’, ‘hwamei (bird)’ and ‘(cigarette) lighter’ have no equivalent elsewhere, so that it is not possible to extract the tones of their roots.

5.1.3.8 Concluding observations

The patterns in Tables 5.1a–g and 5.2a–b are summarized in Tables 5.3a–b. It must be emphasized that the classification into currently productive patterns and older patterns for the *//-miŋ//* suffix is speculative. As elsewhere, a slash (/) separates variants.

Roots with the same lexical tones correspond to diverse tones on suffixed forms, with as many as four types of correspondences for LM tone. The total number of subsets in Tables 5.1a–g and 5.2a–b, excluding disyllabic roots, is 14. Since */-miŋ/* on the one hand, and */-zoŋ/* and */-p^hɣŋ/* on the other, fall into different tone categories, there are 28 potentially distinct tonal types of suffixed nouns. Given that many types have variants, the number of different tones on suffixed

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Table 5.3a: Tonal correspondences between monosyllabic base forms and disyllables containing the suffixes /-miɿ/, /-zoɿ/ and /-p^hɿɿ/, with tentative indications on whether the tone pattern is currently productive.

		/-miɿ/		/-zoɿ/, /-p ^h ɿɿ/	
		older?	productive?	no distinctions in productiveness	
LM	LM; L		M; LM+#H / LM	LM+#H / LM; #H / L	
LH	M; LH; L		LM+#H / L	LM+#H / L	
M			#H	#H	
L			M	#H / L	
H	L; M		#H	#H	
MH			H\$	#H / H\$	

Table 5.3b: Tonal correspondences between disyllabic base forms and trisyllabic nouns containing the suffixes /-miɿ/, /-zoɿ/ and /-p^hɿɿ/, with tentative indications on whether the tone pattern is currently productive.

		/-miɿ/		/-zoɿ/, /-p ^h ɿɿ/	
		older?	productive?	no distinctions in productiveness	
M	#H		°L (L#)	#H	
H			#H		
L			L	L	
L#			L#°	L#°	
LM+MH#			LM+H#	LM+#H	
H#			H#°	-	

nouns could be considerable. Yet the set of tones observed on suffixed nouns is limited to six: {M, #H, H\$, L, LM, LM+#H}, apart from two outliers: tones that are only found in one example each. Thus some tone categories contain large numbers of words produced through suffixation or compounding, whether others are not fed by any currently productive combination processes. Such facts contribute to giving different lexical tone categories their own specific morphological flavours.

There are only five tone patterns for forms suffixed in //zoʌ// and //pʰvʌ//: #H, H\$, L, LM, LM+#H. One additional pattern is attested for //miʌ//, namely M tone. The relatively greater simplicity of tone patterns for //zoʌ// and //pʰvʌ// may be linked to their more restricted distribution in the lexicon: words with the ‘male’ and ‘child’ suffix, being fewer in number, may have undergone more simplification of tone patterns by the analogical extension of productive patterns.

5.1.4 Other suffixes for ‘male’

In addition to the currently productive suffix //pʰvʌ// for ‘male’, there also exist other, non-productive suffixes. These are mentioned for the sake of completeness, although the small number of examples greatly limits possibilities for analysis of their tone patterns.

5.1.4.1 The suffix /-ʂwæʌ/

The free form /ʂwæʌ/ currently has the meaning ‘castrated/neutered male’: /tʂʰuʌ | ʂwæʌ ɲiʌ/ (demonstrative+/ʂwæʌ-/+copula) means ‘This is a castrated male’. This morpheme may have had the meaning ‘male’ at an earlier stage, however, witness the noun /æʌʂwæʌʌ/, meaning ‘rooster, cock’ (compare /æʌ/ ‘chicken’). This noun has no competitor with the suffix /-pʰvʌ/ (it is not possible to say †æʌpʰvʌʌ). Roselle Dobbs (p.c. 2016) reports that in Lataddi (喇塔地 ‘grandfather’ and ‘rooster’ sound comically similar; it is not unlikely that an earlier form †æʌpʰvʌʌ ‘rooster’ fell into disuse in the Alawa dialect (studied here) because of phonetic closeness with ‘grandfather’.

The suffix /-ʂwæʌ/ also appears in three other items in which it carries the meaning ‘castrated male’: see Table 5.4. Interestingly, the tone pattern is different for ‘cock’ and ‘castrated yak’, two words whose root has the same tone (LM) but in which the suffix takes different meanings: ‘male’ in one case, ‘castrated male’ in the other. It is a safe guess that /æʌʂwæʌʌ/ ‘cock’ has greater time depth.

Concerning the tone of the suffix, leaving aside /æʌʂwæʌʌ/ ‘cock’, whose tone pattern makes no synchronic sense, two of the words in which it appears (‘cas-

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trated yak’ and ‘castrated male goat’) carry tone patterns that are among possible variants for the suffixes *//-zoʌ//* ‘baby, male’ and *//-pʰɿʌ//* ‘male’, tentatively analyzed as carrying H tone; but the third word, **tsʰu-ʃswæʌ** ‘wether, castrated male goat’, does not have the same tone pattern as words containing the ‘baby, male’ and ‘male’ suffixes: */tsʰu-ʌzoʌ#ʌ/* ~ */tsʰu-ʌzoʌʃ/* and */tsʰu-ʌpʰv#ʌ/* ~ */tsʰu-ʌpʰɿʌʃ/* (see Table 5.1f). This constitutes evidence that the suffix *-ʃswæʌ/* does not carry the same tone as the *//-zoʌ//* and *//-pʰɿʌ//* suffixes. It is provisionally labelled here as carrying M tone, and transcribed as *//-ʃswæʌ//*, but it must be emphasized that this is mostly a way of distinguishing its tone from that of the ‘baby, male’ and ‘male’ suffixes, provisionally transcribed as *//-zoʌ//* and *//-pʰɿʌ//*, respectively.

Table 5.4: Names of animals with the suffix */ʃswæʌ/*.

tone of root	meaning of root	suffixed form	meaning
LM	chicken	æ-ʃswæʌ	rooster (not castrated)
LM	yak	bɿ-ʃswæʌ	castrated yak
L	sheep	jo-ʃswæʌ	wether, castrated male sheep
MH	goat	tsʰu-ʃswæʌ	wether, castrated male goat

5.1.4.2 The suffix *-ɿ/*

Lidz (2010: 179) proposes that the suffix in **ze³¹-wu³³** ‘nephew’ (F4: */ze-ʌɿʌ/*) and **zu³¹-wu³³** ‘grandson’ (F4: */zɿ-ʌv#ʌ/*) comes from the root for ‘uncle/senior male relative’, which appears in */ə-ʌɿʌ/* ‘maternal uncle’, and that this root also constitutes the origin of the classifier for individuals (F4: */ɿʌ/*).

5.1.4.3 The suffix *-ʌoʌ/*

The word for ‘castrated horse’ is **zʌwæ-ʌoʌ**. Horses have been the object of great care and interest in this part of the Himalayas for at least two millenia (Wāng 1980), so it is no wonder that words belonging to this semantic field are numerous, some of them probably very old. This isolated example is clearly insufficient for linguistic analysis.

5.1.5 The kinship prefix /ə-/-

Another non-productive but readily identifiable affix is the kinship prefix /ə-/. It is common to various languages of the area, such as Qiang (Evans & Huang 2007: 158–159), Yi, and Mandarin. Table 5.5 presents the examples that were observed in Yongning Na, where this prefix is “the only common noun prefix” (Lidz 2010: 167).

Table 5.5: Kinship terms with the prefix /ə-/-.

kinship term	tone	meaning
ə- ma -	M	mother (term of address)
ə- mi -	M	mother; aunt
ə- p^hv -	M	grandmother’s elder brother
ə- si -	M	great-grandmother; ancestor
ə- ɕo -	M	boyfriend/girlfriend, lover
ə- zi ˦	MH#	grandmother mother’s mother
ə- v ˦	MH#	maternal uncle
ə- bo ˦\$	H\$	paternal uncle
ə- da ˦\$	H\$	father
ə- ɕjɣ ˦	L#	lover, boy/girl-friend
ə- jɣ ˦	L#	maternal aunt: mother’s elder sister
ə- te ˦	L#	maternal aunt: mother’s younger sister
ə- m v˦	L#	elder sibling (brother or sister)
ə- zu ˦ / ə- zu ˦	L# / L	dual: us two
ə- su ˦kɣ˦ / ə- su ˦kɣ˦	°L / LMH	1 st person plural, inclusive

Monosyllabic forms do not exist, and no convincing method to extract the tone of the root could be found. It is tempting to hypothesize that the prefix does not make a tonal contribution, and that the tone of the disyllable reflects that of the root: disyllables with M, MH# and H\$ tone would originate in roots with M, MH, and H tone, respectively; and disyllables with L# tone would originate in roots with L, LM or LH tone. But this reasoning is highly speculative, and no evidence could be found to explore this issue further. The root /**mi**/ in /ə-**mi**-/ ‘mother’ is no doubt linked with the free form /**mi**/ ‘female’, and the root /**p^hv**/ in /ə-**p^hv**-/ ‘grandmother’s elder brother’ with the free form /**p^hv**/ ‘male’, but unlike these two free forms, the kinship terms /ə-**mi**-/ ‘mother’ and /ə-**p^hv**-/ ‘grandmother’s elder brother’ have the same tone, so it would be problematic to extract the tones

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of the roots from those of the suffixed kinship terms.

From a static-synchronic point of view, it is also difficult to reach hard-and-fast conclusions, due to the limited amount of data: one prefix and four suffixes. With this qualification, one may observe that disyllables with M, H\$ or L tone can be the result of suffixation as well as prefixation; that disyllables with #H, H#, LM, LH, or LM+#H tone can result from suffixation but not from prefixation; and that disyllables with MH# or L# tone can result from prefixation but not from suffixation. The issue of possible origins for the various tone categories of disyllables (by suffixation, prefixation, and compounding) is taken up in §9.2.2.

Concerning the tone of the kinship prefix, it seems reasonable to analyze it as M, since the prefix always appears with M tone (except for two variants shown in the last two lines of Table 5.5). This is not really different from an analysis under which this prefix is toneless, since M behaves in some respects as a default tone, as mentioned in §2.4.3.

Kinship terms in the Luoshui dialect (Lidz 2010: 167) are similar to those in Alawa (the dialect studied here). For instance, Luoshui /a³³zu³³/ for ‘grandmother’ is cognate with Alawa /ə¹zi¹/. Only three terms from L. Lidz’s list are not attested in Alawa. One of these is /a³³pɔ³¹/, for ‘uncle: father’s elder or younger brother’. This could be a borrowing from Mandarin *ābó* 阿伯 ‘brother-in-law; father’s older brother’.² Borrowing is facilitated by the similar structure in both languages, with a similar prefix (in Mandarin: *ā* 阿). A different term is in use in Yongning: /ə¹bo¹ʃ/, whose voiced initial suggests that it is not a recent borrowing from Mandarin, which does not retain voiced stops. Ethnological data sheds light on the fact that the terms for uncles on the father’s side do not correspond neatly across dialects: the social relationship with one’s father (and his household) was traditionally loose; accordingly, kinship terms on the father’s side were not as specific as on the mother’s side.

The peculiar structure of Na families invites linguistic speculation as to the origin and evolution of the terms currently used for relatives on the father’s side. Fu Maoji (1980: 23; 1983: 38–39) hypothesizes that /ə¹bo¹ʃ/ ‘uncle on the father’s side’ used to refer to male relatives of the father’s generation, on the father’s side, i.e. the father and his brothers, and that the introduction of the term /ə¹da¹ʃ/ ‘father’ led to the specialization of /ə¹bo¹ʃ/ to refer to uncles on the father’s side. This would imply that people had a term to refer to their paternal uncles (pooled together with their father under the term /ə¹bo¹ʃ/) before they had a term for ‘father’. This may seem paradoxical: since children did not live in the same household as their paternal uncles, their link to these uncles was through the father,

² In *Pinyin* romanization, *b* stands for a voiceless bilabial stop: /p/, not /b/.

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and the existence of the notion of ‘father’ would appear as a logical prerequisite for conceptualizing the broader concept of *male relatives on the father’s side, belonging to the father’s generation*. Fu Maoji’s reasoning nonetheless makes good sense in a conceptual universe that is not based on nuclear families but on clans and extended families. If an individual is primarily identified in terms of belonging to a household, and to a generation inside the family, it would seem possible that fathers are not differentiated from their brothers in kinship terminology. Moreover, the lack of a terminological distinction between the father and his brothers does not seem excessively surprising in view of the fact that ties with the father’s family were loose and distant economically and socially.³

Synchronically, extension of terms used within the traditional household (i.e. on the mother’s side) to the family of the father is occasionally observed, for instance publicly addressing one’s father as /ə-ɬɿ/ ‘uncle on the mother’s side’ (field notes, consultant F4). The stylistic effect is to convey closeness – through inclusion in the household – and honour, as maternal uncles are characters to whom highest respect is due. In view of the plasticity of terms of address, one could venture an alternative hypothesis about the origin of the term for ‘uncle on the father’s side’: that the words reflected in present-day Yongning Na /ə-ɬɿ/ ‘uncle on the mother’s side’ and /ə-ɬɿ/ ‘uncle on the father’s side’ used to refer to the mother’s older and younger brothers, respectively. This hypothesis would entail that the word /ə-ɬɿ/, corresponding to a socially less important and prestigious role than /ə-ɬɿ/, was later applied to uncles on the father’s side, while /ə-ɬɿ/ was extended to all of the mother’s brothers irrespective of age – preserving the hierarchy between /ə-ɬɿ/ as the more important social figure and /ə-ɬɿ/ as the less important social figure, while transforming the age hierarchy into one between the mother’s side and the father’s side. There are two different terms for aunts: ‘mother’s elder sister’, /ə-ɬɿ/, and ‘mother’s younger sister’, /ə-ɬɿ/, so there is some plausibility in hypothesizing the existence of two different terms for uncles in an earlier state of the language. This hypothesis remains highly speculative, however.

The second term that is not found in the present research data (Alawa dialect) is /a³³mə¹³/ as another term for ‘grandmother’. The third is /a³³la³¹/, referring to great-great-grandparents; in Yongning, the term /ə-ɬɿ/ is used for all ancestors of the great-grandmother’s generation and above.

³ This reflection was proposed by Christine Mathieu (p.c. 2016). She quotes Lamu Gatusa 拉木·嘎吐萨 as reporting a word in the dialect of Labai 拉柏 that is cognate with /ə-ɬɿ/ and refers precisely to this concept: male relatives on the father’s side, belonging to the father’s generation, i.e. the father and his brothers. Further distinctions can be made by adding the adjective ‘small’ to refer to the father’s younger brothers, and ‘big’ to refer to his elder brothers.

5.2 Reduplication

Reduplication only involves one input lexical word; it thus appears promising for the study of the combinatorial properties of nouns. But reduplication involving nouns is nowhere as frequent as that involving verbs (described in 6.2). The only well-attested case is the reduplication of numeral-plus-classifier phrases.

5.2.1 Reduplication of numeral-plus-classifier phrases

Reduplication of a phrase consisting of the numeral ‘one’ (analyzed as carrying tone MH: //**ɖw**˧//) plus a classifier indicates iteration. The entire reduplicated phrase is integrated into a single tone group (about this crucial unit of Na morphotonology, see Chapter 7). The tone pattern of the first part of the phrase conditions that of the second, by application of the phonological rules set out in §7.1.1:

- after a H-tone classifier, the second half of the phrase receives L tone by application of Rules 4 and 5, e.g. //**ɖw**˧-**ni**˧\$// > /**ɖw**˧-**ni**˧~**ɖw**˧-**ni**˧/ ‘day after day’ (Reward.155, BuriedAlive2.85, Caravans.259)
- after a M-tone classifier, the second part is unaffected, as in //**ɖw**˧-**ɬwɣ**˧// > /**ɖw**˧-**ɬwɣ**˧~**ɖw**˧-**ɬwɣ**˧/ ‘one heap after another’ (Housebuilding.51)
- after L, the second part is lowered to L by application of Rule 5, e.g. //**ɖw**˧-**zi**˧// > /**ɖw**˧-**zi**˧~**ɖw**˧-**zi**˧/ ‘one family after the other’ (Healing.94, Caravans.237)
- after MH, the H part of the contour lands onto the first syllable of the second half: //**ɖw**˧-**ky**˧// > /**ɖw**˧-**ky**˧~**ɖw**˧-**ky**˧/ ‘one tree after the other’ (Housebuilding.28).

5.2.2 Addition of the reduplicated suffix /-**so**˧~**so**˧/ to nouns, conveying abundance

Nouns and adjectives can be followed by reduplicated suffixes. The case of adjectives is presented in 6.7.1. Addition of the reduplicated suffix /-**so**˧~**so**˧/ to nouns conveys abundance: e.g. /**mɣ**˧-**so**˧~**so**˧/ ‘smeared with grease, covered with grease’ (of a person’s mouth; Lake3.15), /**se**˧-**so**˧~**so**˧/ ‘with lots of meat, rich in meat’ (of dishes given to the dog on New Year’s Eve; Dog.35), or /**si**˧-**so**˧~**so**˧/ ‘packed with wood’ (of the traditional Na house, made of wood; Housebuilding.

281). This reduplicated suffix can be added to a wide range of nouns, including count nouns, such as persons: a household with numerous young men may be described as /p^hæ|tɕi|~sɔ|/, ‘teeming with youngsters’. The wide range of semantic application of the suffix allows for the elicitation of an entire set, shown in Table 5.6. As elsewhere, the ‘+’ sign in the transcription of surface tone patterns indicates the tone of the copula when placed after the expression – a test to ascertain the type of syllabic anchoring of a final H tone.

Table 5.6: The tonal behaviour of the reduplicated suffix /-sɔ|~sɔ|/ depending on the tone of the preceding noun.

example	tone	example	surface pattern	analysis
dust	LM	dʒæ ~sɔ	L.M.L	LM+L#
pimple	LH	ji ~sɔ	L.H.L (=L.M.L)	LH ^o
star	M	kuw ~sɔ	M.M.H+L	H#
grease	L	mɻ ~sɔ	L.L.H+L	L+H#
meat	H	ʒe ~sɔ	M.M.H+L	H#
mushroom	MH	mo ~sɔ	M.M.H+L	H#

dew	M	dzy q ^h a ~sɔ	M.M.M.L	L#
fly	#H	bɻ tɕi ~sɔ	M.M.M.H+L	H#
paste	MH#	ho dzɻ ~sɔ	M.M.M.H+L	H#
mud	H\$	dzæ q ^h æ ~sɔ	M.M.M.H+L	H#
egg	L	æ ɻɻ ~sɔ	L.L.L.H+L	L+H#
cake/bread	L#	dze dɻ ~sɔ	M.L.L.L	L# ^o
bean chaff	LM+MH#	nɻ tsa ~sɔ	L.M.M.H+L	LM+H#
potato	LM+#H	jɻ jo ~sɔ	L.M.M.H+L	LM+H#
sow	LM	nɻ [u ~sɔ	L.M.M.L	LM+L#
button	LH	pɻ [u ~sɔ	L.H.L.L	LH ^o
youngster	H#	p ^h æ tɕi ~sɔ	M.H.L.L	H# ^o

The tone of the suffix /sɔ|~sɔ|/ can be hypothesized to be L# (hence the notation //sɔ|~sɔ|// adopted here) on the basis of its behaviour after M-tone disyllables and after LM-tone disyllables. In detail, the tone patterns are not straightforward; they differ from those of disyllabic postpositions, discussed in 5.5.

5.3 Possessive constructions containing pronouns

Possessive constructions were discussed in Chapter 2, where the behaviour of nouns in association with the possessive /-bɥ-/ served as one of the tests for determining lexical tone categories. Possessive constructions containing pronouns do not have quite the same tonal patterns, however. This is one of many aspects in which pronouns are special.

5.3.1 The 1st, 2nd and 3rd person pronouns

A pronoun's tonal category is determined by matching up its tone in isolation and its tone when a copula is added (a test which is also useful for nouns, as was explained in §2.2). On the basis of the tones of /njɣɿ ɲi/ 'it's me', /noɿ ɲi/ 'it's you' and /tʂʰuɿ ɲi/ 'it's her/him', the 1SG, 2SG and 3SG pronouns are analyzed as //njɣɿ//, //noɿ// and //tʂʰuɿ//, respectively.

To build a possessive construction containing a pronoun, the possessive /-bɥ-/ is generally used, as in (1). The forms are /njɣɿ-bɥɿ/, /noɿ-bɥɿ/ and /tʂʰuɿ-bɥɿ/ for the 1st, 2nd and 3rd persons respectively.

- (1) əɿji+-ɕwɿji | njɣɿ-bɥɿ | zwæ+-tʂʰuɿ, | ŋwɣɿ-kɥɿ tʂæ | poɿ huɿ ɲi!
 əɿji+-ɕwɿji njɣɿ -bɥɿ zwæɿ -tʂʰuɿ ŋwɣɿ kɥɿ tʂæɿ poɿ
 in_the_past 1SG POSS horse TOP 5 CLF to_rob to_take_away
 huɿ_c ɲiɿ
 to_go.PST CERTITUDE

'Once, long ago, five of my family's horses were stolen!' *Literally*: 'Long ago, my horses (=my family's horses), five were stolen and taken away!' (Caravans.183)

This is unlike the pattern for nouns: L-tone nouns with the possessive yield L+M, and H-tone nouns yield M+H.

A further complication is that there are seemingly two variants for the 3rd-person pronoun: /tʂʰuɿ-bɥɿ/ and /tʂʰuɿ-bɥɿ/. These are not tonal variants, however: they have different meanings. The latter, /tʂʰuɿ-bɥɿ/, is a reduced form of /tʂʰuɿ=ɿ-bɥɿ/, where /=ɿ/ is the associative plural. Thus, /tʂʰuɿ-bɥɿ/ means 'their', whereas /tʂʰuɿ-bɥɿ/ simply means 'her/his'. Ellipsis of the associative plural /=ɿ/ is complete: there is no segmental trace of it, only a tonal difference on the possessive particle. This example provides an insight into how tonal morphology expands, through segmental simplifications – a type of evolution that is especially well-attested among Bantu languages. This topic will be taken

up in Chapter 9, where Yongning Na tones are studied in a dynamic-synchronic perspective.

In possessive constructions, a pronoun may also immediately precede the noun, as in (2).

- (2) njɣ¹ mɣ¹... | ə¹zɯ¹ | ʂɯ¹-bi¹, | ə¹mi¹ | t^hi¹-ʂɯ¹-k^hɯ¹!
 njɣ¹ mɣ¹ ə¹zɯ¹ ʂɯ¹ -bi¹ ə¹mi¹ t^hi¹- ʂɯ¹
 1SG daughter 1PL.INCL to_die IMM_FUT mother DUR to_die
 -k^hɯ¹
 CAUS

“My dear daughter... We are going to die [=we can’t avoid death, now that the tiger is at our door]; let Mum die [=let me sacrifice myself, so you can survive]!” (Tiger.16)

Combinations between pronouns and nouns as in (2) were systematically elicited. The results are identical for the 1SG and 2SG pronouns, //njɣ¹// and //no¹//. They are shown in Table 5.7. The corresponding recording is: PossessPro.

In all these phrases, the pronoun //njɣ¹// carries the same tone as in isolation: a M tone. (Neutralization of //L//, //M// and //H// to /M/ in isolation is the general rule for monosyllabic nouns and pronouns: see §2.2.1.) The patterns for monosyllabic nouns cannot be obtained through the application of a simple set of general rules. On the other hand, the patterns for disyllables are extremely simple. They consist of the succession of the pronoun, as said in isolation: /njɣ¹/, followed by the noun, which carries the same tone as when it appears on its own, except that some tone levels are deleted to comply with the requirements on a well-formed tone group. This affects the tone categories in which a L tone is attached to the first syllable of the noun: L, LM+MH#, LM+#H, LM, and LH. For these categories, the sequence found on the first two syllables (M tone on the pronoun, and L tone on the initial syllable of the disyllabic noun) is incompatible with any tone other than L on following syllables, following Rule 5 (“All syllables following a H.L or M.L sequence receive L tone”: see §7.1.1). For instance, †njɣ¹ bo¹mi¹ (obtained through simple concatenation) would not be a well-formed tone group; this is repaired to /njɣ¹ bo¹mi¹/, lowering the final M to L. The representation in Figure 5.1 assumes that the M part of the LM tone is associated, then deleted. One could also consider that this M does not associate at all. This is an area where psycholinguistic experiments would be necessary to approach more closely the processes taking place in speakers’ brains.

This construction offers an example of minimal tonal integration of two elements. It can be described as concatenation of the two parts of the expression,

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Table 5.7: The tones of possessive constructions consisting of a 1sg pronoun and a noun.

tone	head	meaning	example	tone pattern
LM	bo ↓	pig	njɣ ↓ bo ↓	L#
LH	mɣ ↓	daughter	njɣ ↓ mɣ ↓	L#
M	zu ↑	life, existence	njɣ ↑ zu #↑	#H
L	dzu ↓	water	njɣ ↑ dzu #↓	#H
#H	hi ↑	human being	njɣ ↑ hi #↑	#H
MH#	ts^hu ↑	goat	njɣ ↑ ts^hu ↑	MH#

M	po ↓ lo ↑	ram	njɣ ↑ po ↓ lo ↑	M
#H	zwæ ↓ zo #↑	colt	njɣ ↑ zwæ ↓ zo #↑	#H
MH#	hwɣ ↓ li ↑	cat	njɣ ↑ hwɣ ↓ li ↑	MH#
H\$	ky ↓ ʒe ↑\$	flea	njɣ ↑ ky ↓ ʒe ↑\$	H\$
L	k^hɣ ↓ mi ↓	dog	njɣ ↑ k^hɣ ↓ mi ↓	°L
L#	da ↓ ji ↓	mule	njɣ ↑ da ↓ ji ↓	L#
LM+MH#	ji ↓ ʒæ ↑	waist	njɣ ↑ ji ↓ ʒæ ↓	°L
LM+#H	bi ↓ ʒ^hɣ #↑	whiskers	njɣ ↑ bi ↓ ʒ^hɣ ↓	°L
LM	bo ↓ mi ↑	sow	njɣ ↑ bo ↓ mi ↓	°L
LH	bo ↓ ʔa ↑	boar	njɣ ↑ bo ↓ ʔa ↓	°L
H#	k^hɣ ↓ ina ↑	dog	njɣ ↑ k^hɣ ↓ ina ↓	H#

followed by adjustments required by the general tone rules that apply in the language, as listed in §7.1.1.

For disyllables, the tone patterns after the 3rd person pronoun, //tʂ^hu//, are identical with those after the 1st and 2nd persons. For monosyllables, on the other hand, the tone patterns are different. This asymmetry poses yet another challenge to the language learner, who must learn (i) to distinguish the tone patterns for these two tonal sets of pronouns when they associate with a monosyllabic noun, and (ii) to overlook the difference when the following noun is disyllabic. Table 5.8 shows the entire set.

Finally, there also exists a looser construction: a simple juxtaposition of the pronoun and the noun, each in its own tone groups, as in (3). This is not a possessive construction in the proper sense: rather, the pronoun serves as a topic.

- (3) njɣ↑ | ʔ↓[ʒ^he↑-dɯ↓ma↓]-[ʒ^hu↓-dzo↓], | no↑su↓ky↓ | t^hɣ↑-ni↑ | lo↓ji↑ hu↑ tsu↓

5.3 Possessive constructions containing pronouns

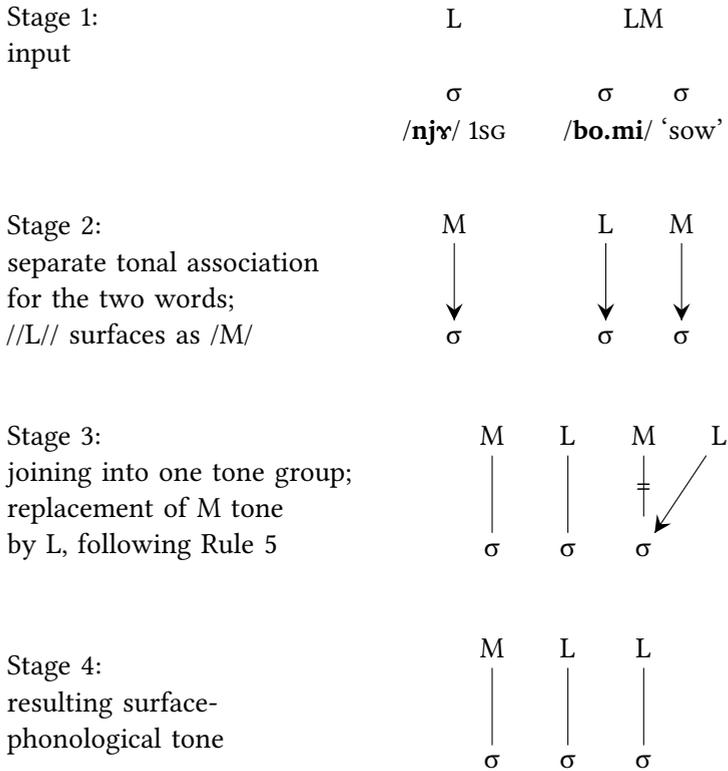


Figure 5.1: Tone-to-syllable association in /njɣ+ bo.mi/ ‘my sow’.

njɣ | ɰ|tʂ^he-ɰw|ma | -tʂ^hw | -dzo | no+su|kɣ | t^hɣ+ni | lo | ji |
 1SG given_name TOP TOP 2PL.EXCL that.day work to_do
 hu+_c tsu+
 go.PST REP

“As for my [daughter] ɰ|tʂ^he-ɰw|ma... that day, you (=the members of your family) had gone away to work” (BuriedAlive2.132)

The context to this example is as follows. A young woman is unhappy with a marriage arranged by her parents, and she commits a small offence, which takes on huge proportions. Her mother has now come over to the mother-in-law’s house to make things right by talking the matter over. The young woman’s mother first recapitulates the whole story, clarifying what has been done by the two parties: the members of the two families. In this situation, the first person pronoun in the construction /njɣ+ | ɰ|tʂ^he-ɰw|ma/ emphasizes the fact that

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Table 5.8: The tones of possessive constructions consisting of a 3sg pronoun and a noun.

tone	example	meaning	with 3sg	tone pattern
LM	bo↓	pig	tʂ ^h u↓ bo↓	L#
LH	my↓	daughter	tʂ ^h u↓ my↓	L#
M	zu↓	life, existence	tʂ ^h u↓ zu#↑	#H
L	dzu↓	water	tʂ ^h u↓ dzu↓	M
#H	hi↑	human being	tʂ ^h u↓ hi#↑	#H
MH#	ts ^h u↓	goat	tʂ ^h u↓ ts ^h u↓	MH#

M	po↓lo↓	ram	tʂ ^h u↓ po↓lo↓	M
#H	zwa↓zo#↑	colt	tʂ ^h u↓ zwa↓zo#↑	#H
MH#	hwɣ↓li↑	cat	tʂ ^h u↓ hwɣ↓li↑	MH#
H\$	kɣ↓se↑\$	flea	tʂ ^h u↓-ə↑da#↑	#H
L	k ^h ɣ↓mi↓	dog	tʂ ^h u↓ k ^h ɣ↓mi↓	°L
L#	da↑ji↓	mule	tʂ ^h u↓ da↑ji↓	L#
LM+MH#	ji↓tʂæ↑	waist	tʂ ^h u↓ ji↓tʂæ↓	°L
LM+#H	bi↓tʂ ^h ɣ#↑	whiskers	tʂ ^h u↓ bi↓tʂ ^h ɣ↓	°L
LM	bo↓mi↓	sow	tʂ ^h u↓ bo↓mi↓	°L
LH	bo↓ɦa↑	boar	tʂ ^h u↓ bo↓ɦa↓	°L
H#	k ^h ɣ↓na↑	dog	tʂ ^h u↓ k ^h ɣ↓na↑	H#

the mother is speaking on her daughter's behalf, and that, as head of the family, she assumes some responsibility for her daughter's actions.

5.3.2 The pronoun 'oneself'

The pronoun 'oneself', /ō↓/, has a different behaviour from the 1st, 2nd and 3rd person pronouns. It is not followed by the possessive, /-bɣ↓/, except in the frequent construction /ō↓-bɣ↓-ō↓/ 'one's own', 'one's proper', 'by oneself', which can in turn be followed by a noun, as in (4).

- (4) ō↓-bɣ↓-ō↓ ha↓, | ō↓-bɣ↓-ō↓ dzu↓!
 ō↓ -bɣ↓ ō↓ ha↓ dzu↓
 oneself POSS oneself food to_eat

'One's own food, one eats it oneself! / We eat our own produce!' (Agriculture.68; this summarizes the traditional self-sufficiency of the Na, who

grew their own food crops)

After /**õ**!-bɣ̃!-õ!/, all tonal oppositions are neutralized, because the following noun can only carry L tones, due to a constraint formulated in the present description as Rule 5: “All syllables following a H.L or M.L sequence receive L tone”.

The pronoun /**õ**!/ usually combines with a following noun, without an intervening morpheme, as in (5) and (6).

(5) **õ**! ə!mɣ̃! ɲi!-ze!

õ! ə!mɣ̃! ɲi! -ze!
self elder_sibling COP PFV

‘This is my own brother!’ (Sister.57; Sister3.58. Context: a young woman recognizes a ragged stranger attending her wedding as being her long-lost brother)

(6) **õ**!-ɣe! , **õ**! tʰæ!

õ! ɣe! **õ**! tʰæ!
self meat self to_bite

‘Each person eats their own slab of meat!’ (Field notes. Context: describing table manners. Each family member used to receive one slice of meat and eat it up. This is unlike Chinese (Han) custom, in which each guest picks food mouthful by mouthful, with chopsticks, from dishes placed on the table.)

The construction /**õ**!/ plus N, ‘[my/one’s] own N’, has a tonal behaviour of its own. On the analogy of (6), new maxims can be coined, such as (7), (8) and (9).

(7) **õ**!-dzɯ! , **õ**! tʰɯ!

õ! dzɯ! **õ**! tʰɯ!
self water self to_drink

‘Each drinks from her own bottle!’ (Field notes. Context: a toddler has grabbed another’s bottle; parents prevent her from drinking from it.)

(8) **õ**!-dɬæ! , **õ**! bæ!

õ! dɬæ! **õ**! bæ!
self dust self to_sweep

‘one must sweep one’s own garbage’ (elicited example)

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- (9) $\tilde{o}1-l\gamma\uparrow, \tilde{o}1 li\downarrow!$
 $\tilde{o}1 \quad l\gamma\uparrow \quad \tilde{o}1 \quad li\downarrow_a$
 self field self to_look_after
 ‘one must look after one’s own fields’ (elicited)

Combinations were systematically elicited. The full set of tonal combinations is shown in Table 5.9.

Table 5.9: The tones of possessive constructions consisting of / $\tilde{o}1$ /+N.

tone	example	meaning	with / $\tilde{o}1$ /	tone pattern
LM	$d\alpha\downarrow$	dirt, dust	$\tilde{o}1-d\alpha\downarrow$	H#
LH	$m\gamma\downarrow$	daughter	$\tilde{o}1-m\gamma\downarrow\$\$$	H\\$
M	$l\gamma\downarrow$	field	$\tilde{o}1-l\gamma\downarrow\$\$$	H\\$
L	$d\alpha\downarrow$	water	$\tilde{o}1-d\alpha\downarrow\$\$$	H\\$
#H	$z\alpha\downarrow$	son	$\tilde{o}1-z\alpha\downarrow\#1$	H#
MH#	$ts^h\downarrow$	goat	$\tilde{o}1-ts^h\downarrow\$\$$	H\\$
M	$g\alpha\downarrow mi\downarrow$	younger sister	$\tilde{o}1-g\alpha\downarrow mi\downarrow$	H#
#H	$z\gamma\alpha\downarrow z\alpha\downarrow\#1$	colt	$\tilde{o}1-z\gamma\alpha\downarrow z\alpha\downarrow\#1$	#H
MH#	$\alpha\downarrow m\gamma\downarrow$	elder sibling	$\tilde{o}1-\alpha\downarrow m\gamma\downarrow / \tilde{o}1-\alpha\downarrow m\gamma\downarrow$	MH# / MH# ^o
H\\$	$\alpha\downarrow d\alpha\downarrow\$\$$	father	$\tilde{o}1-\alpha\downarrow d\alpha\downarrow / \tilde{o}1-\alpha\downarrow d\alpha\downarrow\#1$	H# / #H
L	$k^h\downarrow mi\downarrow$	dog	$\tilde{o}1-k^h\downarrow mi\downarrow$	MH# ^o
L#	$d\alpha\downarrow ji\downarrow$	mule	$\tilde{o}1-d\alpha\downarrow ji\downarrow$	H#
LM	$ji\downarrow ts\alpha\downarrow$	waist	$\tilde{o}1-ji\downarrow ts\alpha\downarrow$	MH# ^o
+MH#				
LM+#H	$bi\downarrow ts^h\downarrow\#1$	whiskers	$\tilde{o}1-bi\downarrow ts^h\downarrow\#1$	MH# ^o
LM	$\alpha\downarrow \alpha\downarrow$	home	$\tilde{o}1-\alpha\downarrow \alpha\downarrow$	MH# ^o
LH	$bo\downarrow \alpha\downarrow$	boar	$\tilde{o}1-bo\downarrow \alpha\downarrow$	MH# ^o
H#	$k^h\downarrow na\downarrow$	dog	$\tilde{o}1-k^h\downarrow na\downarrow$	H#

The behaviour of / $\tilde{o}1$ / in association with disyllables coincides with that of determinative compounds containing a MH-tone determiner. With monosyllables, however, the tone patterns only coincide with those of determinative compounds for nouns with LM or MH# tone.

5.4 Monosyllabic morphemes appearing after nouns: enclitics, suffixes, and postpositions

Analysis of morphemes as affixes, clitics, postpositions, serial verbs, ‘particles’ and others raises interesting issues that differ widely from one language to another, as illustrated by the diversity of proposals and viewpoints found in a collective book about the notion of word (Dixon & Aikhenvald 2002). It has been proposed that there is “a multidimensional continuum, from a fully bound to a fully independent morpheme” (Aikhenvald 2002: 43). Rather than proceeding from morphosyntactic categories, the method used here is to start out from tone patterns, progressing towards an analysis in light of the ways in which morphemes pattern together in their tonal behaviour. This approach yields independent evidence for syntactic analysis and part-of-speech labelling. For instance, dative /-ki/ and possessive /-by/ turn out to have exactly the same tonal behaviour, which is distinct from that of agentive /**nu**/ (see §5.4.2), suggesting that the former two belong to the same morphosyntactic class, distinct from the latter. This can be taken as confirmation for the observation (based on syntactic behaviour) that the dative and possessive are “almost suffixal” (Lidz 2010: 155), whereas the agentive is analyzed as a case adposition.

Enclitics, suffixes, and postpositions are divided into four subsets on the basis of their behaviour after M-tone nouns. Those that surface with L tone in that context are considered to carry lexical L tone; likewise, those that surface with M, MH and H tones are considered to carry M, MH and H tones respectively. The paragraphs that follow reveal that these four broad tonal categories are not fully homogeneous; they serve as convenient headings for setting out the data.

5.4.1 L-tone morphemes

This subsection discusses three morphemes: the postposition ‘on; at’, and the plural and associative clitics.

The postposition /**bi**/ ‘on; at’ surfaces with L tone after a M-tone noun, e.g. in /**gy**-**mi**-**bi**/ ‘on the body’. Other examples from texts and field notes include disyllabic nouns with L tone, as in /**zæ**-**su**-**bi**/ ‘on the felt cape’, and with LM tone, as in /**lo**-**q**^h-**wy**-**bi**/ ‘on the hand’. In order to obtain a full set, systematic elicitation was conducted, yielding the data shown in Table 5.10.

The tone patterns indicated in Table 5.10 are those observed at the surface-phonological level, and not the underlying tones. In the case of /**bo**-**bi**/ ‘on (a/the) pig’ and /**zæ**-**bi**/ ‘on (a/the) leopard’, it is unclear whether the pattern is

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Table 5.10: The behaviour of the L-tone postposition //bi/ 'on; at' with monosyllabic and disyllabic nouns (and the 2sg pronoun).

example	tone	with /bi/	surface tone pattern
pig	LM	bo↓ bi↑	L.H
leopard	LH	zæ↓ bi↑	L.H
tiger	M	la↑ bi↓	M.L
sheep	L	jo↓ bi/	L.LH
2sg	L	no↑ bi↓	M.L
horse	H	zwæ↑ bi↑	M.H
deer	MH	[s ^h æ↑ bi↑	M.H

fox	M	ɬɣ↑mi↑ bi↓	M.M.L
colt	#H	zwæ↑zo↑ bi↑	M.M.H
cat	MH#	hwɣ↑li↑ bi↑	M.M.H
she-cat	H\$	hwɣ↑mi↑ bi↑	M.M.H
dog	L	k ^h ɣ↓mi↓ bi↑	L.L.H
mule	L#	da↑ji↓ bi↓	M.L.L
wolf	LM+MH#	ō↓dɣ↑ bi↑	L.M.H
Naxi	LM+#H	na↓hĩ↑ bi↑	L.M.H
sow	LM	bo↓mi↑ bi↓	L.M.L
boar	LH	bo↓la↑ bi↓	L.H.L
rat	H#	hwæ↑tsu↑ bi↓	M.H.L

to be analyzed as //L.M// or //L.H//, since both are neutralized at the surface-phonological level, by Rule 6 (see Chapter 7). The data in Table 5.10 does not reveal whether the tone patterns of 'on (a/the) pig' and 'on (a/the) leopard' are underlyingly identical or not.

Additional evidence comes from plural /=ɬæ/ and associative plural /=ɬ/ . As in Japhug, whose plural clitic /=ra/ can express plurality or collective meaning, these enclitics are not obligatory for non-singular arguments (even in the case of human referents) (Jacques (to be added)). Like the postposition /bi/ 'on; at', these two enclitics are analyzed as having L tone on the basis of their tonal behaviour after M-tone nouns. Their tone patterns are identical to those of the postposition /bi/ 'on; at'. Moreover, in the case of the plural and associative plural morphemes, it is possible to add the POSSESSIVE /-bɣ/ to the NOUN+PLURAL expression as a test of its underlying tone category, following the procedure al-

ready used in the study of the tones of nouns (Chapter 2). This test distinguishes //L.M//, which does not depress a following POSSESSIVE, from //L.H//, which does. Table 5.11 presents the facts for N+PLURAL+POSSESSIVE.

As elsewhere, not all the expressions containing pronouns are tonally identical to those containing nouns. The proximal demonstrative //t^hu/ and distal demonstrative //t^hv/ yield /t^hu=ɹæ/ and /t^hv=ɹæ/, i.e. the same pattern as for monosyllabic nouns carrying H tone. But the first and second persons, //njv/ and //no/, yield /njv=ɹæ/ and /no=ɹæ/ with the plural; this is different from the pattern that obtains for L-tone nouns, e.g. /jo=ɹæ/ ‘sheep’.

The data in Table 5.11 brings out tonal differences between nouns and expressions made up of a noun plus a clitic. For instance, the noun /ətv/ ‘uncle’ yields /ətv=ɹæ/ ‘the uncles’ and /ətv=ɹæ-bv/ ‘of the uncles’, i.e. a tonal alternation that has no counterpart among nouns: there is no tonal category of disyllables that has a final H tone in isolation and that yields a final H tone on a following POSSESSIVE. The H\$-tone category of disyllables followed by the POSSESSIVE yields M.M.M, not M.M.H: e.g. /kvɬe/ ‘flea’ and /kvɬe-bv/ ‘of (a/the) flea’ (see Table 2.7b). Thus, the tone carried by the expression /ətv=ɹæ/ ‘the uncles’ is not strictly identical to any of the tonal categories of disyllables. Likewise, the behaviour of the expression /hĩ=ɹæ/ ‘the people’, which yields /hĩ=ɹæ-bv/ ‘of the people’, has no counterparts among nouns. Stated in impressionistic terms, it is as if the behaviour of H\$, the *gliding* H tone, became simplified when the expression that carries it contains a clitic: the H attaches to a following suffix. This is unlike H\$-tone nouns, which yield different results when associating to suffixes of different tone categories.

The plural marker /=ɹæ/ is frequently used. By contrast, the associative plural /=ɹ/ has a highly specific meaning, referring to the clan: the extended family. It is therefore mostly restricted to pronouns and family (clan) names, which are few in number. It cannot be used with kinship terms. However, it is not implausible that the morpheme /=ɹ/ that partakes in nominalization processes is in fact the associative plural. An example is shown in (10), where /p^hæ~p^hæ-/ ‘to attach’, in association with /=ɹ/, comes to mean ‘a couple; a pair; a set (of things, persons...) tied together’.

- (10) p^hæ~p^hæ=ɹ | ni-| kv | tsu | mv |
 p^hæ_b ~ =ɹ | ni | -kv | tsu | mv |
 to_tie/fasten RED ASSOCIATIVE COP ABILITIVE REP AFFIRM
 ‘[The mountains kv^hmv and æ^hɬæ] make up a couple/a pair!’ (Mountains. 99)

Table 5.11: The tonal behaviour of nouns followed by the PLURAL clitic / =ɪæ/ plus the POSSESSIVE suffix /-by-/.

example	tone	+ PLURAL	+ PLURAL+ POSSESSIVE	tonal analysis
Na (ethnic group)	LM	nɑɪ = ɪæɪ	nɑɪ = ɪæɪ-bvɪ	LH
daughter	LH	mɪɪ = ɪæɪ	mɪɪ = ɪæɪ-bvɪ	LH
Han (ethnic group)	M	hæɪ = ɪæɪ	hæɪ = ɪæɪ-bvɪ	L#
sheep	L	joɪ = ɪæɪ	joɪ = ɪæɪ-bvɪ	L
person, human being	H	hiɪ = ɪæɪ	hiɪ = ɪæɪ-bvɪ / hiɪ = ɪæɪ-bvɪ	H# / H\$
deer	MH	fʃ ^h æɪ = ɪæɪ	fʃ ^h æɪ = ɪæɪ-bvɪ	H#
aunt	M	əɪmiɪ = ɪæɪ	əɪmiɪ = ɪæɪ-bvɪ	°L
younger brother	#H	giɪzɪwɪ = ɪæɪ	giɪzɪwɪ = ɪæɪ-bvɪ / giɪzɪwɪ = ɪæɪ-bvɪ	H\$ / H#
maternal uncle	MH#	əɪvɪ = ɪæɪ	əɪvɪ = ɪæɪ-bvɪ / əɪvɪ = ɪæɪ-bvɪ	H\$ / H#
she-cat	H\$	hwɪɪmiɪ = ɪæɪ	hwɪɪmiɪ = ɪæɪ-bvɪ / hwɪɪmiɪ = ɪæɪ-bvɪ	H\$ / H#
woman	L	miɪzɪwɪ = ɪæɪ	miɪzɪwɪ = ɪæɪ-bvɪ	L+H#
elder sibling	L#	əɪmɪɪ = ɪæɪ	əɪmɪɪ = ɪæɪ-bvɪ	L#°
wolf	LM+MH#	ōɪdvɪ = ɪæɪ	ōɪdvɪ = ɪæɪ-bvɪ / ōɪdvɪ = ɪæɪ-bvɪ	LM+H# / LM+H\$
Naxi (ethnic group)	LM+#H	nɑɪhiɪ = ɪæɪ	nɑɪhiɪ = ɪæɪ-bvɪ / nɑɪhiɪ = ɪæɪ-bvɪ	LM+H# / LM+H\$
sow	LM	boɪmiɪ = ɪæɪ	boɪmiɪ = ɪæɪ-bvɪ	LM°L
boar	LH	boɪfɑɪ = ɪæɪ	boɪfɑɪ = ɪæɪ-bvɪ	LH°
young man	H#	p ^h æɪtɕɪɪ = ɪæɪ	p ^h æɪtɕɪɪ = ɪæɪ-bvɪ	H#°

Not unexpectedly, pronouns followed by the associative plural have a tonal behaviour of their own. For the two L-tone pronouns (1SG and 2SG), the tone patterns with the associative plural are the same as with the plural: /**njɣ**+ = **ɿ**/ and /**no**+ = **ɿ**/. As for the proximal demonstrative (also serving as 3rd person) /**ts^hu**/ and distal demonstrative /**t^hv**/, they yield /**ts^hu**+ = **ɿ**/ and /**t^hv**+ = **ɿ**/, whereas the forms with the plural are /**ts^hu**+ = **ɿæ**/ and /**t^hv**+ = **ɿæ**/. This difference is enough to establish that the plural and associative do not always share the same tone patterns, despite their identical behaviour in almost all cases. It must be kept in mind that, as pointed out at the outset of this section (§5.4), the four broad tonal categories set up here (morphemes with L tone, M tone, MH tone and H tone) are based on one test only: their behaviour after M-tone nouns. These four categories are not homogeneous, and only serve as convenient headings for setting out the data.

The associative / = **ɿ**/ is therefore presented separately in Table 5.12. Its low frequency explains the great number of gaps in the table.

Yongning Na also has a dual morpheme / = **zu**/ appearing in the following pronouns: first person dual exclusive /**njæ**+ = **zu**/, first person dual inclusive /**ə**+ = **zu**/, second person dual /**no**+ = **zu**/, and third person dual /**ts^hu**+ = **zu**/. On the basis of these four forms, the tone of the dual can be classified as belonging to the same broad tonal category as the plural and associative, namely that of L-tone morphemes – keeping in mind that this tonal category serves as a convenient first-pass label, and is not fully homogeneous.

The surface-phonological patterns for the postposition /**bi**/ ‘on; at’, shown in Table 5.10, are identical in every case to those for the plural clitic / = **ɿæ**/. The underlying forms for the postposition are more difficult to arrive at, for want of a handy test such as addition of the possessive /-**by**+/, which works for noun phrases containing the plural clitic / = **ɿæ**/ but not for locative phrases containing the postposition /**bi**/ ‘on; at’. In view of the full identity between surface-phonological patterns between the clitic and the postposition, it is tempting to propose an extrapolation from the surface-phonological forms in Table 5.10 to the (hypothetical) underlying forms proposed in Table 5.13. These underlying forms are based on those obtained for the plural clitic / = **ɿæ**/ (Table 5.11).

5.4.2 M-tone morphemes: AGENTIVE, DATIVE and TOPIC

This section presents three morphemes that carry M tone when following a M-tone noun – an indication that they have a M lexical tone.

Tables 5.14a–b present the tonal behaviour of agentive /**ɲu**+/, dative /-**ki**+/ (whose tonal behaviour is identical with that of the possessive, /-**by**+/), and topic

Table 5.12: The tonal behaviour of associative plural /=*ɬ*/.

example	meaning	tone	with /= <i>ɬ</i> /	output
–	–	LM	–	–
–	–	LH	–	–
–	–	M	–	–
njɣ ↓, no ↓	1SG, 2SG	L	njɣ ↑= <i>ɬ</i> ↓, no = <i>ɬ</i> ↓	L#
ʈʂ^hu ↓, t^hɣ ↓	DEM.PROX, DIST	H	ʈʂ^hu ↑= <i>ɬ</i> ↓, t^hɣ ↑= <i>ɬ</i> ↓	L#
–	–	MH	–	–

dze ↑ bo ↑	family name	M	dze ↑ bo ↑= <i>ɬ</i> ↓	L#
–	–	#H	–	–
–	–	MH#	–	–
ky ↑ ts^ha ↑ ɔ ↓\$	family name	H\$	ky ↑ ts^ha ↑= <i>ɬ</i> ↓\$	H\$
la ↓ ma ↓	family name	L	la ↓ ma ↓- <i>ɬ</i> ↓\$	L+H\$
ə ↑ ɕjo ↓	family name	L#	ə ↑ ɕjo ↓= <i>ɬ</i> ↓	L# ^o
–	–	LM+MH#	–	–
–	–	LM+#H	–	–
–	–	LM	–	–
–	–	LH	–	–
–	–	H#	–	–
dzy ↓ ky ↓\$	family name	LM+H\$	dzy ↓ ky ↓- <i>ɬ</i> ↓\$	LM+H\$

marker /**ʈʂ^hu**↓/. The latter can be hypothesized to be an extension of the 3rd person singular pronoun /**ʈʂ^hu**↓/, which also serves as a proximal demonstrative, but in view of its tonal behaviour, the topic marker is considered to have M tone, as against H tone for the 3rd person singular pronoun /**ʈʂ^hu**↓/. Table 5.14a presents examples, and Table 5.14b the underlying tone patterns. Note that the tones of /**bo**↓ **ɲu**↑/ and /**zæ**↓ **ɲu**↓/ are neutralized at the surface-phonological level due to Rule 6 (“In tone-group-final position, H and M are neutralized to H if they follow a L tone”: see §7.1.1). As elsewhere, variants are separated by a slash. The difference in tone patterns between these morphemes when associated to ‘horse’ was carefully verified: the tones are M.L in /**zwæ**↑ **ɲu**↓/, as opposed to M.M in /**zwæ**↑-**ki**↑/ and /**zwæ**↑ **ʈʂ^hu**↑/.

An exceptional pattern is observed for /**di**↑/ ‘earth’: in addition to the expected /**di**↓ **ɲu**↓/ (observed e.g. in Reward.145), the form /**di**↑ **ɲu**↑/ is also acceptable (it is attested in Reward.121). This variant is not acceptable for other LH-tone nouns,

Table 5.13: The behaviour of the L-tone postposition //bi/ ‘on; at’ as interpreted on the analogy of the PLURAL clitic.

example	tone	with /bi/	underlying tone
pig	LM	bo↓ bi↑	LM
leopard	LH	zæ↓ bi↓	LH
tiger	M	la↑ bi↓	L#
sheep	L	jo↓ bi↓	L
2SG	L	no↑ bi↓	L#
horse	H	zwæ↑ bi↓	H\$ / #H
deer	MH	tʂ ^h æ↑ bi↓	H#

fox	M	qɣ↑mi↑ bi↓	°L
colt	#H	zwæ↑zo↑ bi↓	H\$
cat	MH#	hwɣ↑li↑ bi↓	H\$
she-cat	H\$	hwɣ↑mi↑ bi↓	H\$
dog	L	k ^h ɣ↓mi↓ bi↓	L+H\$
mule	L#	da↓ji↓ bi↓	L#°
wolf	LM+MH#	ō↓dɣ↑ bi↓	LM+H\$
Naxi	LM+#H	na↓hĩ↑ bi↓	LM+H\$
sow	LM	bo↓mi↑ bi↓	LM°L
boar	LH	bo↓la↓ bi↓	LH°
rat	H#	hwæ↑tsu↓ bi↓	H#°

e.g. it is not possible to say † zæ↑ nɯ↑ for ‘by (a/the) leopard’.

Where two variants are possible, stylistic nuances can occasionally be pinpointed. For instance, in ComingOfAge2.18, the realization of ‘to the father’ as /ə↓da↓-ki↓/ emphasizes the term ‘father’ through its realization with the same tone that it would have if said in isolation: /ə↓da↓/ (lexical form: //ə↓da↓\$/). Realization as /ə↓da↓-ki↑/ would be acceptable; outside this context, /ə↓da↓-ki↓/ is considered better than /ə↓da↓-ki↑/,⁴ but it does not carry the same stylistic effect.

Here, the 1st and 2nd person pronouns behave like other L-tone items: /nɣ↑

⁴ In such situations, an informal way is used to test the consultant’s preference: the investigator says one of the two alternatives while raising his right hand, then the second while raising his left hand. The consultant indicates whether both are acceptable, and expresses a preference, often as an understatement: /tʂ^hu↑ bæ↓, | qu↑-pi↓ | ho↓/, “This one is *pretty correct*”, meaning “This one is better”.

5 Combinations of nouns with grammatical words

Table 5.14a: Tone patterns of AGENTIVE /**ŋw**/, DATIVE /-**ki**/, and TOPIC marker /**ʈʂ^hu**/ with monosyllabic and disyllabic nouns: examples in full.

example	tone	/ ŋw /	/- ki /	/ ʈʂ^hu /
pig	LM	bo ↓ ŋw ↑	bo ↓- ki ↑	bo ↓ ʈʂ^hu ↑
leopard	LH	zæ ↓ ŋw ↓	zæ ↓- ki ↓	zæ ↓ ʈʂ^hu ↓
tiger	M	la ↑ ŋw ↑	la ↑- ki ↑	la ↑ ʈʂ^hu ↑
sheep	L	jo ↑ ŋw ↑ / jo ↓ ŋw ↓	jo ↑- ki ↑ / jo ↓- ki ↓	jo ↑ ʈʂ^hu ↑ / jo ↓ ʈʂ^hu ↓
horse	H	zɰæ ↑ ŋw ↓	zɰæ ↑- ki ↓	zɰæ ↑ ʈʂ^hu ↓
deer	MH	ʈʂ^hæ ↑ ŋw ↓	ʈʂ^hæ ↑- ki ↓	ʈʂ^hæ ↑ ʈʂ^hu ↓ / ʈʂ^hæ ↓ ʈʂ^hu ↓
fox	M	ɖɣ ↑ mi ↑ ŋw ↑	ɖɣ ↑ mi ↑- ki ↑	ɖɣ ↑ mi ↑ ʈʂ^hu ↑
colt	#H	zɰæ ↑ zo ↑ ŋw ↓	zɰæ ↑ zo ↑- ki ↓	zɰæ ↑ zo ↑ ʈʂ^hu ↓
cat	MH#	hwɣ ↑ li ↑ ŋw ↓	hwɣ ↑ li ↑- ki ↓	hwɣ ↑ li ↑ ʈʂ^hu ↓
she-cat	H\$	hwɣ ↑ mi ↓ ŋw ↓ / hwɣ ↑ mi ↑ ŋw ↓	hwɣ ↑ mi ↓- ki ↓	hwɣ ↑ mi ↓ ʈʂ^hu ↓ / hwɣ ↑ mi ↑ ʈʂ^hu ↓
dog	L	k^hɣ ↓ mi ↓ ŋw ↓	k^hɣ ↓ mi ↓- ki ↓	k^hɣ ↓ mi ↓ ʈʂ^hu ↓
mule	L#	da ↑ ji ↓ ŋw ↓	da ↑ ji ↓- ki ↓	da ↑ ji ↓ ʈʂ^hu ↓
wolf	LM+MH#	ō ↓ dɣ ↑ ŋw ↓	ō ↓ dɣ ↑- ki ↓	ō ↓ dɣ ↑ ʈʂ^hu ↑ / ō ↓ dɣ ↑ ʈʂ^hu ↓
Naxi	LM+#H	na ↓ hĩ ↑ ŋw ↓	na ↓ hĩ ↑- ki ↓	na ↓ hĩ ↑ ʈʂ^hu ↓
sow	LM	bo ↓ mi ↑ ŋw ↑	bo ↓ mi ↑- ki ↑	bo ↓ mi ↑ ʈʂ^hu ↑
boar	LH	bo ↓ ʂa ↓ ŋw ↓	bo ↓ ʂa ↓- ki ↓	bo ↓ ʂa ↓ ʈʂ^hu ↓
rat	H#	hwæ ↑ tsu ↓ ŋw ↓	hwæ ↑ tsu ↓- ki ↓	hwæ ↑ tsu ↓ ʈʂ^hu ↓

ŋw↑/, /**no**↑ **ŋw**↑/.

The demonstratives /**ʈʂ^hu**↓/ (proximal, also 3rd person singular) and /**t^hɣ**↓/ (distal) have a different behaviour from other H-tone items: they yield M.M in association with /**ŋw**↑/: /**ʈʂ^hu**↑ **ŋw**↑/, /**t^hɣ**↑ **ŋw**↑/ (also /**ʈʂ^hu**↑ **la**↑/, /**t^hɣ**↑ **la**↑/ ‘this/that one too’).

Table 5.14b: Tone patterns of AGENTIVE /**ŋu**-/ , DATIVE /-**ki**-/ , and TOPIC marker /**tʂ^hu**-/ with monosyllabic and disyllabic nouns.

tone of noun	/ ŋu /	/- ki /	/ tʂ^hu /
LM	L.M	L.M	L.M
LH	L.H	L.H	L.H
M	M.M	M.M	M.M
L	M.M / L.H	M.M / L.H	M.M / L.H
H	M.L	M.M	M.M
MH	M.H	M.H	M.H

M	M.M.M	M.M.M	M.M.M
#H	M.M.L	M.M.M	M.M.M
MH#	M.M.H	M.M.H	M.M.H
H\$	M.H.L / M.M.H	M.M.M	M.M.M
L	L.L.H	L.L.H	L.L.H
L#	M.L.L	M.L.L	M.L.L
LM+MH#	L.M.H	L.M.H	L.M.M / L.M.H
LM+#H	L.M.L	L.M.M	L.M.M
LM	L.M.M	L.M.M	L.M.M
LH	L.H.L	L.H.L	L.H.L
H#	M.H.L	M.H.L	M.H.L

5.4.3 The MH-tone morphemes /-**qa**ʼ/ (dative/comitative) and /**gi**ʼ/ ‘behind’

The morpheme /-**qa**ʼ/ has dative and comitative functions. It is analyzed as carrying MH tone on the basis of its behaviour after M-tone words both monosyllabic and disyllabic, and after LM-tone disyllables. Table 5.15 sets out the data. (No recording was conducted.) The data for the postposition /**gi**ʼ/ ‘behind’ is identical.⁵

Note that, as in all other morphosyntactic contexts, the //L.H.L// pattern is neutralized with //L.M.L// at the surface-phonological level. The tone pattern for ‘boar’ could therefore be transcribed as /L.M.L/ in surface-phonological representation. Notation as //L.H.L// reflects the analysis proposed here: that the

⁵ Remember that, as a convention, clitics are preceded by an *equal* sign, and a hyphen is placed before suffixes and after prefixes, whereas postpositions are shown as free morphemes.

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Table 5.15: The tonal behaviour of the dative/comitative marker /-qa/ following nouns.

example	tone	example	tone pattern
pig	LM	bo↓-qa↑	L.M
leopard	LH	zæ↓-qa↓	L.H (on surface: same as LM)
tiger	M	la↑-qa↑	M.MH
sheep	L	jo↓-qa↓	L.LH
horse	H	zɰwæ↑-qa↓	M.L
deer	MH	tʂ ^h æ↑-qa↓	M.H

fox	M	ɬɰ↑mi↑-qa↑	M.M.MH
colt	#H	zɰwæ↓zo↑-qa↓	M.M.L
cat	MH#	hwɰ↑li↑-qa↓	M.M.H
she-cat	H\$	hwɰ↑mi↓-qa↓	M.H.L
dog	L	k ^h ɰ↓mi↓-qa↓	L.L.H
mule	L#	da↑ji↓-qa↓	M.L.L
wolf	LM+MH#	õ↓dɰ↑-qa↓	L.M.H
Naxi	LM+#H	na↓hi↑-qa↓	L.M.L
sow	LM	bo↓mi↑-qa↑	L.M.MH
boar	LH	bo↓hɑ↓-qa↓	L.H.L
rat	H#	hwæ↑tsu↓-qa↓	M.H.L

tone of the enclitic is lowered to L because of the presence of a preceding H tone – the H part of the LH tone pattern lexically attached to the noun ‘boar’. The choice of a notation as /L.M.L/ could seem advisable in order to stay closer to surface-phonological form, limiting the degree of abstraction of the notation; on the other hand, this could clash with the notation of the lexical categories, wrongly suggesting that the //LH// lexical category became //LM// when this enclitic is added. This is why notation as L.H.L was chosen in Table 5.15.

5.4.4 The H-tone topic marker /-dzo/ , with observations about tonal contours in non-final position

The topic marker /-dzo/ can appear after nouns and verbs. The tone patterns that obtain when it is associated to a noun are shown in Table 5.16.

This data raises a phonological issue. A MH-tone noun or verb preceding the

topic marker is realized with a MH contour, e.g. /tʂ^hæ1-dzo/ ‘as for the deer’ (from /tʂ^hæ1/ ‘deer’) and /mɤ1-la1-dzo/ ‘as [he/she] did not strike’ (from /la1/ ‘to strike’). This suggests that there is a tone group boundary after the noun or verb, since contours are only realized tone-group-finally. Such a behaviour would not be unparalleled. For instance, the contrastive topic marker /-no1/ and the word /t^hi1/ ‘then’ always mark the beginning of a new tone group. But the tonal behaviour of the topic marker after nouns or verbs bearing a tone other than MH suggests that it is integrated within the same tone group. For instance, after a M-tone noun or verb, the pattern is M.M.H, e.g. /la1-dzo/ ‘as for the tiger’ and /mɤ1-hwæ1-dzo/ ‘as [she/he] does not buy’: these expressions clearly constitute a single tone group. The full data set is presented in Table 5.16.⁶ These observations are taken up in §7.3, as part of the discussion of cases of breach of tonal grouping: how non-final syllables can come to carry a contour, and following syllables become extrametrical.

On the basis of its behaviour after M-tone nouns (and after M-tone verbs, which will be presented in the next chapter), the topic marker is provisionally analyzed as carrying a lexical H tone. If this tonal identification is confirmed, the morpheme constitutes an extreme case of distance between underlying form and surface form: in texts, realizations with a L tone outnumber those with a H tone by a ratio of about 10 to 1. The particles indicated reported speech, /-tsu1/, and affirmation, /-mɤ1/, constitute even more spectacular cases: their underlying form rarely surfaces as such (see 7.1.4).

5.5 Disyllabic postpositions

Disyllabic locative postpositions include /ɤo1-t^ho1/ ‘behind’, /ɤo1-da1/ ‘in front of’, /ɬo1-ta1/ ‘beside, to the side of’, /ɤwæ1-gi#1/ ‘to the left’, /jo1-gi1/ ‘to the right’, and /-qo1-lo1/ ‘inside’. (The monosyllabic form /-qo1/ is also attested, with the same meaning.) Table 5.17 shows the data for nouns followed by the locative postpositions /ɬo1-ta1/ ‘beside, to the side of’ and /ɤo1-t^ho1/ ‘behind, to the back of’. The data for /ɤo1-da1/ ‘in front of’ is identical with that for /ɬo1-ta1/ ‘beside,

⁶ The data was verified by using additional nouns illustrating the tonal categories: /zo1/ ‘son’ for H tone; /õ1/ ‘oneself’ for MH tone; /p^hɤ1-by1/ ‘gift’ for M tone; /ə1-da1/ ‘father’ and /mɤ1-ɤo1/ ‘heavens’ for H\$ tone. The results were the same, including variant patterns: for ‘gift’, there are two variants, /p^hɤ1-by1-dzo1/ and /p^hɤ1-by1-dzo1/, in the same way as for the example used in Table 5.16 (‘fox’). The only unexpected result was with ‘plain’, //di1-qo1//. One would expect †di1-qo1-dzo1, on the analogy of /ɖɤ1-mi1-dzo1/, but the observed pattern is M.M.M : /di1-qo1-dzo1/. This unexpected result, confirmed across elicitation sessions, may have to do with the internal structure of this disyllable, literally meaning ‘on earth’.

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Table 5.16: The tonal behaviour of the topic marker /-dzo/ following nouns.

example	tone	example	tone pattern
pig	LM	boʌ-dzo]	LM.L
leopard	LH	zæʌ-dzo]	LH.L
tiger	M	laʌ-dzo]	M.H
daughter	L	mɤʌ-dzoʌ	LH.L
horse	H	zɥæʌ-dzo]	M.L
deer	MH	tʂʰæʌ-dzo]	MH.L

fox	M	ɕɣʌmiʌ-dzo] / ɕɣʌmiʌ-dzoʌ	M.M.H / M.M.M
colt	#H	zɥæʌzoʌ-dzo]	M.M.L
cat	MH#	hwɣʌliʌ-dzo]	M.MH.L
she-cat	H\$	hwɣʌmiʌ-dzo]	M.H.L
dog	L	kʰɤʌmiʌ-dzo]	L.LH.L
mule	L#	daʌjiʌ-dzo]	M.L.L
wolf	LM+MH#	õʌdɤʌ-dzo]	L.MH.L
Naxi	LM+#H	naʌhiʌ-dzo]	L.M.L
sow	LM	boʌmiʌ-dzo]	L.M.H
boar	LH	boʌʂaʌ-dzo]	L.M.L
rat	H#	hwæʌtsuʌ-dzo]	M.H.L

to the side of’, and is therefore not shown in the table. Likewise, the behaviour of /tʰæʌqo/ ‘under’ is identical with that of /ɣoʌtʰo/ ‘behind’. Table 5.18 shows the data for the locative postpositions /ɣwæʌgi#/ ‘to the left’ and /joʌgi/ ‘to the right’. The corresponding recording is: LocativePostp.

There are two rows for the L tone in Table 5.17 and Table 5.18 because L-tone pronouns, 1SG /nɣʌ/ and 2SG /no/], have exceptional behaviour. The difference in tonal output between pronouns and nouns is clear. For instance, with the M-tone postposition ‘beside’, a L-tone pronoun yields a M-tone pattern: /noʌʌoʌtaʌ/, and it is not possible to say ‡ noʌʌoʌtaʌ]. Conversely, a L-tone noun yields a L-tone pattern: /joʌʌoʌtaʌ/, and it is not possible to say ‡ joʌʌoʌtaʌ].

It would be nice and economical if the tone patterns in these tables were identical with those for other constructions, such as determinative compounds. Such is the case for /ɣoʌtʰo/ ‘at the back’, which behaves tonally like a L#-tone head noun in determinative compounds, and for /ʌoʌtaʌ/ ‘beside, to the side of’, which behaves like a M-tone noun in determinative compounds. Not all locative

Table 5.17: The tonal behaviour of the locative postpositions /**fo-ta**/ ‘beside’ and /**fo-t^ho**/ ‘behind’. There are two rows for L because L-tone pronouns have exceptional behaviour.

tone	example	meaning	beside	behind
LM	bo ↓	pig	bo ↓ fo-ta ↓	bo ↓ fo-t^ho ↓
LH	zæ ↓	leopard	zæ ↓ fo-ta ↓	zæ ↓ fo-t^ho ↓
M	la ↓	tiger	la ↓ fo-ta ↓	la ↓ fo-t^ho ↓
L	jo ↓	sheep	jo ↓ fo-ta ↓	jo ↓ fo-t^ho ↓
L	no ↓	2SG	no ↓ fo-ta ↓	no ↓ fo-t^ho ↓
H	zwæ ↓	horse	zwæ ↓ fo-ta ↓	zwæ ↓ fo-t^ho ↓
MH	tʂ^hæ ↓	deer	tʂ^hæ ↓ fo-ta ↓	tʂ^hæ ↓ fo-t^ho ↓
M	ɖɣ-mi ↓	fox	ɖɣ-mi ↓ fo-ta ↓	ɖɣ-mi ↓ fo-t^ho ↓
#H	zwæ-tso #↓	colt	zwæ-tso ↓ fo-ta ↓	zwæ-tso ↓ fo-t^ho ↓
MH#	hwɣ-li ↓	cat	hwɣ-li ↓ fo-ta ↓	hwɣ-li ↓ fo-t^ho ↓
H\$	hwɣ-mi ↓\$	she-cat	hwɣ-mi ↓ fo-ta ↓	hwɣ-mi ↓ fo-t^ho ↓
L	k^hɥ-mi ↓	dog	k^hɥ-mi ↓ fo-ta ↓	k^hɥ-mi ↓ fo-t^ho ↓
L#	da-tji ↓	mule	da-tji ↓ fo-ta ↓	da-tji ↓ fo-t^ho ↓
LM+MH#	õ-dɥ ↓	wolf	õ-dɥ ↓ fo-ta ↓	õ-dɥ ↓ fo-t^ho ↓
LM+#H	na-lhi #↓	Naxi	na-lhi ↓ fo-ta ↓	na-lhi ↓ fo-t^ho ↓
LM	bo-mi ↓	sow	bo-mi ↓ fo-ta ↓	bo-mi ↓ fo-t^ho ↓
LH	bo-ta ↓	boar	bo-ta ↓ fo-ta ↓	bo-ta ↓ fo-t^ho ↓
H#	hwæ-tsu ↓	rat	hwæ-tsu ↓ fo-ta ↓	hwæ-tsu ↓ fo-t^ho ↓

postpositions share this behaviour, however: compare /**hwɣ-li-t^hi-k^hu**↓/ ‘cat’s gums (body part)’ (input tones: MH# and #H; output tone: MH#) and /**hwɣ-li-t^hæ-gi**#↓/ ‘to the left of the tiger’ (same input; output tone: #H).

5.6 Adverbs

Contrary to what their name suggests, *adverbs* (a loose class of words) can appear not only with verbs, but also with nouns and some other linguistic units.

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Table 5.18: The tonal behaviour of the locative postpositions /*ɣwæŋgi#*/ ‘to the left’ and /*joŋgi*/ ‘to the right’. There are two rows for the L tone because L-tone pronouns have exceptional behaviour.

tone	example	meaning	to the left of	to the right of
LM	bo ↓	pig	bo ↓ <i>ɣwæŋgi#</i> ↓	bo ↓ <i>joŋgi</i> ↓
LH	zæ ↓	leopard	zæ ↓ <i>ɣwæŋgi#</i> ↓	zæ ↓ <i>joŋgi</i> ↓
M	la ↓	tiger	la ↓ <i>ɣwæŋgi#</i> ↓	la ↓ <i>joŋgi</i> ↓
L	jo ↓	sheep	jo ↓ <i>ɣwæŋgi</i> ↓	jo ↓ <i>joŋgi</i> ↓
L	no ↓	2SG	no ↓ <i>ɣwæŋgi#</i> ↓	no ↓ <i>joŋgi</i> ↓
H	zwæ ↓	horse	zwæ ↓ <i>ɣwæŋgi#</i> ↓	zwæ ↓ <i>joŋgi</i> ↓
MH	tʂ^hæ ↓	deer	tʂ^hæ ↓ <i>ɣwæŋgi#</i> ↓	tʂ^hæ ↓ <i>joŋgi</i> ↓
M	ɖɣ ↓ <i>mi</i> ↓	fox	ɖɣ ↓ <i>mi</i> ↓ <i>ɣwæŋgi#</i> ↓	ɖɣ ↓ <i>mi</i> ↓ <i>joŋgi</i> ↓
#H	zwæ ↓ <i>zo</i> ↓#	colt	zwæ ↓ <i>zo</i> ↓ <i>ɣwæŋgi#</i> ↓	zwæ ↓ <i>zo</i> ↓ <i>joŋgi</i> ↓
MH#	hwɣ ↓ <i>li</i> ↓	cat	hwɣ ↓ <i>li</i> ↓ <i>ɣwæŋgi#</i> ↓	hwɣ ↓ <i>li</i> ↓ <i>joŋgi</i> ↓
H\$	hwɣ ↓ <i>mi</i> ↓\$	she-cat	hwɣ ↓ <i>mi</i> ↓ <i>ɣwæŋgi#</i> ↓	hwɣ ↓ <i>mi</i> ↓ <i>joŋgi</i> ↓
L	k^hɥ ↓ <i>mi</i> ↓	dog	k^hɥ ↓ <i>mi</i> ↓ <i>ɣwæŋgi</i> ↓	k^hɥ ↓ <i>mi</i> ↓ <i>joŋgi</i> ↓
L#	da ↓ <i>ji</i> ↓	mule	da ↓ <i>ji</i> ↓ <i>ɣwæŋgi</i> ↓	da ↓ <i>ji</i> ↓ <i>joŋgi</i> ↓
LM+MH#	ō ↓ <i>ɖy</i> ↓	wolf	ō ↓ <i>ɖy</i> ↓ <i>ɣwæŋgi#</i> ↓	ō ↓ <i>ɖy</i> ↓ <i>joŋgi</i> ↓
LM+#H	na ↓ <i>hi</i> ↓#	Naxi	na ↓ <i>hi</i> ↓ <i>ɣwæŋgi#</i> ↓	na ↓ <i>hi</i> ↓ <i>joŋgi</i> ↓
LM	bo ↓ <i>mi</i> ↓	sow	bo ↓ <i>mi</i> ↓ <i>ɣwæŋgi#</i> ↓	bo ↓ <i>mi</i> ↓ <i>joŋgi</i> ↓
LH	bo ↓ <i>ɬa</i> ↓	boar	bo ↓ <i>ɬa</i> ↓ <i>ɣwæŋgi</i> ↓	bo ↓ <i>ɬa</i> ↓ <i>joŋgi</i> ↓
H#	hwæ ↓ <i>tsu</i> ↓	rat	hwæ ↓ <i>tsu</i> ↓ <i>ɣwæŋgi</i> ↓	hwæ ↓ <i>tsu</i> ↓ <i>joŋgi</i> ↓

5.6.1 The homophonous adverbs /*la*↓/ ‘only’ and ‘too, and’

The data for the two homophonous adverbs /*la*↓/ ‘only’ and ‘too, and’ is shown in Table 5.19. Systematic elicitation of expressions made up of a noun plus these adverbs was up against some slight initial difficulties, as these expressions do not constitute complete utterances. An illustration of the pitfalls of this type of elicitation is that the combination ‘only (a/the) she-cat’ was first recorded with a M.M.L pattern, as /*hwɣ*↓*mi*↓ *la*↓/, then with a M.M.M pattern, as /*hwɣ*↓*mi*↓ *la*↓/. Later the consultant pointed out that these were both wrong, and that the correct pattern was M.H.L: /*hwɣ*↓*mi*↓ *la*↓/. Homophony between this expression and ‘to beat (a/the) she-cat’ (likewise /*hwɣ*↓*mi*↓ *la*↓/) may have contributed to the difficulty encountered at elicitation.

Comparison with the data for the three M-tone morphemes in Tables 5.14a–b

Table 5.19: The behaviour of /laʔ/ ‘only; also’ with monosyllabic and disyllabic nouns.

example	tone	example	abstract tone pattern
pig	LM	bo ʔ la ʔ	L.M
leopard	LH	zæ ʔ la ʔ	L.H
tiger	M	la ʔ la ʔ	M.M
sheep	L	jo ʔ la ʔ	L.H
horse	H	zɰwæ ʔ la ʔ	M.L
deer	MH	ʈʂʰæ ʔ la ʔ	M.H

fox	M	ɕɰ ʔ mi ʔ la ʔ	M.M.M
colt	#H	zɰwæ ʔ zo ʔ la ʔ	M.M.L
cat	MH#	hwɰ ʔ li ʔ la ʔ	M.M.H
she-cat	H\$	hwɰ ʔ mi ʔ la ʔ	M.H.L
dog	L	kʰɰ ʔ mi ʔ la ʔ	L.L.H
mule	L#	da ʔ ʈji ʔ la ʔ	M.L.L
wolf	LM+MH#	o ʔ ɕɰ ʔ la ʔ	L.M.H
Naxi	LM+#H	na ʔ hi ʔ la ʔ	L.M.L
sow	LM	bo ʔ mi ʔ la ʔ	L.M.M
boar	LH	bo ʔ ka ʔ la ʔ	L.H.L
rat	H#	hwæ ʔ tsu ʔ la ʔ	M.H.L

shows that the tonal behaviour of the four morphemes /laʔ/, /ɲuʔ/, /-kiʔ/, and /ʈʂʰuʔ/ is tantalizingly similar: their tone patterns are identical for eleven of the seventeen categories of nouns. The only difference between /laʔ/ and /ɲuʔ/ is in combination with the L category of monosyllables, where the pattern is L.H for /laʔ/ (e.g. /joʔ laʔ | tsʰuʔ/ ‘sheep and goats’), and M.M for /-ɲuʔ/ (e.g. /joʔ-ɲuʔ/ ‘by the sheep’). Needless to say, the data was carefully verified across work sessions. (A recording is available: OnlyAnd.)

To venture a hypothesis concerning these incomplete similarities, it seems plausible that grammaticalization is accompanied by a tonal evolution away from the tone of the free root. It may not be coincidental that dative /-kiʔ/ and possessive /-bɰʔ/, which have exactly the same tonal behaviour, also share the morphosyntactic property of being “almost suffixal” (Lidz 2010: 155), and thereby distinct from agentive /ɲuʔ/, analyzed as a case adposition (ibid.). Seen in this light, the difference in tone patterns would match that of parts of speech: one

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of the tone patterns is for suffixes, one for adpositions, one for adverbs/conjunctions, and one for discourse particles (the topic marker).

5.6.2 The adverb /pɾ-to/ ‘even’

The behaviour of the adverb /pɾ-to/ ‘even’ is presented in Table 5.20; the corresponding recording is NounsEven.

Table 5.20: The tonal behaviour of /pɾ-to/ ‘even’. There are two rows for the L tone because L-tone pronouns have exceptional behaviour.

tone	example	meaning	N+/pɾ-to/ ‘even’
LM	bo↓	pig	bo↓ pɾ-to↓
LH	zæ↓	leopard	zæ↓ pɾ-to↓
M	la↓	tiger	la↓ pɾ-to↓
L	jo↓	sheep	jo↓ pɾ-to↓
L	no↓	2sg	no↓ pɾ-to↓
#H	zwæ↓	horse	zwæ↓ pɾ-to↓
MH#	tʂʰæ↓	deer	tʂʰæ↓ pɾ-to↓
M	ɕɾ-mi↓	fox	ɕɾ-mi↓ pɾ-to↓
#H	zwæ-zo#↓	colt	zwæ-zo↓ pɾ-to↓
MH#	hwɾ-li↓	cat	hwɾ-li↓ pɾ-to↓
H\$	hwɾ-mi↓\$	she-cat	hwɾ-mi↓ pɾ-to↓
L	kʰy-mi↓	dog	kʰy-mi↓ pɾ-to↓
L#	da-tʂi↓	mule	da-tʂi↓ pɾ-to↓
LM+MH#	õ↓dy↓	wolf	õ↓dy↓ pɾ-to↓
LM+#H	na-tʂi#↓	Naxi	na-tʂi↓ pɾ-to↓
LM	bo-mi↓	sow	bo-mi↓ pɾ-to↓
LH	bo-tʂa↓	boar	bo-tʂa↓ pɾ-to↓
H#	hwæ-tsu↓	rat	hwæ-tsu↓ pɾ-to↓

The tonal behaviour of the adverbial conjunction /pɾ-to/ differs from that of L#-tone locative postpositions such as /ɾo-tʰo/ ‘behind’ and /tʰæ-lqo/ ‘under’, studied above (5.5). The tonal behaviour of /pɾ-to/ also differs from that of L#-tone heads in compound nouns.

5.7 Concluding note

The facts approached in this chapter are more diverse than in the three previous ones. There is a great variety of tonal categories of grammatical words in Yongning Na: the dative suffix /-ki/ and the possessive suffix /-bɣ/ have a different behaviour from the agentive adposition /ŋu/; a third pattern is observed for the conjunction /la/ ‘too, and’; and a fourth for the topic marker /tʂ^hu/. The complexity of Yongning Na morphotonology results from the great number of tonal paradigms, none of which is especially complex in itself.

There remains much room for progress in the description and analysis of this morphotonological archipelago. In particular, systematic study of the Yongning Na lexicon holds potential for opening new windows onto processes of morphological derivation, revealing traces of nonproductive derivation such as an animal suffix /-li/ plausibly found in /hwɣ-li/ ‘cat’ and /p^hi-li/ ‘butterfly’ (Naxi cognates: /hwa^hle/ and /p^he^hle/).

6 Verbs and their combinatory properties

This chapter discusses the tones of verbs and adjectives, and their combinatory properties.

6.1 The lexical tones of verbs

6.1.1 Overview

For monosyllabic verbs, which are an overwhelming majority, seven tonal categories have been brought out, as shown in Table 6.1a.

Table 6.1a: The seven tonal categories of monosyllabic verbs: behaviour in four different contexts.

example	in isolation	NEG	ACCOMP	V+‘a bit’
dzu ‘to eat’	M	M.H	M.H	M.M.M
hwæ ‘to buy’		M.M	M.M	M.H.L
tɕ^hi ‘to sell’				M.M.M
bi ‘to go’			M.L	n.a.
dze ‘to cut’	LH	M.L		M.M.H
t^hu ‘to drink’				M.M.MH
la ‘to strike’	MH	M.MH	M.MH	M.H.L

The four contexts shown in Table 6.1a are: (i) in isolation; (ii) with the NEGATION prefix; (iii) with the ACCOMPLISHED prefix; and (iv) with /**ɕu-t^hwɿ**ʃ/ ‘a piece’ or /**ɕu-t^hɿ**ʃ/ ‘a drop’ as an object (meaning ‘to V a bit’).¹ These four con-

¹ The two numeral-plus-classifier phrases ‘a piece’ and ‘a drop’ have the same tone: H\$. The tones of numeral-plus-classifier phrases are analyzed in Chapter 4, and the H\$ tone in §2.3.2.

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texts were chosen because their combination reveals all seven categories, even though each context taken individually only distinguishes three or four. Neutralizations are reflected in cells that share the same tone pattern in a given column; they are indicated by a dashed box if the cells are adjacent, and by shading if they are nonadjacent.

While the data in Table 6.1a demonstrates the existence of seven distinct categories, it leaves several analytic possibilities open. Observations made in the analysis of the tonal categories of nouns (in Chapter 2) clarify that the diversity of tonal patterns is highest after an initial M tone, since in that position there is no phonological prohibition against H, M, L or MH (only LM and LH are ruled out, by Rule 5, about which see §7.1.1). The behaviour of the seven classes of verbs after a M-tone prefix thus appeared as a promising guide to their underlying tone.

A complexity here is that not all M-tone prefixes yield the same tonal results in association with verbs. Specifically, ‘to go’ yields /**my**-**bi**/ with the NEGATION prefix (tone pattern: M.M), and /**le**-**bi**/ with the ACCOMPLISHED prefix (tone pattern: M.L). After examining a set of M-tone prefixes, such as the PROHIBITIVE /**t^ha**-/ and the DURATIVE /**t^hi**-/, it appeared that the ACCOMPLISHED prefix is an outlier in this respect. The NEGATION was chosen as a reference prefix to test the phonological nature of the tones of verbs.

It was observed that, following the NEGATION prefix, a verb carries one of four tones: H, M, L, and MH. These are interpreted as four main categories. Within these, some subcategories are identified based on their different behaviour in other contexts. There are thus three subcategories among M-tone verbs: M_a, M_b

Table 6.1b: The seven tonal categories of monosyllabic verbs: analysis into H, M, L and LH tones.

tone	example	in isolation	NEG	ACCOMP	V+ ‘a bit’
H	dzɯ ‘to eat’	M	M.H	M.H	M.M.M
M _a	hwæ _a ‘to buy’		M.M	M.M	M.H.L
M _b	tɕ^hi _b ‘to sell’				M.M.M
M _c	bi _c ‘to go’			M.L	n.a.
L _a	dze _a ‘to cut’	LH	M.L		M.M.H
L _b	t^hɯ _b ‘to drink’				M.M.MH
MH	la ‘to strike’	MH	M.MH	M.MH	M.H.L

and M_c , and two subcategories among L-tone verbs: L_a , L_b . The seven categories brought out in Table 6.1a are therefore labelled as H, M_a , M_b , M_c , L_a , L_b , and MH, respectively, as shown in Table 6.1b, which has the same contents as Table 6.1a plus an indication of the underlying tones.

Realizations in isolation, which only distinguish three subsets, make sense in light of this analysis. H tone is realized as M due to the neutralization of H and M in tone-group-initial position (Rule 3; see the list of phonological tone rules in §7.1.1); and M tones (M_a , M_b and M_c) are straightforwardly realized as M. Tones L_a and L_b are both realized as LH due to the post-lexical addition of a H tone to all-L tone groups (Rule 7); L-tone verbs thus behave unlike L-tone nouns, which surface with M tone in isolation, as shown in Chapter 2. This is one of many pieces of evidence showing that the tone system of Yongning Na is not based only on a set of phonological rules that apply across-the-board in all contexts, but also has morphotonological rules: tone rules that are specific to a given morphological context.

Tones M_a and M_b yield the same tone pattern in association with the NEGATION prefix, as do L_a and L_b , but they are distinguished in the fourth context; conversely, the tone pairs $\{M_a, MH\}$ and $\{M_b, H\}$ yield the same tonal pattern when associated with the object ‘a piece’/‘a drop’ but are distinguished after the NEGATION prefix. Finally, tone M_c has a different behaviour from M_a and M_b after the ACCOMPLISHED prefix.

Examples of predicates of the seven categories are presented in Table 6.2.

The labels used for the sets of categories $\{L_a, L_b\}$ and $\{M_a, M_b, M_c\}$ are deliberately abstract, for want of decisive evidence about the phonological nature of the categories at issue. The letters are assigned on the basis of relative frequency in the lexicon. Among L tones, the ‘to cut’ type is about five times as frequent as the ‘to drink’ type. Among M tones, the ‘to buy’ type is twice as frequent as the ‘to sell’ type, and the ‘to go’ type is infrequent.

The ‘to cut’ and ‘to drink’ types, labelled here as L_a and L_b , must both contain a L tone level, since they are realized with L tone after the NEGATION prefix. There is limited evidence on the phonological nature of the difference between the L_a and L_b categories. One of the two could be analyzed as a simple L tone, and the other as a contour (LM or LH), on the analogy of nouns, but this would be arbitrary, since there is no compelling evidence that either of these categories consists of a contour. The apparent economy gained from using labels similar to those of nouns would come together with high costs in terms of descriptive adequacy. On nouns, the //LM// and //LH// contour tones surface as such in isolation (where they are neutralized to /LH/), unlike the //L// tone, which surfaces

Table 6.2: Examples of the seven categories of verbs.

tone	examples
H	dzu l ‘to eat’, by l ‘to divide’, ji l ‘to do’, se l ‘to walk’, ʈʂ^hæ l ‘to wash’
M _a	hwæ -l _a ‘to buy’, hō -l _a ‘to go away (IMPERATIVE)’, ki -l _a ‘to give’, li -l _a ‘to watch’, mæ -l _a ‘to catch hold of’
M _b	tɕ^hi -l _b ‘to sell’, ɖu -l _b ‘to obtain’, ɖzæ -l _b ‘to ride’, p^hæ -l _b ‘to fasten’, ji -l _b ‘to need’
M _c	bi -l _c ‘to go’, hu -l _c ‘to go.PST’, gy -l _c ‘to go by (of time)’, ji -l _c ‘to come’, py -l _c ‘to chant’
L _a	bæ -l _a ‘to sweep’, dze -l _a ‘to cut’, ti -l _a ‘to hit (gently)’, tɕi -l _a ‘to write’, dzi -l _a ‘to sit’
L _b	ʈ^hu -l _b ‘to drink’, da -l _b ‘to weave’, do -l _b ‘to see’, mɻ -l _b ‘to eat food in powder form, typically tsamba (roasted flour)’, zɻɻ -l _b ‘to speak’
MH	ɕjɻ l ‘to try; to taste’, gɻ l ‘to carry on one’s shoulder’, la l ‘to strike’, tɕɻ l ‘to boil’, zɻ l ‘to sew (clothes)’

as /M/ in isolation. There is no such difference between the L_a and L_b categories of verbs, which both surface with a /LH/ contour in isolation.

6.1.2 About subsets of M-tone verbs

M-tone verbs, defined as those that are realized with M tone after the NEGATION prefix, fall into three subsets: M_a, M_b and M_c. It would be satisfactory from the point of view of economy of description to reserve the label M for one of the three, and to assign to the others labels selected from the inventory of tone categories of nouns, such as #H. No evidence has been found to support such identifications, however, hence the choice to adopt noncommittal abstract labels with subscript letters.

Tone category M_c hosts five verbs that behave like M-tone verbs of the M_a category except in a few contexts, e.g. when preceded by the ACCOMPLISHED prefix, /le-/. These are /**bi**-l_c/ ‘to go’, and its past form /**hu**-l_c/; /**gy**-l_c/ ‘to go by, to flow, to fly (of time)’; /**ji**-l_c/ ‘to come’; and /**py**-l_c/ ‘to chant, to perform (a sacrifice, a ritual, a festival)’. With the ACCOMPLISHED prefix and the PERFECTIVE suffix,

the pattern is M.L.L: /le-**bi**-ze↓/, /le-**hu**↓-ze↓/, /le-**gy**↓-ze↓/, /le-**ji**↓-ze↓/, and /le-**py**↓-ze↓/, whereas the other verbs of the M tone category carry M tone after the ACCOMPLISHED prefix.

The difference between M_a and M_c is not related to verb valency: all M_c-tone verbs have intransitive uses, but one of the five ('to chant') can also be used transitively; conversely, not all intransitive verbs belong in this tonal category, witness 'to die', /**su**↓-a/, which yields /le-↓**su**-/ (Sister3.11, 95).

Since these five verbs have the same behaviour as M_a-tone verbs in most contexts, they could be described as a subset of the M_a category; a further diacritic could be added to their tone label, yielding something such as M_a' (M_a prime). However, it appeared less awkward typographically to label them as M_c, a third subcategory within M tones.

This exceptional behaviour calls for analysis. An observation that may be relevant is that the ACCOMPLISHED prefix /le-↓/ in association with these verbs can carry special semantic connotations. With these verbs, /le-↓/ can carry the meaning 'back/to return', as in (1) and (2):

- (1) le-↓bi↓ dzo↓, | [ʂ^hwɣ↓ | dɯ↓ mɣ↓-kɣ↓ tsu↓ mɣ↓!
 le-↓ bi↓_c -dzo↓ [ʂ^hwɣ↓ dɯ↓ mɣ↓- -kɣ↓ tsu↓ mɣ↓
 ACCOMP to_go TOP dinner get NEG ABILITIVE REP AFFIRM
 'If [the daughter] goes back [to her mother's home after marriage, she] cannot have dinner there.' [She must not stay there for the night, she has to go back to her new home before evening.] (Sister3.116)
- (2) lɣ↓mi↓ so↓-[ɣ↓ pɣ↓~pɣ↓! | le-↓bi↓-ze↓!
 lɣ↓mi↓ so↓-[ɣ↓ ~ pɣ↓ le-↓ bi↓ -ze↓
 stone a_few ACTIVITY carry ACCOMP to_go PFV
 'Tonight, I'll bring (back) a couple of stones! I'm going back!' (Reward. 77. Context: a man is compelled by his spouse to go and steal in order to support the family; as he is about to steal sweetcorn, he decides to refrain from stealing; instead, he fills his basket with stones and goes back.)

This meaning is not always present, however, and the tone pattern is the same when the meaning is 'to go, to set off', as in (3), where the movement is clearly away from a familiar place and towards an unfamiliar one.

- (3) "æ.hi.hi!" pi↓, | le-↓bi↓-zo↓-kɣ↓ | tsu↓ | mɣ↓
 æ.hi.hi pi↓ le-↓ bi↓_c -zo↓ -kɣ↓ tsu↓ mɣ↓
 INTJ to_say ACCOMP to_go OBLIGATIVE ABILITIVE REP AFFIRM

‘[The mother, uncles, aunts and other relatives of the deceased wife’s family shout out a cry of defiance:] A-hi-hi! and they set off [towards the husband’s house]!’ (Sister1.81)

For want of a principled explanation for the tonal behaviour of these five verbs, it appeared best to set up a distinct synchronic tone category for them: M_c .

6.1.3 Adjectives as distinct from verbs

Adjectives behave in most respects like verbs, i.e. as stative verbs.

They nonetheless have some tonal specificities which require that they be recognized as a formally distinct class of words.

Four main tonal categories for monosyllabic adjectives were found: L, M, H, and MH. The L tone category must further be split into two subcategories, in the same way as for verbs. The L_b category only contains two examples: / $dʒx$ L_b / ‘good’ and / na L_b / ‘black, dark’. Details on their behaviour in context is provided in the course of this chapter.

Importantly, the MH category of adjectives and the MH category of verbs do not always have the same tonal behaviour: examples are provided in §6.4.3 below. Likewise, the L_a and L_b categories of adjectives are not fully parallel to the L_a and L_b categories of verbs in terms of their tonal behaviour. As for the M category of adjectives, no evidence was found for a division into subcategories corresponding to the M_a , M_b and M_c categories set up for verbs. These differences between the tone system of adjectives and that of verbs exemplifies the morphosyntactic ramifications of tone in Yongning Na.

There also exist disyllabic adjectives, such as / p^h v - $ɬw$ L / ‘expensive’, from / p^h v - L / ‘price’ and / $ɬw$ L_a / ‘large’, and / lo L - $ɬw$ L / ‘generous’, from / lo L / ‘hand’ and, again, / $ɬw$ L_a / ‘large’.

In view of the range of tone categories found on nouns, verbs and adjectives in Yongning Na, it is no wonder that they yield a wealth of diverse patterns when combined among themselves, and combined with grammatical morphemes. The structure of the Na verb phrase as schematized by Lidz (2010: 350–351) comprises: Manner adverb – Verb complex – Causative – Intensifier – Tense/aspect and modal particles, and auxiliary verbs – Quotative evidential. To these may be added spatial indications, such as ‘forward’/‘backward’ and ‘upward’/‘downward’. The verb complex may be a lexical verb, an existential verb, a copula, or a serial verb construction, which may take a verbal prefix, or two prefixes, in the case of

the DURATIVE prefix followed by the NEGATION prefix. The following sections explore the verb phrase's morphotonology. The topic of adverbials is not addressed in this chapter, because adverbials seldom interact with verbs; for a discussion, see §7.2.1.

6.2 Reduplication

Reduplication is a highly productive process in Yongning Na. From a semantic point of view, reduplication can convey various types of divergence from the prototype of the action or activity referred to by the verb. If the verb refers to an action, the reduplicated form can warp it towards representation as an activity, as in (4).

- (4) jo+lo|dzɔ| t^hi|-k^hu|~k^hu|. | hã|-lo|pɸ| t^hi|-k^hu|~k^hu|.

jo| lo|dzɔ| t^hi|- k^hu| ~ hã| lo|pɸ| t^hi|- k^hu|

jade bracelet DUR to_put ACTIVITY gold ring DUR to_put

~

ACTIVITY

'They adorned her with jade bracelets. They adorned her with gold rings.'
(BuriedAlive2.29. Context: a bride is being prepared for a wedding.)

The *simplex* form /jo+lo|dzɔ| t^hi|-k^hu|/ means 'to put a jade bracelet (on someone's wrist)'. It depicts a well-delimited, unique event: in the absence of a distinction between singular and plural, the interpretation of the noun phrase tends to be as singular, unless a numeral-plus-classifier phrase is added, as in (5).

- (5) jo+lo|dzɔ| |ni+|u+ t^hi+|-k^hu|

jo| lo|dzɔ| ni+ |u+ t^hi+|- k^hu|

jade bracelet DUR two CLF to_put

'to put two jade bracelets'

By contrast, the reduplicated form in (4) leads to a plural interpretation of the noun: the bride is adorned with jade bracelets and gold rings by family members. In the text from which (4) is extracted, verb reduplication emphasizes the generosity of the family members, who carefully go through the prescribed steps for the marriage ritual, giving generous measure of the prescribed offerings. This builds a contrast with later events, when the young woman, feeling estranged at her in-laws' home, becomes oblivious of good manners and lapses into anti-social behaviour (solitary gluttony).

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The use of reduplication illustrated by (4) is glossed as ACTIVITY. It represents the process denoted by the verb as an activity, rather than a neatly delimited event. The surface semantic effect is close to that of the PROGRESSIVE suffix /**dzo**/. For instance, the reduplicated form of /**zi**/ ‘to sleep’ in (6) could be replaced by a *simplex* form followed by the PROGRESSIVE suffix /-**dzo**/, as in (7).

- (6) hĩ | dũ-ta | le-zi | ~zi |
 hĩ | dũ-ta | le- | zi | ~
 person all ACCOMP to_sleep ACTIVITY
 ‘everyone was asleep’ (BuriedAlive2.94)

- (7) hĩ | dũ-ta | le-zi-dzo |
 hĩ | dũ-ta | le- | zi | -dzo |
 person all ACCOMP to_sleep PROG
 ‘everyone was asleep’

“When stative verbs reduplicate, one gets a reading of added intensity, while reduplicating non-stative verbs gives a reading of reciprocity of action, or a semantics of back-and-forth” (Lidz 2010: 373). An example is shown in (8), where the reduplicated adjective ‘pleased’ serves as an understatement for ‘in love’ (‘they were in love with each other’).

- (8) ʁo-da | no-by | -tsʰu | -la | | tsʰu = zu | fy | ~fy | ni |
 ʁo-da | no-by | -tsʰu | -la | | tsʰu = zu | fy | ~ | ni |
 before proper_name and 3DU pleased RECP CERTITUDE
 ‘Before [my daughter married your son]... **no-by | -tsʰu | -la** and [my daughter]... they used to like each other!’ (BuriedAlive2.136. Context: the unhappy wife’s mother explains to the husband’s mother what the matter is with her daughter.)

The online texts contain more than a thousand examples of reduplication. This volume about morphotonology is not the right place to exploit these rich materials to delve into the study of the semantic values of reduplication in Yongning Na, to study the “paradoxes of fragmentation” (François 2004) that arise through divergence from *simplex* values, or to look for semantic and stylistic common denominators to reduplication as it applies to different parts of speech.² Instead, the focus here is on the morphotonological patterns of reduplication.

² Some insights into these topics are found in Lidz (2010: 372-373, 385, 438, 440). Additionally, readers with a command of French may be interested in the observations about Naxi found in Michaud & Vaissière (2007a) (with apologies for the self-citation): many characteristics of reduplication in Na are shared with Naxi.

Table 6.3 presents the tone patterns of reduplicated verbs in Yongning Na. These correspondences hold for all the verbs for which a reduplicated form could be elicited, except an unexplained exception: ‘to detour past, to bypass’, //wɣɣ↓~wɣɣ↓// (surface form: /wɣɣ↓~wɣɣ↓/), whose tone does not correspond to any of the patterns found for the other verbs.

Table 6.3: The tone patterns of reduplicated verbs. S = surface-phonological, U = underlying.

tone	example	meaning	reduplication	tone: S	tone: U
H	dzɯ ↓	to eat	dzɯ ↓~ dzɯ #↓	M.M	#H
M _a	hwæ ↓ _a	to buy	hwæ ↓~ hwæ ↓	M.L	H°
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓~ tɕ^hi ↓	M.M	M
M _c	pɸ ↓ _c	to chant	pɸ ↓~ pɸ ↓	M.L	M
L _a	bæ ↓ _a	to sweep	bæ ↓~ bæ ↓	M.H	H#
L _b	zɰwɣ ↓ _b	to speak	zɰwɣ ↓~ zɰwɣ ↓	M.L	H°
MH	la ↓	to strike	la ↓~ la ↓	L.MH	LM+MH#

Table 6.4: Surface-phonological representation of reduplicated verbs in two different carrier phrases.

tone	example	meaning	ACCOMP+REDUPL	‘to V a little’
H	dzɯ ↓	to eat	le ↓- dzɯ ↓~ dzɯ ↓	ɕw ↓- dzɯ ↓~ dzɯ ↓-ɕ↓
M _a	hwæ ↓ _a	to buy	le ↓- hwæ ↓~ hwæ ↓	ɕw ↓- hwæ ↓~ hwæ ↓-ɕ↓
M _b	tɕ^hi ↓ _b	to sell	le ↓- tɕ^hi ↓~ tɕ^hi ↓	ɕw ↓- tɕ^hi ↓~ tɕ^hi ↓-ɕ↓
M _c	pɸ ↓ _c	to chant	le ↓- pɸ ↓~ pɸ ↓	ɕw ↓- pɸ ↓~ pɸ ↓-ɕ↓
L _a	bæ ↓ _a	to sweep	le ↓- bæ ↓~ bæ ↓	ɕw ↓- bæ ↓~ bæ ↓-ɕ↓
L _b	zɰwɣ ↓ _b	to speak	le ↓- zɰwɣ ↓~ zɰwɣ ↓	ɕw ↓- zɰwɣ ↓~ zɰwɣ ↓-ɕ↓
MH	la ↓	to strike	le ↓- la ↓~ la ↓	ɕw ↓- la ↓~ la ↓-ɕ↓

The tonal string that obtains in isolation is indicated in the “tone: S[urface]” column in Table 6.3. The underlying tone (indicated in the last column, and also used for transcribing the reduplicated expressions in full in the fourth column) was arrived at by examining the behaviour of reduplicated expressions in different contexts. (The corresponding recordings are VerbReduplObj and VerbRedu-

plObj2.) Table 6.4 sets out the tone patterns that obtain in the frames /le- V~V/ (ACCOMPLISHED prefix plus REDUPLICATION) and /ɖu- V~V -ɖ/ / ‘to V a little’ (/ɖu-/: DELIMITATIVE, /-ɖ/ : INCHOATIVE). The latter frame is one of the few contexts where M_a and M_c yield different results. Finally, Table 6.5 shows the result of associating the object /tso-~tso-/ ‘thing’ to a reduplicated verb.

Interpretation of the tone pattern for H-tone verbs is guided by the data in Table 6.4. The presence of a H tone on the last syllable of the expression /ɖu-ɖu-~ɖu-ɖ-ɖ/ ‘to eat a little’, from /ɖu/ ‘to eat’, suggests that the reduplicated expression contains a H tone. Since this H tone does not surface when the expression is said in isolation (where the reduplicated verb surfaces as /ɖu-~ɖu-/), the tonal category cannot be //H°// (an initial H tone), nor //H#// (a final H tone), nor //H\$// (a *gliding* H tone: see §2.3.2). On the other hand, its behaviour is consistent with interpretation as //#H// (a *floating* H tone), and this hypothesis is therefore chosen in Table 6.3.

The /M.L/ surface pattern in the reduplication of M_a -tone verbs (e.g. /hwæ-_a/ ‘to buy’ > /hwæ-~hwæ-/) could be the realization of various underlying tones, such as //L#// (a final L tone) or //H°// (a H tone associated to the first part of the reduplicated expression, i.e. its first syllable). Relevant evidence comes from the contexts shown in Table 6.4, where the first syllable of the reduplicated expression carries H tone, guiding towards an interpretation of the underlying pattern as //H°// and not //L#//.³

Reduplication of M_b -tone verbs is simplest: the reduplicated expression carries tone M in isolation (e.g. /tɕ^hi-_b/ ‘to sell’ > /tɕ^hi-~tɕ^hi-/, and it is not modified in the frames shown in Table 6.4 and Table 6.5. This strongly suggests an analysis of the reduplicated verb as carrying M tone.

Analysis for the remaining three tones is also simple. L_a tone reduplicates to a pattern with a H tone attached to its last syllable (see Table 6.3), hence analysis as //H#//. The analysis for L_b tone is identical to that for H set out above. Finally, the underlying tone //LM+MH#// postulated for the expression reduplicated from a MH-tone verb corresponds to its surface form, without any added complexities.

The relationship between the tones of monosyllabic verbs and that of reduplicated expressions is another matter. How come a final H tone is found in reduplicated L_a -tone verbs, for instance? How come MH-tone verbs get an initial L at reduplication? There is no phonological answer: the tone patterns found in reduplicated forms cannot be derived from those of the simple forms by any simple set of rules. The correspondences between simple and reduplicated tones

³ An initial H tone can never surface as such, due to the neutralization of //H// and //M// in tone-group-initial position: this is referred to as Rule 3 in §7.1.1.

Table 6.5: Reduplicated verbs with the #H-tone object ‘things’.

tone	example	meaning	reduplication	‘things’+reduplicated V
H	dzɯ ↓	to eat	dzɯ ↓~ dzɯ #↓	tso ↓~ tso ↓ dzɯ ↓~ dzɯ ↓
M _a	hwæ ↓ _a	to buy	hwæ ↓~ hwæ ↓	tso ↓~ tso ↓ hwæ ↓~ hwæ ↓
M _b	tɕ ^{hi} ↓ _b	to sell	tɕ ^{hi} ↓~ tɕ ^{hi} ↓	tso ↓~ tso ↓ tɕ ^{hi} ↓~ tɕ ^{hi} ↓
L _a	bæ ↓ _a	to sweep	bæ ↓~ bæ ↓	tso ↓~ tso ↓ bæ ↓~ bæ ↓
L _b	zɯ ɣ↓ _b	to speak	zɯ ɣ↓~ zɯ ɣ↓	tso ↓~ tso ↓ zɯ ɣ↓~ zɯ ɣ↓
MH	la ↓	to strike	la ↓~ la ↓	tso ↓~ tso ↓ la ↓~ la ↓

need to be learnt individually; they constitute a component of the tonal grammar of Yongning Na.

In Naxi, reduplication likewise lacks a clear phonological pattern: H reduplicates to H.M, M to M.M, and L to M.L (Hé 1987: 10-11). These patterns can be analyzed as originating diachronically in total reduplication: H > H.H, M > M.M, L > L.L (Michaud & Vaissière 2007b). In Yongning Na, on the other hand, no such simple diachronic scenario can be proposed. This is an area where data from neighbouring dialects seems necessary for progress in the analysis.

Disyllabic verbs are rare. Only one case of reduplication was observed: /sɣ↓~sɣ↓**ɖ**ɣ↓~**ɖ**ɣ↓/ ‘pensively; with a heavy heart’ (Reward.49), from /sɣ↓**ɖ**ɣ↓/ ‘to think; to miss’. This looks like a unique creation, rather than the result of a productive pattern: it is the only example of a correspondence between a M tone on the *simplex* form and a MH# tone on the reduplicated expression.

6.3 Prefixes

6.3.1 M-tone prefixes

The prefixes described in this section can be interpreted either as M-tone prefixes, or as toneless prefixes that receive M by default; no evidence was found that they are specified for tone. They are referred to as M-tone prefixes for convenience.

The most common verbal prefixes carrying M tone are /**mɣ**↓-/ NEGATION, /**t^hɔ**↓-/ PROHIBITIVE, /**t^hi**↓-/ DURATIVE, and /**le**↓-/ ACCOMPLISHED.

The ACCOMPLISHED prefix **le**³³- is used to give a reading of accomplishment to a verb with lexical aspect of ongoing state, process, or liminality. (...) The DURATIVE prefix **t^hɔ**³³- [in the dialect studied in this volume: /t^hi↓/] is used

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to give a reading of ongoing action to verbs with lexical aspect of process or liminality. (Lidz 2010: 345)

These prefixes all have the same behaviour (apart from the exceptional case of M_c -tone verbs in association with /le-/, as reported in §6.1.2). A less common prefix, /mɣ-/, conveying imminence, has a behaviour of its own, described further below.

Table 6.6 presents the tonal behaviour of the most common prefixes. With a view to ease of reference, redundant data is provided for three different prefixes: DURATIVE, PROHIBITIVE, and NEGATION, all with identical tone patterns. Some of the combinations are found in the following online recordings: VerbProhib; VerbProhib2; VerbDurative; and AccomPfv.

Table 6.6: The tones of verbs in association with a M-tone prefix.

tone	example	meaning	DURATIVE	PROHIBITIVE	NEGATION
H	dzu ↓	to eat	t^hi ↓- dzu ↓	t^ha ↓- dzu ↓	mɣ ↓- dzu ↓
M_a	hwæ ↓ _a	to buy	t^hi ↓- hwæ ↓	t^ha ↓- hwæ ↓	mɣ ↓- hwæ ↓
M_b	tɕ^hi ↓ _b	to sell	t^hi ↓- tɕ^hi ↓	t^ha ↓- tɕ^hi ↓	mɣ ↓- tɕ^hi ↓
M_c	pɣ ↓ _c	to chant	t^hi ↓- pɣ ↓	t^ha ↓- pɣ ↓	mɣ ↓- pɣ ↓
L_a	bæ ↓ _a	to sweep	t^hi ↓- bæ ↓	t^ha ↓- bæ ↓	mɣ ↓- bæ ↓
L_b	zɰwɣ ↓ _b	to speak	t^hi ↓- zɰwɣ ↓	t^ha ↓- zɰwɣ ↓	mɣ ↓- zɰwɣ ↓
MH	la ↑	to strike	t^hi ↓- la ↑	t^ha ↓- la ↑	mɣ ↓- la ↑

After a M-tone prefix, the lexical tones M, H, L and MH have a straightforward realization. The subcategories M_a and M_b are neutralized in this context; likewise for L_a and L_b .

Table 6.7 sets out the facts for the ACCOMPLISHED prefix /le-/ in association with the perfective, /-ze-/, or the morpheme indicating completion: /-se↓/. Table 6.8 lists the patterns that obtain when the verb is followed by the CAUSATIVE and CERTITUDE morphemes.

The data in Table 6.8 shows that the expressions with surface M tones, exemplified by /le-**hwæ**↓/ and /le-**tɕ^hi**↓/, do not carry floating H tone, otherwise the following morpheme, // -**ɲi**↓ // (conveying CERTITUDE), would surface with H tone. The data also offers a new illustration of the behaviour that is characteristic of the MH and H tones, respectively illustrated by /le-**la**↑/ and /le-**dzu**↓/. For MH-tone verbs in this construction, the M tone remains on the syllable to which it is lexically associated, and its H part is projected to the next syllable (the

Table 6.7: Tone patterns in constructions consisting of verbs with the ACCOMPLISHED M-tone prefix and a PERFECTIVE or COMPLETION morpheme.

tone	example	meaning	ACCOMP	ACCOMP+V+PFV	ACCOMP+V+COMPLETION
H	dzɯ ↓	to eat	le ↓- dzɯ ↓	le ↓- dzɯ ↓- ze ↓	le ↓- dzɯ ↓- se ↓
M _a	hwæ ↓ _a	to buy	le ↓- hwæ ↓	le ↓- hwæ ↓- ze ↓	le ↓- hwæ ↓- se ↓
M _b	tɕ ^{hi} ↓ _b	to sell	le ↓- tɕ ^{hi} ↓	le ↓- tɕ ^{hi} ↓- ze ↓	le ↓- tɕ ^{hi} ↓- se ↓
M _c	bi ↓ _c	to go	le ↓- bi ↓	le ↓- bi ↓- ze ↓	le ↓- bi ↓- se ↓
L _a	bæ ↓ _a	to sweep	le ↓- bæ ↓	le ↓- bæ ↓- ze ↓	le ↓- bæ ↓- se ↓
L _b	zɯɣ ↓ _b	to speak	le ↓- zɯɣ ↓	le ↓- zɯɣ ↓- ze ↓	le ↓- zɯɣ ↓- se ↓
MH	la ↑	to strike	le ↓- la ↑	le ↓- la ↑- ze ↓	le ↓- la ↑- se ↓

Table 6.8: Tone patterns in constructions consisting of verbs with the ACCOMPLISHED M-tone prefix and CAUSATIVE+CERTITUDE morphemes.

tone	example	meaning	ACCOMP	ACCOMP+V+CAUS+CERTITUDE
H	dzɯ ↓	to eat	le ↓- dzɯ ↓	le ↓- dzɯ ↓- tsæ ↓- ɲi ↓
M _a	hwæ ↓ _a	to buy	le ↓- hwæ ↓	le ↓- hwæ ↓- tsæ ↓- ɲi ↓
M _b	tɕ ^{hi} ↓ _b	to sell	le ↓- tɕ ^{hi} ↓	le ↓- tɕ ^{hi} ↓- tsæ ↓- ɲi ↓
M _c	pɣ ↓ _c	to chant	le ↓- pɣ ↓	le ↓- pɣ ↓- tsæ ↓- ɲi ↓
L _a	bæ ↓ _a	to sweep	le ↓- bæ ↓	le ↓- bæ ↓- tsæ ↓- ɲi ↓
L _b	zɯɣ ↓ _b	to speak	le ↓- zɯɣ ↓	le ↓- zɯɣ ↓- tsæ ↓- ɲi ↓
MH	la ↑	to strike	le ↓- la ↑	le ↓- la ↑- tsæ ↓- ɲi ↓

CAUSATIVE). (This is obligatorily followed by L tone on the CERTITUDE suffix, due to Rule 4: “A syllable following a H-tone syllable receives L tone”. See §7.1.1.) In the case of H-tone verbs, the H tone no longer appears on the syllable to which it is lexically associated. Instead it associates to the CAUSATIVE, resulting in a surface-phonological output that is identical to that for MH tone.

A related set of facts is presented in Table 6.9: the construction V-NEG-V, as in example (9).

(9) ɲi↓ mɣ↓-ɲi↓, | mɣ↑-ɲɣ↑!

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ni↓ mɣ↓- ni↓ mɣ↓- ɲy↓
 COP NEG COP NEG to_know/to_get_to_know
 ‘Whether it is actually the case... we don’t know!’ (Context: two persons discuss what a third person has said.)

4

Table 6.9: Tone patterns of the V-NEG-V construction.

tone	example	meaning	V NEG V	V NEG V
H	dzu↓	to eat	dzu↓ mɣ↓-dzu↓	dzu↓ mɣ↓-dzu↓
M _a	hwæ↓_a	to buy	hwæ↓ mɣ↓-hwæ↓	hwæ↓ mɣ↓-hwæ↓
M _b	tɕ^hi↓_b	to sell	tɕ^hi↓ mɣ↓-tɕ^hi↓	tɕ^hi↓ mɣ↓-tɕ^hi↓
M _c	pɸ↓_c	to chant	pɸ↓ mɣ↓-pɸ↓	pɸ↓ mɣ↓-pɸ↓
L _a	gu↓_a	to be true	gu↓ mɣ↓-gu↓	gu↓ mɣ↓-gu↓
L _b	zɰɣ↓_b	to speak	zɰɣ↓ mɣ↓-zɰɣ↓	zɰɣ↓ mɣ↓-zɰɣ↓
MH	la↑	to strike	la↑ mɣ↓-la↓	la↑ mɣ↓-la↓

As mentioned at the outset of this section, the prefix /mɣ↓-/, conveying imminence, is infrequent; only one example is found in the first twenty-five transcribed narratives. The consultant was not comfortable pairing this prefix with verbs into a disyllabic expression, and proposed instead the three constructions shown in Table 6.10: DUR-IMMINENCE-V /t^hi↓-mɣ↓-V/, IMMINENCE-V-IMM_FUT /mɣ↓-V-bi↓/, and a construction which the consultant volunteered as a complement, in antonymic relationship to the other two: /le↓-mɣ↓-V/, ‘does not V’.

The results for H- and MH-tone verbs are far from trivial. As with other systematically elicited combinations, it appears safer to wait until further confirmation can be obtained (ideally from texts) before attempting an interpretation.

⁴ Two variants are possible, the one integrated into one single tone group, as in (9), the other divided into two groups: /ni↓ | mɣ↓-ni↓, | mɣ↓-ɲy↓!/. (same meaning and morphemic composition as (9)). In the latter case, the first syllable has the same tone as in isolation, and the negated form has the tone indicated in Table 6.6 above. These V-NEG-V constructions are typically followed by /mɣ↓-ɲy↓/ ‘[I/we] don’t know’ or /mɣ↓-do↓/ ‘[we] don’t know/can’t see for ourselves’, sometimes with focalization of the V NEG-V portion, e.g. /hwæ↓ mɣ↓-hwæ↓ F | mɣ↓-do↓/ ‘[I/we] don’t know whether [they] bought [it/some] or not’. (About intonational focalization, transcribed as ‘F’, see §8.3.2.) In addition to the elicited data in Table 6.9, there are some examples in texts, e.g. in BuriedAlive3.133, Seeds2.85 and Dog59.

Table 6.10: Tone patterns of verbs with the prefix /mɤ+/, and related constructions.

tone	example	meaning	mɤ+V-bi+	t ^{hi} +mɤ+V	le+mv+V
H	dzɯ ⌊	to eat	mɤ+dzɯ+bi+	t ^{hi} +mɤ+dzɯ+	le+mv+dzɯ+
M _a	hwæ ⌊ _a	to buy	mɤ+hwæ+bi+	t ^{hi} +mɤ+hwæ+	le+mv+hwæ+
M _b	tɕ^hi ⌊ _b	to sell	mɤ+tɕ ^h i+bi+	t ^{hi} +mɤ+tɕ ^h i+	le+mv+tɕ ^h i+
M _c	pɸ ⌊ _c	to chant	mɤ+pɸ+bi+	t ^{hi} +mɤ+pɸ+	le+mv+pɸ+
L _a	bæ ⌊ _a	to sweep	mɤ+bæ+bi⌋	t ^{hi} +mɤ+bæ⌋	le+mv+bæ⌋
L _b	zɯɣ ⌋ _b	to speak	mɤ+zɯɣ+bi⌋	t ^{hi} +mɤ+zɯɣ⌋	le+mv+zɯɣ⌋
MH	la ⌋	to strike	mɤ+la⌋-bi⌋	t ^{hi} +mɤ+la⌋	le+mv+la⌋

6.3.2 L-tone prefixes

The interrogative /ə⌋-/ illustrates the case of L-tone prefixes. The interrogative is segmentally bleached, consisting of a neutral vowel undergoing strong regressive vowel harmony. From a tonal point of view, on the other hand, it has a specification of its own. Table 6.11 sets out the facts.

Table 6.11: The tones of verbs in association with a L-tone prefix.

tone	example	meaning	interrogative
H	dzɯ ⌊	to eat	ə⌋-dzɯ⌊
M _a	hwæ ⌊ _a	to buy	ə⌋-hwæ+
M _b	tɕ^hi ⌊ _b	to sell	ə⌋-tɕ ^h i+
M _c	pɸ ⌊ _c	to chant	ə⌋-pɸ+
L _a	bæ ⌊ _a	to sweep	ə⌋-bæ⌋
L _b	zɯɣ ⌋ _b	to speak	ə⌋-zɯɣ⌋
MH	la ⌋	to strike	ə⌋-la⌋

The realizations of tones M and L after a L-tone prefix reflect the lexical tones in a straightforward way. That of tones H and MH, on the other hand, is non-trivial: both have the same pattern, in which the tone of the prefix is raised to M, and the verb carries H. Such cases of modification of a tone by a following morpheme are scarce in Yongning Na: tone modification tends to be progressive (unlike vowel harmony, a phonetic tendency which works in the other direction: see

§12.3.7). An observation – which does not amount to an explanation – is that if the interrogative particle were realized with its lexical L tone in all cases, then the contrast between M and H verbs would be neutralized, since //LM// and //LH// contours are neutralized (to /LH/) in the surface-phonological form. However, this observation does not shed any light on the fact that the interrogative particle carries M tone in front of a //MH//-tone verb. The sequence /L.MH/ is well-formed, so there is no phonological reason why the interrogative followed by a //MH//-tone verb should not yield /L.MH/: †əJ-ɪɑʔ (intended meaning: ‘does (s)he strike’), for instance. The origin of the raising of the interrogative morpheme’s L tone in front of H-tone and MH-tone verbs constitutes a diachronic puzzle. (Some observations about the dynamics of the tone system are set out in Chapter 9.)

6.3.3 The marking of spatial orientation on verbs

Extensive marking of orientation on verbs is found in Rgyalrongic, and in Qiangic at large. Rgyalrongic languages “have a whole array of verbal orientation prefixes, which are obligatorily present on all perfective and imperative verb forms” (Sun 2000: 180; see also Lin 2002, and Jacques 2011b on Tangut). This conspicuous characteristic is sometimes awarded the status of criterion for phylogenetic classification, e.g. in proposals by Matisoff (2004: 105). Cross-dialect and cross-language differences reveal that orientation systems are no less prone to change than other areas of a language’s structure, however. The three distinct pairs of directions described by J. Sun are: eastward (i.e. in the direction of the rising sun) vs. westward; upstream vs. downstream; and uphill (upward) vs. downhill (downward). The system found in Shixing comprises two productive pairs of (non-obligatory) orientation prefixes, only one of which corresponds semantically with the Rgyalrongic system: upward vs. downward, the other being inward vs. outward. Shixing also displays traces of a third pair: hence/hither and forth/thither, found in a set construction meaning ‘to V back and forth’ (Chirkova 2009).

Under the hypothesis that directional prefixes have great historical depth in Sino-Tibetan, Naish must be hypothesized to have lost them. In Naish, topographically-based spatial deixis is not marked through an obligatory prefix on verbs. Indications of orientation, such as /mɤ̃.tɕoʔ/ downward in (10), may more properly be called orientation adverbials.

(10) mɤ̃.tɕoʔ mɤ̃-ɦuʔ

mɤ|tɕo| mɤ|- hu|
downward NEG to_go.PST

‘[The dog, who had come to sit on the wooden platform close to the fire pit, where dogs are not allowed] did not/would not get down!’ (Example from a discussion about Sister3.22.)

The only monosyllabic indications of orientation in common use, for which one could claim the status of prefixes, are /gɤ|-/ ‘upward’ and /mɤ|-/ ‘downward’. For instance, /sɔ|/ ‘to reap, to gather in’ can be used in association with /gɤ|-/ ‘upward’, to mean ‘to reap in, to bring back to the house and into the granary’: /gɤ|sɔ|/. These monosyllabic prefixes also appear as part of set constructions, such as /gɤ|V | mɤ|V/, as in (11):

- (11) tʂ^hu|ne|-ji| | gɤ|-da|, | mɤ|-da|, | gɤ|-da|, | mɤ|-da|, | (...) qu|-so| sɯ|
ji| tsu| mɤ|! |
tʂ^hu|ne|-ji| gɤ|- da| mɤ|- da| qu|-so| sɯ|
thus upward strike downward strike several times
ji| tsu| mɤ|
to_do REP AFFIRM

‘He would give blows high and low (= hither and thither), again and again! / He would strike blows in all directions, again and again!’ (Healing.38. Context: an exorcist is performing a ritual.)

In this context, the prefixes retain to some extent their literal meaning of ‘upward’ and ‘downward’: the exorcist’s blows with his sword are aimed high up, then down (close to the ground), and so on. But in this construction, the spatial indications take up a broader meaning, summoning up the swift, dance-like movements of the exorcist fighting with an invisible cohort of demons surrounding him. Repetition of the prefixed verb (/gɤ|-da|, | mɤ|-da|, | gɤ|-da|, | mɤ|-da|/) participates in the same loosening of the exact indication of spatial orientation, yielding a meaning of ‘to and fro’ or ‘in all directions’ rather than ‘up and down’.

When an orientation prefix is separated from the verb by other prefixes, it can constitute a tone group on its own, as in (12):

- (12) ... gɤ| | le|-tʂ^ho|-se|-dzo| | t^hi| ...
gɤ|- le|- tʂ^ho| -se| -dzo| t^hi|
upward ACCOMP to_pray COMPLETION TOP then

‘after one has prayed [literally: prayed up (to the ancestors)]’ (Dog2.54)

Judging from available texts, monosyllabic /gɤ|-/ ‘upward’ is more frequent than /mɤ|-/ ‘downward’. Both are in competition with disyllabic /gɤ|tɕo|/ ‘up-

ward’ and /mɿJtɕo-/ ‘downward’, which are generally preferred. The tonal behaviour of the two prefixes, /gɿJ-/ ‘upward’ and /mɿJ-/ ‘downward’), is identical. It is shown in Table 6.12a.

Table 6.12a: The tonal behaviour of verbs after indications of spatial orientation: monosyllabic prefixes.

tone of prefix	tone of verb					
	M _a	M _b	H	L _a	L _b	MH
L	L.M+M	L.M+M	L.H	L.H	L.H	L.MH

‘Leftward’ and ‘rightward’ are expressed by disyllabic /ɿwæ-tɕi#ŋ/ or /ɿwæ-ŋoŋ/ ‘to the left’, and /joJɕi/ or /joJlo/ ‘to the right’. Monosyllabic forms are tentatively labelled here as prefixes (and transcribed accordingly: with a following hyphen) and disyllabic forms as adverbials, but in the absence of language-internal criteria to distinguish the two, the divide is not as clear as this choice of terms would suggest. From a tonal point of view, orientation prefixes interact with the following verb, and are therefore considered to belong in the same tone group.

Orientation adverbials can likewise interact with the verb, but they usually constitute a tone group on their own, as discussed in §7.2.1.

The tonal behaviour of disyllabic orientation adverbials is shown in Table 6.12b. The data is from the following adverbials: /ɿwæ-tɕi#ŋ/ and /ɿwæ-ŋoŋ/ ‘to the left’; /joJɕi/ and /joJlo/ ‘to the right’; /ɿo-tɕa/ ‘forward, to the front’; /ɿo-tʰoŋ/ ‘backward, to the back’; and /fo-ta/ ‘to the side’. The example verbs used are /seŋ/ ‘to walk’, /li-ta/ ‘to look’, /tsi-tb/ ‘to set, to install’, /bi-tc/ ‘to go’, kwɿJ-ta/ ‘to throw’, /t-tb/ ‘to turn’, and /pʰæ/ ‘to shove, to push away’. The tone indicated after a ‘+’ sign is that carried by the PERFECTIVE /-ze-/ as it appears after the directional +verb combination at issue. For example, the notation L.M+H for the combination of /gɿJ-/ ‘upward’ and a verb with M_b tone indicates that the pattern is /gɿJ-tɕi-tb/, /gɿJ-tɕi-tb-zeŋ/ ‘to set in an upward direction’. As elsewhere, this information is only provided in cases where the tone of the PERFECTIVE /-ze-/ cannot be obtained through the application of the seven tone rules (recapitulated in Chapter 7): for instance, L.H can only be followed by L, by virtue of Rule 4 (“A syllable following a H-tone syllable receives L tone”), so the information provided in the table for a combination such as /gɿJ-seŋ/ ‘to walk upward’ is simply L.H, not L.H+L.

The original data, together with recordings, is found in the online document SpatialOrientation; the verb /bi-tc/ ‘to go’ was accidentally omitted in the record-

Table 6.12b: The tonal behaviour of verbs after indications of spatial orientation: disyllabic orientation adverbials.

tone of prefix	tone of verb						
	H	M _a	M _b	M _c	L _a	L _b	MH
M	M.M.M+L	M.M.M+M	M.M.M+L	M.M.M+M	M.M.L	M.M.L	M.M.MH
#H	M.M.M+L	M.M.L	M.M.M+L	M.M.M+L	M.M.H	M.M.H	M.M.L
L	L.L.L	L.L.H	L.L.H / L.L.L	L.L.L	L.L.H	L.L.H	L.L.H
L#	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L
LM	L.M.M+L	L.M.M+M	L.M.M+M	L.M.M+M	L.M.L	L.M.L	L.M.MH
H#	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L

ing. This verb can combine with the disyllabic orientation adverbials, but not with monosyllabic /gɣ-/ ‘upward’ and /mɣ-/ ‘downward’. The data is: /ʁoɫdaɫ biɫ(-zeɫ)/ ‘to go forward’, /ɣwæɫgiɫ biɫ(-zeɫ)/ ‘to go to the left’, /joɭloɭ biɫ/ ‘to go to the right’ (the variant †joɭloɭ biɫ is not acceptable), /ʁoɫtʰoɭ biɫ/ ‘to go backward’, and /gɣɫtɕoɫ biɫ(-zeɫ)/ ‘to go upward’.

A further complexity is that the behaviour of /aɭpʰoɭ/ ‘outside’ is not fully identical with that of /joɭgiɫ/ and /joɭloɭ/ ‘to the right’ – spatial indications that have the same lexical tone. In association with /tʰɣɫa/ ‘come out’, /aɭpʰoɭ/ ‘outside’ yields /aɭpʰoɭ tʰɣɫ/ ‘to go outside, to get outside’, instead of the expected /†aɭpʰoɭ tʰɣɫ/. The latter form is not acceptable as a variant.

Closer examination of this issue reveals a further oddity: different verbs that belong in the same tonal category, M_a , have different tone patterns when associated with /aɭpʰoɭ/ ‘outside’. ‘To look outside’ (from /liɫa/ ‘to look’) is /aɭpʰoɭ liɫ/, and †aɭpʰoɭ liɫ is not an acceptable variant. The verb /tʰɣɫa/ ‘come out’ is an outlier: it is the only M_a -tone verb yielding a L.L.L tone pattern.

In view of the fact that L.L.L and L.L.H are both acceptable variants for /joɭgiɫ/ and /joɭloɭ/ ‘to the right’ followed by a M_a -tone verb, one can venture the speculation that the same pattern of variation once existed for /aɭpʰoɭ/ ‘outside’. Under this hypothesis, the L.L.L variant must have become dominant for ‘to go outside, to get outside’, to the extent that the form /aɭpʰoɭ tʰɣɫ/ came to be regarded as the only correct one.

But even if one chooses to treat the combination /aɭpʰoɭ tʰɣɫ/ ‘to go outside, to get outside’ as a lexicalized oddity, the behaviour of /aɭpʰoɭ/ ‘outside’ is still different from that of /joɭgiɫ/ and /joɭloɭ/ ‘to the right’: see Table 6.13. Here again, knowledge of the input tones is not sufficient to generate the tone patterns.

Table 6.13: The tonal behaviour of verbs in association with /aɭpʰoɭ/ ‘outside’.

tone of verb	example	meaning of verb	tone pattern
H	aɭpʰoɭ seɫ	to walk	L.L.L
M_a	aɭpʰoɭ liɫ	to look	L.L.H
M_a (exceptional)	aɭpʰoɭ tʰɣɫ	to get/go	L.L.L
M_b	aɭpʰoɭ hõɫ	to go.IMPERATIVE	L.L.L
M_c	aɭpʰoɭ biɫ	to go	L.L.H
L_a	aɭpʰoɭ kwɣɫ	to throw	L.L.H
L_b	aɭpʰoɭ pʰɣɫ	to move around	L.L.H
MH	aɭpʰoɭ ziɫ	to sleep	L.L.H

The interrogative /zo.lqo/ ‘where’ has the same behaviour as /gɾ.ltɕo/ ‘upward’ and /mɿ.ltɕo/ ‘downward’, as shown in Table 6.14. In association with /zo.lqo/ ‘where’, the verb /tʰɿ/, whose association with /a.lpʰo/ ‘outside’ yields an unexpected pattern (see Table 6.13), is not any different from the other M_a-tone verbs, yielding /zo.lqo tʰɿ(-ze-)/.

Table 6.14: The tonal behaviour of verbs in association with /zo.lqo/ ‘where’, with added information about a following PERFECTIVE morpheme.

tone of verb	example	meaning of verb	tone pattern
H	zo.lqo se(-ze)	to walk	L.M.M+L
M _a	zo.lqo ʂe(-ze)	to look for	L.M.M+M
M _b	zo.lqo pʰæ(-ze)	to attach, to fasten	L.M.M+M
M _c	zo.lqo hu(-ze)	to go.PST	L.M.M+M
L _a	zo.lqo dzi	to sit; to live	L.M.L
L _b	zo.lqo ɿ	to turn toward	L.M.L
MH	zo.lqo la	to strike, to hit	L.M.MH

6.3.3.1 On the morphosyntactic analysis of locative constituents

In principle, the tonal behaviour of locative constituents in Yongning Na could shed light on their morphosyntactic treatment. Various treatments are attested cross-linguistically. For instance, in Central Bantu agreement with the verb reveals a typologically uncommon pattern: “a locative NP in preverbal position can be analyzed as the grammatical subject” (Creissels 2011: 34), whereas in Northern Sotho, in the absence of such evidence, the construction is better analyzed as “an impersonal construction with a preposed locative constituent” (Zerbian 2006b).

The tone patterns presented above are not fully identical with those of any other construction, however. In particular, they differ from subject-plus-verb constructions as well as from object-plus-verb constructions.

Let us now turn from pre-verbal elements to post-verbal elements.

6.4 Monosyllabic postverbal morphemes

Verbs appear after their object. They can be followed by various morphemes, such as suffixes,⁵ serialized verbs, postpositions, and discourse particles, which will be referred to here by the cover term ‘postverbal morphemes’.

In this volume, the emphasis is placed on tonal behaviour; from this point of view, a key fact is that not all morphemes following verbs have the same tonal behaviour, suggesting that they have lexical tones of their own. This raises the issue of their underlying tone categories, as for nouns and verbs.

Precious hints are provided by cases where a postverbal morpheme can straightforwardly be identified as originating in a lexical verb. For instance, the morpheme indicating completion, /-seJ/, is clearly a grammaticalized use of the verb /seJ/ ‘to finish, to complete’. The tone category of the morpheme can then be taken to be identical to that of the verb.

Monosyllabic elements will be discussed first, before getting on to disyllabic postpositions and combinations between affixes.

6.4.1 L-tone postverbal morphemes

6.4.1.1 Main facts

As mentioned a few lines above, the morpheme indicating completion, /-seJ/, is a grammaticalized use of the verb /seJ/ ‘to finish, to complete’. Its tone is therefore taken to be L. Since its tonal behaviour is identical to that of the DESIDERATIVE morpheme, the same tonal label can be applied to the latter, interpreting it as /-hoJ/. Data is shown in Table 6.15, also including data for the morpheme /-suJ/ ‘yet’ (in the negative construction ‘not yet’). Other items belonging to the same class (L tone) include the INCHOATIVE, /-tJ/, and the morpheme /-dzeJ/ ‘to remain; to be left over’. The latter is only observed in /dzu-t-dzeJ/ ‘left over after eating, 吃剩的’ and /t^huJ-dzeJ/ ‘left over after drinking, 喝剩的’.

⁵ It has been proposed, on the basis of data from the Luoshui dialect, that “[s]uffixation is not attested on verbs in Na” (Lidz 2010: 349); for the dialect under description here, it appears easiest to recognize a few postverbal morphemes as suffixes, e.g. the PERFECTIVE /-zeJ/ and the NOMINALIZER /-diJ/. In transcriptions, a hyphen is also used in cases where a verb’s grammaticalized use appears distinct enough from the verb’s original meaning to warrant separate recognition, for instance the IMMEDIATE FUTURE, /-biJ/, grammaticalized from the non-imperative form of ‘to go’, /biJ/. There is no hard-and-fast boundary, and the decision to treat a morpheme as a serialized verb or a suffix can be difficult. My use of hyphens fluctuated in the years of preparation of this volume, and there remain some inconsistencies in the transcriptions of texts.

Table 6.15: The patterns of L-tone tense-aspect-mood morphemes.

tone	example	meaning	COMPLETION	DESIDERATIVE	not yet
H	dzɯ ↓	to eat	dzɯ ↓-se↓	dzɯ ↓-ho↓	mɣ ↓- dzɯ ↓-su↓
M _a	hwæ ↓ _a	to buy	hwæ ↓-se↓	hwæ ↓-ho↓	mɣ ↓- hwæ ↓- su↓
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓-se↓	tɕ^hi ↓-ho↓	mɣ ↓- tɕ^hi ↓-su↓
M _c	pɸ ↓ _c	to chant	pɸ ↓-se↓	pɸ ↓-ho↓	mɣ ↓- pɸ ↓-su↓
L _a	bæ ↓ _a	to sweep	bæ ↓-se↓	bæ ↓-ho↓	mɣ ↓- bæ ↓-su↓
L _b	zɰɣɣ ↓ _b	to speak	zɰɣɣ ↓-se↓	zɰɣɣ ↓-ho↓	mɣ ↓- zɰɣɣ ↓-su↓
MH	la ↓	to strike	la ↓-se↓	la ↓-ho↓	mɣ ↓- la ↓-su↓

6.4.1.2 A nominalizing suffix

“**di**³³ ‘earth; place’ grammaticalized into a locative nominalizer, and then further grammaticalized into a purposive nominalizer” (Lidz 2010: 184). This nominalizing morpheme /-**di**↓/ is analyzed as having L tone in the dialect studied here on the basis of its behaviour after M-tone verbs. It behaves differently from the L-tone morphemes discussed in the previous paragraph: /**dzɯ**↓-**di**↓/ ‘food, things for eating’ vs. /**dzɯ**↓-**ho**↓/ ‘will eat’; /**ʈ^hæ**↓-**di**↓/ ‘thing to bite (e.g. toy given to teething babies)’ vs. /**ʈ^hæ**↓-**ho**↓/ ‘will bite’. This observation is taken as confirmation that the nominalizing morpheme is to be analyzed as a suffix, belonging in a morphotonological class that is distinct from that of serialized verbs. The data is set out in Table 6.16.

Table 6.16: The tonal behaviour of the nominalizing suffix /-**di**↓/.

tone	example	meaning	nominalizer	meaning
H	dzɯ ↓	to eat	dzɯ ↓- di ↓	thing to eat, food
M _a	hwæ ↓ _a	to buy	hwæ ↓- di ↓	thing to buy, product
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓- di ↓	thing to sell, commodity
M _c	pɸ ↓ _c	to chant	pɸ ↓- di ↓	thing to chant, ritual
L _a	dze ↓ _a	to cut	dze ↓- di ↓	thing to cut, e.g. knife
L _b	ʈ^hu ↓ _b	to drink	ʈ^hu ↓- di ↓	thing to drink, beverage
MH	ʈ^hæ ↓	to bite	ʈ^hæ ↓- di ↓	thing to bite (for infant, dog...)

As an aside, consultant M21 has different tone patterns for the L tone: L.H (e.g. /dze↓-di↑/ and /tʰu↓-di↑/), and not L.L. This could result from analogy with the L-tone morphemes described in §6.4.1.1, such as the morpheme indicating completion, /-se↓/, which takes H tone after a L-tone verb.

6.4.2 M-tone postverbal morphemes

The IMPERATIVE, /-hō↑/, is grammaticalized from the imperative form of the verb ‘to go’, /hō↑/; and the IMMEDIATE FUTURE, /-bi↑/, from the non-imperative form of ‘to go’, /bi↑c/. These two morphemes are interpreted as having M tone, like the corresponding verbs. They always have the same tonal behaviour, as does the experiential /-dzū↑/; data is shown in Table 6.17. To preview observations set out in §6.6.1, another test confirms that these morphemes carry a lexical M tone: after the NEGATION prefix, they surface with a M tone. Other morphemes with the same tonal behaviour include /-djo↑/ ‘must, have to’, the VOLITIVE /-tso↑/, the OBLIGATIVE /-zo↑/, and the CAUSATIVE /-tsæ↑/.

Table 6.17: The tonal behaviour of M-tone morphemes.

tone	example	meaning	IMM_FUT	experiential	imperative	analysis
H	dzu↓	to eat	dzu↑-bi↑	dzu↑-dzū↑	dzu↑-hō↑	M
M _a	hwæ↑ _a	to buy	hwæ↑-bi↑	hwæ↑-dzū↑	hwæ↑-hō↑	M
M _b	tç ^h i↑ _b	to sell	tç ^h i↑-bi↑	tç ^h i↑-dzū↑	tç ^h i↑-hō↑	M
M _c	pɸ↑ _c	to chant	pɸ↑-bi↑	pɸ↑-dzū↑	pɸ↑-hō↑	M
L _a	bæ↓ _a	to sweep	bæ↓-bi↓	bæ↓-dzū↓	bæ↓-hō↓	L
L _b	zɰɣ↓ _b	to speak	zɰɣ↓-bi↓	zɰɣ↓-dzū↓	zɰɣ↓-hō↓	L
MH	la↑	to strike	la↑-bi↑	la↑-dzū↑	la↑-hō↑	H#

In addition to the surface-phonological forms, Table 6.17 proposes an analysis of the underlying tone, in the last column. This analysis is based on the tone patterns when the CAUSATIVE /-tsæ↑/ is added after the IMMEDIATE FUTURE /-bi↑/, as shown in Table 6.18. The copula, in its use to convey CERTAINTY, was also added, as a further test to reveal the underlying tonal categories. In every case, the copula carries L, i.e. its lexical tone. This shows that there is no floating H tone in any of the verb phrases. The first three expressions are therefore interpreted as having M tone. The underlying tone category leading to the realizations /la↑-bi↑/ and /la↑-bi↑-tsæ↑/ (not ‡ la↑-bi↓-tsæ↓) is interpreted as H#.

Table 6.18: Tone patterns of V+IMMEDIATE FUTURE+CAUSATIVE+COPULA.

tone	example	meaning	-bi-t-sæ-t-ni
H	dzu ↓	to eat	dzu ↓-bi-t-sæ-t-ni↓
M _a	hwæ ↓ _a	to buy	hwæ ↓-bi-t-sæ-t-ni↓
M _b	tç^hi ↓ _b	to sell	tç^hi ↓-bi-t-sæ-t-ni↓
M _c	pɸ ↓ _c	to chant	pɸ ↓-bi-t-sæ-t-ni↓
L _a	bæ ↓ _a	to sweep	bæ ↓-bi-t-sæ-t-ni↓ (‡ bæ↓-bi-t-sæ-t-ni↓)
L _b	zɰɣ ↓ _b	to speak	zɰɣ ↓-bi-t-sæ-t-ni↓ (‡ zɰɣ↓-bi-t-sæ-t-ni↓)
MH	la ↑	to strike	la ↑-bi-t-sæ-t-ni↓ (‡ la↑-bi-t-sæ-t-ni↓)

Table 6.19: Tone patterns of V+NEGATION prefix+IMMEDIATE FUTURE.

tone	example	meaning	V NEG-IMM_FUT	V NEG-IMM_FUT-PFV
H	dzu ↓	to eat	dzu ↓ mɣ-t-bi-t	dzu ↓ mɣ-t-bi-t-ze↓
M _a	hwæ ↓ _a	to buy	hwæ ↓ mɣ-t-bi-t	hwæ ↓ mɣ-t-bi-t-ze↓
M _b	tç^hi ↓ _b	to sell	tç^hi ↓ mɣ-t-bi-t	tç^hi ↓ mɣ-t-bi-t-ze↓
M _c	pɸ ↓ _c	to chant	pɸ ↓ mɣ-t-bi-t	pɸ ↓ mɣ-t-bi-t-ze↓
L _a	bæ ↓ _a	to sweep	bæ ↓ mɣ-t-bi-t	bæ ↓ mɣ-t-bi-t-ze↓
L _b	zɰɣ ↓ _b	to speak	zɰɣ ↓ mɣ-t-bi-t	zɰɣ ↓ mɣ-t-bi-t-ze↓
MH	la ↑	to strike	la ↑ mɣ-t-bi-t	la ↑ mɣ-t-bi-t-ze↓

It is reassuring to be able to report that these M-tone morphemes have the same behaviour when preceded by the NEGATION prefix, /mɣ-t-/, e.g. in /V mɣ-t-bi-t/ ‘is not going to V’, /V mɣ-t-ɖo-t/ ‘ought not to V’, and /V mɣ-t-zo-t/ ‘must not V’. Table 6.19 shows examples with /V mɣ-t-bi-t/, and also with an added PERFECTIVE, /-ze-t/. M-tone morphemes are not without complexities, however. The verb /mæ-t/ ‘to achieve’ carries M tone; but when it appears in serial verb constructions, where it indicates that an action achieved its goal, its behaviour is not fully identical to that of the M-tone morphemes described above. The PERFECTIVE, /-ze-t/, has the same tonal behaviour as /-mæ-t/. The behaviour of these two morphemes is recapitulated in Table 6.20. The tone patterns are in most respects like the M-tone morphemes described above, but the pattern after a H-tone verb is M.L and not M.M. This justifies setting up a distinct tone category, distinguishing between M_a tone and M_b tone for postverbal morphemes. In the case of classi-

fiers – about which see Chapter 4 –, a total of nine tonal categories were brought out. It would not be particularly surprising to have to set up a comparable number of categories for affixes. In view of the greater morphosyntactic diversity of affixes as compared with classifiers, the number could even be higher. Obviously, the added subscript letters are simply a means of classifying: they do not reflect an analysis of the categories. An analysis would be better conducted with a fuller inventory of morphemes; it should be borne in mind that the current size of the dictionary (Michaud 2015a) is on the order of 3,000 words, i.e. only a small part of the full richness of the language’s lexicon. In the present chapter, the postverbal morphemes are simply arranged into broad classes (L, M, M, MH), reporting the encountered internal diversity of these classes, and setting up provisional subclasses. Subclasses M_a and M_b of M-tone postverbal morphemes contain the following items:

- M_a tone: IMPERATIVE /-hō_a/, IMMEDIATE FUTURE /-bi_a/, EXPERIENTIAL /-dzur_a/, /-dɔ_a/ ‘must, have to’, VOLITIVE /-tso_a/, OBLIGATIVE /-zo_a/, and CAUSATIVE /-tsæ_a/
- M_b tone: PERFECTIVE /-ze_b/, and /mæ_b/ ‘to achieve’

Table 6.20: The behaviour of postverbal morphemes belonging to a second subcategory of M tones: M_b .

tone	example	meaning	perfective	to achieve
H	dzur ↓	to eat	dzur ↓-ze↓	dzur ↓-mæ↓
M_a	hwæ ↓ _a	to buy	hwæ ↓-ze↓	hwæ ↓-mæ↓
M_b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓-ze↓	tɕ^hi ↓-mæ↓
M_c	pɸ ↓ _c	to chant	pɸ ↓-ze↓	pɸ ↓-mæ↓
L_a	bæ ↓ _a	to sweep	bæ ↓-ze↓	bæ ↓-mæ↓
L_b	zɰwɣ ↓ _b	to speak	zɰwɣ ↓-ze↓	zɰwɣ ↓-mæ↓
MH	lɑ ↓	to strike	lɑ ↓-ze↓	lɑ ↓-mæ↓

In the data shown in Table 6.19, the H component found in the lexical categories H and MH (illustrated by /dzur↓/ ‘to eat’ and /lɑ↓/ ‘to strike’, respectively) does not surface as such, but it results in the lowering of the perfective morpheme /ze_b/, no less than three syllables distant from the verb. The phrase /dzur↓ mɸ↓ bi↓-ze↓/ ‘will not eat anymore’ constitutes one tone group, and the underlying

presence of a H tone in this group makes itself felt by lowering the PERFECTIVE morpheme despite the intervening syllables.

The same phenomenon is observed when there is no intervening NEGATION prefix, as shown in Table 6.21.

Table 6.21: Same data as in previous table, without intervening NEGATION prefix.

tone	example	meaning	V+IMMEDIATE FUTURE+PERFECTIVE
H	dzɯ ↓	to eat	dzɯ ↓ bi ↓- ze ↓
M _a	hwæ ↓ _a	to buy	hwæ ↓ bi ↓- ze ↓
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓ bi ↓- ze ↓
M _c	pɣ ↓ _c	to chant	pɣ ↓ bi ↓- ze ↓
L _a	bæ ↓ _a	to sweep	bæ ↓ bi ↓- ze ↓
L _b	zɰwɰ ↓ _b	to speak	zɰwɰ ↓ bi ↓- ze ↓
MH	la ↓	to strike	la ↓ bi ↓- ze ↓

In these contexts, the PERFECTIVE morpheme *//ze↓//* carries different tones depending on the lexical tone of the verb – even in the case of H tone, even though this lexical tone surfaces neither on the verb itself nor on the morpheme that follows it (the IMMEDIATE FUTURE). For H-tone verbs, the PERFECTIVE morpheme *//ze↓//* is lowered to L in each of */dzɯ↓-ze↓/* ‘have eaten’, */dzɯ↓-bi↓-ze↓/* ‘will eat’, and */dzɯ↓ mɣ↓-bi↓-ze↓/* ‘will not eat’.

Tones that are present underlyingly but only manifest themselves in a restricted set of contexts constitute a salient aspect of the Yongning Na tone system. The surface-phonological notation of ‘going to buy’ as */hwæ↓-bi↓/* and ‘going to eat’ as */dzɯ↓-bi↓/*, with the same tone pattern, does not reveal the underlying presence of a H tone in the former phrase. The manifestation of the H tone of the verb is not straightforward: it does not lower a M-tone postverbal morpheme (witness */dzɯ↓-bi↓/*, ‘going to eat’), but it lowers the last syllable in the phrase */dzɯ↓-bi↓-ze↓/*.

The lowering effect of overt H tones is an exceptionless phonological regularity, formulated in §7.1.1 as Rule 4: “A syllable following a H-tone syllable receives L tone”. In some morphosyntactic contexts, exemplified by Table 6.19, H tones exert a similar influence even though they remain floating, i.e. not associated to a syllable. Such complexities cannot be summarized through a small set of rules. This state of affairs explains the abundance of tables in this chapter, and in this volume as a whole. There is room for some progress in terms of economy

of description: for instance, identifying a set of patterns as default for a certain category of morphemes, and rewriting the data for other categories as *identical to the standard pattern, except for...*, instead of setting out all the data sets in table form. At the present stage, the aim is to arrive at a precise description, which constitutes the necessary basis for further work. Modelling the morphotonology of Yongning Na, with computer implementation (finite-state modelling), is among the author's long-term projects, mentioned in the conclusion (Chapter 11).

Further data on M-tone postverbal morphemes is shown in Table 6.22, illustrating the behaviour of the PROGRESSIVE /-dzo/|. The affirmative particle /-mɣ/| has the same behaviour. An interesting peculiarity is that a MH contour on the verb does not unfold onto these morphemes. A MH-tone verb preceding these morphemes is realized with a MH contour, e.g. /mɣ-|lɑ¹ | -dzo/| for 'to strike'. Following the same guiding principle as before, this difference in tonal behaviour requires setting up a third descriptive subcategory of M tones among postverbal morphemes: M_c.

Table 6.22: Tonal behaviour of the PROGRESSIVE, illustrating a third subcategory of M tones among postverbal morphemes: M_c.

tone	example	meaning	DUR+V+PROG: 'is currently V-ing'
H	dzɯ ↓	to eat	t ^h i- dzɯ↓-dzo↓
M _a	hwæ - _a	to buy	t ^h i- hwæ- dzo↓
M _b	tɕ ^h i- _b	to sell	t ^h i- tɕ ^h i- dzo↓
M _c	pɣ - _c	to chant	t ^h i- pɣ- dzo↓
L _a	bæ ↓ _a	to sweep	t ^h i- bæ↓-dzo↓
L _b	zɰ ɣ- _b	to speak	t ^h i- zɰɣ- dzo↓
MH	lɑ ¹	to strike	t ^h i- lɑ¹-dzo↓ (‡ t ^h i- lɑ¹-dzo↓)

6.4.3 H-tone postverbal morphemes

The grammatical word // -hĩ // is analyzed here as a relativizer; since it is glossed as 'nominalizer' by Liberty Lidz (Lidz 2010: 183), it could be called a RELATIVIZER/NOMINALIZER, to avoid giving the mistaken impression that those are two distinct morphemes. This morpheme behaves in many tonal contexts like the M-tone postverbal particles conveying the IMMEDIATE FUTURE (/ -bi /) and the EXPERIENTIAL (/ -dzu /), but it cannot host the H part of a MH contour from the preceding verb: the result is M.M, e.g. /lɑ-|hĩ/ 'who strikes / one who strikes',

instead of the M.H pattern found for M-tone particles, e.g. /**la**+**bi**l/ ‘is going to strike’. The relativizer may therefore be considered to belong to a tonal category distinct from those labelled above as L and M. The choice of H as a label for this category of postverbal morphemes seemed at least as plausible as MH. A further argument comes from the hypothesis that the relativizer derives from the word for ‘person, human being’, which has H tone: /**hi**l/. This argument is not decisive, however, since systematic analysis of grammaticalized uses of nouns as classifiers shows that the grammaticalized morpheme enters a tonal subsystem that differs from that of its original word class, and can come to carry a tone that is widely different from that of the lexical word in which it originates.

When associated with the RELATIVIZER //**hi**l//, the adjectives in tone category L_b (such as /**dzy**J_b/ ‘good’ and /**na**J_b/ ‘black, dark’) have a tonal behaviour never observed on verbs, yielding a L.H pattern, as shown in Table 6.23. It may be relevant that the output of derivation by means of the RELATIVIZER is nominal, not verbal, and LH is one of the lexical categories of disyllabic nouns.

As a final observation about Table 6.23, MH-tone adjectives have a different behaviour from MH-tone verbs when combined with the RELATIVIZER //**hi**l//, confirming the earlier observation (from §6.1.3) that the tonal behaviour of adjectives does not fully coincide with that of verbs.

The topic marker //**dzo**l// commonly occurs after verb phrases, as well as after noun phrases. On the basis of its behaviour after M-tone verbs (as after M-tone nouns, which were presented in the previous chapter), the topic marker is (provisionally) analyzed as carrying a lexical H tone. Data on its behaviour in context is presented in Table 6.24a. As in Table 6.22, the MH tone does not unfold over the following morpheme: it is not correct to say † **my**+**la**+**dzo**l.

Interestingly, the tone patterns for the topic marker, shown in Tables 6.24a and 6.24b, are different from those of another morpheme likewise analyzed as carrying lexical H tone: the RELATIVIZER/NOMINALIZER, shown in Table 6.23. The tone patterns for the topic marker show stronger surface similarity to those for the PROGRESSIVE morpheme, shown in Table 6.22, which is analyzed as carrying lexical M tone. The only difference between TOPIC and PROGRESSIVE is that, when these morphemes combine with M-tone verbs, the TOPIC marker gets H tone, whereas the PROGRESSIVE gets M tone. For want of more numerous examples, there would be little point in setting up two subcategories of H-tone postverbal elements (H_a and H_b) each containing only one example. Yongning Na is replete with morphotonological anfractuositities; the categorization of morphemes as belonging to tonal category L, M, H or MH, as proposed in §6.4.1-§6.4.4 of the present chapter, is likely to require in-depth revision in future, in light of a fuller

6 Verbs and their combinatory properties

Table 6.23: The tonal behaviour of the RELATIVIZER/NOMINALIZER, analyzed as having a lexical H tone.

word class	tone	example	meaning	V +RELATIVIZER
verbs	H	dzu ↓	to eat	dzu ↓- hĩ ↑
	M _a	hwæ ↓ _a	to buy	hwæ ↓- hĩ ↑
	M _b	tɕ ^{hi} ↓ _b	to sell	tɕ ^{hi} ↓- hĩ ↑
	M _c	bi ↓ _c	to go	bi ↓- hĩ ↑
	L _a	bæ ↓ _a	to sweep	bæ ↓- hĩ ↑
	L _b	zɰɰ ↓ _b	to speak	zɰɰ ↓- hĩ ↑
	MH	la ↑	to strike	la ↑- hĩ ↑
adjectives	H	bi ↓	shallow	bi ↓- hĩ #↓
	M	tɕi ↓	sour	tɕi ↓- hĩ #↓
	L _a	hỹ ↓ _a	red	hỹ ↓- hĩ ↑
	L _b	dʒɰ ↓ _b	good	dʒɰ ↓- hĩ ↑
	MH	t^ha ↑	sharp	t^ha ↑- hĩ ↑\$

picture of the tonal behaviour of postverbal elements.

Table 6.24a: The tonal behaviour of the topic marker with verbs.

tone	example	meaning	V+TOP	NEG+V+TOP
H	dzu ↓	to eat	dzu ↓- dzo ↓	mɣ ↓- dzu ↓- dzo ↓
M _a	hwæ ↓ _a	to buy	hwæ ↓- dzo ↓	mɣ ↓- hwæ ↓- dzo ↓
M _b	tɕ ^{hi} ↓ _b	to sell	tɕ ^{hi} ↓- dzo ↓	mɣ ↓- tɕ ^{hi} ↓- dzo ↓
M _c	bi ↓ _c	to go	bi ↓- dzo ↓	mɣ ↓- bi ↓- dzo ↓
L _a	bæ ↓ _a	to sweep	bæ ↓- dzo ↓	mɣ ↓- bæ ↓- dzo ↓
L _b	zɰɰ ↓ _b	to speak	zɰɰ ↓- dzo ↓	mɣ ↓- zɰɰ ↓- dzo ↓
MH	la ↑	to strike	la ↑- dzo ↓	mɣ ↓- la ↑- dzo ↓

6.4.4 MH-tone postverbal morphemes

As for the other categories of postverbal morphemes, the label for the MH category is based on items that exist as verbs, e.g. the ABILITIVE /-**ky**↑/ derives from

Table 6.24b: The tonal behaviour of the topic marker with adjectives.

tone	example	meaning	Adj+TOP	NEG+Adj+TOP
H	bi l	shallow	bi l-dzo	mɣ l-bi-l-dzo
M	tɕi l	sour	tɕi l-dzo	mɣ l-tɕi-l-dzo
L _a	hỹ l _a	red	hỹ l-dzo	mɣ l-hỹ-l-dzo
L _b	dzɣ l _b	good	dzɣ l-dzo	mɣ l-dzɣ-l-dzo
MH	t^hɑ l	sharp	t^hɑ l-dzo	mɣ l-t ^h ɑ-l-dzo

the verb /kɣl/ ‘to be able to’. Examples are provided in Table 6.25: in addition to the ABILITIVE, /-kɣl/, they are the PERMISSIVE, /-t^hɑl/, and the CAUSATIVE, /k^huɿl/. Other MH-tone postverbal elements include the reported-speech particle /tsuɿl/. The table shows that not all MH-tone postverbal elements have exactly the same tonal behaviour: the difference in tone pattern between the CAUSATIVE and ABILITIVE constructions for L_b-tone adjectives has been verified through elicitation, on several occasions. The pattern is L.MH in /dzɣl-kɣl/ (‘good’+ABILITIVE), and L.H in /dzɣl k^huɿl/ (‘good’+CAUSATIVE). This requires the recognition of at least two subcategories of MH-tone postverbal morphemes.

Table 6.25: The tonal behaviour of MH-tone morphemes after verbs and adjectives.

word class	tone	example	meaning	ABILITIVE	PERMISSIVE	CAUSATIVE
verbs	H	dzɯ l	to eat	dzɯ l-kɣl	dzɯ l-t ^h ɑl	dzɯ l k ^h uɿl
	M _a	hwæ l _a	to buy	hwæ l-kɣl	hwæ l-t ^h ɑl	hwæ l k ^h uɿl
	M _b	tɕ^hi l _b	to sell	tɕ^hi l-kɣl	tɕ^hi l-t ^h ɑl	tɕ^hi l k ^h uɿl
	M _c	pɣ l _c	to chant	pɣ l-kɣl	pɣ l-t ^h ɑl	pɣ l k ^h uɿl
	L _a	bæ l _a	to sweep	bæ l-kɣl	bæ l-t ^h ɑl	bæ l k ^h uɿl
	L _b	zɯɣ l _b	to speak	zɯɣ l-kɣl	zɯɣ l-t ^h ɑl	zɯɣ l k ^h uɿl
	MH	la l	to strike	la l-kɣl	la l-t ^h ɑl	la l k ^h uɿl
adjectives	H	bi l	shallow	bi l-kɣl	bi l-t ^h ɑl	bi l k ^h uɿl
	M	ts^hi l	hot	ts^hi l-kɣl	ts^hi l-t ^h ɑl	ts^hi l k ^h uɿl
	L _a	ɕu l _a	large	ɕu l-kɣl	ɕu l-t ^h ɑl	ɕu l k ^h uɿl
	L _b	dzɣ l _b	good	dzɣ l-kɣl	dzɣ l-t ^h ɑl	dzɣ l k ^h uɿl
	MH	t^hɑ l	sharp	t^hɑ l-kɣl	t^hɑ l-t ^h ɑl	t^hɑ l k ^h uɿl

The phrase /**dʒv** ɿ **k^hu** ɿ/ (‘good’+CAUSATIVE) is in common use as a blessing on special occasions such as the New Year and the rite of passage into adulthood. It could be translated as ‘Best wishes!’ or ‘Let there be good/happiness!’ Its L.H tone pattern is also observed on combinations that do not constitute set phrases, showing that it is not an exception. The other phrases in Table 6.25, /**bi**-ɿ **k^hu** ɿ/ (‘shallow’+CAUSATIVE), /**ɬu** ɿ **k^hu** ɿ/ (‘large’+CAUSATIVE), /**ts^hi**-ɿ **k^hu** ɿ/ (‘hot’+CAUSATIVE) and /**t^ha**-ɿ **k^hu** ɿ/ (‘sharp’+CAUSATIVE), all have straightforward causative meanings: ‘to make shallow’, e.g. to cause the level of water in a field to become shallow by decreasing the flow of water sent into it; ‘to enlarge’, e.g. to increase the size of a farm by adding another building; ‘to heat up’; and ‘to sharpen’.

The MH tone surfaces as such after M, in keeping with the general phonological tendency that the M tone does not interfere with following tones. The MH tone is lowered to L after H; the interpretation proposed is that the H tone does not surface as such due to the neutralization of M and H in tone-group-initial position (this is formulated in Chapter 7 as Rule 3: “In tone-group-initial position, H and M are neutralized to M”), but that this H tone is present underlyingly and lowers all following tones to L (through Rules 4 and 5). A preceding L tone spreads over it (///**bæ** ɿ//>>//**bæ** ɿ-**ky** ɿ//), as does the H part of a MH contour (///**la** ɿ//>>//**la** ɿ-**ky** ɿ//), delinking the MH tone on the postverbal morpheme.

6.5 Disyllabic postverbal morphemes

6.5.1 M.H tone

A first tonal category of disyllabic postverbal elements is illustrated by /-**kwy**-ɿ**tɕu** ɿ/ ‘after; because’. This postposition mostly appears as part of a trisyllabic expression: /-**kwy**-ɿ**tɕu** ɿ-**la** ɿ/. This expression is transcribed with a hyphen before the syllable /-**la** ɿ/ because this last syllable can be detached from the other two. In texts, out of 140 examples, ten are without /-**la** ɿ/ (Lake3.54, 59, 67, Healing.37, Sister.34, Sister3.133, Caravans.80, 137, Renaming.18, and BuriedAlive2.48). Thus, while addition of /-**la** ɿ/ (presumably meaning ‘and, also’) is a well-established habit, the expression can be employed without it; no special nuance of meaning was found, except that the formulation without /-**la** ɿ/ is apparently felt to be more pithy and economical. The monosyllabic form ‡-**kwy**-ɿ is not attested in this dialect, even though it is reported in another hamlet of the Yongning plain, Walabie (**kwy**-ɿ**la** ɿ-**bi** ɿ; Chinese: 瓦拉片) (Roselle Dobbs, p.c. 2016).

The lexical tone of /-**kwy**-ɿ**tɕu** ɿ/ is deduced from its behaviour in association

Table 6.26: The tonal behaviour of /-kwɣtɕuɿ(-la)ɿ/, ‘after; because’.

word class	tone	example	meaning	V+ ‘after; because’
verbs	H	dzuɿ	to eat	dzuɿ-kwɣtɕuɿ(-la)ɿ
	M _a	hwæɿ_a	to buy	hwæɿ-kwɣtɕuɿ(-la)ɿ
	M _b	tɕ^{hi}ɿ_b	to sell	tɕ^{hi}ɿ-kwɣtɕuɿ(-la)ɿ
	M _c	biɿ_c	to go	biɿ-kwɣtɕuɿ(-la)ɿ
	L _a	bæɿ_a	to sweep	bæɿ-kwɣtɕuɿ(-la)ɿ
	L _b	zɣwɣɿ_b	to speak	zɣwɣɿ-kwɣtɕuɿ(-la)ɿ
	MH	laɿ	to strike	laɿ-kwɣtɕuɿ(-la)ɿ / laɿ-kwɣtɕuɿ(-la)ɿ
adjectives	H	biɿ	shallow	biɿ-kwɣtɕuɿ(-la)ɿ
	M	tɕiɿ	sour	tɕiɿ-kwɣtɕuɿ(-la)ɿ
	L _a	hɿ̃_a	red	hɿ̃_a-kwɣtɕuɿ(-la)ɿ
	L _b	dzɣɿ_b	good	dzɣɿ_b-kwɣtɕuɿ(-la)ɿ
	MH	t^haɿ	sharp	t^haɿ-kwɣtɕuɿ(-la)ɿ

with the adjective /dzɣɿ_b/ ‘good’. The observed pattern is /dzɣɿ_b-kwɣtɕuɿ(-la)ɿ/, which does not constitute a well-formed tone group since it contains two H tones. This must therefore be analyzed as a sequence of two tone groups: /dzɣɿ_b | -kwɣtɕuɿ(-la)ɿ/. In this context, the tones carried by /-kwɣtɕuɿ/, namely /M,H/, must be supposed to reflect their lexical tones, //H#//. As for //laɿ//, it receives L tone through Rule 4 (“A syllable following a H-tone syllable receives L tone”).

The sequence /dzɣɿ_b-kwɣtɕuɿ(-la)ɿ/ ‘because/since [it is] good’ illustrates the existence of cases in which a rising contour is realized on the verb, and does not unfold over the postverbal expression. A second case in point is with MH-tone verbs and adjectives, e.g. /laɿ-kwɣtɕuɿ(-la)ɿ/ ‘because/since [someone] beat [something]’. Variants in which the MH contour unfolds over the first syllable of the following morpheme (/laɿ-kwɣtɕuɿ(-la)ɿ/) are considered acceptable, and there is one example in a text (BuriedAlive2.48), but the majority case is with the contour sitting on the verb.

Since contours are only realized tone-group-finally, this suggests that there is a tone-group boundary before the postposition //kwɣtɕuɿ// ‘because’. Such behaviour is not unparalleled in Yongning Na. For instance, the contrastive topic marker //noɿ// and the word /t^hiɿ/ ‘then’ always mark the beginning of a new tone group.

This interpretation would be consistent with the fact that there tends to be a pause before *//-kwɤ-tɕuː//* ‘because’: a pause whose main acoustic cue is the lengthening of the rhyme of the preceding syllable. In early transcriptions, a comma was used to reflect this perceived pause, transcribing e.g. */le-tsa˧, | -kwɤ-tɕuː/* ‘because [they] rowed’ (Lake3.59).

A difficulty with this analysis is that after some tonal categories of verbs, the tone patterns that are observed on *//-kwɤ-tɕuː//* ‘because’ suggest that the verb and the postverbal element are part of the same tone group. Spreading of a L tone from the verb onto the following syllable demonstrates that the verb and its postverbal element are integrated into the same tone group.

One possible way of handling this would be to postulate that the division into tone groups is determined by the lexical tone of the words at issue: there would be one single tone group, e.g. */ | hwæ-t-kwɤ-tɕuː-lɑ˧ | /*, ‘when [she/he] buys’, except with a verb carrying MH tone (or an adjective carrying MH or L_b): */ | lɑ˧ | -kwɤ-tɕuː-lɑ˧ | /*, ‘when [she/he] strikes’. But there are more difficulties here. The first is that some lexical tones leave both solutions open. The MH tone allows two variants, which reflect the different outcomes that are expected if the two morphemes stand in different tone groups (no interaction between tones: */lɑ˧ | -kwɤ-tɕuː/*) and if they are integrated into the same group (the H part of the MH contour associates to the following syllable, and triggers a lowering of the following tone to L, hence */lɑ-t-kwɤ-tɕuː/*). As for the case of verbs carrying lexical M tone, it is simply impossible to determine the underlying division of the expression into tone groups on the basis of the surface tones, because the pattern is */M.M.H/* in both cases, and could be interpreted as made up of either one or two tone groups: *| M.M.H |* or *| M | M.H |*, e.g. *| hwæ-t-kwɤ-tɕuː-lɑ˧ |* or *| hwæ-t | -kwɤ-tɕuː-lɑ˧ |* for ‘because (she/he) buys’. Since the M tone does not exert an influence on the following tone, the surface-phonological output is the same in both cases.

Another difficulty is that the situation of the MH tone (for verbs and adjectives) and that of the L_b tone (for adjectives) are different. The tones of the postverbal element are all lowered to L when following a MH-tone verb, whereas they surface unscathed after the rising contour on a L_b-tone adjective. Lowering to L after a preceding H tone level is a general rule in Yongning Na (Rule 4: “A syllable following a H-tone syllable receives L tone”; see §7.1.1), operating within the tone group, never across a tone-group juncture. The fact that */kwɤ.tɕuː-lɑ/* is lowered to L after a MH-tone verb (e.g. */lɑ˧-kwɤ-tɕuː-lɑ˧/* for ‘to strike’) strongly suggests that the expression */kwɤ.tɕuː-lɑ/* does not make up an independent tone group. The sequence */-kwɤ-tɕuː-lɑ˧/* in */lɑ˧-kwɤ-tɕuː-lɑ˧/* ‘when

(she/he) strikes’ is not a well-formed tone group, since it only contains L tones.

This special situation is analyzed here as the result of a stylistic process of emphasis, which then became habitually associated with certain morphemes. The analysis is set out in §7.2.

6.5.2 M.L tone

Two categories of disyllabic postverbal elements have a tantalizingly similar behaviour. They are illustrated by the postposition /-**ɔ̄o**to/ ‘on top of; during’ and the adverb /-**p^hæ**di/ ‘as if/it seems that’, as shown in Table 6.27. Some of the combinations are attested in texts, including FoodShortage2.72 for H tone, Tiger.14 for L_a tone, BuriedAlive2.24 for L_b tone, and Dog2.108 for MH tone.

Table 6.27: The behaviour of two disyllabic suffixes analyzed as carrying M.L tone.

tone	example	meaning	V+/- p^hæ di/ ‘as if’	V+/- ɔ̄o to/ ‘during’
H	dz u ^l	to eat	dz u ^l - p^hæ di ^l	dz u ^l - ɔ̄o to ^l
M _a	hw æ ^l _a	to buy	hw æ ^l - p^hæ di ^l	hw æ ^l - ɔ̄o to ^l
M _b	tɕ ^h i ^l _b	to sell	tɕ ^h i ^l - p^hæ di ^l	tɕ ^h i ^l - ɔ̄o to ^l
M _c	p ɥ ^l _c	to chant	p ɥ ^l - p^hæ di ^l	p ɥ ^l - ɔ̄o to ^l
L _a	b æ ^l _a	to sweep	b æ ^l - p^hæ di ^l	b æ ^l - ɔ̄o to ^l
L _b	z wɣ ^l _b	to speak	z wɣ ^l - p^hæ di ^l	z wɣ ^l - ɔ̄o to ^l
MH	l ɑ ^l	to strike	l ɑ ^l - p^hæ di ^l / l ɑ ^l - p^hæ di ^l	l ɑ ^l - ɔ̄o to ^l

The only difference between the two expressions is found in association with H-tone verbs. This difference is enough to require recognition of two different morphotonological categories. The difference may be one between postpositions and adverbs; it could reflect the internal structure of the disyllabic expressions; or, in the absence of any such synchronic conditioning, it may have to be recognized as a difference between tonal categories, like the various other distinctions transcribed in this volume by means of subscript letters added to the tone (e.g. in the M_a, M_b and M_c subcategories of M-tone verbs). This is one of many issues requiring further examination.

6.6 Combinations between postverbal morphemes

6.6.1 Postverbal morphemes preceded by the NEGATION prefix

It is common for a verb to be separated from a following morpheme by the NEGATION prefix, as in example (13).

- (13) ... p^hvɿ mɻɿ-t^haɿ niɿ hoɿ mæɿ
 p^hvɿ mɻɿ t^haɿ niɿ hoɿ mæɿ
 to_take_off NEG PERMISSIVE CERTITUDE DESIDERATIVE OBVIOUSNESS

“[I] was not able to take off [the bracelets]!” (BuriedAlive2.88)

One could expect the tonal behaviour of such expressions to be computed progressively (left-to-right) in some simple way. But as is often the case in Yongning Na morphotonology, no simple algorithm can be proposed that would account for all the data. A telling example is that of MH-tone verbs, such as /p^hvɿ/ ‘to take off’. In example (13), the H part of the MH contour reassociates to the NEGATION prefix, yielding /p^hvɿ-mɻɿ .../ and resulting in the lowering of all following tones to L (through Rules 4 and 5). When the postverbal morpheme carries M tone, on the other hand, the H part of the MH contour is not present in the surface-phonological form, e.g. in /p^hvɿ mɻɿ-biɿ/ ‘will not take off’. Unfolding of the MH contour does not take place: ‡ p^hvɿ-mɻɿ-biɿ is not an acceptable variant. The data is therefore set out below in static table form, rather than as a set of rules.

6.6.1.1 M-tone postverbal morphemes preceded by the NEGATION prefix

Table 6.28 sets out the data for combinations in which the third syllable carries M_a or M_b. The example morphemes are the IMMEDIATE FUTURE, /biɿ_a/, and /mæɿ_b/ ‘to succeed, to achieve’. Note that the verb used to illustrate the M_c tone category in Table 6.28 is /jiɿ_c/ ‘to come’ rather than /biɿ_c/ ‘to go’, to avoid the form /biɿ mɻɿ-biɿ/ ‘am not going to go’. This form is well-formed, but difficult to understand because homophony of the verb and the postverbal morpheme makes the entire expression homophonous with a V NEG-V interrogative construction.

6.6.1.2 MH-tone postverbal morphemes preceded by NEGATION or PROHIBITIVE prefix

This paragraph presents three constructions that share the same tone patterns:

Table 6.28: The tone patterns of combinations between verb, NEGATION prefix and M-tone morpheme.

tone	example	meaning	‘am not going to V’ (tone: M _a)	‘cannot manage to V’ (tone: M _b)
H	dzur ↓	to eat	dzur ↓ mɣ ↓- bi ↓	dzur ↓ mɣ ↓- mæ ↓
M _a	hwæ ↓ _a	to buy	hwæ ↓ mɣ ↓- bi ↓	hwæ ↓ mɣ ↓- mæ ↓
M _b	tɕ ^{hi} ↓ _b	to sell	tɕ ^{hi} ↓ mɣ ↓- bi ↓	tɕ ^{hi} ↓ mɣ ↓- mæ ↓
M _c	ji ↓ _c	to come	ji ↓ mɣ ↓- bi ↓	ji ↓ mɣ ↓- mæ ↓
L _a	dze ↓ _a	to cut	dze ↓ mɣ ↓- bi ↓	dze ↓ mɣ ↓- mæ ↓
L _b	t^hu ↓ _b	to drink	t^hu ↓ mɣ ↓- bi ↓	t^hu ↓ mɣ ↓- mæ ↓
MH	t^hæ ↓	to bite	t^hæ ↓ mɣ ↓- bi ↓	t^hæ ↓ mɣ ↓- mæ ↓

- /V **mɣ**↓-**t^hɑ**↓/, V-NEG-PERMISSIVE: ‘[one] must not V’
- /V **mɣ**↓-**k^hu**↓/, V-NEG-CAUSATIVE: ‘not to let [someone] V’
- /V **t^hɑ**↓-**k^hu**↓/, V-PROHIBITIVE-CAUSATIVE: ‘do not cause to V’, ‘do not let [someone] V’

Examples from texts include (14)-(16).

- (14) le↓-ɣur↓-dzo↓, | dʒi↓-hỹ↓ | mɣ↓ mɣ↓-k^hu↓! |
 le↓- ɣur↓ -dzo↓ dʒi↓-hỹ↓\$ mɣ↓ mɣ↓- -k^hu↓
 ACCOMP to_die PROG clothes to_put_on NEG CAUS
 ‘When someone dies, [we] do not clothe [the corpse]!’ (BuriedAlive3.58)
- (15) so↓jɲi↓-so↓hã↓ | qæ↓ | -dzo↓ | t^hi↓, | le↓-qæ↓, | mɣ↓-mɣ↓-t^hɑ↓!
 so↓jɲi↓-so↓hã↓ qæ↓ -dzo↓ t^hi↓ le↓- qæ↓
 3_days_and_nights to_burn TOP then ACCOMP to_burn
 mɣ↓ mɣ↓- -t^hɑ↓
 consume/burn_up NEG possible
 ‘The corpse was burnt [on the pyre] for three days and three nights, but it was not possible to burn it up!’ (Sister3.93)
- (16) ɣæ↓-dæ↓ | di↓-t^hɑ↓-k^hu↓!

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ʂæ↓dæ↓ di↓_a -t^hɑ↓ -k^hu↓
differences_in_length EXIST PROHIB CAUS

‘[The tree trunks] must not be different lengths! / There must not be differences in length!’ (Housebuilding.19. Context: selecting trees that will be felled as lumber for building a house.)

The data for all tone categories of nouns is shown in Table 6.29 and Table 6.30, bringing out the full identity between the tone patterns for the three constructions.

Table 6.29: The tone patterns of /V mɻ↓-t^hɑ↓/ ‘[one] must not V’ and /V t^hɑ↓-k^hu↓/ ‘do not let [someone] V/do not cause to V’.

tone	example	meaning	‘[one] must not V’	‘do not cause to V’
H	dz u↓	to eat	dz u↓ mɻ↓-t ^h ɑ↓	dz u↓ t ^h ɑ↓-k ^h u↓
M _a	hw æ↓ _a	to buy	hw æ↓ mɻ↓-t ^h ɑ↓	hw æ↓ t ^h ɑ↓-k ^h u↓
M _b	tɕ ^h i↓ _b	to sell	tɕ ^h i↓ mɻ↓-t ^h ɑ↓	tɕ ^h i↓ t ^h ɑ↓-k ^h u↓
M _c	bi ↓ _c	to go	bi ↓ mɻ↓-t ^h ɑ↓	bi ↓ t ^h ɑ↓-k ^h u↓
L _a	dze ↓ _a	to cut	dze ↓ mɻ↓-t ^h ɑ↓	dze ↓ t ^h ɑ↓-k ^h u↓
L _b	t^hu ↓ _b	to drink	t^hu ↓ mɻ↓-t ^h ɑ↓	t^hu ↓ t ^h ɑ↓-k ^h u↓
MH	t^hæ ↓	to bite	t^hæ ↓ mɻ↓-t ^h ɑ↓	t^hæ ↓ t ^h ɑ↓-k ^h u↓

The tones for V/ADJ-NEG-ABILITIVE, /V mɻ↓-kɻ↓/ ‘[one] cannot V’, are entirely identical with those for /V mɻ↓-t^hɑ↓/ ‘[one] must not V’ and /V t^hɑ↓-k^hu↓/ ‘do not cause to V’, as shown in Table 6.30, which also includes adjectives.

6.6.1.3 L-tone postverbal morphemes preceded by NEGATION prefix

The data concerning L-tone postverbal morphemes preceded by the NEGATION prefix is set out in Table 6.31. In the expressions in Table 6.31, the verb is in initial position; following an exceptionless phonological rule stated in §7.1.1 as Rule 3, “In tone-group-initial position, H and M are neutralized to M”, the verb can only receive one of two tones: L or M. Thus L-tone verbs appear with their lexical L tone, and all others (M, H and MH) surface with M tone.

Tone assignment on the second and third syllables cannot be summarized in terms of a set of phonological rules. Some data subsets show regularities, however. When the verb that constitutes the first syllable of the expression has M tone, the third morpheme (that following the NEGATION prefix) surfaces with its

Table 6.30: The tone patterns of V/Adj+NEG-ABILITIVE.

word class	tone	example	meaning	V/Adj+NEG-ABILITIVE
verbs	H	dzu ↓	to eat	dzu ↓ mɣ ↓- ky ↓
	M _a	hwæ ↓ _a	to buy	hwæ ↓ mɣ ↓- ky ↑
	M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓ mɣ ↓- ky ↑
	M _c	bi ↓ _c	to go	bi ↓ mɣ ↓- ky ↑
	L _a	bæ ↓ _a	to sweep	bæ ↓ mɣ ↓- ky ↓
	L _b	zɣɣ ↓ _b	to speak	zɣɣ ↓ mɣ ↓- ky ↓
	MH	la ↑	to strike	la ↑ mɣ ↓- ky ↓
adjectives	H	bi ↓	shallow	bi ↓ mɣ ↓- ky ↓
	M	ts^hi ↓	hot	ts^hi ↓ mɣ ↓- ky ↑
	L _a	ɖu ↓ _a	large	ɖu ↓ mɣ ↓- ky ↓
	L _b	dzɣ ↓ _b	good	dzɣ ↓ mɣ ↓- ky ↑
	MH	t^ha ↑	sharp	t^ha ↑ mɣ ↓- ky ↓

Table 6.31: The tone patterns of the construction /V **mɣ**↓-**ho**↓/ ‘will not V’.

tone	example	meaning	‘will not V’
H	dzu ↓	to eat	dzu ↓ mɣ ↓- ho ↓
M _a	hwæ ↓ _a	to buy	hwæ ↓ mɣ ↓- ho ↓
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓ mɣ ↓- ho ↓
M _c	bi ↓ _c	to go	bi ↓ mɣ ↓- ho ↓
L _a	dze ↓ _a	to cut	dze ↓ mɣ ↓- ho ↓
L _b	t^hu ↓ _b	to drink	t^hu ↓ mɣ ↓- ho ↓
MH	t^hæ ↑	to bite	t^hæ ↑ mɣ ↓- ho ↓

lexical tone, i.e. the last morpheme's tone is unchanged. For instance, the MH-tone ABILITIVE /-kɣʌ/ carries MH tone in /hwæɫ mɣɻɫ-kɣʌ/ 'cannot buy', and the L-tone DESIDERATIVE /-hoʌ/ carries L tone in /hwæɫ mɣɻɫ-hoʌ/ 'will not buy'. This relates to the tendency for M to behave as a neutral tone: a tone that does not place any restriction on the tonal level that follows.

When the initial morpheme has H tone, on the other hand, this H tone precludes following H tones (by Rule 4: "A syllable following a H-tone syllable receives L tone"), even though it does not surface as such. This results in the deletion of a following MH tone, hence /dzɯɻɫ mɣɻɫ-kɣʌ/ 'cannot eat', not † dzɯɻɫ mɣɻɫ-kɣʌ. It would theoretically be possible for the H part of the MH contour of the third morpheme to be deleted, yielding † dzɯɻɫ mɣɻɫ-kɣʌ. But the data suggests that Yongning Na treats lexical tones as unitary in this respect: either the MH tone is compatible with what precedes, and it surfaces; or it is not, and it is deleted.

As for the cases where the initial morpheme has L tone, it is an open question why the L tone does not spread all the way to the third syllable, yielding //†dzeɻɫ mɣɻɫ-tʰaʌ// 'one must not cut', which would be realized on the surface as /†dzeɻɫ mɣɻɫ-tʰaʌ/, through the application of Rule 7: "If a tone group only contains L tones, a post-lexical H tone is added to its last syllable" (§7.1.1). It does not seem to be the case that the H tone observed in /dzeɻɫ-mɣɻɫ-tʰaʌ/ is what remains of the MH lexical tone after its initial portion (M) is removed: this process is unattested anywhere else in the language. Rather, it looks as if the MH tone of the third syllable were deleted, leaving it toneless. Rule 7 then results in assignment of a H tone to that syllable.

6.6.2 Postverbal morphemes preceded by the interrogative particle: tonal oppositions are neutralized

The interrogative particle is analyzed as carrying L tone. The data in Table 6.32 reveals a complete neutralization of tonal oppositions on the morphemes placed after the interrogative particle. The three morphemes shown in the table have different tones: the IMMEDIATE FUTURE /-biɫ_a/ has M_a tone; the DESIDERATIVE /-hoʌ/ has L tone; and the PERMISSIVE /-tʰaʌ/ has MH tone. The tone patterns for /mæɻɫ_b/, 'to manage to', are entirely identical. (They are not shown in Table 6.32.)

Table 6.32: The tone pattern for V+INTERROGATIVE+postverbal morpheme.

tone	example	meaning	‘going to V?’	‘will V?’	‘can V?’
H	dzɯ ↓	to eat	dzɯ ↓ ə↓-bi↓	dzɯ ↓ ə↓-ho↓	dzɯ ↓ ə↓-t ^h a↓
M _a	hwæ ↓ _a	to buy	hwæ ↓ ə↓-bi↓	hwæ ↓ ə↓-ho↓	hwæ ↓ ə↓-t ^h a↓
M _b	tɕ^hi ↓ _b	to sell	tɕ^hi ↓ ə↓-bi↓	tɕ^hi ↓ ə↓-ho↓	tɕ^hi ↓ ə↓-t ^h a↓
M _c	bi ↓ _c	to go	bi ↓ ə↓-bi↓	bi ↓ ə↓-ho↓	bi ↓ ə↓-t ^h a↓
L _a	dze ↓ _a	to cut	dze ↓ ə↓-bi↓	dze ↓ ə↓-ho↓	dze ↓ ə↓-t ^h a↓
L _b	ʈ^hɯ ↓ _b	to drink	ʈ^hɯ ↓ ə↓-bi↓	ʈ^hɯ ↓ ə↓-ho↓	ʈ^hɯ ↓ ə↓-t ^h a↓
MH	ʈ^hæ ↓	to bite	ʈ^hæ ↓ ə↓-bi↓	ʈ^hæ ↓ ə↓-ho↓	ʈ^hæ ↓ ə↓-t ^h a↓

6.7 Combinations containing adjectives

6.7.1 Addition of reduplicated suffixes to adjectives

Although adjectives behave in the same way as verbs in many respects (i.e. as stative verbs), they do not reduplicate in the same way. Adjectives are intensified by suffixation of a reduplicated syllable that does not carry any meaning of its own. In some cases, the monosyllabic form of the adjective has fallen into disuse: for instance, /**bæ**↓-**la**↓~**la**↓/ ‘limp, flabby (e.g. of meat without bones)’ and /**bæ**↓-**ɣwæ**↓~**ɣwæ**↓/ ‘loose (of knot)’ point to a monosyllabic adjective ***bæ**↓ ‘loose, limp’, but in the present state of the language, this root is not attested on its own. Another lexical complexity is that some such expressions have shorter variants: for instance, ‘short (of persons)’, /**to**↓**ɬɯ**↓~**ɬɯ**↓/, has a variant /**to**↓**ɬɯ**↓/. Comparison with closely related dialects will be necessary to verify whether the disyllable is a shortened version of the trisyllable, and to find out what was the lexical tone of the monosyllabic root from which the longer expressions are derived. The examples observed so far all carry the same tone pattern (L.L.H); but there are too few examples to tell whether this is a morphotonological hallmark of *Adj+reduplicated suffix* expressions, or mere coincidence.

6.7.2 Demonstrative and intensive constructions

In Yongning Na, in addition to the construction with the RELATIVIZER/NOMINALIZER /-**hi**↓/, adjectives are often used in demonstrative or intensive constructions. The demonstrative construction ‘this Adj’ (e.g. ‘this big’, ‘this thick’) is /**ʈ^hɯ**↓-Adj-**gɣ**↓/. The first morpheme is the proximal demonstrative //**ʈ^hɯ**↓//. The morpheme

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/gɣʰ/, grammaticalized from a verb meaning ‘to be; to become’, indicates the degree of a quality, as exemplified in (17).

- (17) ʈʂʰuɹ | noɹ | niɹgɣʰ | ʂwæɹ mɣʰ-gɣʰ
 ʈʂʰuɹ noɹ niɹgɣʰ#ɹ ʂwæɹ mɣʰ- gɣʰ
 3SG 2SG nose tall NEG to_be/to_become
 ‘his nose is not as high as yours’ (Source: field notes.)

In the demonstrative construction ‘this Adj’, all tonal oppositions on the adjective are neutralized, as shown in Table 6.33. Examples are found in narratives, e.g. *Sister.28* and *BuriedAlive3.144*.

Table 6.33: Demonstrative construction /ʈʂʰuɹ-Adj-gɣʰ/ ‘this Adj’.

tone	example	meaning	‘this Adj’	meaning	tone pattern
H	ɖæɹ	short	ʈʂʰuɹ-ɖæɹ-gɣʰ	this short	M.M.M
M	hwɣɹ	broad	ʈʂʰuɹ-hwɣɹ-gɣʰ	this broad	M.M.M
L _a	tɕiɹ _a	short	ʈʂʰuɹ-tɕiɹ-gɣʰ	this short	M.M.M
MH	ʈoɹ	deep	ʈʂʰuɹ-ʈoɹ-gɣʰ	this deep	M.M.M

The same M..M tone pattern is observed in cases where the adjective is reduplicated, e.g. /ʈʂʰuɹ-ɖuɹ~ɖuɹ-gɣʰ/ ‘this big’ (*Agriculture.55*), from /ɖuɹ_a/ ‘big’ (see also *FoodShortage.43, 85*).⁶

Tonal oppositions are likewise neutralized in the construction ‘as Adj (as)’, /tʰaɹ-REDUPLICATED Adj-gɣʰ/, shown in Table 6.34.

In contrast to the data in Table 6.33 and Table 6.34, tonal oppositions are not fully neutralized in the construction /ʈʂʰuɹ-Adj-ɿ/, which means ‘this Adj’, much like the construction /ʈʂʰuɹ-Adj-gɣʰ/. As shown in Table 6.35, adjectives of MH lexical tone category have a distinct tone pattern in this construction: M.M.H, vs. M.M.M for all other tone categories of adjectives.

⁶ Related constructions include: DEM+ADJ+AUGMENTATIVE /-miɹ/, e.g. /ʈʂʰuɹ-ʂwæɹ-miɹ-zoɹ/ ‘this tall’, where /-zoɹ/ is an ADVERBIALIZER (*Dog.12*), and the intensive construction /qʰaɹ- Adj -miɹ/ ‘so Adj’, e.g. /qʰaɹ-ɖuɹ-miɹ-hiɹ/ ‘thus huge, extremely big’ (*Lake3.28*), where /-hiɹ/ is the RELATIVIZER/NOMINALIZER.

Table 6.34: Tone patterns in the construction ‘as Adj as’.

tone	example	meaning	‘as Adj as’	meaning	tone pattern
H	ɖæ̃	short	t^hɑ̃-ɖæ̃-ɖæ̃-g̃	as short as	M.H.L.L
M	hw̃	broad	t^hɑ̃-hw̃-hw̃-g̃	as broad as	M.H.L.L
L _a	tɕi_a	short	t^hɑ̃-tɕĩ-tɕĩ-g̃	as short as	M.H.L.L
MH	ɬõ	deep	t^hɑ̃-ɬõ-ɬõ-g̃	as deep as	M.H.L.L

Table 6.35: Tone patterns in the construction /tʂ^hu-Adj-ɬ/ ‘this Adj’.

tone	example	meaning	‘this Adj’	meaning	tone pattern
H	bỹ	thick	tʂ^hu-bỹ-ɬ	this thick	M.M.M
M	fɣ̃	happy	tʂ^hu-fɣ̃-ɬ	this happy	M.M.M
L _a	ɖu_a	large	tʂ^hu-ɖũ-ɬ	this large	M.M.M
MH	hæ̃	supple	tʂ^hu-hæ̃-ɬ	this supple	M.M.H

6.8 Object and non-prefixed verb

Cross-linguistically, the object is the nominal argument that has greatest solidarity with the verb. Their tie is so strong that it has even been used as a defining property of the notion of object (Creissels 1991: 38). From a morpho-phonological point of view, it is reasonable to hypothesize that there will be at least as much tonal change in the association of an object and a verb as in the association between a subject and a verb (studied below, §6.10).

The association of an object and a verb in Yongning Na could in theory be ambiguous with that between a subject and a verb, since the postposition that forces an interpretation of a noun as subject (/ -**ŋu** /) is not compulsory. Different tone rules applying in S+V and O+V could be one of the means to disambiguate, but in practice S+V (without intervening morphemes) is relatively uncommon, and disambiguation is generally effected through context.

When eliciting object-plus-verb combinations, great care was exercised to avoid occasional reinterpretations as subject-verb combinations. For instance, the association of ‘wolf’ and ‘to eat’ immediately suggests agent role for ‘wolf’. A subject noun phrase was added to lead to an interpretation that corresponds to the desired pattern, with ‘wolf’ as object: ‘the tiger ate the wolf’, /**la-ŋu** | **ōɬɖ** /

dzɯ-ze].

A sample of the elicited data is shown in Table 6.36, with nouns illustrating the various tone categories as objects of the L_b -tone verb /**do**_b/ ‘to see’. The same results obtain when using numeral-plus-classifier phrases instead of disyllabic nouns. For instance, /**ɖɯ-ɓæ**/ ‘something’, made up of the numeral ‘one’ and a classifier for sorts of things, behaves tonally in the same way as disyllabic lexical units carrying the same tone (M), such as /**po-ɭo**/ ‘ram’ and /**qæ-do**/ ‘timber’; and combinations with /**ɖɯ-k^hwɣ**]/ ‘a piece’ yield the same tone patterns as those with /**dzi-hɣ**]/ ‘clothes’.

Table 6.36: A sample of object plus verb combinations: the verb /**do**_b/ ‘to see’.

tone of N	example	meaning	resulting phrase	tone pattern
LM	bo	pig	bo do	L.M+L
LH	zæ	leopard	zæ do	L.H
M	la	tiger	la do	M.L
L	jo	sheep	jo do	L.L
H	zwæ	horse	zwæ do	M.MH
MH	ʈs^hæ	deer	ʈs^hæ do	M.MH
M	po-ɭo	ram	po-ɭo do	M.M.L
#H	zwæ-ɭo#	colt	zwæ-ɭo do	M.M.MH
MH#	hwɣ-li	cat	hwɣ-li do	M.M.MH
H\$	ky-ʈse]	flea	ky-ʈse do	M.M.MH
L	k^hɣ-mi]	dog	k^hɣ-mi do	L.L.L
L#	da-ʈji]	mule	da-ʈji do	M.L.L
LM+MH#	õ do	wolf	õ do	L.M.MH
LM+#H	nɣ-ʈtɕ^hi#]	fine chaff	nɣ-ʈtɕ^hi do	L.M.MH
LM	bo-mi	sow	bo-mi do	L.M.L
LH	bo-ʈta]	boar	bo-ʈta do	L.H.L
H#	hwæ-ʈsæ]	squirrel	hwæ-ʈsæ do	M.H.L

6.8.1 The facts

Table 6.37 presents the tone rules that apply in object-plus-verb phrases. Recordings are available online: (i) some combinations between monosyllables: ObjectVerb; (ii) a full set, except for L_b -tone verbs: ObjectVerb2; and (iii) L_b -tone

verbs: ObjectVerb3.

The notation adopted in Table 6.37 requires disambiguation for sequences ending in a M tone, namely M.M and L.M: object-plus-verb phrases realized with one of these patterns in isolation may have different underlying patterns. Consider (18) and (19):

- (18) li↓ hwæ↓
 li↓ hwæ↓_a
 tea to_buy
 ‘to buy tea’
- (19) æ↓ hwæ↓
 æ↓ hwæ↓_a
 chicken to_buy
 ‘to buy chicken’

The phrases /li↓ hwæ↓/ ‘to buy tea’ and /æ↓ hwæ↓/ ‘to buy chicken’ both surface with a /L.M/ pattern. But they yield different results with a following PERFECTIVE morpheme, /-ze↓/: /li↓ hwæ↓-ze↓/ ‘bought tea’, as against /æ↓ hwæ↓-ze↓/ ‘bought chicken’. Table 6.37 therefore contains information on the tonal realization of a following PERFECTIVE morpheme, /-ze↓/, where relevant: the tone pattern of ‘to buy tea’, an example of the combination of input tones //LH// (on the noun) and //M// (on the verb), is transcribed in the table as /L.M+L/, and that of ‘to buy chicken’ simply as /L.M/. The pattern /M.M+L/ is likewise distinguished from /M.M+M/. The tone on the ACCOMPLISHED prefix is preceded by a ‘+’ sign.

In the cases where the tone of the postverbal element results straightforwardly from the general rules of tone association of Yongning Na (as recapitulated in Chapter 7), no information is indicated in the table. These cases are the following: when the last tone of the object-plus-verb phrase is /H/ or /L/, /-ze↓/ always receives L tone; and when it is /MH/, /-ze↓/ receives the /H/ part of the contour.

As in the other tables, a slash separates variants. For instance, for ‘has sold panthers’ (input: //LH// and //M_b//), two variants are acceptable, as shown in (20).

- (20) /zæ↓ tɕ^{hi}↓-ze↓/ ~ /zæ↓ tɕ^{hi}↓-ze↓/
 zæ↓ tɕ^{hi}↓_b
 panther to_sell
 ‘to sell panthers’

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For //L// and //M_b//, two patterns are also possible: L.L, and M.M+M, as shown in (21).

- (21) /joɿ tɕ^hiɿ-zeɿ/ ~ /joɿ tɕ^hiɿ-zeɿ/
joɿ tɕ^hiɿ_b
sheep to_sell
'has sold sheep'

If the input is //LH// and //H//, two patterns are also possible: L.L, and L.H, e.g. /diɿ dʏɿ-zeɿ/~/diɿ dʏɿ-zeɿ/ 'has dug earth' and /(laɿ-ŋuɿ |) t^haɿ dzuɿ-zeɿ/~/ (laɿ-ŋuɿ |) t^haɿ dzuɿ-zeɿ/ '(the tiger) has eaten (a) buffalo'.

Finally, a note concerning the L.M.L / L.M.MH output (from input //LM+#H// and //L_b//): examples include (22), (23) and (24). The consultant expressed a preference for the L.M.MH realization.

- (22) /nyɿtɕ^hiɿ doɿ/ ~ /nyɿtɕ^hiɿ doɿ/
nyɿtɕ^hiɿ#ɿ doɿ_b
fine_chaff to_see
'to see fine chaff'

- (23) /piɿtiɿ doɿ/ ~ /piɿtiɿ doɿ/
piɿtiɿ#ɿ doɿ_b
nugget to_see
'to see nuggets (of silver)'

- (24) /naɿhɿɿ doɿ/ ~ /naɿhɿɿ doɿ/
naɿhɿɿ#ɿ doɿ_b
Naxi to_see
'to see (the) Naxi'

The following paragraphs offer observations about the tone patterns of object-plus-noun combinations, and how they relate to other parts of the Yongning Na tone system.

6.8.2 Object-plus-verb combinations reveal the tonal distinction between //LM// and //LH// monosyllabic nouns

The //LM// and //LH// categories of nouns, illustrated by //boɿ// 'pig' and //zɕeɿ// 'leopard', are neutralized in most contexts. Among object-plus-verb combinations, two contexts distinguish them: their association with a M-tone verb, e.g.

Table 6.37: The tone patterns of object-plus-verb combinations.

tone of noun		tone of verb				
	H	M _a	M _b	L _a	L _b	MH
LM	L.M+L	L.M+M	L.M+M	L.M+L	L.M+L	L.MH
LH	L.L / L.H	L.H	L.L / L.H	L.H	L.H / L.L	L.MH
M	M.M+L	M.M+M	M.M+M	M.L	M.L	M.MH
L	L.L	M.M+M	M.M+M / L.L	L.L	L.L / M.L	L.L
H	M.M+L	M.L	M.M+L	M.H	M.MH	M.L
MH	M.H	M.H	M.H	M.H	M.MH	M.H
M	M.M.M+L	M.M.M+M	M.M.M+M	M.M.L	M.M.L	M.M.MH
#H	M.M.M+L	M.M.L	M.M.M+L	M.M.H	M.M.MH	M.M.L
MH#	M.M.MH	M.M.H+L	M.M.MH	M.M.H	M.M.MH	M.M.H
H\$	M.M.M+L	M.H.L	M.M.M+L	M.M.H	M.M.MH	M.H.L
L	L.L.L	L.L.H	L.L.L	L.L.H	L.L.L	L.L.H
L#	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L
LM+MH#	L.M.M+L	L.M.H	L.M.M+L	L.M.H	L.M.MH	L.M.H
LM+#H	L.M.M+L	L.M.L	L.M.M+L	L.M.H	L.M.L / L.M.MH	L.M.L
LM	L.M.M+L	L.M.M+M	L.M.M+M	L.M.L	L.M.L	L.M.MH
LH	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L
H#	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L

/bo↓ hwæ↓-ze↓/ ‘bought pigs’ vs. /zæ↓ hwæ↓-ze↓/ ‘bought leopards’, and with a H-tone verb, e.g. /bo↓ dzu↓/ ‘to eat pigs’ vs. /yuu↓ dzu↓/ ‘to eat skin’. (‘Skin’ /yuu↓/ appeared as a semantically more suitable noun than ‘leopard’ as the object of the verb ‘to eat’; /zæ↓ dzu↓/ ‘to eat leopards’ is syntactically well-formed, however.)

6.8.3 About tonal variants

There are interesting fine details in the use of tonal variants. Any L-tone monosyllabic noun acting as object of a L_b-tone verb can yield a L.L pattern: for instance, /fi↓ zi↓/ ‘to grab/seize a roebuck’, /mɸ↓ zi↓/ ‘to grab/seize (a/one’s) daughter’; and /fi↓ do↓/ ‘to see a roebuck’, /mɸ↓ do↓/ ‘to see (a/one’s) daughter’. On the other hand, the M.L variant is only observed for some nouns: it is possible to say /fi↓ zi↓/ ‘to grab/seize a roebuck’ and /fi↓ do↓/ ‘to see a roebuck’, but not † mɸ↓ zi↓ (intended meaning: ‘to grab/seize (a/one’s) daughter’) or † mɸ↓ do↓ (intended meaning: ‘to see (a/one’s) daughter’).

In some cases, it is possible to distinguish one variant that is more common for frequently occurring combinations of words. For instance, with a L-tone object and a LM-tone verb, there are two variants: M.M+M and L.L, but it is customary to say /dzu↓ tʰu↓/ ‘to drink water’; /dzu↓ tʰu↓/, though understandable, sounds weird. Conversely, the elicited phrase ‘to grab sheep’ yields /jo↓ zi↓/; the variant /jo↓ zi↓/ carries a hint that this is a common activity, which is slightly weird from a semantic point of view. The latter variant can make sense in the context of sheep shearing, for instance, where sheep-grabbing is part of the shearing process.

6.8.4 Exceptional combinations

Two //LH// nouns, ‘leopard’ and ‘monkey’, yield a L.M+L pattern in combination with a //H//-tone verb, instead of the expected L.L pattern: /zæ↓ dzu↓-ze↓/ for ‘[the tiger] ate panthers’ and /zi↓ dzu↓-ze↓/ for ‘[the tiger] ate monkeys’, instead of the expected /†zæ↓ dzu↓-ze↓/ and /†zi↓ dzu↓-ze↓/. The combination of ‘to eat’ and ‘panther’ is semantically odd, as panthers are predators rather than game, but that with ‘monkeys’ is fine, and the same result was obtained in several elicitation sessions. This is provisionally analyzed as an exceptional pattern: another of the complexities that need to be learnt individually.

In Table 6.37, two variants were indicated for the combination of a //LH// noun and a //M_b// verb: L.L / L.H. For some combinations of words, both patterns are acceptable: for ‘has sold panthers’, it is possible to say /zæ↓ tɕʰi↓-ze↓/, as well as /zæ↓ tɕʰi↓-ze↓/. But some other combinations have apparently lexicalized with

one tone pattern or the other: ‘to bring in the harvest’ can only be /bæ↓ ʂo↓-ze↓/, not /†bæ↓ ʂo↓-ze↓/, whereas ‘to eat skin’ (with the same input tones) can only be /yuu↓ dzuu↓-ze↓/, not /†yuu↓ dzuu↓-ze↓/. It may be that combinations tend to receive a L.H pattern as they lexicalize; sporadic tone change accompanying lexicalization is well-attested cross-linguistically. Or it may be that L.H is an older pattern, and is therefore more common on lexicalized combinations, whereas L.L is innovative.

Another exception corresponding to a highly lexicalized combination is /k^hy† ʂæ↓/ ‘to hunt’, literally ‘to lead a dog’, from //k^hy↓// ‘dog’ and //ʂæ↓// ‘to lead along’. The productive, regular pattern would yield /†k^hy† ʂæ↓/. This irregularity may be interpreted as a lexicalized remnant of an earlier tone pattern.

The word //my↓// ‘fire’ in association with //ts^hi↓// ‘to light’ yields /my† ts^hi↓/ ‘to light a fire’, instead of the expected †my† ts^hi↓.

6.8.5 Nouns plus the copula behave tonally like object-plus-verb combinations

Data on the behaviour of the copula after each tonal category of nouns was set out in Chapter 2. It coincides exactly with the behaviour of L_a-tone verbs in object-plus-verb combinations, and not with the behaviour of L_a-tone verbs in subject-plus-verb combinations. This observation about tones provides a strong indication about the morphosyntactic status of the copula.

6.8.6 Interrogative pronoun and verb

The combination of an interrogative pronoun and a verb is a special case of the combination of object and verb. The tonal patterns are not identical, however: see Table 6.38. Surprisingly, these three interrogative pronouns, which are currently hypothesized to belong in the same lexical tone category, namely //LM//, show different tonal behaviours. These differences between the three pronouns were checked carefully; for instance, it has been verified that †ze↓jbæ† la↓ is not an acceptable variant, any more than †ze↓jg† la↓.

6.9 Object and prefixed verb

When an object associates to a prefixed verb, they can form a single tone group, as in (25), or the prefixed verb may be preceded by a tone group boundary, as in (26).

Table 6.38: The tonal behaviour of three interrogative pronouns preceding a verb: /zeɭbæ/ ‘which sort’, /zeɭgɣ/ ‘which place’, and /zoɭqo/ ‘where’.

tone	example	meaning	which	which place	where
H	dzɯɿ	to eat	zeɭbæɿ dzɯɿ	zeɭgɣɿ dzɯɿ	zoɭqoɿ dzɯɿ
M _a	hwæɿ _a	to buy	zeɭbæɿ hwæɿ	zeɭgɣɿ hwæɿ	zoɭqoɿ hwæɿ
M _b	tɕ ^h iɿ _b	to sell	zeɭbæɿ tɕ ^h iɿ	zeɭgɣɿ tɕ ^h iɿ	zoɭqoɿ tɕ ^h iɿ
M _c	pɣɿ _c	to chant	zeɭbæɿ pɣɿ	zeɭgɣɿ pɣɿ	zoɭqoɿ pɣɿ
L _a	bæɿ _a	to sweep	zeɭbæɿ bæɿ	zeɭgɣɿ bæɿ	zoɭqoɿ bæɿ
L _b	t ^h ɯɿ _b	to drink;	zeɭbæɿ t ^h ɯɿ	zeɭgɣɿ t ^h ɯɿ?	zoɭqoɿ t ^h ɯɿ
	zɰwɣɿ _b	to speak	zeɭbæɿ zɰwɣɿ	zeɭgɣɿ zɰwɣɿ	zoɭqoɿ zɰwɣɿ
MH	laɿ	to strike	zeɭbæɿ laɿ	zeɭgɣɿ laɿ	zoɭqoɿ laɿ

- (25) baɿlaɿ t^hiɿ-ɰɣɿ
 baɿlaɿ t^hiɿ- ɰɣɿ_a
 clothes DUR to_put_on
 ‘to put on clothes’ (ComingOfAge2.37)

- (26) əɿɰɣɿ-ɿɰɿ, | zæɿsuɿ | t^hiɿ-ɰɣɿ
 əɿɰɣɿ¹ -ɿɰɿ¹ zæɿsuɿ¹ t^hiɿ¹ ɰɣɿ_a
 elder_sibling A coarse_felt DUR to_put_on/to_wear
 ‘the elder brother put on [his] coarse felt cloak’ (Sister3.57)

The same stylistic choice is open for numeral-plus-classifier phrases in object position. Example (27) illustrates a case of integration of object (‘a bowl’) and verb (‘to pour’).

- (27) zɰɿ | ɰɿɿ-q^hwɣɿ t^hiɿ-p^hɣɿ tɰɿ ɰɣɿ
 zɰɿ¹ ɰɿɿ¹ q^hwɣɿ¹ t^hiɿ¹- p^hɣɿ¹ tɰɿ¹ ɰɣɿ¹
 wine one clf.bowl DUR to_pour REP AFFIRM
 ‘it is said that [she] poured a bowl of wine [for her brother].’ (Sister3.41)

When the object and prefixed verb are integrated into one tone group, it seems at first glance as if the adjustment were purely phonological, the computation of tones within the group proceeding from the beginning (‘left-to-right’). In example (27), for instance, it looks as if the MH contour on the numeral-plus-classifier unfolds over the prefixed verb: /ɰɿɿ-q^hwɣɿ/ ‘one bowl(ful)’ plus /t^hiɿ-p^hɣɿ/ ‘to pour’ would be analyzed as yielding /ɰɿɿ-q^hwɣɿ t^hiɿ .../ by unfolding of the MH

contour, the H part of the noun phrase's MH contour reassociating to the DURATIVE prefix //t^{hi}+// (hence /t^{hi}l-/-). The final result /**ɗuɹ-t-q^{hwɹ}-t^{hi}l-p^hv**l/ would obtain by application of Rules 4 and 5: "A syllable following a H-tone syllable receives L tone", and "All syllables following a H.L or M.L sequence receive L tone". A similar analysis can be extended to almost all cases – but not quite all of them, leading to the conclusion that the adjustment is not purely phonological. The association of an object and a prefixed verb therefore still belongs within morphophonology, although only a small evolutionary step would be required for it to become a purely phonological process: simplifying the handful of forms that do not currently obtain on the basis of phonological rules. The facts are set out and discussed in detail below.

6.9.1 The facts

The data is arranged by tone. The behaviour of tones M_a, M_b and M_c is identical, as is that of tones L_a and L_b; the data presented is therefore limited to one M-tone verb (Table 6.39) and one L-tone verb (Table 6.41). The patterns that do not conform with the regularities discussed below (§6.9.2) are shaded in gray.

Examples in texts are abundant: the DURATIVE prefix /t^{hi}+/- appears over 700 times in twenty texts, illustrating a broad range of combinations. See for instance FoodShortage.71; Funeral.69, 108, 190, 238, 253; Healing.103; Housebuilding.40, 110, 121, 217, 239; Mountains.7, 88, 161; Reward.40, 73; Seeds2.51, 62; and Sister3.41, 95.

6.9.2 Data analysis

The most straightforward cases are presented first, followed by the more complex ones.

6.9.2.1 The tone of the verb expresses itself when the noun phrase has LM or M tone

As observed in §6.9.2 of Chapter 2, M tends to behave as an inert tone in Yongning Na: it does not spread or otherwise affect following tones. The behaviour of M-tone nouns in association with a prefixed verb is in keeping with this observation: when the noun phrase has M tone, the prefixed verb surfaces with the same tones as in isolation. The same is true of LM-tone noun phrases.

6 Verbs and their combinatory properties

Table 6.39: Objects plus M-tone verbs prefixed by the DURATIVE prefix /t^hi+/.

tone	head	meaning	hwæ↓ _a ‘to buy’	tone pattern
LM	bo↓	pig	bo↓ t ^h i↓-hwæ↓	L.M.M
LH	my↓	daughter	my↓ t ^h i↓-hwæ↓	L.H.L
M	la↓	tiger	la↓ t ^h i↓-hwæ↓	M.M.M
L	jo↓	sheep	jo↓ t ^h i↓-hwæ↓	M.M.M
#H	hi↓	human being	hi↓ t ^h i↓-hwæ↓	M.L.L
MH#	ts ^h u↓	goat	ts ^h u↓ t ^h i↓-hwæ↓	M.H.L

M	po↓lo↓	ram	po↓lo↓ t ^h i↓-hwæ↓	M.M.M.M
#H	zwa↓zo#↓	colt	zwa↓zo↓ t ^h i↓-hwæ↓	M.M.L.L
MH#	hwɹ↓li↓	cat	hwɹ↓li↓ t ^h i↓-hwæ↓	M.M.H.L
H\$	kɸ↓se↓\$	flea	kɸ↓se↓ t ^h i↓-hwæ↓	M.H.L.L
L	k ^h ɸ↓mi↓	dog	k ^h ɸ↓mi↓ t ^h i↓-hwæ↓	L.L.H.L
L#	da↓ji↓	mule	da↓ji↓ t ^h i↓-hwæ↓	M.L.L.L
LM+MH#	ɸ↓ts ^h ɹ↓	vegetables	ɸ↓ts ^h ɹ↓ t ^h i↓-hwæ↓	M.M.H.L
LM+#H	a↓mi#↓	goose	a↓mi↓ t ^h i↓-hwæ↓	L.M.L.L
LM	bo↓mi↓	sow	bo↓mi↓ t ^h i↓-hwæ↓	L.M.M.M
LH	bo↓ka↓	boar	bo↓ka↓ t ^h i↓-hwæ↓	L.H.L.L
H#	k ^h ɸ↓na↓	dog	k ^h ɸ↓na↓ t ^h i↓-hwæ↓	M.H.L.L

6.9.2.2 Tonal oppositions on verbs are neutralized after a disyllabic noun phrase with L#, LH or H# tone

Tones L#, LH and H# on disyllables preclude any tone other than L on syllables that follow within the tone group, by application of Rules 4 and 5: “A syllable following a H-tone syllable receives L tone”, and “All syllables following a H.L or M.L sequence receive L tone”. All tonal oppositions on verbs are therefore neutralized after a disyllabic noun phrase carrying one of these three tones.

6.9.2.3 Patterns that cannot be fully explained by phonological regularities

The patterns discussed in the two preceding paragraphs can be explained fully on the basis of phonological regularities that apply throughout the system. This is not true of all patterns, however. The overall proportion is that about three combinations out of four follow a set of phonological tendencies. This figure appears high enough to formulate the tentative hypothesis that these tendencies

Table 6.40: Objects plus H-tone verbs prefixed by the DURATIVE prefix /t^{hi}+/.

tone	head	meaning	dzɯŋ 'to eat'	tone pattern
LM	boŋ	pig	boŋ t ^{hi} ŋ-dzɯŋ	L.M.H
LH	myŋ	daughter	myŋ t ^{hi} ŋ-dzɯŋ	L.H.L
M	laŋ	tiger	laŋ t ^{hi} ŋ-dzɯŋ	M.M.H
L	joŋ	sheep	joŋ t ^{hi} ŋ-dzɯŋ	L.L.LH
#H	hiŋ	human being	hiŋ t ^{hi} ŋ-dzɯŋ	M.L.L
MH#	ts ^h uŋ	goat	ts ^h uŋ t ^{hi} ŋ-dzɯŋ	M.H.L
M	poŋloŋ	ram	poŋloŋ t ^{hi} ŋ-dzɯŋ	M.M.M.H
#H	zwaŋzoŋ#ŋ	colt	zwaŋzoŋ t ^{hi} ŋ-dzɯŋ	M.M.L.L
MH#	hwɯŋliŋ	cat	hwɯŋliŋ t ^{hi} ŋ-dzɯŋ	M.M.H.L
H\$	kyŋseŋ\$	flea	kyŋseŋ t ^{hi} ŋ-dzɯŋ	M.H.L.L
L	k ^h ɯŋmiŋ	dog	k ^h ɯŋmiŋ t ^{hi} ŋ-dzɯŋ	L.L.H.L
L#	daŋjiŋ	mule	daŋjiŋ t ^{hi} ŋ-dzɯŋ	M.L.L.L
LM+MH#	ɣŋts ^h ɯŋ	vegetables	ɣŋts ^h ɯŋ t ^{hi} ŋ-dzɯŋ	L.M.H.L
LM+#H	aŋmiŋ#ŋ	goose	aŋmiŋ t ^{hi} ŋ-dzɯŋ	L.M.H.L
LM	boŋmiŋ	sow	boŋmiŋ t ^{hi} ŋ-dzɯŋ	L.M.M.H
LH	boŋtaŋ	boar	boŋtaŋ t ^{hi} ŋ-dzɯŋ	L.H.L.L
H#	k ^h ɯŋnaŋ	dog	k ^h ɯŋnaŋ t ^{hi} ŋ-dzɯŋ	M.H.L.L

represent the default case, and that the remaining cases are likely to be learnt individually. Seen in this light, the morphotonological paradigms of Yongning Na are partly regular; this issue will be taken up again in the typological discussion in Chapter 10.

These tendencies are as follows.

- (i) Tone //LH// on a monosyllabic noun projects its H portion onto the next syllable, in this case the DURATIVE prefix /t^{hi}/. In this light, the pattern with //L//-tone verbs, exemplified by /myŋ t^{hi}ŋ-doŋ/ 'to see (a/the) daughter', appears as an irregularity.
- (ii) Tones //H// and //LM+#H// do not overtly express their H tone, which remains floating, but is not deleted: this floating H tone lowers the tones of the following syllables to L. The pattern with //L//-tone verbs is, again, irregular, as well as the pattern for a //LM+#H// noun and a //H//-tone verb, where one would expect L.M.L.L, e.g. †aŋmiŋ t^{hi}ŋ-dzɯŋ 'to eat a goose',

Table 6.41: Objects plus L-tone verbs prefixed by the DURATIVE prefix /t^hi+/.

tone	head	meaning	di _a ‘to have’	tone pattern
LM	bo _l	pig	bo _l t ^h i+di _l	L.M.L
LH	my _l	daughter	my _l t ^h i+di _l	L.L.H
M	la _l	tiger	la _l t ^h i+di _l	M.M.L
L	jo _l	sheep	jo _l t ^h i+di _l	M.M.L
#H	hi _l	human being	hi _l t ^h i+di _l	M.M.H
MH#	ts ^h u _l	goat	ts ^h u _l t ^h i+di _l	M.M.H
M	po+lo _l	ram	po+lo _l t ^h i+di _l	M.M.M.L
#H	zwa _l zo# _l	colt	zwa _l zo _l t ^h i+di _l	M.M.M.H
MH#	hw _l li _l	cat	hw _l li _l t ^h i+di _l	M.M.M.H
H\$	ky _l ʒe _l \$	flea	ky _l ʒe _l t ^h i+di _l	M.M.M.H
L	k ^h ɣ _l mi _l	dog	k ^h ɣ _l mi _l t ^h i+di _l	L.L.L.H
L#	da _l ʒi _l	mule	da _l ʒi _l t ^h i+di _l	M.L.L.L
LM+MH#	ɣ _l ts ^h ɣ _l	vegetables	ɣ _l ts ^h ɣ _l t ^h i+di _l	L.M.M.H
LM+#H	a _l mi _l # _l	goose	a _l mi _l t ^h i+di _l	L.M.M.H
LM	bo _l mi _l	sow	bo _l mi _l t ^h i+di _l	L.M.M.L
LH	bo _l ʰa _l	boar	bo _l ʰa _l t ^h i+di _l	L.H.L.L
H#	k ^h ɣ _l na _l	dog	k ^h ɣ _l na _l t ^h i+di _l	M.H.L.L

instead of the observed L.M.M.H: /a_lmi_l t^hi+dzu_l/.

- (iii) Tone //H\$// gets docked on the last syllable of the noun phrase, resulting in a lowering of the tone of following syllables to L, by Rules 4 and 5: “A syllable following a H-tone syllable receives L tone”, and “All syllables following a H.L or M.L sequence receive L tone”.
- (iv) Tones //MH#// and //LM+MH#// project their final H level onto the following syllable. The pattern with //L//-tone verbs is, again, irregular.

6.9.2.4 The behaviour of L-tone verbs: attempting a generalization

L-tone verbs are those that exhibit the greatest proportion of irregular patterns: patterns that do not follow the regularities described above. It appears possible to attempt a generalization nonetheless. One way to describe what happens in this particular morphosyntactic context is as follows: when the noun phrase contains

Table 6.42: Objects plus MH-tone verbs prefixed by the DURATIVE prefix /tʰi-/.

tone	head	meaning	tʰæʌ ‘to bite’	tone pattern
LM	boʌ	pig	boʌ tʰi-ʌtʰæʌ	L.M.MH
LH	myʌ	daughter	myʌ tʰi-ʌtʰæʌ	L.H.L
M	laʌ	tiger	laʌ tʰi-ʌtʰæʌ	M.M.MH
L	joʌ	sheep	joʌ tʰi-ʌtʰæʌ	L.L.LH
#H	hiʌ	human being	hiʌ tʰi-ʌtʰæʌ	M.L.L
MH#	tsʰuʌ	goat	tsʰuʌ tʰi-ʌtʰæʌ	M.H.L
<hr/>				
M	po-ʌloʌ	ram	po-ʌloʌ tʰi-ʌtʰæʌ	M.M.M.MH
#H	zwa-ʌzo#ʌ	colt	zwa-ʌzoʌ tʰi-ʌtʰæʌ	M.M.L.L
MH#	hwɿ-ʌliʌ	cat	hwɿ-ʌliʌ tʰi-ʌtʰæʌ	M.M.H.L
H\$	ky-ʌseʌ	flea	ky-ʌseʌ tʰi-ʌtʰæʌ	M.H.L.L
L	kʰy-ʌmiʌ	dog	kʰy-ʌmiʌ tʰi-ʌtʰæʌ	L.L.H.L
L#	da-ʌjiʌ	mule	da-ʌjiʌ tʰi-ʌtʰæʌ	M.L.L.L
LM+MH#	y-ʌtsʰɿʌ	vegetables	y-ʌtsʰɿʌ tʰi-ʌtʰæʌ	L.M.H.L
LM+#H	a-ʌmi#ʌ	goose	a-ʌmiʌ tʰi-ʌtʰæʌ	L.M.L.L
LM	bo-ʌmiʌ	sow	bo-ʌmiʌ tʰi-ʌtʰæʌ	L.M.M.MH
LH	bo-ʌtaʌ	boar	bo-ʌtaʌ tʰi-ʌtʰæʌ	L.H.L.L
H#	kʰy-ʌnaʌ	dog	kʰy-ʌnaʌ tʰi-ʌtʰæʌ	M.H.L.L

a H tone, this H tone moves all the way to the last syllable of the resulting verb phrase, unless it is unmovably fixed to one of the syllables.

This generalization reflects the identical tonal treatment of the prefixed verb after a disyllable with //H#// or //LH// tone. When a //H#// or //LH// tone is lexically associated to a disyllabic noun, a H level tone is unmovably attached to the last syllable of the noun. On the other hand, tones //H#//, //LM+#H//, //H\$//, //MH#// and //LM+MH#// share the property of containing a H level tone whose syllabic anchoring depends on context: their H level is not unmovable.

An apparent counterexample to this generalization is //LH// tone: disyllabic //LH//-tone nouns yield L.H.L.L, but monosyllabic //LH//-tone nouns yield L.L.H, e.g. /myʌ tʰi-ʌdoʌ/ ‘to see (a/the) daughter’. At this point, one may consider that this difference of treatment demonstrates clearly that the tonal morphology at play here is irregular, and that the analyst should refrain from devising clever accounts that are more systematic and neater than the facts. The above regularity would then need to be abandoned.

Experience in learning and speaking the language suggests otherwise, however, and encourages the investigator to venture some more speculations about how the observed patterns relate to one another.

The different treatment of //LH// tone on monosyllabic and disyllabic nouns could be related to the fact that, although the tonal category is identical, its association to syllables is different for monosyllables and disyllables. When the noun has two syllables, the H part of the //LH// tone is unmovably attached to the second syllable, making this tone category an easy one for the language learner. In the case of monosyllables, on the other hand, for want of a sufficient number of syllables (the relevant tone-bearing unit in Yongning Na), there is some pressure for the H part to reassociate to a later syllable. In various morphosyntactic contexts, the H part of //LH// does not surface on the noun to which it is lexically associated. To sum up, there is a hard-and-fast syllabic anchoring for //LH// tone when it associates to a disyllable, whereas on a monosyllable its anchoring is looser.

Viewed in this light, it does not come as a great surprise that //LH// on a monosyllabic noun should pattern as one of the movable H tones – alongside //H//, //LM+#H//, //H\$//, //MH#// and //LM+MH#// – rather than as one of the unmovable H tones.

This generalization is *ad hoc*, in the sense that it is based on the data for this specific morphosyntactic context. But it does not appear absurd to consider that it has psychological reality, allowing learners to memorize the patterns for tones //H//, //LM+#H//, //H\$//, //MH#// and //LM+MH#// – plus //LH//-tone monosyllables – all at one go.

Still on a highly speculative note, this analysis predicts high cross-dialect variation for //L//-tone verbs: cases that do not conform to regularities (i-iv) as set out in §6.9.2.3 are especially numerous for //L//-tone verbs. The force of analogy would tend to simplify the system by eliminating these irregularities. This is an empirical question to investigate on the basis of data from other dialects.

6.10 Subject and verb

Tonal interaction between subject and verb is illustrated by (28–29).

- (28) a. bi˥ gi˥-ze˥.
 bi˥ gi˥ -ze˥
 snow to_fall PFV
 ‘It is snowing. / It’s beginning to snow.’

- b. hi↓ gi↓-ze↓.
 hi↓ gi↓ -ze↓
 rain to_fall PFV
 ‘It is raining. / It’s beginning to rain.’
- (29) a. hī+|bæ#↓ ts^hu↓-ze↓.
 hī+|bæ#↓ ts^hu↓ -ze↓
 guest arrive PFV
 ‘The guest has arrived. / The guests have arrived.’
- b. da+|pɣ↓ ts^hu↓-ze↓.
 da+|pɣ↓ ts^hu↓ -ze↓
 priest arrive PFV
 ‘The priest has arrived. / The priests have arrived.’

Subject-plus-verb phrases are relatively infrequent in Yongning Na. This is due in part to the high frequency of post-nominal morphemes and verbal prefixes, and, for transitive verbs, to the subject-object-verb word order. When the verb is preceded by a particle, such as the ACCOMPLISHED prefix /le+/- or the DURATIVE prefix /t^hi+/-, the noun and the verb belong to two different tone groups, and they do not interact. Compare, for instance, the realizations of the MH-tone verb /qæ/ ‘to burn’ in /mɣ+ | le+qæ+ze↓/ ‘the fire burned’ and /mɣ+ qæ↓/ ‘the fire burns’.

The elicited data analyzed in this section is based on intransitive verbs, so as to avoid possible confusions between S+V and O+V constructions. Following the same procedure as for nouns, two contexts were used to arrive at underlying tone categories: S+V, and S+V+PERFECTIVE. For instance, (29a) without the PFV yields /hī+|bæ+ ts^hu↓/ ‘the guests arrive’. The tone pattern for this combination of subject and predicate can therefore be described as /M.M.MH/, and further analyzed as //MH#//: a MH contour associating to the last syllable.

6.10.1 The facts

For systematic elicitation, the following verbs were used: /sɯ+|_a/ ‘to die’; /ts^ho#↓/ ‘to dance’; /se↓/ ‘to walk’; /ts^hu↓_a/ ‘to arrive’; /zɣɣ↓_b/ ‘to speak’; and /bæ↓/ ‘to run’. The nouns used were kinship terms and animal names. Table 6.43 presents the results. The //LM// and //LH// tone categories of monosyllables always yield the same output; they are pooled together in the table.

When the subject-plus-verb combination ends in a /H/ or /L/ tone, the PERFECTIVE morpheme carries /L/ tone. When it ends in a /MH/ tone, the postverbal

morpheme receives the /H/ part of the contour. When it ends on a /M/ tone, the tone of the postverbal morpheme cannot be predicted; in these cases, it is indicated in the table, preceded by a '+' sign.

The recording SubjectVerb contains all of the combinations in Table 6.43.

About one fourth of the tone patterns for subject-plus-verb phrases differ from the corresponding object-plus-verb phrases. Among identical combinations are those where the noun has a //L#// or //H#// tone, since these fixed-position tones lower the tones of all following syllables to L (by application of Rules 4 and 5: see §7.1.1).

As with other types of combinations, such as numeral-plus-classifier and object-plus-verb, the tone patterns in Table 6.43 cannot be obtained on the basis of a set of phonological tone rules. Among the more surprising patterns is //LH// plus //LM//, yielding L.M.M+H, as in /**bo.ŋa** | **t^hu**+ze/ 'the boar drank'. The noun has a //LH// lexical tone, which would be expected to produce neutralization of all tonal contrasts on the following morpheme (here, on the verb). The five other combinations involving a //LH//-tone noun do indeed yield L.H.L, but that with a //L_b//-tone verb yields L.M.MH. This pattern is conspicuously unrelated to the phonological tendencies observed in the language.

In subject-verb combinations as elsewhere, the //L.M// and //L.H// sequences are neutralized on the surface. Notation as //L.H// is interchangeable with //L.M +L//. The former was chosen for the sake of descriptive simplicity: it only requires two tone symbols, and it corresponds to one of the tones attested on disyllabic nouns. (The argument for using //L.H// rather than //L.M.L// as a label for this category of disyllabic nouns was set out in 2.3.5.)

An especially interesting issue is how the PERFECTIVE acquires its tone after a M-tone verb. This arguably constitutes an example of structural gap-filling in the tonal paradigm, with far-reaching consequences for the system. This issue will be analyzed in the chapter devoted to the analysis of Yongning Na in dynamic perspective: Chapter 9, §9.1.

6.10.2 Variants resulting from a division into two tone groups

Some deviant patterns are observed in recorded data when the association of subject and verb is, as it were, incomplete: both the subject and the verb receive the tone that they would have in isolation, e.g. 'the tiger jumped' can be realized as /**ŋa** | **ts^ho**+ze/ (tone pattern: M.M+M) instead of the regular /**ŋa** | **ts^ho**+ze+/. This amounts to a division into two tone groups, as transcribed by the vertical bar |. These are borderline cases, however, without a true division into two tone groups. If the two parts (the subject on the one hand, the verb plus its suffix on

Table 6.43: The tone patterns of subject-plus-verb combinations, in surface-phonological transcription.

		tone of verb				
tone of noun	H	M _a	M _b	L _a	L _b	MH
LM, LH	LH	L.M+M	L.M+M	L.H	L.H	L.MH
M	M.M+L	M.M+M	M.M+M	M.L	M.L	M.MH
L	M.M+L	L.L	M.M+M	L.L	L.L / M.L	L.L
H	M.M+L	M.M+L	M.M+L	M.MH	M.MH	M.L
MH	M.H	M.H	M.H	M.MH	M.MH	M.H
M	M.M.M+L	M.M.M+M	M.M.M+M	M.M.L	M.M.L	M.M.MH
#H	M.M.M+L	M.M.M+L	M.M.M+L	M.M.MH	M.M.MH	M.M.L
MH#	M.M.MH	M.M.MH	M.M.MH	M.M.MH	M.M.MH	M.M.H
H\$	M.M.M+L	M.M.M+L	M.M.M+L / M.M.M+H	M.M.MH	M.M.MH	M.H.L
L	L.L.L	L.L.L	L.L.L	L.L.L	L.L.L	L.L.H
L#	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L
LM+MH#	L.M.M+L	L.M.M+L	L.M.M+L	L.M.MH	L.M.MH	L.M.H
LM+#H	L.M.M+L	L.M.M+M	L.M.M+M	L.M.L	L.M.MH	L.M.MH
LM	L.M.M+L	L.M.M+M	L.M.M+M	L.M.L	L.M.L	L.M.MH
LH	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L
H#	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L

the other) were really treated as two tone groups, a postlexical H tone would be added to all-L groups such as the subject /bo↓/ in /bo↓ | ts^ho↓-ze↓/ ‘the pig jumped’ and the verb phrase /ts^hu↓-ze↓/ in /jo↓ | ts^hu↓-ze↓/ ‘the sheep arrived’.

Such variants exist for all combinations. When subject and verb are thus separated, it is possible to make a pause before the verb. The stylistic effect is to emphasize the subject. The topic of stylistic options in the division of the utterance into tone groups, which has considerable importance in Yongning Na prosody, is addressed in Chapter 7.

When the demonstratives //t^hu↓// and //t^hɿ↓// appear in subject position, they are always separated from the verb by a tone group boundary, as illustrated by example (30).

- (30) t^hu↓ | ts^hu↓-ze↓ !
 t^hu↓ t^hu↓ ze↓
 3SG to_arrive PFV
 ‘(S)he has arrived!’

This is unlike H-tone nouns, which tend to be integrated in the same tone group as the verb, as in /z^hwæ↓ ts^hu↓/ ‘the horse arrives’, from //z^hwæ↓// ‘horse’ plus the same verb ‘to arrive’ as in (30). The demonstratives are exceptional items in other respects too, as described in §5.4.2.

6.10.3 Nouns plus the existential verb /dzo↓_b/ behave tonally like subject-verb combinations

The existential verb /dzo↓_b/ patterns like other L_b-tone verbs. The association of a noun and the existential behaves tonally like the combination of a subject and a verb (shown in Table 6.43 above). The data is shown in Table 6.44.

A subtle difference between the existential verb /dzo↓_b/ and other L_b-tone verbs is that the association of other verbs with a L-tone subject noun yields L.L, with a M.L variant for some nouns only, whereas the existential verb only allows the M.L pattern (for all nouns carrying lexical L tone).

Table 6.44: The tone of the existential verb /dzo_{1b}/ in association with a noun.

example	meaning	tone	with existential	tone pattern
bo ₁	pig	LM	bo ₁ dzo ₁ (-ze ₁)	L.H
zæ ₁	leopard	LH	zæ ₁ dzo ₁ (-ze ₁)	L.H
la ₁	tiger	M	la ₁ dzo ₁	L.M
jo ₁	sheep	L	ɦi ₁ dzo ₁	M.L
zwæ ₁	horse	H	zwæ ₁ dzo ₁	M.MH
tʂ ^h æ ₁	deer	MH	tʂ ^h æ ₁ dzo ₁	M.MH
ɕy ₁ mi ₁	fox	M	ɕy ₁ mi ₁ dzo ₁	M.M.L
zwæ ₁ zo ₁ # ₁	colt	#H	zwæ ₁ zo ₁ dzo ₁	M.M.MH
hwɤ ₁ li ₁	cat	MH#	hwɤ ₁ li ₁ dzo ₁	M.M.MH
hwɤ ₁ mi ₁ ɿ\$	she-cat	H\$	hwɤ ₁ mi ₁ dzo ₁	M.M.MH
k ^h ɥ ₁ mi ₁	dog	L	k ^h ɥ ₁ mi ₁ dzo ₁	L.L.L
da ₁ ji ₁	mule	L#	da ₁ ji ₁ dzo ₁	M.L.L
õ ₁ dɥ ₁	wolf	LM+MH#	õ ₁ dɥ ₁ dzo ₁	L.M.MH
na ₁ ɦĩ ₁ # ₁	Naxi	LM+#H	na ₁ ɦĩ ₁ dzo ₁	L.M.MH
bo ₁ mi ₁	sow	LM	bo ₁ mi ₁ dzo ₁	L.M.L
bo ₁ ɦa ₁	boar	LH	bo ₁ ɦa ₁ dzo ₁	L.H.L
hwæ ₁ tsu ₁	rat	H#	hwæ ₁ tsu ₁ dzo ₁	M.H.L

7 Tone assignment rules and the division of utterances into tone groups

The present chapter is devoted to a recapitulation of (i) the rules of tone assignment, whereby surface-phonological tones can be derived from the underlying tones, and (ii) the principles underlying the division of the utterance into tone groups, a key unit for tonal processes.

The unit within which tonal processes apply is referred to here as a *tone group*. Its boundaries are indicated in transcriptions by means of the International Phonetic Alphabet symbol [|]. Successive tone groups are entirely independent from the point of view of their phonological tones: tones never spread or otherwise influence one another across tone-group boundaries, i.e. tonal computation takes place independently for successive tone groups.¹

In a model of prosodic hierarchy such as the universal model proposed by Selkirk (1986) and Nespor & Vogel (1986), made up of Utterance Phrase → Intonational Phrase → Phonological Phrase → Phonological Word → Foot → Syllable → Mora, tone groups may be considered as constituting one *phonological phrase* each. The term “tone group” is nonetheless used here in preference to “phonological phrase”, for several reasons. First and foremost, the defining characteristic of this phonological unit is that it serves as the domain of tonal processes. Na does not have segmental rules such as the lenition of word-medial consonants observed in other languages of the area, e.g. Qiang (LaPolla & Huang 2003: 31–32) and Shixing (Xumi) (Chirkova 2009: 12–13), which provide evidence for the phonological word as a prosodic domain. Another reason for

¹ From a phonetic point of view, successive tone groups are linked to one another through a variety of phenomena, from the most local – such as tonal coarticulation – to the most global: in particular, *declination* at the level of utterances and of higher-level discourse units. But these intonational phenomena do not interact with the (phonological) tonal string of the utterance: conceptually, intonation needs to be distinguished from the processes whereby the surface-phonological string of a tone group obtains. Intonation in Yongning Na is discussed in Chapter 8.

favouring the label “tonal phrase” over “phonological phrase” is that, in Yongning Na, two levels are plausible candidates for identification with the level of the “phonological phrase”: the tone group, and the tonal phrase, about which more below.

In Yongning Na, the tone group is the highest relevant unit for tonal computation, and the syllable is the smallest unit: the tone-bearing unit at the surface-phonological level. In between these two levels, one may propose to distinguish additional levels, such as:

- the lexical word, to which tone categories are lexically associated
- the tonal word: a combination of lexical words, such as noun plus verb in S+V or O+V combinations, and noun plus noun in compounds
- the tonal phrase: a tonal word plus any added clitics and affixes

Another possibility would be to use “prosodic stem” instead of *tonal word*, and “prosodic word” instead of *tonal phrase*. This would clear the way for use of “phonological phrase” instead of *tone group*. But an issue is that the tonal word as characterized here tends to include more material than the “prosodic stem”, which “usually coincides with the morphological stem” (Van de Velde 2008a: 17; see also Downing & Kadenge 2015). It is hoped that the description proposed in this volume is explicit enough to be fully intelligible and translatable, as it were, into various frameworks for prosodic description.

This chapter starts out from the phonological part of the picture, presenting tone-to-syllable association rules (§7.1), then moves on to the topic of the division of the utterance into tone groups (§7.2).

7.1 A summary of tone-to-syllable association rules

This section summarizes the tone-to-syllable association rules of Yongning Na. The description is based on the notion of derivation, from an underlying level to a surface-phonological level. For instance, the LM and LH lexical tone categories are underlyingly distinct, but when a word is spoken in isolation, the opposition of LM and LH is neutralized at the surface-phonological level due to a contextual neutralization of the M and H levels (in contexts where they appear in tone-group-final position and are preceded by a L tone). In places where there is potential for confusion between underlying phonological level and surface phonological level, double slashes will be used for transcriptions at the //underlying

phonological level//, as against simple slashes for the /surface phonological level/. This is visually unattractive, but desperate tones call for desperate measures.

The notion of derivation from underlying representations to surface-phonological representations has come under criticism from various quarters in the past two decades. Hyman (2015) concludes from his review of this issue that underlying representations should be maintained as a central tool of phonological analysis. Of course this does not mean that they constitute an adequate tool for exploring all the questions that linguists may be interested in raising. Mid- and long-term perspectives for modelling with more elaborate tools are briefly mentioned in this volume's conclusion (Chapter §11).

7.1.1 **The tone rules**

The tone-to-syllable association rules yield the surface-phonological tones of a given tone group, on the basis of its underlying tones. Seven tone rules have been found to apply in Yongning Na. For ease of reference, a list is provided first, followed by a discussion.

Rule 1: L tone spreads progressively ('left-to-right') onto syllables that are unspecified for tone.

Rule 2: Syllables that remain unspecified for tone after the application of Rule 1 receive M tone.

Rule 3: In tone-group-initial position, H and M are neutralized to M.

Rule 4: A syllable following a H-tone syllable receives L tone.

Rule 5: All syllables following a H.L or M.L sequence receive L tone.

Rule 6: In tone-group-final position, H and M are neutralized to H if they follow a L tone.

Rule 7: If a tone group only contains L tones, a post-lexical H tone is added to its last syllable.

The tone association rules for each of the tone categories have been set out in the course of the discussion, e.g. describing in §2.3.1 and §2.3.2 the association of tone to syllables for the three types of High tones: H#, #H and H\$. The same rules hold for the tones of nouns and verbs, and for the tones of more complex entities such as compound nouns or numeral-plus-classifier phrases, which receive

an underlying tone through the rules presented in table form in the previous chapters.²

Unless otherwise specified, the tone pattern associates to the tonal phrase syllable by syllable ('left-to-right'), one tone level after another. When there are fewer syllables than tone levels, two levels associate to the last syllable.

Thus, L tone and M tone associate to the first syllable of the tonal phrase. For LM tone, the first syllable receives L, and the second receives M. For LH, the first syllable likewise receives L, and the second receives H. These four tonal categories (L, M, LM and LH) are the simplest in terms of tone-to-syllable association.

The other tone categories (#H, MH#, H\$, L#, LM+MH#, LM+#H, and H#) all have a specific syllabic anchoring, described in §2.3, and reflected in the special symbols used in the present transcription.

In the case of the mixed tone categories LM+MH# and LM+#H, their first part (LM) attaches to syllables following the usual rules (like a simple LM tone) and their second part (MH# or #H, respectively) attaches as indicated by the added diacritic. Thus, for LM+MH# tone, the first syllable receives L, the second receives M, and the last receives a MH contour. In the special case where only two syllables are available, the MH contour associates to the second syllable, overriding its M tone. In the case of tone category LM+#H, the first syllable likewise receives a L tone and the second a M tone; the H level associates to the first syllable following the tonal phrase, if a suitable carrier is available. (These two tone categories never associate to a monosyllable.)

At this point in the tone-to-syllable mapping process, some syllables remain toneless. For instance, the lexical disyllable //da.ji/ 'mule', the compound //po.lo.ɬi.pi/ 'ram's ear', the numeral-plus-classifier //gy.suu/ 'nine times', and the object-plus-verb combination //ɬy.mi zi/ 'to grab a fox', all of which have L# tone, are only specified for tone on their last syllable. Tonal nuclei carrying L tone constitute a mirror image of this situation: they are only specified for tone on their *first* syllable. For instance, the noun //ɥdze/ 'bird', the compound //k^hɥlmi-h̃/ 'dog's hair', the numeral-plus-classifier //soJ-dze/ 'three pairs', and the object-plus-verb combination //liJ tɕ^hi/ 'to sell tea' all carry L tone, which attaches to their first syllable. Their other syllables receive a surface-phonological tone through the seven assignment rules set out below.

² To venture a cover term for these different entities, distinguishing them from the level of the lexical word and placing them in the prosodic hierarchy, they could be referred as *tonal phrases*. Another possibility, building on proposals by Downing & Kadenge (2015), would be to refer to this level as the "prosodic word", itself defined as a unit of higher level than the "prosodic stem".

First, L tone spreads, and toneless syllables receive M tone by default.

Rule 1: L tone spreads progressively ('left-to-right') onto syllables that are unspecified for tone.

Rule 2: Syllables that remain unspecified for tone after the application of Rule 1 receive M tone.

The phrasing of Rule 2 makes it explicit that these rules need to be ordered: if Rule 2 applied before Rule 1, there would be no tonally unspecified syllables left for L tone to spread over.

This is the stage at which the syllables that remain unspecified for tone receive a tonal specification. This yields: //v̥.ɿdze// > /v̥.ɿdze/ 'bird', //k^hv̥ɿmi-h̃v̥// > /k^hv̥ɿmi-h̃v̥/ 'dog's hair', //soɿ-dze// > /soɿ-dze/ 'three pairs', and //liɿ tɕ^hi// > /liɿ tɕ^hi/ 'to sell tea', through application of Rule 1; and //da.ɿji// > /da.ɿji/ 'mule', //po.lo-ɿ.pi// > /po.lo-ɿ.pi/ 'ram's ear', //gɿ-ɿsu// > /gɿ-ɿsu/ 'nine times', and //ɕv̥.mi zi// > /ɕv̥.mi zi/ 'to grab a fox', through application of Rule 2.

The rules that apply next refer to the boundaries of the tone group: tonal oppositions are neutralized in certain positions within the tone group (Rules 3-6), and a repair rule adds a H tone on the last syllable of the tone group if it only contains L tones (Rule 7).

Rule 3: In tone-group-initial position, H and M are neutralized to M.

Rule 4: A syllable following a H-tone syllable receives L tone.

Rule 5: All syllables following a H.L or M.L sequence receive L tone.

Rule 6: In tone-group-final position, H and M are neutralized to H if they follow a L tone.

Rule 7: If a tone group only contains L tones, a post-lexical H tone is added to its last syllable.

Rule 6 is proposed on the basis of the observation that there is no opposition between H and M on a tone-group-final syllable when the syllables that precede it carry L tone. This also applies to contours: LH and LM contours are neutralized to LH in tone-group-final position. Thus, a tone-group-final syllable following a L-tone syllable can only have one of the following tones at the surface-phonological level: L, H, LH, or MH. There is no opposition between L..L.LH and L..L.LM, any

more than between L.L.H and L.L.M. In transcriptions, it appeared advisable to adhere to the principle of providing a surface transcription of tone, with no more tonal oppositions than are really present at the phonological surface. This required choosing between one of two alternatives: transcribing the product of neutralization of M and H as M, or as H. A phonological reason for transcribing the product of the neutralization of H and M in this context as H (rather than M) was that it appeared more appropriate to transcribe a two-term opposition by means of the two extreme values of the tone scale (L and H).

A consequence is that, in transcriptions, the same word can appear with a /L.M/ pattern in certain positions, and with /L.H/ in others, even though from a phonetic point of view the realizations may be indistinguishable. The paradox is carried to an extreme in (1).

- (1) moJkyʋ t^hʋʌ-kyʌ-zeʌ. | moJkyʌ!
 moJky#ʌ t^hʋʌ_a -kyʌ -zeʌ moJky#ʌ
 meadow_mushroom to_grow ABILITIVE PFV meadow_mushroom

‘[Starting in the third month,] meadow mushrooms grow. Meadow mushrooms!’ (Mushrooms.149)

In example (1), the second occurrence of the word //moJky#ʌ// is transcribed as /moJkyʌ/, with a H tone on the last syllable, because of its tone-group-final position; phonetically, on the other hand, it is realized lower than the first occurrence, transcribed as /moJkyʌ/. (About intonational factors influencing the phonetic realization of tones, see §8.)

Rule 7 (“If a tone group only contains L tones, a post-lexical H tone is added to its last syllable”) is proposed on the basis of the observation that there are no tone groups that carry no other tone than L. It is through application of Rule 7 that L-tone expressions receive a final rising contour when spoken in isolation: when the post-lexical H tone is added to a syllable that carries a L tone, this results in a LH contour on that syllable. Taking up the same examples as above, application of Rule 7 is as follows: //ʋʌdzeʌ// > /ʋʌdzeʌ/ ‘bird’; //k^hʋʌmi-hʋʌ// > /k^hʋʌmi-hʋʌ/ ‘dog’s hair’; //soʌ-dzeʌ// > /soʌ-dzeʌ/ ‘three pairs’; and //liʌ tɕ^hiʌ// > /liʌ tɕ^hiʌ/ ‘to sell tea’.³

³ The added tone was originally analyzed as M on the analogy of the contour of the ‘pig’ tone category, LM, and under the assumption that the language had no LH contours (Michaud 2008b). This may seem to be a non-issue, since LM and LH are neutralized. However, the M tone in Yongning Na is not phonologically active: it does not spread over other tones, or reassociate

7.1.2 About the ordering of rules

As mentioned above, the rules need to be ordered. If Rule 7, which adds a H tone to all-L sequences, applied before Rule 2, which assigns M tone to toneless syllables, a sequence such as ‘has not arrived’, made up of the negation prefix, /mɣʌ-/ , and the verb /tsʰu/ ‘to arrive’, would have only L tones (/mɣʌ-tsʰu/) when Rule 7 applied, and would receive a final H tone (‡ mɣʌ-tsʰuH) before receiving a M tone on its first syllable (‡ mɣʌ-tsʰuM). Its realization as /mɣʌ-tsʰu/ illustrates the fact that Rule 7 applies after all the other rules.

Rule 2 also applies before Rule 5, as shown by the sequence /mɣʌ-tsʰu-su/ ‘has not arrived yet’, made up of the negation prefix, /mɣʌ-/ , the verb /tsʰu/ ‘to arrive’, and /su/ ‘yet; first’. The levelling-down of the M tone of /su/ is a result of the application of Rule 5, “All syllables following a H.L or M.L sequence receive L tone”; at the point where it applies, the negation prefix must therefore be supposed to bear a M tone.

Rules 3 and 4 (“H and M are neutralized to M in tone-group-initial position”, and “A syllable following a H-tone syllable receives L tone”) likewise need to be ordered. If Rule 4 applied first, an underlying sequence such as //dzuʌ-bi// ‘will eat’ would have its second syllable lowered to L, yielding /dzuʌ-bi/, and finally /dzuʌ-bi/, by application of Rule 3. The observed surface-phonological pattern is /dzuʌ-bi/, with M tone on the second syllable, not L tone.

7.1.3 A discussion of alternative formulations

The generalizations formulated here in the form of Rules 1-7 could also be captured through other formulations. For instance, it may seem simpler to collapse Rules 4 and 5 into one rule to the effect that “H tone can only be followed by L tones”. By the application of that rule, all syllables following a H-tone syllable would receive L tone. But a further rule would then have to be formulated specifically for the M.L sequence: “All syllables following a M.L sequence receive L tone”. The choice to adopt the present formulation for Rule 5 (“All syllables following a H.L or M.L sequence receive L tone”) is based on the intuition that the same mechanism is at play in both cases: H.L and M.L are both stepping-down

to other syllables. The H tone is more likely than M to be involved in a tone rule such as that which affects all-L tone groups. In two-tone systems, addition of a final H tone in domains having /L/ tone is common: it is attested in Tibetan (Sun 1997: 499), Japanese (Haraguchi 1999: 19), the Bantu languages Matengo and Kimatumbi (Odden 2005: 415), and Shixing (Xumi) (Chirkova & Michaud 2009). In a three-tone system, describing the added tone as M could cause confusion for cross-language comparisons. For these reasons, the postlexical tone of Yongning Na is analyzed here as a H tone.

sequences, from a higher tone level to a lower one. The generalization is that stepping-down sequences can only be followed by L tones.

This could also be phrased as a static constraint: “There can be no trough within a tone group”, or “A tone cannot be surrounded by higher tones within a tone group”. This would rule out sequences such as †MLH, †MLM or †MHLM. However, such a static constraint would not provide any information on how the offending sequences are avoided or repaired in Yongning Na, whereas Rule 5 is explicit on this point: the tones which would result in such sequences are all lowered to L.

Rule 4 precludes ... H.H ... sequences, so the H tone can be said to be culminative. In this light, the H tone might also be analyzed as a HL contour. In the early stages of analysis of the tone system of Yongning Na, I attempted an account in which the underlying phonological entities were not tones, but steps up and down the three-level tonal score: up one level, from L to M or M to H; or down from H or M to L. Proposals in this vein have been made for Japanese, where the fall from H to L can be considered as one phonological entity (which can be called a tonal accent), rather than a succession of two distinct phonological entities (Kubozono 2012: 1399). Under a tonal account, the tonal accents of Japanese dialects have to be represented as a sequence of two tones, which is uneconomical. A “dynamic treatment of tone” was also attempted for Igbo (Niger-Congo family): such is the title of Mary Clark’s dissertation (Clark 1976). In the case of Yongning Na, if HL were reinterpreted as a ‘high fall’, ML could be called a ‘low fall’; LM would be a ‘low rise’, and MH a ‘high rise’. However, the existence of contours on a single syllable argues in favour of a tonal analysis of the Yongning Na system; the dynamic treatment, which was abandoned for Igbo, does not appear promising for Yongning Na either. (This is of course not to say that it may not prove useful for other languages.)

Rule 4 above also precludes ... H.M ... sequences. This can be described as a neutralization (to H.L) of the contrast between H.M and H.L, or simply as an absence of H.M sequences within a tone group.

7.1.4 Implications for the tones of sentence-final particles

In Yongning Na as in numerous East Asian languages, sentence-final particles play a major role in conveying evidentiality and speaker attitude. In tonal languages, these particles can have lexical tone (e.g. in Vietnamese), but there is a cross-linguistic tendency for an evolution towards reduced tonal distinctions or tonelessness (e.g. in Mandarin; for an overview: Brunelle et al. in preparation). These particles’ position at the end of a sentence results in strong intonational

modification. In Yongning Na, sentence-final position implies tone-group-final position; this exerts a strong influence on the particles' tone patterns, because the tone of the last syllable in a tone group is often determined by the tones that precede. If the tone group contains a H tone, or a M.L sequence, all following tones are lowered to L, through Rules 4 and 5. For instance, the lexical M tone of final particle //mæ˥// (expressing obviousness) is lowered to L in (2) because all tones following the M.L sequence /le˥-ɰæ˨// (ACCOMP+ 'to melt') are lowered to L by a phonological rule.

- (2) tʂe˥-tʂʰw˥ | le˥-ɰæ˨ ni˨ mæ˥
 tʂe˥ -tʂʰw˥ le˥- ɰæ˨_a ni˨ mæ˥
 earth TOP ACCOMP to_melt/to_fall_apart CERTITUDE OBVIOUSNESS

'the clods of earth fall apart / the clods of earth melt [into the water]' (Agriculture.54)

Example (2) illustrates the majority case. But the final particle can also receive a H tone projected by a contour tone lexically attached to the preceding syllable, as in (3), where the lexical M tone of the affirmative //mæ˥// is replaced by a H tone (hence /mæ˥/) through reassociation of the H part of the MH contour of the ABILITIVE //-ky˥//.

- (3) zæ˥sɯ˥ | -dzo˥ | tʂʰw˥ne˥-ji˥ | tʰi˥-mɰ˥-ky˥ mæ˥
 zæ˥sɯ˥ dzo˥ tʂʰw˥ne˥-ji˥ tʰi˥- mɰ˥_a -ky˥ mæ˥
 felt TOP thus DUR to_put_on ABILITIVE OBVIOUSNESS

'This is how we used to wear felt.' (Sister3.74)

It is only after transcribing ten texts, containing more than 150 examples of //mæ˥//, that this particle was finally observed in a context where the preceding syllables did not impose a tone on it: example (4).

- (4) hu˥mi˥-tʂʰæ˥ɰw˥ tʰw˥ | le˥-qʰwɰ˥-ze˥-mæ˥ |
 hu˥mi˥\$ tʂʰæ˥ɰw˥#˥ tʰw˥_b le˥- qʰwɰ˥_b ze˥ mæ˥
 stomach medicine to_drink ACCOMP to_heal PFV OBVIOUSNESS

'[nowadays, the diseased person] drinks medicines for the stomach, and

[they] are healed, aren't they!' (Healing.66)

The phrase /le-**q^hwɣ-ze-**/ (ACCOMP-to_heal-PFV) does not contain any contour or floating tone that would project itself on a following syllable, and it does not contain a H tone, or a M.L sequence, which would impose a L tone on following syllables. The tone carried by the sentence-final particle //mæ// in this context is M. This makes it clear that it does not carry a lexical //L//, //H// or //MH// tone, and is to be interpreted as carrying //M// tone.

Likewise, the tone of the reported-speech particle //tsuɹ// is affected by the tones that precede it within the tone group in a vast majority of cases. In a set of ten narratives, its realization with MH tone on the surface is observed in only eight cases out of more than three hundred. As for the affirmative final particle //mɣ//, in almost all examples it follows the reported-speech particle //tsuɹ//, which determines its surface-phonological tone.

To determine the lexical tones of sentence-final particles, elicitation proved a valuable complement to observations from recorded texts. Here is an example: that of the final particle //mo//, conveying invitation. This particle cannot appear right after the verb, in a †V+mo construction. Invitation is expressed as /**ɖuɹ-V-ɿ** mo//: DELIMITATIVE+V+INCHOATIVE+final particle. This can be translated as: 'Please go ahead and V a little!' For instance: /**ɖuɹ-dzuɹ-ɿ** mo/ 'Please have some/please eat some [of it]!' Table 7.1 shows a set of elicited data.

Table 7.1: The tone patterns of the /**ɖuɹ-V-ɿ** mo// construction.

tone	example	meaning	/ ɖuɹ-V-ɿ mo//
H	dzuɹ	to eat	ɖuɹ-dzuɹ-ɿ mo
M _a	hwæ _a	to buy	ɖuɹ-hwæ-ɿ mo
M _b	tɕ^hi _b	to sell	ɖuɹ-tɕ^hi-ɿ mo
L _a	bæ _a	to sweep	ɖuɹ-bæ-ɿ mo
L _b	zɣɣ _b	to speak	ɖuɹ-zɣɣ-ɿ mo
MH	la	to strike	ɖuɹ-la-ɿ mo

The particle //mo// carries L tone in all six cases. With verbs with H, L and MH tones, this L tone carried by the particle derives phonologically from the tonal sequence that precedes. Within a tone group, a syllable following a H tone can only have L tone (as is the case after H and MH tones); likewise, a syllable following a M.L sequence can only carry L tone. In the case of M tones, however, the preceding tone sequence (M.M.M) does not impose such a phonological

constraint: it allows any of L, M, H or MH on the final syllable. The L tone observed on the surface is therefore to be attributed to the lexical specification of the particle, hence analysis as //mo↓//, with lexical L tone.

7.2 The division of utterances into tone groups

The division of the utterance into tone groups is a central part of Na prosody. Although there are some general tendencies in the division of utterances into tone groups, and a few hard-and-fast rules, there are often several possibilities open to the speaker; different divisions into tone groups have different implications in terms of prominence of the various components. Prominence (conveying information structure) and phrasing (reflecting syntactic structure) interact in the division of an utterance into tone groups. There is therefore no one-to-one correspondence between syntactic structure and the division into tone groups.

Tone groups can be of highly different syntactic composition. A tone group may consist of a single syllable: monosyllabic nouns and verbs spoken in isolation constitute a tone group on their own. Personal pronouns can associate with other words but often appear on their own, as in (5).

- (5) njɣ↓ | tso↓-bi↓-zo↓-ji↓
 njɣ↓ tso↓_a bi↓ -zo↓-ji↓
 1SG to_build to_go to_have_to
 ‘I shall go and build [a bridge].’ (Renaming.13)

Tone groups are often longer, however: e.g. compound noun and numeral-plus-classifier phrase, as in (6); noun phrase and affixed verb, as in (7); or numeral-plus-classifier phrase and affixed verb, as in (8).

- (6) ə↓mi↓-mɣ↓ ni↓-kɣ↓
 ə↓mi↓ mɣ↓ ni↓-kɣ↓
 mother daughter two-CLF.persons
 ‘the mother and the daughter, the two of them’ (Lake4.93)
- (7) dzu↓-di↓ mɣ↓-dzo↓
 dzu↓ -di↓ mɣ↓ dzo↓
 to_eat NMLZ NEG EXIST
 ‘there was no food’ (Seeds2.69)

7 Tone assignment rules and the division of utterances into tone groups

- (8) dzo˩ | dʷɪ˩-pɻ˩ tso˩ ə˩-bi˩?
 dzo˩ dʷɪ˩-pɻ˩ tso˩_a ə˩ -bi˩
 bridge one-CLF to_build INTERROGATIVE IMM_FUT
 ‘will (you) build a bridge?’ (Renaming.10)

The present description starts with the simplest case: that of morphemes which always constitute a tone group on their own.

7.2.1 Morphemes that always constitute a tone group on their own

Some morphemes always constitute a tone group on their own; they could be referred to as *tonal standalones*. These include the gap-filler /tʰi˩/ ‘(and) so, (and) then’; the contrastive topic marker /-no˩/; /wɻ˩/ ‘again; also’, which in quite a few cases does not have its full lexical meaning and is close to a simple gap-filler; and the intensifier /dʷæ˩/ ‘very’. The first three happen to appear in succession in Housebuilding.144: / | tʰi˩ | -no˩ | wɻ˩ | /.

One could speculate that /tʰi˩/ ‘(and) so, (and) then’ and /wɻ˩/ ‘again’ are favoured as gap-fillers because of their properties with respect to tone-group divisions. The gap-filler /tʰi˩/ appears in most sentences in the narratives told by consultant F4: more than 1,500 occurrences among 20 narratives. The gap-filler /wɻ˩/ appears more than 120 times among the same 20 narratives. These two items may owe part of their conspicuous success as gap-fillers to the phonological fact that they demarcate tone groups clearly. Since they always constitute a tone group on their own, they create a pause in the computation of tone sequences.

But one may just as well hypothesize the inverse causal link: that these words tended to be set off from the rest of the utterance due to their function as gap-fillers, eventually resulting in the present situation where they systematically constitute tone groups on their own. An argument in favour of this hypothesis is provided by items that are in the process of entering the set of *tonal standalone*. The adverb ‘continuously, ceaselessly’ is a case in point. It was elicited in association with verbs exemplifying the six tone categories of verbs, yielding the results shown in Table 7.2. In narratives, however, the adverb is always followed by a tone-group boundary, as in (9), where //dʷɪ˩ njɻ˩// ‘continuously, ceaselessly’ and //zɻ˩˩b// ‘to say’ are not integrated in the same tone group. (There are more than thirty examples in the first twenty texts recorded.)

- (9) hī˩mo˩=ɻ˩˩-ŋɪ˩ | dʷɪ˩-njɻ˩ | zɻ˩˩-kɻ˩ | mæ˩

7.2 The division of utterances into tone groups

hĩ+mọl = ɟæ̣l-ŋẉl ɟẉl-nj̣ṛl ẓẉṛl_a -ḳỵl mæ̣l
 elders=PL-A constantly to_say ABILITIVE OBVIOUSNESS
 ‘The elders would always say...’ (Dog2.32)

Table 7.2: The tone patterns of phrases made up of the adverb ‘continuously, ceaselessly’ followed by a verb.

tone	example	meaning	result	tone pattern
H	dẓẉl	to eat	ɟẉl-nj̣ṛl dẓẉl	M.M.M
M _a	hẉæ̣l_a	to buy	ɟẉl-nj̣ṛl hẉæ̣l_a	M.M.L
M _b	ṭɕ^{hi}-l_b	to sell	ɟẉl-nj̣ṛl ṭɕ^{hi}-l_b	M.M.M
L _a	dzẹl_a	to cut	ɟẉl-nj̣ṛl dzẹl_a	M.M.MH
L _b	ẓẉṛl_b	to speak	ɟẉl-nj̣ṛl ẓẉṛl_b	M.M.MH
MH	ḷạl	to strike	ɟẉl-nj̣ṛl ḷạl	M.M.MH

Using the context of this narrative, it was attempted to combine the adverb with the verb, but the consultant judged this wrong, even when truncating the sentence after the main verb: † **ɟẉl-nj̣ṛl ẓẉṛl**. This judgment highlights the fact that the data in Table 7.2 was elicited at a push: in the present state of the language, they verge on the incorrect, and the adverb is well advanced on its way towards the status of *tonal standalone*. This example illustrates how easily different data collection methods can lead to different conclusions; the combination of different types of data, collected with suitable precautions, appears indispensable for cumulative progress in research.⁴

A discourse factor that arguably plays a leading role in the evolution of the adverb //**ɟẉl-nj̣ṛl**// ‘continuously, ceaselessly’ is the emphasis that is associated with ‘ceaselessly/constantly’ (from a semantic-pragmatic point of view). The word sometimes carries emphatic stress in narratives. The scenario would thus be one of generalization (lexicalization) of intonational emphasis.

⁴ See the methodological papers on *How to Study a Tone Language* grouped into a set of articles edited by Steven Bird and Larry Hyman, in volume 8 of the journal *Language Documentation and Conservation* (2014). A case of diverging notations in a level-tone language is analyzed by Roux (2003), leading to similar recommendations for precautions in the investigation method. This author’s views on this topic are set out in Niebuhr & Michaud (2015).

7.2.2 Topicalized constituents are always followed by a tone group boundary

A tone group boundary is always found after topicalized constituents. In detail, the situation is as follows:

- the topic marker /-dzo/ always terminates a tone group. No exception has been found among 2,000 examples from narratives.
- the topic marker /-tshu/ likewise terminates a tone group, except in the many cases where it is followed by the topic marker /-dzo/.
- the contrastive topic marker /-no/ always constitutes a tone group on its own, as mentioned above and illustrated by (10).

- (10) hwɣ-liɿ | -noɿ | zɣ-k^hɿ-ŋwɣ-k^hɿ |
 hwɣ-liɿ -noɿ zɣ-k^hɿ ŋwɣ-k^hɿ
 cat CNTR.TOP four.years five.years
 ‘As for the cat, [it has a lifespan of] four, five years.’ (Dog2.84. Context: the previous discussion hinges on the dog’s lifespan, and the speaker now moves on to the topic of cats.)

7.2.3 Options left to the speaker in the division into tone groups

Apart from the cases presented above, the speaker generally has several options. They may choose to integrate large chunks of speech into a single tone group; or they may divide the utterance into a number of tone groups, with the stylistic effect of emphasizing these individual components one after the other. This parallels observations about the intonation of numerous languages, e.g. observations about Russian and German by Karcevskij (1931: 204): “Within certain limits, it is possible to change the position of the rhythmic breaks that separate a sentence into parts”.⁵ An interesting characteristic of Yongning Na is that this division exerts a direct influence on tonal morphology, since tonal processes never apply across tone-group junctures.

For instance, /dzuɿ-diɿ/ ‘things to eat; food’, from /dzuɿ/ ‘to eat’ and the NOMINALIZER /-diɿ/, can combine with /mɣɿ-dzoɿ/ ‘there isn’t’ to mean ‘there isn’t any food, there is nothing to eat’; the noun and the negated verb can either be integrated into one tone group, as /dzuɿ-diɿ mɣɿ-dzoɿ/, or separated, as /dzuɿ-diɿ | mɣɿ-dzoɿ/. The latter option is illustrated by (11):

⁵ *Original text*: Dans certaines limites, nous pouvons déplacer les anti-cadences séparant les membres de la phrase.

- (11) dzuɹ-t-diʌ | mɻ-t-dzoʌ, | tʰuɹ-d-iʌ | mɻ-t-dzoʌ
 dzuɹ̄ -dī mɻ̄ tʰuɹ̄_b -di mɻ̄ dzoʌ̄
 to_eat NMLZ NEG EXIST to_drink NMLZ NEG EXIST
 ‘[Before mankind had learnt to grow crops], there was nothing to eat and nothing to drink!’ (Seeds2.67)

In (11), separating the noun phrase /**dzuɹ-t-diʌ**/ ‘food’ and the negated existential verb /**mɻ-t-dzoʌ**/ ‘there isn’t’ into two tone groups has the effect of emphasizing the two noun phrases, /**dzuɹ-t-diʌ**/ ‘food; things to eat’ and /**tʰuɹ-d-iʌ**/ ‘drink; beverage’.

The following sentence in the story repeats the statement ‘There was no food’, continuing the same strategy of bringing out the noun phrase ‘food’, this time with the topic marker /-**dzoʌ**/ (12).

- (12) dzuɹ-t-diʌ | -dzoʌ, | mɻ-t-dzoʌ-t-nī-tsuɹ | mɻ̄
 dzuɹ̄ -dī -dzoʌ̄ mɻ̄-t- dzoʌ̄ nī tsuɹ̄ mɻ̄
 to_eat NMLZ TOP NEG EXIST CERTITUDE REP AFFIRM
 ‘As for food, it’s said that there was none!’ (Seeds2.68)

Then the narrator recapitulates as (13):

- (13) dzuɹ-t-diʌ mɻ-t-dzoʌ | -dzoʌ | tʰiʌ ...
 dzuɹ̄ -dī mɻ̄ dzoʌ̄ -dzoʌ̄ tʰiʌ
 to_eat NMLZ NEG EXIST TOP so/then
 ‘As there was nothing to eat, ...’ (the narrative moves on to: ‘there were some exceptional, smart people, who stood up and did something about it’) (Seeds2.69)

At this juncture, ‘there was no food’ is integrated into one tone group, and followed by the topic marker /-**dzoʌ**/ . This provides an exemplary illustration of the integration of larger chunks of information into a single tone group as this information changes status from new to old and backgrounded.

Long tone groups within which morphosyntactic tone rules are allowed full play, undisturbed by local intrusions of pragmatic phenomena of emphasis, yield a stylistic effect of carefully constructed, poised, stately speech. The more tightly constructed an utterance, the fewer tone groups it contains. Conversely, in lively speech, tone-group boundaries are inserted at various points to highlight the word or phrase that precedes. Even function words can be emphasized in this way, as in (14).

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(14) ʔoɿpɿŋ | tiɿ-kɿŋ | tsuɿ | mɿ!

ʔoɿpɿŋ tiɿ -kɿŋ tsuɿ mɿ
 kow-tow to_hit ABILITIVE REP AFFIRM

‘It is said that [on that occasion, the whole family] will kow-tow!’ (Sister3.138)

A simpler formulation would be /ʔoɿpɿŋ-tiɿ-kɿŋ-tsuɿ | -mɿ/. The formulation in (14) emphasizes the reported-speech particle. This evidential particle is used whenever the speaker only has indirect knowledge of the situation at issue, and therefore it appears over and over again in narratives. But in the context of (14), it takes on its full meaning, because the narrator never witnessed the ritual that she describes. The emphasis laid on the evidential particle in the context of this sentence is one of the manifestations of speaker F4’s concern to adhere to truthfulness and precision.

The stylistic choices made by a speaker can be appraised against a background of general tendencies, outlined below.

7.2.4 Some general tendencies in the division into tone groups

7.2.4.1 The role of the morphological complexity of constituents

The degree of internal complexity of the successive constituents of an utterance is an important parameter in determining its division into tone groups. A verb without prefixes or suffixes is usually just one syllable long, and easily associates with a preceding element – an adverb or a noun. For instance, /k^huɿ-tɿ^hɿŋ/ [ʔs^heɿ/ ‘to stretch out [one’s] leg’, from /k^huɿ-tɿ^hɿŋ/ ‘leg’ and /ʔs^heɿ/ ‘to stretch out’, constitutes one tonal phrase, whose output tone is determined by the tone rules that apply in subject-plus-verb phrases (see §6.10). When an adverb is inserted, it can be integrated into the tone group, as in (15), where the subject, the adverb //mɿ.tɕoɿ// ‘downward’ and the verb constitute one tone group.

(15) k^huɿ-tɿ^hɿŋ mɿ.tɕoɿ [ʔs^heɿ]

k^huɿ-tɿ^hɿŋ mɿ.tɕoɿ [ʔs^heɿ]
 leg downward to_stretch

‘to stretch (one’s) leg downward’

But the adverb often marks the beginning of a new tone group, as in (16), where the subject is in a different tone group from the adverb and verb.

- (16) k^hu⁺ts^hʔ⁺ | mɤ⁺lɕo⁺ tʂ^he⁺
 k^hu⁺ts^hʔ⁺ mɤ⁺lɕo⁺ tʂ^he⁺_b
 leg downward to_stretch
 ‘to stretch (one’s) leg downward’

Like directional adverbs, numeral-plus-classifier phrases often mark the beginning of a new group, e.g. /sɤ⁺l~sɤ⁺/ | dʒu⁺l-p^hæ⁺/ ‘a sheet of paper’ (from /sɤ⁺l~sɤ⁺/ ‘paper’ and /dʒu⁺l-p^hæ⁺/ ‘a sheet’), but they can also be integrated into a single tone group with a preceding noun. For instance, ‘the mother and her daughter’ can be phrased as /ə⁺lmi⁺-mɤ⁺ ɲi⁺l-kɤ⁺l/, literally ‘mother and daughter, the two’ (Tiger.11, 51, and Lake.4.93, 96-98, 125), from /ə⁺lmi⁺-mɤ⁺/ ‘mother and daughter’ and /ɲi⁺l-kɤ⁺l/, ‘two’ plus the classifier for persons. This is a special case: obviously not an instance of counting mother-and-daughter pairs. The numeral-plus-classifier phrase does not serve the usual purpose of providing a figure: in this context, it serves an anaphoric function (paraphrase: ‘these two: the mother and the daughter’).

Demonstrative-plus-classifier phrases are commonly integrated with a preceding noun. For instance, in the first version of the Lake story, the same two characters, a mother and her daughter, are referred to in (17) as /ə⁺lmi⁺ tʂ^hu⁺l-ɤ⁺l-la⁺ | mɤ⁺l tʂ^hu⁺l-ɤ⁺l/, ‘that mother and that daughter’. (On this topic, see the discussion of classifiers in Chapter 4.)

- (17) ə⁺lmi⁺ tʂ^hu⁺l-ɤ⁺l-la⁺ | mɤ⁺l tʂ^hu⁺l-ɤ⁺l
 ə⁺lmi⁺ tʂ^hu⁺l-ɤ⁺l -la mɤ⁺l tʂ^hu⁺l-ɤ⁺l
 mother DEM-CLF.individual and daughter DEM-CLF
 ‘that mother and that daughter’ (Lake.52).

7.2.4.2 The role of information structure: considerations of prominence

Information structure also influences the division into tone groups, in a way which is often difficult to disentangle from the influence of morphological complexity. Consider (18):

- (18) k^hɤ⁺ɲi⁺l-ʂe⁺ | dzu⁺l mɤ⁺l-dɕo⁺ pi⁺l-zo⁺!
 k^hɤ⁺ɲi⁺l-ʂe⁺ dzu⁺l mɤ⁺l dɕo⁺ pi⁺l -zo
 dog-meat to_eat NEG ought_to to_say ADVB
 ‘It’s said that one mustn’t eat dog meat! / It’s said that dog meat is something one must not eat!’ (Dog2.37)

In (18), the noun phrase ‘dog meat’ is set into relief by constituting a tone group on its own. Despite the absence of a morphemic indication that it is topicalized –

such as use of a topic marker /-tʂʰuŋ/ or /-dzoŋ/ – it clearly has the status of topic. In this context, tonal integration with a following verb would not be stylistically appropriate.

Likewise, in (19), the adverbial ‘outside’, /əɭpʰoŋ/, constitutes a tone group on its own; another option would be to integrate it tonally with the following verb. (The tonal paradigms for *spatial adverbial+verb* combinations are set out in Chapter 6.) In this context, integration into a single tone group would be stylistically acceptable. Separation into two tone groups has the effect of providing the information gradually, giving the impression that the speaker is constructing the utterance as she is saying it, rather than delivering long, preplanned chunks of speech.

- (19) əɭji-tʂuŋji-dzoŋ, | kʰɤ-tʂʰuŋ-t-dzoŋ, | dzɤŋ | əɭpʰoŋ | kʰu-t mɤŋ-kɤ!
 əɭji-tʂuŋji | dzoŋ | kʰɤ | -tʂʰuŋ | -dzoŋ | dzɤŋ | əɭpʰoŋ | kʰu-t | mɤ-t-
 in_the_past TOP dog TOP TOP INTS outside to_let NEG
 -kɤ!
 ABILITIVE

‘In the old times, one wouldn’t usually let dogs go outside! / In the old times, dogs weren’t usually allowed to leave the house!’ (Dog2.75)

It is uncommon for a verb preceded by the ACCOMPLISHED prefix /le-t-/ to interact tonally with a preceding noun phrase. In Caravans.191, for instance, ‘the uncle comes back’ is realized as /ə-tɤŋ | le-t-tʂʰuŋ/, not as /ə-tɤŋ le-t-tʂʰuŋ/, although the latter form is also acceptable. Cases where tonal interaction does take place are characterized by a strong degree of semantic givenness, as in (20):

- (20) dɤ-t-ɤŋ | le-t-tʂʰuŋ, | ni-t-kɤŋ | le-t-tʂʰuŋ, | soŋ-kɤŋ | le-t-tʂʰuŋ
 dɤ-t-ɤŋ | le-t- | tʂʰuŋ | ni-t-kɤŋ | soŋ-kɤŋ
 one-CLF.individual ACCOMP to_arrive two-CLF three-CLF

‘One person arrived, then two, then three’ (field notes: explanation proposed by consultant F4 during a discussion of Lake4.126)

It would not be incorrect to say /dɤ-t-ɤŋ | le-t-tʂʰuŋ, | ni-t-kɤŋ | le-t-tʂʰuŋ, | soŋ-kɤŋ | le-t-tʂʰuŋ/, but this would be inappropriate in a context where the emphasis is on the count (one person, then two, then three), not on the verb. There would be no point in setting the subject apart from the verb, hence the division into three tone groups, rather than six.

When an explanation is added as an afterthought, a relatively long sequence of syllables can be integrated into one tone group, as in (21), where the last tone group contains ten syllables.

- (21) *jɣ-ŋɣ-ɖzo, | ə-ji-ɕw-ji, | hæ-ba-la! | hæ-ba-la-ba-la le-po jo kɣ-mæ!*
*jɣ-ŋɣ-ɖzo | ə-ji-ɕw-ji | hæ-ba-la | hæ-ba-la-ba-la | le-po | jo | kɣ-
 Chengdu TOP in_the_past silk silk_clothes ACCOMP
 po | jo | -kɣ | mæ |
 to_bring to_come ABILITIVE OBVIOUSNESS*

‘From Chengdu, in the past... Silk!! [The people who went on caravans] would bring back silk clothing [from their journeys to Chengdu]!’ (Caravans104-105)

In a context where the narrator is explaining which goods used to be transported by caravan, the essential information is already given in the word ‘silk’. The portion of sentence /... **le-po-jo-kɣ-mæ**/ ‘[they] would bring back’ is added as an explanation; its integration in the same tone group as the preceding noun, ‘silk’, results in a levelling down of all of its tones to L, reflecting its status as backgrounded information.

As many as twelve syllables are bunched together in (22):

- (22) *ə-zi, | ɖw-ɠa-ɬa-ɬs^ho | pi-hi | ɖw-ɣ | ɖzo | ni | tsw | mɣ*
*ə-zi | ɖw-ɠa-ɬa-ɬs^ho | pi | -hi | ɖw | ɣ |
 grandmother GIVEN_NAME to_say REL/NMLZ one CLF.individual
 ɖzo | ni | tsw | mɣ |
 EXIST CERTITUDE REP AFFIRM*

‘[Among] women elders, it is said that there was one by the name of **ɖw-ɠa-ɬa-ɬs^ho**.’ (Elders3.11)

The speaker lays considerable emphasis on the person’s name, /**ɖw-ɠa-ɬa-ɬs^ho**/. All the rest of the sentence follows as a strongly backgrounded accompaniment to this name. Phonologically, the name and all that follows are integrated into one tone group, with the result that all the syllables from the third to the twelfth and last are lowered to L.

As a last example, consider (23).

- (23) *ɖzo | le-ɣɣ | t^hi-tɕw | t^hi | no | le-t^hu-ɠi-ze-mæ, | ə-ɠi! |
 hi | ɖw-ɣ | mɣ-t^hu | no | le-t^hu-ɠi-ze-mæ |
 ɖzo | le- | ɣɣ_b | t^hi- | tɕw | t^hi | no | le- | t^hu_a
 bridge ACCOMP to_build DUR to_put then 2SG ACCOMP to_come
 ɠi | -ze | mæ | ə-ɠi | hi | ɖw | ɣ | mɣ-
 CERTITUDE PFV AFFIRM isn’t_it person one CLF.individual NEG*

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ts^hu_a no_l le⁻ ts^hu_a ji_l -ze_l mæ⁻
 to_come 2SG ACCOMP to_come CERTITUDE PFV AFFIRM

‘After the bridge is built, and left there [=and the person who built it waits for someone to cross], you come along! [=someone comes along: you, for instance!] [For a long time] nobody comes, [but at last] you come along!’ (Renaming.17)

The same syntactic structure, ‘you came along’, is realized as two tone groups: /no⁻ | le⁻ts^hu_a | ji_l-ze_l mæ⁻/, then repeated as a single tone group: /no_l le⁻ts^hu_a | ji_l-ze_l mæ⁻/. This constitutes a clear illustration of how tone groups tend to be longer when the speaker assumes that the semantic content is already familiar to the listener.

7.2.5 Extreme cases of tonal integration: set phrases and proverbs

7.2.5.1 Tonal integration in set phrases

Set phrases constitute a typical case of integration. For instance, there are formulae that recapitulate which of the animals symbolizing the twelve Terrestrial Branches have special affinities with one another. This is one of the bases for fortune-telling: the year of birth is used as a basis on which one predicts whether or not one individual will be able to relate harmoniously with another. Among the animals that succeed one another in the twelve-year cycle, there are four sets of three, as shown in (24), (25), (26) and (27).

(24) bɤ⁻zɤ⁻ | ji⁻ | æ_l so_l-k^hɤ_l
 bɤ⁻zɤ⁻ | ji_l æ_l so_l k^hɤ_a
 serpent ox chicken three CLF.years
 ‘the three years of the Serpent, the Ox, and the Rooster’

(25) mɤ⁻gɤ⁻ | zɪ⁻ | hwɤ⁻ so_l-k^hɤ_l
 mɤ⁻gɤ⁻ | zɪ_l hwɤ⁻ so_l k^hɤ_a
 dragon ape rat three CLF.years
 ‘the three years of the Dragon, the Ape, and the Rat’

(26) t^ho⁻li⁻ | bo_l | jo_l so_lk^hɤ_l
 t^ho⁻li⁻ | bo_l jo_l so_l k^hɤ_a
 rabbit pig sheep three CLF.years
 ‘the three years of the Rabbit, the Pig, and the Sheep’

- (27) la˥ | z̥wæ˥ | kʰɿ˥ | so˥-kʰɿ˥
 la˥ z̥wæ˥ kʰɿ˥ so˥ kʰɿ˥_a
 tiger horse dog three CLF.years
 ‘the three years of the Tiger, the Horse, and the Dog’

The tone grouping is not exactly the same in these four phrases. In (24), (25) and (26), the phrase is divided into two tone groups, instead of the four tone groups that would obtain if each animal name were said separately. This yields the expected effect of stronger integration than would be found in a list in which each item stood in a tone group of its own. The first tone group contains the names of two animals; the rest of the phrase, making up another tone group, contains the third animal name and the expression ‘three years’. Each of the two tone groups has three syllables, creating an effect of rhythmic balance. The tone that obtains by association of two animal names conforms to the patterns observed in coordinative compounds (as set out in §3.3): disyllabic M-tone noun plus monosyllabic H-tone noun yields tone // #H// (//bɿ˥zɿ˥˥ j̥i˥#˥//), hence a surface form with M tone: /bɿ˥zɿ˥˥ j̥i˥˥/.

For ease of comparison, the forms that would obtain if each noun stood in a tone group of its own are shown as (28), (29) and (30).

- (28) †bɿ˥zɿ˥˥ | j̥i˥ | æ˥ | so˥-kʰɿ˥
 bɿ˥zɿ˥˥ j̥i˥ æ˥ so˥ kʰɿ˥_a
 serpent ox chicken three CLF.years
modified from (24) by placing each noun in a separate tone group

- (29) †mɿ˥gɿ˥˥ | z̥i˥ | hwɿ˥ | so˥-kʰɿ˥
 mɿ˥gɿ˥˥ z̥i˥ hwɿ˥ so˥ kʰɿ˥_a
 dragon ape rat three CLF.years
modified from (25) by placing each noun in a separate tone group

- (30) †tʰo˥li˥ | bo˥ | jo˥ | so˥-kʰɿ˥
 tʰo˥li˥ bo˥ jo˥ so˥ kʰɿ˥_a
 rabbit pig sheep three CLF.years
modified from (26) by placing each noun in a separate tone group

In (27), however, there is an odd number of syllables, making it impossible to build two tone groups that have the same number of syllables, and to arrive at a symmetrical structure as in (24), (25) and (26). The solution chosen is to keep the three animal names separate, each constituting a distinct tone group, hence

yielding four tone groups in total. This illustrates the role played by rhythmic factors. (For an introduction to the notoriously complex domain of linguistic rhythm, see Niebuhr 2009a; Cummins 2012; House 2012.)

7.2.5.2 Tonal integration in proverbs

Proverbs are also typical instances of tightly-knit tonal integration. An example is shown in (31).

- (31) hī+dzə+ | dzə+ | t^hə+ji̯, | ʃi̯+ko̯ mi̯ t^hə+ t^hɥ+
 hī̯ dzə̯ dzə+ t^hə+ ji̯ ʃi̯+ko̯ mi+ t^hə+
 person poor money PROH to_borrow shinbone wound PROH
 t^hɥ+_a
 to_get
 ‘The poor must not borrow money; the shinbone must not receive wounds.’

The proverb’s argument is that one must beware of hitting fragile spots. The listener is presumed to know that a blow to the shin is especially painful, and to imagine, by analogy, how hard it is for a poor person to reimburse a loan plus added interest. The sequence /ʃi̯+ko̯ mi̯ t^hə+ t^hɥ+/, ‘the shinbone must not receive wounds’, is integrated into a single tone group, with the stylistic effect of presenting it as a self-evident fact (an established truth), not a statement coined on the fly by the speaker, in which case the tone pattern would have been /ʃi̯+ko̯ | mi+ t^hə+ t^hɥ+ / or /ʃi̯+ko̯ | mi+ | t^hə+ t^hɥ+ /.

It is highly revealing that, even in the case of proverbs and set phrases, the speaker retains a latitude of choice in the division of the utterance into tone groups. The comparison of different versions of the same story by the same speaker yields a wealth of examples. For instance, the saying (32), which is at the heart of the narrative *Tiger*, is divided into two tone groups.

- (32) la+ do̯ ə+da̯ ʃu̯, | zæ̯ do̯ ə+mi̯ ʃu̯
 la+ do̯_b ə+da̯\$ ʃu̯+_a zæ̯/ do̯_b ə+mi+ ʃu̯+_a
 tiger to_see father to_die panther to_see mother to_die
 ‘If you see a tiger, it means your father is going to die; if you see a panther, it means your mother is going to die.’

However, a realization as four tone groups is found in four of the eight occurrences: /zæ̯ do̯, | ə+mi+ ʃu̯+; | la+ do̯, | ə+da+ ʃu̯+/. As in the examples described above, the stylistic nuance is that, the greater the number of tone groups,

the more attention is drawn to the individual components of the sentence. An intermediate case, with a division into three tone groups, is also attested: /zæ↓ do↓ | ə↓mi↓ ʂu↓, | la↓ do↓ ə↓da↓ ʂu↓/ (Tiger.50). The context is the following: during a journey on the mountain, a mother and her daughter encounter a tiger; the father is not present. The interpretation is clear: emphasis is laid on the omen concerning the mother. The other part of the proverb, mentioning the father, is less relevant; it is not entirely omitted (which could compromise recognition of the proverb), but it is backgrounded by placing it at the end of the proverb (inverting the usual order: mentioning the father first, then the mother) and by integrating it into one tone group.

As a final example, let us examine (33).

- (33) hī↓-ŋu↓ mɣ↓-do↓, | mɣ↓-ŋu↓ | do↓
 hī↓ -ŋu↓ mɣ↓- do↓_b mɣ↓ -ŋu↓ do↓_b
 person/human A NEG to_see sky/heavens A to_see
 ‘People may not see, but the *heavens* see!’

This saying is used as a reminder that other people’s gaze is not the touchstone of good conduct, and that one’s actions should be guided by the same rules whether seen or unseen. The most common realization of this saying is (33), where the first part (‘What people do not see/What remains unseen by humans’) is integrated into one tone group, whereas the second is divided into two. This emphatically brings out the verb /do↓_b/ ‘to see, to observe’, which being on its own in the tone group receives a final H tone and is realized with a rise, /LH/, following Rule 7: “If a tone group only contains L tones, a post-lexical H tone is added to its last syllable”. (Variants are found in the narrative Reward.28, 36, 62, 114.)

7.2.6 A case of resistance to tonal integration

Some compounds resist the tendency towards integration into a single tone group. The most frequently occurring example is ‘Lugu Lake’, /lo↓-ʂɣ↓ | -hi↓na↓mi↓#↓/, literally ‘the lake of lo↓-ʂɣ↓’, from /hi↓na↓mi↓#↓/ ‘lake’ and /lo↓-ʂɣ↓/ ‘Luòshuǐ 落水’, the name of a village on the shore of Lugu lake. As mentioned in §3.3, the noun phrase /lo↓-ʂɣ↓ | -hi↓na↓mi↓#↓/ must be analyzed as consisting of two tone groups. If it constituted one tone group, its tone pattern would be †lo↓-ʂɣ↓-hi↓na↓mi↓, by application of Rule 5: “All syllables following a H.L or M.L sequence receive L tone”.

Likewise, the phrase /sa˥ | zo˩bɣ̃l̥l̥w̃˩/, meaning ‘the universe, the whole world’ (Mountains.69), resists the tendency towards tonal integration. This word is perceived as composed of two parts, /sa˥/ and /zo˩bɣ̃l̥l̥w̃˩/, even though the first syllable, /sa˥/, is no longer intelligible by itself, and cannot be used on its own. The trisyllable /zo˩bɣ̃l̥l̥w̃˩/ can be employed on its own, though, to mean ‘the universe’, like the four-syllable word; the existence of this trisyllabic form may partially explain why the four-syllable word /sa˥ | zo˩bɣ̃l̥l̥w̃˩/ ‘the universe’ does not get integrated into a single tone group. If such integration took place, this would yield a M.L.L.L tone pattern, †sa˥+zo˩bɣ̃l̥l̥w̃˩, again by application of Rule 5: “All syllables following a H.L or M.L sequence receive L tone”.

A third example is ‘field penny-cress’, a foetid plant with round flat pods (*Thlaspi arvense*). It is called /ɣ̃l̥tɰ˥-bɣ̃l̥ | ɣ̃l̥tsʰɣ̃l̥/, literally ‘the crane’s vegetable’. The fact that the name can still be transparently analyzed as a possessive construction probably contributes to slowing down its phonological integration into a single tone group.

7.2.7 Illustration: sample derivations

This section recapitulates some of the mechanisms described in this section by providing sample derivations for sentences from transcribed texts.

- (34) ə˥mɣ̃˥ | le˥-tsʰw̃˩ | tʰi˥, | go˥mi˥-ŋw̃˩ | ə˥mɣ̃˥ | mɣ̃˥-sw̃˩ tsu˩ mɣ̃˥ |
 ə˥mɣ̃˥ le˥- tsʰw̃˩ tʰi˥ go˥mi˥ -ŋw̃˩
 elder_sibling ACCOMP arrive gap_filler:well younger_sister A/TOP
 ə˥mɣ̃˥ mɣ̃˥- sw̃˩ tsu˩ mɣ̃˥
 elder_sibling NEG to_know REP AFFIRM
 ‘[When] the big brother came back, the younger sister didn’t recognize him!’ (Sister.49)

In example (34), the group | ə˥mɣ̃˥ | ‘elder sibling’ consists of a single noun, so that the levels of the tonal phrase and tone group coincide. In the absence of suffixes or final particles, its lexical tone, MH, is realized on the last syllable of the tonal phrase, which is also the last syllable of the tone group.

The tone pattern of the group | le˥-tsʰw̃˩ | ‘came back’ obtains as described in Table 6.7.

The word /tʰi˥/ ‘(and) then, (and) so’ always constitutes a tone group on its own, as explained in §7.2.1.

The tone pattern of the group | go˥mi˥-ŋw̃˩ | ‘by the sister’ obtains as described in Table 5.14a.

7.3 Cases of breach of tonal grouping, and their consequences

In the group | **mɻ-l-suɿ** | **tsuɿ** | **mɻ** | 'didn't know/recognize', the verb 'to know', //suɿ/, is flanked by the NEGATION prefix //mɻ-l-// and the sentence-final particles //tsuɿ/ (REPORTED SPEECH) and //mɻ/ (AFFIRMATIVE). The NEGATION prefix surfaces with its lexical M tone, the verb with its H tone, and the sentence-final particles receive L through Rules 4 and 5, because they are preceded by a H tone.

- (35) dʒuɿ | dʒuɿ-q^hvɿ-t^hvɿ t^hiɿ-k^huɿ
 dʒuɿ dʒuɿ-q^hvɿ-t^hvɿ#ɿ t^hiɿ- k^huɿ
 water one-CLF.hornful DUR to_put
 '[People who travel all day] put a hornful of water [in their bag, so as to have something to drink]' (Tiger2.51)

In example (35), the noun 'water' constitutes a tone group on its own, hence its realization with M tone, following the regular pattern shown in Table 2.7a. The second tone group contains five syllables: /dʒuɿ-q^hvɿ-t^hvɿ t^hiɿ-k^huɿ/. First, the tone of the numeral-plus-classifier phrase is determined, following the table-lookup rules set out in Chapter 4 (Table 4.4a). This yields //dʒuɿ-q^hvɿ-t^hvɿ#ɿ/ 'one hornful'. Association of this object with the prefixed verb //t^hiɿ-k^huɿ/ 'to put (into)' also operates as table lookup: see Chapter 6. This yields the surface-phonological string, M.M.M.L.L. Thus, the tone sequence of a tone group is determined by (i) its internal morphosyntactic structure and (ii) the tones of its constituting elements.

7.3 Cases of breach of tonal grouping, and their consequences for the system

This section describes how non-final syllables can come to carry a contour, in which case the following syllables tend to become extrametrical.

7.3.1 The stylistic option of realizing a contour on a word in non-final position

Syllables that are not in final position within the tone group do not carry a contour (//MH//, //LM// or //LH//, the latter two being neutralized to /LH/ at the surface-phonological level). This is an important part of the definition of the tone group as a phonological unit. But this rule is at odds with a stylistic device whereby a word is emphasized by cutting short the tone group immediately after

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it. This device suspends tonal calculation, and allows the realization of a contour on the emphasized word, as in (36).

- (36) le↓-tσα↑, | le↓-tσα↑, | le↓-tσα↑ | -kwɣ↓tɕu↓, | le↓-ly↑!
 le↓- tσα↑ -kwɣ↓tɕu↓ | le↓- ly↑
 ACCOMP to_row because ACCOMP to_escape

‘By rowing, rowing, rowing, they escaped / they managed to escape!’ (Lake3.59)

The context to this example is highly emotional: a mother and her daughter are rowing for their lives, struggling against the flood that has come over the plain where they lived, now suddenly become a lake. The verb ‘to row’ is repeated, and the sentence chopped into short tone groups. The verb is strongly articulated phonetically, each time with its lexical rising tone; the conjunction */-kwɣ↓tɕu↓/* is tacked on as if it were an afterthought. (The hyphen after the tone group boundary (*/... | -kwɣ↓tɕu↓/*) serves as an indication that the syllables at issue are extrametrical, and do not constitute a full-fledged tone group on their own.) It would be possible to say */le↓-tσα↑-kwɣ↓tɕu↓/* for ‘because [they] rowed’, with the expected unfolding of the MH contour over the verb and the first syllable of the conjunction. But this deliberate, neatly structured variant would be stylistically inappropriate in this context.

An example using the same conjunction as above, but where the expected division into tone groups is respected, and where the expected process of unfolding of a contour tone takes place, is found in (37):

- (37) lo↓dzɔ↓ | tɕʰu↓ne↓-ji↓ | mɣ↓tɕɔ↓ pʰɣ↓-kwɣ↓tɕu↓-ŋu↓, | “qʰʰ...ə!”pi↓ tsu↓
 mɣ↓. |
 lo↓dzɔ↓ tɕʰu↓ne↓-ji↓ mɣ↓tɕɔ↓ pʰɣ↓ -kwɣ↓tɕu↓ -ŋu↓
 bracelet thus downward to_take_off because TOP
 qʰʰ...ə! pi↓ tsu↓ mɣ↓
 onomatopoeia:burp! to_say REP AFFIRM

‘When [the man] took off [the buried woman’s bracelets], like this, [the corpse made a gurgling sound]: Buuurp!’ (BuriedAlive2.48)

This is the only example found so far where the H part of a verb’s MH contour reassociates to the conjunction */-kwɣ↓tɕu↓/*, as against fourteen examples where this contour surfaces as such on the verb prior to this conjunction (Dog. 49, Tiger.46, BuriedAlive3.65, Caravans.80, Sister.50, Sister3.133, Seeds2.34, Renaming.18, 41, Funeral.51...). Example (37) is just enough evidence to show that a realization with contour spreading is possible. Contour unfolding might once have been the norm, and the realization with a contour on the verb might have

7.3 Cases of breach of tonal grouping, and their consequences

originally been a conspicuous stylistic effect, but the latter is now much more common than the former, to the point that the realization with contour unfolding is now a stylistically marked option.

Realizations of contours in non-final position are not uncommon (e.g. House-building.71, 98, 100), each time with a specific stylistic twist. For instance, in (38), avoidance of contour unfolding has an effect of emphasis, making the statement more eloquent by emphasizing the indication of CERTITUDE carried by the copula /**ni**/. Contour unfolding (/le-t^hæ-t **ni**l/) would be less forceful.

- (38) “mɣ-t-dzɣl **ni**l, | ə-t-swɿl | ə-ʃi-t-ʃwɿʃi, | ə-t-mi-t-mɣl **ni**l-kyɿ, | zoɿnoɿ, | ʃi-t-diɿ-diɿmiɿ qoɿ dziɿ, | le-t-woɿ- | le-t-huɿ-zoɿ, | ə-tzi-t-ə-t^hɣ-t-kiɿ | le-t-huɿ-dzoɿ, | t^hɣ-t-ne-t-ʃiɿ, | zæɿ-ŋuɿ | le-t-t^hæ-t | la-t-ŋuɿ | le-t-t^hæ-t | **ni**l” | pi-t-zoɿ
mɣ-t- dzɣl_b **ni**l ə- swɿ ə-ʃi-t-ʃwɿʃi ə-t-mi-t
NEG good COP INTERROG to_know once_upon_a_time mother
mɣ/ **ni**-t-kyɿ zoɿnoɿ ʃi-t-diɿ-diɿmiɿ qo-t dziɿ_a
daughter two-CLF.persons well Yongning_plain inside to_dwell
le-t-woɿ-le-t-huɿ -zo ə-tzi-t ə-t^hɣ-t -ki-t
went_back ADVB grandmother grandmother_s_brother ALL
le-t huɿ_b -dzoɿ t^hɣ-t-ne-t-ʃiɿ zæ-t -ŋuɿ le-t-
ACCOMP to_go.PST TOP thus panther A ACCOMP
t^hæ-t la-t -ŋuɿ le-t t^hæ-t **ni**l pi-t -zo
to_bite tiger A ACCOMP to_bite CERTITUDE to_say ADVB

[Elders would tell stories about the tiger eating people,] saying: ‘That is very bad, you know! Once upon a time, a mother and her daughter who lived in the Yongning plain went to see the grandmother and her brothers; and they were actually attacked by the big cats!’ *Literally*: ‘they were bitten by the panther, by the tiger.’ (Tiger.51)

A second stylistic consequence of the absence of contour unfolding in (38) is the symmetry between the clauses /zæɿ-ŋuɿ | le-t-t^hæ-t/ ‘(a/the) panther bit’ and /la-t-ŋuɿ | le-t-t^hæ-t/ ‘(a/the) tiger bit’, which would be decreased if the tone pattern were different (/le-t-t^hæ-t...le-t-t^hæ-t **ni**l/). This symmetry is crucial to connect the story to the Na saying ‘If you see a tiger, it means your father is going to die; if you see a panther, it means your mother is going to die’ (example (32) above). The story is about a tiger killing a young woman’s mother, so there is an apparent mismatch between the saying (which associates *panther* and *mother* on the one hand, and *tiger* and *father* on the other) and the story (*tiger kills mother*). Mention of both panther and tiger in (38) does not make literal sense, as there is

and AFFIRMATIVE /mɣ˥/), as in BuriedAlive.1. A related phrasing is /tʂʰu˥-ne˥ gɣ˥-kɣ˥/, ‘This is how it would happen’ (as in Sister3.149 and Tiger.2), which is similarly a set phrase and hence integrated into one tone group.

7.3.2 Consequences for the tone system: the emergence of extrametrical syllables

The phenomenon whereby a tone group is cut short after a certain word (noun or verb) has consequences for the general architecture of the tone system. In cases where the portion of tone group that is cut off from the verb can stand on its own as a tone group, the tenets of the system remain unaffected, such as in example (40).

- (40) hæ˥, | kʰɣ˥|mi˥-ɣe˥ | dzu˥-kɣ˥!
 hæ˥ kʰɣ˥|mi˥-ɣe˥ | dzu˥ | -kɣ˥
 Chinese dog_meat to_eat ABILITIVE

‘The Chinese (Han) eat dog meat!’ (Field notes, 2012)

Example (40) lays emphasis on ‘dog meat’: in the Na world view, dogs and men are close friends – the dog agreed to exchange its 60-year lifespan with the 13-year lifespan that had initially been granted to man (see the narrative Dog). Eating dog meat is therefore taboo among the Na, and the fact that some other ethnic groups do eat dog meat comes to them as a shock. An unmarked phrasing of (40) would be /hæ˥ | kʰɣ˥|mi˥-ɣe˥ dzu˥-kɣ˥/, in which a single tone group spans the object and verb, and tonal computation takes place.

Example (41) shows that this phenomenon can take place as early as the first syllable of a sentence.

- (41) pʰo˥ | hu˥-kwɣ˥-tɕu˥-lɑ˥ | tʰi˥, | go˥mi˥ tʂʰu˥-ɣ˥-dzo˥, | le˥-ŋɣ˥, | le˥-ŋɣ˥,
 | le˥-ŋɣ˥, | le˥-ŋɣ˥, | le˥-ŋɣ˥-zo˥!
 pʰo˥_a hu˥_c -kwɣ˥-tɕu˥ -lɑ˥ tʰi˥ go˥mi˥
 to_flee/to_rush to_go.PST after and then younger_sister
 tʂʰu˥ ɣ˥ -dzo˥ le˥- ŋɣ˥ -zo
 DEM.PROX CLF TOP ACCOMP to_cry ADVB

‘After he rushed away, [his] younger daughter cried her eyes out!’ (Sister3.68)

A more strongly integrated formulation would be: /pʰo˥-hu˥-kwɣ˥-tɕu˥-lɑ˥/, without any special emphasis on the verb.

On the other hand, when particles or conjunctions are left stranded, as in (36), they do not constitute a tone group on their own. The rules recapitulated in §7.1.1,

such as the addition of a final H tone to all-L sequences, do not apply to them – otherwise one would expect a final rising contour: $\uparrow\text{le}\downarrow\text{-tsa}\uparrow \mid \text{-kw}\downarrow\text{Jt}\uparrow\text{t}\uparrow\text{u}\downarrow\text{M}$. Nor are these stranded syllables integrated into the following tone group.

Several options for analysis are open here. One option would be to consider that, at some phonological level, the division into tone groups is in fact left unchanged. This would entail that a contour can be realized in non-final position within a tone group, an implication which contradicts headlong the definition of the tone group as a phonological unit. A preferred option is to consider that the emphasis laid on a word, and the consequent realization of a contour on that word, modifies the utterance’s division into tone groups, and that the syllables left stranded acquire extrametrical status. The notion of extrametricality rescues the general rule which serves as one of the key criteria for the definition of the tone group as a phonological unit, i.e. that contours only appear tone-group-finally.

Consider example (42):

- (42) $\text{p}\downarrow\text{v}\downarrow\text{J}\text{u}\downarrow \mid \text{d}\downarrow\text{z}\downarrow\text{r}\downarrow \uparrow \mid \text{ki}\downarrow \text{tsu}\downarrow \text{m}\downarrow\text{v}\downarrow \text{J} \downarrow \mid$
 $\text{p}\downarrow\text{v}\downarrow\text{J}\text{u}\downarrow \quad \text{d}\downarrow\text{z}\downarrow\text{r}\downarrow \uparrow \quad \text{ki}\downarrow \quad \text{tsu}\downarrow \uparrow \quad \text{m}\downarrow\text{v}\downarrow \uparrow$
 button to_pluck to_give REP AFFIRM

‘It is said that [he] plucked a [button from his jacket] and gave it [to the child]. / He plucked one, and gave it [to the child].’ (Renaming.23)

At least three stylistic options are open here. The most tightly-knit would involve a single tone group: $/\text{d}\downarrow\text{z}\downarrow\text{r}\downarrow \uparrow \text{ki}\downarrow \text{tsu}\downarrow \text{m}\downarrow\text{v}\downarrow \text{J} \downarrow/$.⁶ The most analytic would involve two full-fledged tone groups: $/\text{d}\downarrow\text{z}\downarrow\text{r}\downarrow \uparrow \mid \text{ki}\downarrow \text{tsu}\downarrow \uparrow/$. The third one, found in the recorded narrative, is intermediate: the verb $/\text{d}\downarrow\text{z}\downarrow\text{r}\downarrow \uparrow/$ ‘to pluck’ is realized with its lexical MH contour, as if it were tone-group-final, and the syllables that follow are all lowered to L, as if they belonged to the preceding tone group. The syllables $/\text{ki}\downarrow \text{tsu}\downarrow \text{m}\downarrow\text{v}\downarrow \text{J} \downarrow/$ are extrametrical: they do not constitute a full-fledged tone group on their own.

This range of stylistic variation is a salient characteristic of Yongning Na. Among other potential consequences for the evolution of the tone system, extrametrical syllables at the end of a tone group may tend to become affiliated to the following tone group instead, in cases where the sequence of (surface) tones allows for this reinterpretation. A case in point is the highly frequent succession of the topic marker $//\text{-dzo}\downarrow//$ and the discourse marker $//\text{t}^{\text{h}}\text{i}\downarrow//$ ‘so, then’. The latter makes up a tone group on its own, as was mentioned in §7.2.1. However,

⁶ For the sake of simplicity, the AFFIRMATIVE final particle is left out of the analysis; it will be discussed in §7.3.3.

in the narratives recorded by consultant F4, it is not preceded by any perceived pause, whereas there tends to be a pause before the topic marker, so that the two syllables *//-dzoŋ//* and *//tʰi/* are pronounced in quick succession. There is thus a discrepancy between two levels: that of the division into tone groups, on the one hand, and that of linguistic rhythm, on the other. Now, the topic marker *//-dzoŋ//* most often surfaces as */-dzoŋ/*, due to the presence of a H tone earlier on in the tone group, and the sequence of */-dzoŋ/* and */tʰi/* would constitute a well-formed tone group. The tone sequence L.LH can be the surface realization of underlying *//L.LH//*, or of underlying *//L//*. One may speculate that the high discourse frequency of the (phonetically) tightly-knit */dzoŋ.tʰi/* sequence paves the way for its reinterpretation as a single tone group. A typical example is the sequence */gi | -dzoŋ | tʰi/* (Mountains.58), ‘really-TOP-so/then’. Here, */gi/* is realized with a rising contour, unambiguously signalling the end of a tone group, and leaving the topic marker stranded (i.e. with extrametrical status). While */-dzoŋ/* does not constitute a well-formed tone group, the sequence */-dzoŋ tʰi/* would constitute one. To labour the point: although in data from speaker F4 the division into tone groups is clearly */...-dzoŋ | tʰi |*, this sequence could easily be interpreted by a language learner as a L-tone group: */ | -dzoŋ tʰi |*.

7.3.3 Further examples of extrametrical elements

Additional language-internal evidence for resorting to the concept of extrametricality in the description of the Na tone system comes from the AFFIRMATIVE particle *//-mɣ//* and the expression */əJ-gi/* ‘isn’t it!’, ‘right!’

The AFFIRMATIVE particle *//-mɣ//* cannot host a H level from a preceding REPORTED-SPEECH particle *//tsu/*: the sequence is realized as */tsuʌ mɣ/*, not † *tsuʌ mɣ*. This is a case that seems to be best handled in terms of extrametricality.

The expression */əJ-gi/* ‘isn’t it!’, ‘right!’ is commonly tagged at the end of an utterance. A preceding LH or MH contour does not unfold over it, as would be expected inside a tone group (Caravans.257, 287; Housebuilding.113; Mountains.159; Sister3.86). It is often preceded by a short (perceived) pause. These two observations suggest that */əJ-gi/* constitutes a tone group on its own. On the other hand, the fact that the expression */əJ-gi/* only contains L tones implies that it does not constitute a tone group on its own, otherwise it would be realized as */əJ-gi/* (following Rule 7). The latter, */əJ-gi/*, is well-formed and attested in the narratives, but it is a full-fledged question (‘Is it true?’), whereas */əJ-gi/* is more phatic – almost a gap-filler. The expression */əJ-gi/* is here treated as extrametrical. In the transcriptions, it is preceded by a tone group boundary, to

reflect the fact that it does not interact tonally with what precedes it.

To summarize the above discussion: the tone group may be interrupted after the last syllable of a word (verb or noun), leaving some syllables stranded. These syllables are described as having extrametrical status.

7.3.4 Deviant tone patterns and Mandarin loanwords: does the existence of extrametrical syllables facilitate the introduction of loanwords with a non-final rising tone?

The phenomena described above are clearly marginal. Yet they pave the way for increasingly significant changes to the tone system as a whole. They introduce unusual tone patterns which may become consolidated through loanwords: once a pattern exists in the language, however marginally, it is available for accommodating foreign combinations of sounds.

For instance, the gap-filler *jiùshi* 就是, ‘quite right; exactly, precisely, just’ is borrowed as /tɕoʼʂu.ɿ/, with a word-internal MH contour. (Note that the borrowing is from Southwestern Mandarin, where the syllable /tɕo/ 就 carries a rising tone, not a falling tone as in Beijing Mandarin.) At first blush, this contravenes a basic phonotactic rule of Na. On the other hand, the process of emphasis described in §7.3.1 introduces tone-group-internal contours, which have now become habitually associated with some morphemes. The existence of these rising contours arguably facilitated the introduction of Mandarin loanwords with this tone pattern. In turn, loanwords contribute to the gradual expansion of the previously deviant phonotactic pattern.

To carry the argument one step further, assuming that the emphatic value of tone-group-internal contours predates the borrowing of the gap-filler *jiùshi* 就是 ‘exactly’ as /tɕoʼʂu.ɿ/, this expressive value may well have facilitated the retention of the rising tone at borrowing. Emphasis is well-suited to this item. A hint of emphasis or insistence is not inappropriate for this gap-filler: it can help convey to the addressee that the speaker wishes to keep their speech turn open. The word /tɕoʼʂu.ɿ/ is also used as a rejoinder (‘Exactly!’), in Yongning Na as in Mandarin. In this usage too, a touch of emphasis is welcome, highlighting the intended message of convergence of viewpoints between the interlocutors. Said differently, the item’s lexical tone in Mandarin is congruent with its expressive interpretation in terms of Na prosody.

There are cross-linguistic analogues to this situation, for instance the success of the Vietnamese loanword *nhà quê* in French. The original Vietnamese is a derogatory term: ‘yokel, hayseed, backwoods person’. It was borrowed into French as

niakoué (also spelt as *niacoué*) as a derogatory term for the Vietnamese, and later also for the Chinese. Lexical tones were lost in the process of borrowing, but the vowels and consonants match exactly: Vietnamese /**ɲa.kwe**/ was borrowed as /**ɲa.kwe**/. Initial **ɲ** has expressive value in French, as shown by a quick list of items that contain it: *gniaf* ‘cobbler’, *gnan-gnan* ‘mawkish, mushy’, *gn(i)ard* ‘child’, *gn(i)ouf* ‘prison’, *gnognot(t)e* ‘worthless stuff’, *gnolle* ‘futile person’, *gnôle* ‘alcohol’, *gnon* ‘blow’, *niaque (gnaque)* ‘combativeness’ are all slang words. The bad guy in Lyon’s puppet theatre, Guignol, is revealingly named *Gnafron*. The only exception in the list is an Italian loanword, *gnocchi*, which apparently managed to gain integration despite the strong slangy flavour of its initial **ɲ**. Seen in this light, Vietnamese *nhà què* /**ɲa.kwe**/ presumably owes some of its success in French to the overtones of its initial consonant.

Returning to Yongning Na, word-initial contours in Chinese words used by consultant F4 are not restricted to expressively loaded words. The word for ‘television’ is a case in point.⁷ Realizations in isolation fluctuate between /**tʃɻʃsuɻ**/ (with a tone pattern unattested in Yongning Na, apart from Chinese words), /**tʃɻʃsuɻ**/ (suggesting an underlying M or #H tone), and /**tʃɻʃsuɻ**/ (unambiguously pointing to an underlying LM+MH# tone). The noun’s tonal behaviour in context also fluctuates. The tones of /**tʃɻʃsuɻ li**/ ‘to watch television’, /**tʃɻʃsuɻ-**qo****/ ‘on TV’ and /**tʃɻʃsuɻ-**ɲi****/ ‘is (a/the) TV’ would suggest that the noun carries either LM+#H tone or LM+MH# tone (in light of the data set out in §5.4.2, §6.8, and §2.4.2, respectively), but a contour is sometimes realized on the first syllable: realizations such as /**tʃɻʃsuɻ-**ɲi****/ ‘is (a/the) TV’ are observed.

This is part of a general state of flux characterizing recent Chinese loanwords, also evidenced by fluctuation in the rhyme of the word for ‘television’, between /**ɲɻ**/ (which complies with Na phonotactics) and /**je**/ (which does not, and is phonetically closer to the Chinese model). These words remain perceived by consultant F4 as Chinese words, and have not acquired a stable Na form, but their presence paves the way for changes in the morphotonological system.

7.4 Concluding note

The division of an utterance into tone groups plays a central role in conveying phrasing and prominence. In this respect, the Na facts appear closely parallel to the division of sentences into intonational groups in English (or French) – exten-

⁷ Remember that the borrowings are from Southwestern Mandarin, where tone values are almost the reverse of Beijing Mandarin, so that the syllable 电 *diàn* in 电视 *diànshì* ‘television’ carries a rising tone, not a falling tone as in Beijing Mandarin.

7 Tone assignment rules and the division of utterances into tone groups

sively studied languages, for which a wealth of references is available (on French, see for instance Vaissière 1975; Di Cristo 1998; Rossi 1999; Vaissière & Michaud 2006; Martin 2015). A striking characteristic of Na is the constant interaction between these intonational choices and the language's tonal processes.

8 From surface-phonological tone to phonetic realization

... a theory of tone must provide some means for describing intonational processes independently of tonal patterns, as well as a procedure for integrating the two structures.

(Clements 1979: 547)

If, as seems to be the case, the complexity of intonation is typical of human complexity, then there is still a long way to go before (...) intonation yields all of its secrets.

(Vaissière 2004: 256)

8.1 Introduction

The established order of business when describing a language is to elucidate the phonological and morphophonological facts first, and to address issues of phonetic implementation later. In practice, tones and intonation are necessarily studied together, since they share an all-important phonetic correlate: voice fundamental frequency (F_0). This is especially true in languages such as Na, where tones are specified only in terms of pitch: in Na, unlike in many other East/Southeast Asian languages, tones do not have length or phonation-type characteristics as part of their phonological definition. (This topic is taken up in the typological discussion, §10.3.1.)

8 From surface-phonological tone to phonetic realization

This chapter contains observations about the phonetic implementation of tone, and intonation in general: all that happens when surface-phonological tone translates into a concrete phonetic realization.

As an introduction to this domain, Figure 8.1 shows spectrograms of /boJ-ɻ/ ‘pig’s brains’ and /boJ-ɻɪɪ/ ‘is (a/the) pig’s brains’.

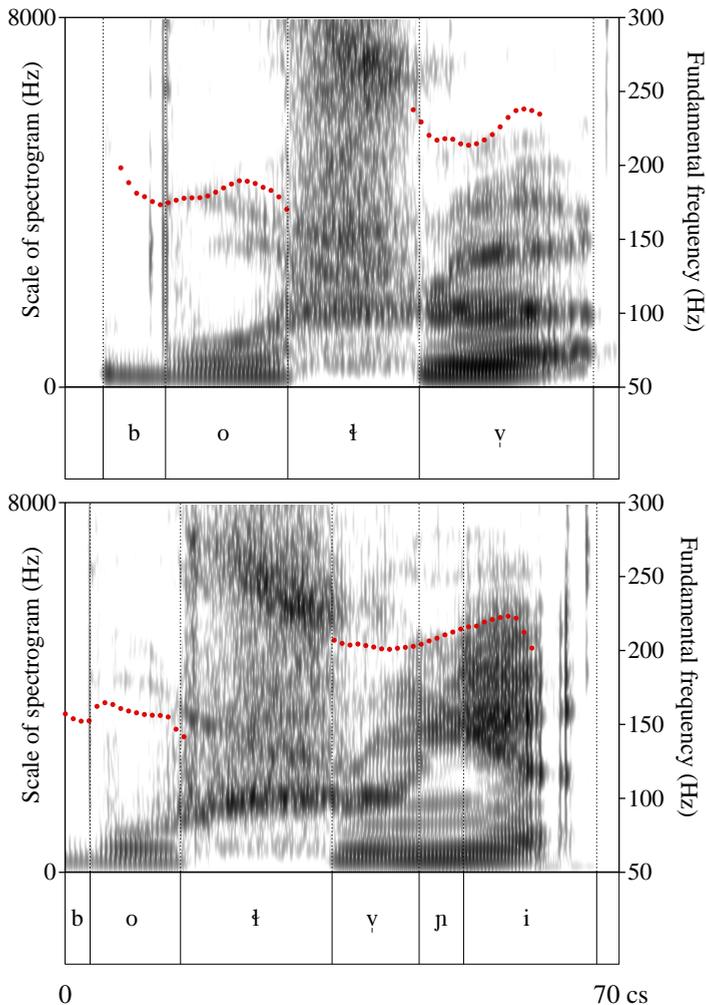


Figure 8.1: Spectrogram and F_0 tracing of ‘pig’s brains’ and ‘is (a/the) pig’s brains’.

The clear rise in F_0 on the rhyme /ɻ/ in the top part of the figure is consistent

with phonological description as a MH tone; and the flatter shape on the same rhyme in the bottom part of the figure, followed by higher F_0 on the copula, is consistent with phonological description as a sequence of M on one syllable and H on the next.

But there is no way to read phonological tones off F_0 tracings (as emphasized e.g. by Cruz & Woodbury 2014 and Morey 2014). Figure 8.1 illustrates a phenomenon that is immediately apparent when examining any piece of experimental evidence: variability in the realization of tone. For instance, glottalization – which is common in Yongning Na in utterance-final position – is found at the end of both tokens, exerting a lowering influence on F_0 towards the offset of voicing. (The phrases /boJ-ɬɿ/ ‘pig’s brains’ and /boJ-ɬɿ+ji/ ‘is (a/the) pig’s brains’ are both found in absolute final position, and therefore constitute entire sentences on their own.) Also, /boJ/ ‘pig’ is realized with noticeably different F_0 in the top part of the figure and in the bottom part. Tone levels have some range of variation within tonal space (F_0), in the same way as vowels have some range of variation within the acoustic space (as characterized essentially by the first three formants, F1-F2-F3). In Yongning Na, rising tones are never found in initial position within a tone group, and hence the identification of an initial L tone (as on the syllable /boJ/ ‘pig’) is not jeopardized by its realization with a slight rise, as in the top part of Figure 8.1. Seen in this light, the existence of slightly rising allotones (allophones of the tones) does not come as a surprise: it makes sense in view of the state of the phonological system – in the same way as, in a language that does not have contrastive aspirated consonants, plain (unaspirated) unvoiced consonants may sometimes be realized phonetically with some aspiration.

Back in the 1970s, at a time when F_0 tracings were difficult to do, necessitating expert technical skill, a specialist of Bantu tone asked Jacqueline Vaissière to create an F_0 tracing from a recording illustrating a specific phonological phenomenon. After receiving the desired tracing, this famous specialist of tonology said that there must be a mistake, as the F_0 tracing did not correspond to the tone pattern that his trained ear discerned clearly in the recording. In fact, there was no error in F_0 detection; the issue lay in this colleague’s expectation of a neatly binary F_0 tracing, straightforwardly reflecting the phonological tone sequence (J. Vaissière, p.c. 2001). Experimental examination of spoken language reveals that, even in languages with relatively straightforward prosodic systems, such as Standard Japanese, F_0 curves are shaped by a number of factors, and do not reflect phonological tone in a crystal-clear, transparent way. Without the help of a language consultant, it is simply impossible to know for sure for a given

utterance that has, for instance, a lowering of F_0 on its last syllable, whether this is due to a L tone on that syllable or to intonational final lowering of a M-tone syllable. Arriving at tonal contrasts requires factoring out intonation, and vice versa.

The following paragraphs present salient characteristics of Na intonation. But first some concepts need to be discussed.

8.1.1 Definition of terms

Prosody as defined here consists of (i) lexically distinctive properties: stress, as in English; tone, as in Mandarin, Yorùbá or Na; tonal accent, as in Japanese and Swedish; phonation-type register, as in Mon (Mon-Khmer family); (ii) intonation; and (iii) performance factors, including rhythm.

Intonation, which is often (and perhaps somewhat abusively) identified with the parameters whereby it manifests itself – and especially with fundamental frequency –, is a complex, abstract structure. It can usefully be divided into (i) two sub-systems of linguistic structuration: syntactic intonation (*phrasing*), which essentially reflects syntax in the broader sense, and pragmatic intonation (*prominence*), which reflects information structure; and (ii) attitudinal and emotional dimensions, that convey speaker attitudes and emotions. This view of intonation is shown in Figure 8.2. It needs to be emphasized that this embedded (tree-like) graph is a highly simplified representation: its aim is to provide a visual recapitulation of the basic distinctions made here. It does not aim to reflect the delicate links between the various components of prosody, e.g. between rhythm and lexically distinctive suprasegmentals.

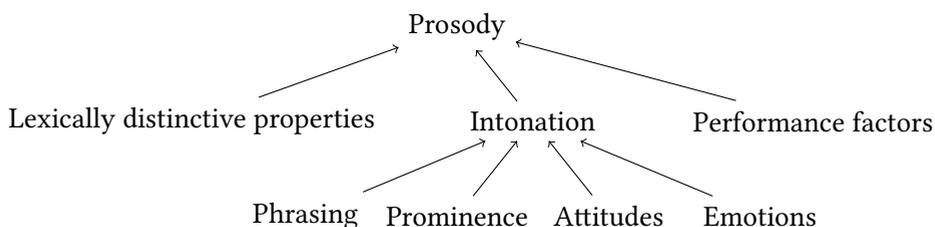


Figure 8.2: A highly schematic representation of the components of prosody.

These definitions, taken up from a publication about prosodic constituents in French (Vaissière & Michaud 2006), elaborate on proposals which (in my view) are similar in their essentials (Coustenoble & Armstrong 1937; Delattre 1965; Martin 1977; Rossi 1999). Usage still varies considerably between authors (a detailed

discussion of various frameworks is proposed by Di Cristo 1998).

As defined here, tone has the function of lexical and morphological differentiation, and intonation has the functions of (i) speech phrasing, (ii) coding prominence, and (iii) expressing emotions and attitudes towards the listener. Intonation is, in Bolinger's phrase, a "half-tamed savage" (Bolinger 1978: 475). *Phrasing* is on the tamer, more intellectual side; it surfaces at its clearest in deliberate oral renderings of elaborately composed texts. *Prominence* is a less tame dimension of intonation: it can still be described in terms of a linguistic system, with clear cross-linguistic differences, but the intrusion of the stronger manifestations of prominence can interfere with phrasing as determined by syntactic structure. The expression of attitudes and emotions can partly be described in terms of ethological principles, such as the Frequency Code:

... an innately specified 'Frequency Code' (...) associates high acoustic frequency with the primary meaning of 'small vocalizer' and thus secondary meanings as 'subordinate, submissive, non threatening, desirous of the receiver's goodwill, etc.' and associates with low acoustic frequency the primary meaning of 'large vocalizer' and such secondary meanings as 'dominant, aggressive, threatening, etc.' (Ohala 1984: 1).

The phrase "syntactic intonation" may appear as somewhat of a misnomer, insofar as syntax and intonational phrasing do not stand in a strict, one-to-one relationship with syntactic units, as was already noted in the early classics of phonetics (Grammont 1933) and confirmed by later work (Selkirk 1972; 2000: 231; Martin 1981). The phrase "syntactic intonation" is nonetheless retained in view of the fact that knowledge of a sentence's syntax offers a sufficient basis for the synthesis of an acceptable fundamental frequency contour (Vaissière 1971).

The acoustic correlates of prosody are many. They include the variations in fundamental frequency, duration and intensity and phonation type, but also the allophonic variations in the realization of the phonemes. Said differently, prosody has correlates at the respiratory level (i.e. the subglottal level), at the glottis, and at the supra-glottal level (see e.g. Erickson 1998). All parameters take part in prosody simultaneously, to a greater or lesser extent.

8.1.2 About tools for intonational transcription

There does not yet exist a standardized system for transcribing intonation; this is easy to understand in view of the above overview of the complexity of this linguistic domain.

Since Bolinger first raised the question explicitly in 1951, there has been considerable argument over whether intonation is better described as pitch contours, like the kinetic tones of the British tradition, or as a sequence of pitch phonemes or significant levels (the American approach exemplified by Pike 1945, Wells 1945, Trager & Smith 1951, Hockett 1955, and now Liberman 1975 and the autosegmental school originated by Goldsmith 1976). (Ladd 1978: 531)

Under the second of these approaches, intonation is modelled by means of discrete levels, as if it were tonal. This approach is known as “autosegmental-metrical”, and has dominated discussions of intonation since the 1980s. It seemed to hold promise for implementation in speech synthesis (Pierrehumbert 1981) and prosodic annotation (Silverman et al. 1992). The basic tenets of “autosegmental-metrical” models are concepts borrowed from autosegmental tonology, such as level tones, downstep and tone spreading. Pitch accents, organized in a linear sequence, are considered as the building blocks of an intonation contour (see e.g. the textbooks by Ladd 1996 and Gussenhoven 2004).

If one stands back to take a global view of tonal models of intonation, however, they appear as hybrid and somewhat perplexing systems (as pointed out e.g. by Martin 2001 and Wightman 2002). The posited ‘intonational tones’ are highly abstract entities, but the labels are also used as a system for phonetic transcription of linguistically significant aspects of F_0 curves as they are observed. Tonal labels tend to be assigned on an acoustic rather than perceptual basis, whereas ‘boundary tones’ are meant to reflect the perceived cohesion between successive words, and are thus grounded in perception as well as on the observation of F_0 curves. “To be fair to the original spirit of Janet Pierrehumbert, who intended to describe American English and carefully avoided generalization in her thesis, applying ToBI symbols to a new language requires a prior re-evaluation of the underlying principles” (Vaissière 2002).

Interestingly, before he became one of the proponents of “autosegmental-metrical” models, Bob Ladd expressed the conviction that he had “clearly demonstrate[d] the inadequacy of any approach to English intonation which treats contours as sequences of significant pitch levels” (Ladd 1978: 517).

In short, linguistic systems force users to identify certain signals as discretely different from one another; and linguists’ analyses should reflect these discrete differences. But an analysis of intonation in terms of pitch levels forces us to distinguish points along a gradient as also being discretely different – even though they are not – because the theory provides

no principled way of knowing when changing a certain feature in a sequence is going to produce a ‘modulation’, and when it is going to produce a ‘very different tune’. No amount of tinkering with theoretical mechanisms can remedy this defect; the best that any pitch-level theory can do is ignore it. To continue to ignore the difference between the gradient and the all-or-none by forcing it into a pre-ordained system of distinctions is only to put off reaching an understanding of how intonation really functions in language. (Ladd 1978: 539)

This strand of thought (which makes excellent sense to me) continued to be pursued by some scholars even during the heyday of “autosegmental-metrical” models. Alternatives to tonal models of intonation include the Kiel Intonation Model and its developments (Niebuhr & Kohler 2004; Kohler 2005; Niebuhr 2007; 2010), superpositional approaches (Vaissière 2002; 2004; Grønnum 1991; 1998a,b; Lindau 1986), and various others (Delattre 1966; Fónagy 1989; Rossi 1999; Martin 1977; 2015; Hirst & Di Cristo 1998). These approaches are currently outside the mainstream of intonation studies, in the same way as non-autosegmental analyses of tone systems fall outside mainstream (generative) phonology (some reflections on this situation are set out in Zerbian 2010; Michaud & Vaissière 2015). My evaluation of the available evidence is that tonal accounts of intonation in tone languages run into considerable difficulties, and that it is better to adopt a vocabulary which suits the data, even if it is not mainstream at present, rather than force the data into inadequate models. The present description aims to contribute to this strand of research, adopting a functional perspective that clearly distinguishes tone and intonation.

Another distinction which is essential to prosody studies is that between an abstract level of description, on the one hand, and the level of phonetic realizations, on the other. This distinction is threatened in frameworks where ‘tone’ is considered synonymous with F_0 . For instance, Hyman & Monaka (2008) define the term ‘tonal’ in a phonetic sense, to mean ‘realized by F_0 ’, and ‘non-tonal’ to mean ‘realized by parameters other than F_0 ’ (such as phonation types). The equation between ‘tone’ and ‘ F_0 ’ (and its perceptual counterpart: pitch) appears so self-evident that it could seem unnatural to try to define tone in any different way. But from a classical linguistic perspective, it is crucial to make a distinction between F_0 , which is an acoustic parameter, and linguistic tone, which is a functional concept.

As a final remark about terminology, the term ‘sandhi’ is not used here, because it appears advisable to restrict its use to categorical phenomena of tone change conditioned by the phonological context, and Na does not have any such

phenomena.

8.1.3 Intonation in level-tone languages: a review

In addition to the above remarks about the definition of terms and the frameworks for studying intonation, it may be useful, before beginning to describe intonation in Yongning Na, to review studies of intonation in other level-tone languages. This review also paves the way for the typological analyses set out in a later chapter (§10.3).

Expert auditory observations on phonetic realizations of tone in a two-tone language (Lingala) are proposed by Guthrie (1940). He notes that

... the only possible variations in the intonation of a word or sentence are these:

- (a) A widening or narrowing of the interval between the high and the normal tones.
- (b) A raising or lowering of the pitch of voice, i.e. a change of key.
- (c) A gradual rise or fall of the pitch of voice, i.e. a continuous change of key.

In Lingala the only two variations that seem to exist are (a) and (b). The gradual fall of the pitch of the voice during a sentence is so slight as to be almost imperceptible. There is, however, another modification which affects the last syllable of a phrase or sentence only. This may be called the final cadence, and means that a high tone becomes a high-falling, while a tone that is normal becomes normal falling to low. (Guthrie 1940: 472–473)

Parameter (a) is considered to possess three degrees of variation. Guthrie proposes that there are four phonetic ranges: minor third (considered as the “normal range”), major third, major fourth, and major fifth. Interestingly, Guthrie believes that the tonal range is set at sentence level. This arguably reflects a characteristic of the language that he was examining (Lingala): successive level tones hang together much more closely than in complex-tone systems,¹ where attention is drawn to *local* phenomena of F_0 range expansion. For instance, in Mandarin, “focus is usually related to F_0 -range-expansion of focused words that are

¹ About the typological distinction between level-tone systems and complex-tone systems, see §10.3.1.

not in the final position of an utterance and F₀-range-suppression of post-focus words” (Zhang & Hirose 2004: 449). To venture an impressionistic description of the difference between the two types of systems, level tones make sense as part of a sequence, whereas complex tones each have a stronger identity. Needless to say, this does not entail that successive complex tones are independent of one another. Tonal coarticulation phenomena in Sinitic, Vietnamese or Thai are strong, and they tend to harden diachronically into sandhi patterns (Abramson 1979; Gandour & Potisuk 1992; Brunelle 2003; 2009b; Zhang & Liu 2011). Still, Africanists’ attention seems to be regularly drawn to sentence-level rather than local phenomena, suggesting that local variations of the sort observed in complex-tone systems (as exemplified by Vietnamese, Thai and Sinitic) are not as salient in level-tone systems as exemplified by Bantu languages. Echoing Guthrie’s study about Lingala, a study of Chichewa intonation likewise focuses on sentence mode, specifically on the differences in F₀ between questions and statements (Myers 1996).

Guthrie describes the intonation of Lingala in terms of five different levels.

Although there are actually five different levels used the language remains essentially two-tone, as in learning forms the only thing to be noticed is whether any syllable has a high or a normal tone. It is, moreover, interesting to notice how regular is the system of tone ranges. Emphasis shifts the intonation to the next higher range. Interrogation move the tones two ranges higher, while the use of the subjunctive reduces the pitch to the next lower range. (Guthrie 1940: 475–476)

Description of intonation in terms of a finite number of levels was a trend of the time in American structuralist approaches to intonation. Analyses of English intonation published shortly after Guthrie’s study assume that there are four relevant levels of pitch: extra high, high, mid and low (Pike 1945; Trager & Smith 1951: 42). In Trager and Smith’s system, the four levels combine with four relevant levels of stress (primary, secondary, tertiary, and weak), yielding a symmetrical system of no less than sixteen “pitch allophones”. The sixteen units’ links to linguistic functions look really tenuous; fortunately, this proposal is about English, and informed native speakers have provided articulate critical feedback:

... this reviewer, at least, simply does not hear the neatly symmetrical distribution of pitch allophones with phonemes of stress as Trager and Smith describe it, he often hears nothing to justify the writing of *plus* junctures

where his colleagues write them, he is sometimes in serious doubt whether to write primary or secondary stress, and he is openly astonished at the apparent claim by Trager and Smith that in final position they can distinguish four allophones of each of four pitch phonemes before each of three terminal junctures. (...) Readers dislike being told that they can ‘easily supply other examples’ when the most patient effort leaves them utterly baffled. (Sledd 1955)

Writing about intonation in Yongning Na is a bigger scientific responsibility, as few native speakers are likely to examine the linguist’s claims in such detail.

A general issue here is that the framework proposed by Trager and Smith to study intonation suffers from the same immoderate ambition that is apparent in Hall and Trager’s framework for the *Analysis of culture*: “a hypothesis and methodology for the analysis of culture as a whole and specific cultural systems... a general analytic scheme into which all cultural activities, at all levels of integration and complexity, can be fitted” (Hall & Trager 1953: 57). By contrast, Guthrie’s proposals have much to commend them. Guthrie clearly distinguishes the two level tones from the intonational factors that influence their realization. Moreover, although the four proposed phonetic ranges are presented in an order based on form, from narrowest to widest, the analysis hinges on the linguistic functions associated to variations in tone range. This is a fruitful approach, which brings out a wealth of interesting observations.

Less positively, Guthrie’s proposal that the tonal levels constitute a closed set (five in all) is hard to reconcile with the observed diversity of intonational patterns. It is understandable that linguists should wish to operate with a finite set of basic units in all fields of linguistic description, as they do at the phonemic level, and in the study of tonal phenomena. But these tools are less than fully appropriate in the field of intonation; linguistic models that treat intonation systems on the analogy of phonemic systems fail to capture their object.

This phenomenon [=intonation] has considerable importance in oral communication, but has specificities that make it really troublesome to the linguist, since the methods that have been tried and tested in other areas do not seem truly adequate for the analysis of intonation. (Creissels 1994: 173)²

² *Original text*: L’importance de ce phénomène [=l’intonation] dans la communication orale est considérable, mais sa spécificité gêne beaucoup le linguiste, car les méthodes d’analyse qui ont fait leurs preuves dans d’autres domaines ne semblent pas convenir vraiment pour l’analyse de l’intonation.

It now seems clear that there is no fixed number of levels to be distinguished when representing phonetic realizations of tone. On the basis of expert listening, Creissels proposes stylized representations of the phonetic realizations of certain sequences of tones (in a two-tone system) which clarify that tone implementation is language-specific. For instance, Figure 8.3 illustrates an observation made in some tonal languages of Subsaharan Africa: the first in a sequence of L tones following a H tone carries pitch that is intermediate between that of the preceding H tone and that of the following L tones. “Such realizations can be seen as the inception of a phenomenon of propagation: if the raising of the first in a sequence of L tones following a H tone becomes more noticeable, it can result in misperception as a H tone (...).” (Creissels 1994: 215–216)³

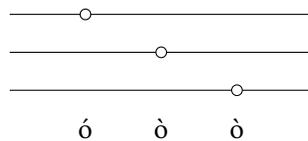


Figure 8.3: Stylized representation of the realization of an H.L.L sequence in some Subsaharan languages: as a gradual decrease in pitch from the first syllable to the third (Creissels 1994: 217).

This observation brings out the tonal coarticulation pattern’s evolutionary potential. On the other hand, readers with an interest in experimental phonetics may want more detail than the highly schematized representation in Figure 8.3 can encapsulate. If there are three L tones in a row, are the second and third realized on the same phonetic level? Or is the decrease in pitch gradual from one syllable to the next, arranged along a scale of four levels rather than three? Is this decrease linear or asymptotic?

Experimental-phonetic studies of level tones containing proposals for modelling tonal implementation are available for several two-tone systems, e.g. Dinka (Remijsen & Ladd 2008), Kinyarwanda (Myers 2003) and Sotho (Zerbian & Barnard 2010a,b), three-tone systems (Teo 2014: 48–65; Coupe 2003: 100–106; Laniran & Clements 2003, and four-tone systems, e.g. Mambila (Connell 2003). These studies confirm that issues of tone realization are conditioned by a host of language-

³ *Original text:* On observe par exemple dans certaines langues que, sans que son identification comme ton bas soit remise en cause, le premier d’une séquence de tons bas succédant à un ton haut est réalisé à un niveau intermédiaire entre celui du ton haut qui le précède et celui des tons bas suivants. (...) On peut voir dans de telles réalisations l’amorce d’un phénomène de propagation : en effet, si le réhaussement du premier d’une séquence de tons bas succédant à un haut s’accroît, on peut aboutir à la confusion avec un ton haut (...).

specific parameters.

The present chapter aims to bring out such parameters of the Yongning Na prosodic system. No experimental phonetic tools are deployed to explore these issues: the approved order of business is that “the linguistic analysis, which may perfectly well be made on auditory basis, must come first” (Fischer-Jørgensen 1949: 4). The prospect of future experimental verification was constantly kept in mind, however, and the observations proposed below are intended as a basis for phonetic experiments.

8.2 Syntactic intonation: phrasing and junctures

The most important unit in the prosodic organization of Na speech is the tone group. But from the point of view of phonetic implementation, successive tone groups are not entirely independent. Tone groups are part of higher-level prosodic units which can be defined in various ways. Two units which appear especially useful as cross-linguistic descriptive concepts, though their definition is not without problems, are the prosodic paragraph and the sentence (also referred to as ‘utterance’, with a view to bringing out its grounding in a communicative context, emphasized e.g. by Culioli 1995). The term ‘paragraph’ is open to criticism on the part of linguists who object to the transfer of concepts from the study of written texts to that of oral speech; it is a convenient term nonetheless, in view of the similarities between the division of a written text into paragraphs and that of speech into prosodic paragraphs, with a broad range of stylistic variation in both cases. Here is a brief characterization of the prosodic paragraph and the sentence, adapted from Vaissière & Michaud (2006: 50–52).

The highest F_0 value in a sentence tends to decrease from the first to the last sentence in a paragraph (Lehiste 1975). The end of the paragraph typically ends on an extra-low F_0 (often leading to a change in phonation type) and intensity.

At sentence level, the neutral, affirmative statement is taken as the basic, archetypal pattern from which other sentence modes depart (Thorsen 1980). Abstracting away from all other dimensions of prosody (lexically distinctive suprasegmentals, prominence, attitudes, emotions...), the F_0 curve for the sentence rises to a peak located on one of the sentence’s first syllables, typically within the first lexical word. In the course of the sentence, a phonetic, gradual, noncategorical decrease in fundamental frequency takes place. This is known as ‘declination’. Fundamental frequency therefore fluctuates within a gradually narrowed range. A final lowering marks the end of the sentence. (This corresponds to Tune 1 as described for English by Armstrong & Ward 1926.) Final lowering is a more local

phenomenon, typically affecting the last syllable in declarative utterances.

Declination and final lowering are common across languages, as is their suspension to convey non-assertiveness (in questions, or to convey nuances of doubt and uncertainty).

In Yongning Na, declination is most transparently observed in sentences that have long sequences of like tones: M..M or L..L.⁴

From a phonetic point of view, these phenomena interact with phonological tones: the phonetic realization of a phonological H, M or L differs considerably depending on the position of the syllable inside the sentence and the prosodic paragraph. For instance, the 1st, 2nd and 3rd-person pronouns, //njɣ//, //no//, and //tʂ^hu//, all have M tone in isolation due to the neutralization, in this position, of the lexical categories L, M and H. Their surface-phonological representation in isolation is therefore /njɣ/, /no/ and /tʂ^hu/, respectively. But in my first notes I transcribed them with H tone, as [njɣ^h], [no^h] and [tʂ^hu^h]. This was due to their realization on a high pitch when they are realized in isolation. In that context, their pitch is noticeably higher than that of a M tone in tone-group-initial position later on inside a prosodic paragraph.

Moreover, since the opposition between M and H is neutralized in tone-group-initial position, a phonetically high realization runs no risk of being misinterpreted by native listeners. As a consequence, M tones in this position have the entire upper part of the tonal phonetic space as their range of intonational variation. In my first field notes, I transcribed (1) as † njɣ | mɣ^h-dzu; I was led to this phonologically inappropriate notation by the considerable phonetic difference in pitch between the first and second syllables, and the similarity in pitch between the first and last syllables of this short sentence.

- (1) njɣ | mɣ^h-dzu
 njɣ | mɣ^h- dzu
 1SG NEG to_eat
 ‘I don’t eat.’

These intonational facts had to be brought to light before a correct transcription of the surface-phonological form of the pronouns could be arrived at.

⁴ Remember that H..H sequences are ruled out by the fact that H tone is culminative: there can be at most one H tone in a tone group. All tones following H are depressed to L: see §7.1.1.

8.3 Pragmatic intonation

“Information structure is a vast topic of research that has been pursued within different theoretical frameworks” (Krifka 2008: 244), and with different objectives in view. A few general observations about information structure in Yongning Na will be followed by a discussion of three intonational phenomena: (i) emphatic stress (§8.3.1); (ii) focalization through local intonational modification of tone (§8.3.2); and (iii) intonational backgrounding of function words (§8.3.3).⁵

The following generalization about Qiang proposed by LaPolla & Huang (2003: 221) also applies to Yongning Na (and other Sino-Tibetan languages):

The structure of the clause is to some extent affected by pragmatic factors, but this only applies to the order of noun phrases in the clause. The utterance-initial position is the unmarked topic position (though secondary topics can follow the primary topic), while the position immediately before the verb is the unmarked focus position, and so the focused element will generally appear there. The verb always appears in final position; there is no possibility for the actor of a clause to appear in postverbal position, even if it is focal. The only exception to this is the occasional afterthought clarification of a noun phrase that was omitted or expressed as a pronoun in the clause.

Information structure in Na has accordingly been described as ‘topic-comment’, extending an observation made by Chao Yuen-ren about Sinitic: “the grammatical meaning of subject and predicate in a Chinese sentence is topic and comment, rather than actor and action” (*A grammar of spoken Chinese*: 69; see also Shi 2000; LaPolla 2009).

The primary information structure in Na is topic/comment rather than subject/predicate. (...) [A] topic can be a nominal argument, about which the rest of the sentence will comment upon, but the topic can also be an adverbial, an independent clause, or a dependent clause. (Lidz 2010: 296)

Example (2) provides an illustration.

⁵ This section contains some passages taken up from a study about information structure: Michaud & Brunelle (2016).

- (2) le-[↑]dzu[↓] | bi-[↑]mi-[↓] go[↓]
 le- dzu[↓] bi-[↑]mi- go[↓]
 ACCOMP to_eat stomach/belly to_ache
 ‘[If] [you] eat [of it], [your] stomach [will] hurt!’ (Field notes, speaker F4. Isolated sentence transcribed on the fly. Context: on the mountain, pointing out a berry that is not edible.)

8.3.1 Emphatic stress and its toned-down avatars

Martine Mazaudon’s use of an ‘up’ arrow ↑ to mark emphatic stress (referred to as “intonational emphasis” by Mazaudon 2004) in Tamang is adopted for the Yongning Na data, as in (3). In Mazaudon’s transcriptions of Tamang, tone is indicated to the left of the syllable, and the mark for intonational emphasis is added to the right; for Yongning Na, since tone is indicated to the right of the syllable (following widespread practice), the arrow ↑ indicating emphatic stress is placed to the left of the syllable that carries it.

- (3) t^hi[↓] | ə-[↑]m[↓]y-[↓]ji[↓]-h[↓]i[↓] | -dzo[↓] | ↑zo-[↓]ji[↓] tsw[↓] | m[↓]y[↓].
 t^hi[↓] ə-[↑]m[↓]y[↓] ji[↓] -h[↓]i[↓] dzo[↓] zo[↓] ji[↓] tsw[↓] m[↓]y[↓]
 then elder_sibling to_do REL TOP boy/son COP REP AFFIRM
 ‘The elder [of the two siblings] was a boy.’ (Sister.5)

In many contexts, emphatic stress appears on a constituent that can be predicted to receive normal focus prosody. For example, in sentence (3), one would expect the focus to be in the immediate preverbal position – the usual unmarked focus position for verb-final languages. Emphatic stress can be considered an extreme form of focus prosody. It is an extreme along a continuum: there is no hard-and-fast boundary between emphatic stress and milder realizations of focus prosody.

Emphatic stress is phonetically located on one syllable only, but from the point of view of interpretation, there is ambiguity of focus marking: either “broad focus” or “narrow focus”, to use vocabulary proposed by Lambrecht (1994). This phenomenon is extensively studied in the literature on focus projection (e.g. Selkirk 1995).

The phonetic realization of emphatic stress includes effects on the articulation of vowels and consonants. For instance, the second syllable of the verb /dzɹ[↓]↑bɹ[↓]/ ‘to play’ is realized in (4) with a much stronger trilling of the /b/ than is found in non-emphatic contexts. This can be considered an example of ‘articulatory prosodies’ in the sense of Kohler & Niebuhr (2011) and Niebuhr (2013).

8 From surface-phonological tone to phonetic realization

- (4) mɤ̃ʌzoʌ = ʌæʌ-laʌ | əʎiʌ-ʂwʌʎiʌ | tʰiʌ, | dzɤ̃ʌʌbɤ̃ʌ ɲiʌ tsuʌ | mɤ̃ʌ!
mɤ̃ʌzoʌ = ʌæʌ laʌ əʎiʌ-ʂwʌʎiʌ tʰiʌ dzɤ̃ʌʌbɤ̃ʌ ɲiʌ
girl ASSOCIATIVE with long_ago so/then to_play CERTITUDE
tsuʌʌ mɤ̃ʌʌ
REP AFFIRM
‘The story goes that at that time, long ago, he would have fun [i.e. flirt]
with girls!’ (Caravans.231)

8.3.1.1 Emphatic stress as a language universal

Emphatic stress in Na appears to be essentially the same as in English and French, hence the choice to use this label (proposed by Coustenoble & Armstrong 1937). Prototypical realizations of emphatic stress have been shown to involve supplementary activity of the expiratory muscles, resulting in a sudden increase in subglottal pressure during the articulation of a consonant (Benguerel 1973; Carton et al. 1976; Ohala 1978; Fant, Hertegård & Kruckenberg 1996), hence the term “force-accent” used by Kohler (2003). This category has been somewhat neglected in intonation studies, as tonal models of intonation have led researchers to focus their attention mostly on the acoustic parameter of fundamental frequency. But it is a good candidate for the status of universal of human language. Its linguistic functions range from the attitudinal and emotional to the pragmatic: it is the most extreme manifestation of intonational emphasis. Toned-down realizations of emphatic stress are more common than its prototypical realization: physiological effort at a subglottal level is mimicked through such strategies as F_0 excursions and consonant lengthening. Needless to say, the description of emphatic stress as a language universal by no means implies that it is uniformly present in all languages and all oral genres. Like other linguistic phenomena, it comprises important language-specific and speech-style-specific dimensions. Its frequency of use varies greatly from language to language, from speaker to speaker, and from style to style; its stylistic effect is inversely proportional to its frequency of use.

8.3.1.2 The superposition of lexical tone and intonation

The general approach adopted here is superpositional, distinguishing different levels: in particular, tone on the one hand, and intonational modifications (reflecting boundaries/junctures and information structure) on the other. Great care needs to be exercised in the analysis of these phenomena, maintaining the functional distinction between lexical tones and intonation. For instance, when

picking up the phone, speakers of Mandarin say “喂”, lexically a tone-4 syllable (Pinyin transcription: *wèi*), i.e. realized with sharply falling pitch; but in this context, the lexical tone can be overridden by interrogative intonation, and the pitch is often rising. One interpretation would be that the initial tone, tone 4, becomes another tone, say, tone 2, the rising tone. But rather than treating this case as an instance of tone change, it makes better sense to consider it as an extreme example, where the lexical tone has so little communicational relevance, and the expression of sentence mode and speaker attitude such importance, that their superposition leaves little trace (if any) of the lexical tone.

A compromise has to be found, in each speech act, between the competing demands of clarity, on the one hand, and expressivity, on the other. It seems clear that Na speakers are careful to avoid too great a distortion to the tonal string due to intonational emphasis. While no specific phonetic study has so far been conducted on Yongning Na data, it seems reasonable to assume that the situation is comparable to Naxi, where a study of the three basic tones (H, M and L) under emphasis reveals a relatively milder effect of emphasis on F_0 than on intensity, as compared to English data (Michaud 2005: 107–167; Michaud, Nguyễn & Vaissière 2015).

8.3.1.3 Cases where intonation interacts with the tonal string

In some marginal cases, intonational modifications go so far as to affect the string of tones for the utterance, however. In its most vehement manifestations, emphatic stress intrudes into a sentence’s prosody, wreaking havoc on tonal contrasts. Example (5) is a case in point.

- (5) $p^{h\vee}J-t\check{\alpha}eJ\check{\alpha}eJ$ $g\vee J-k\vee J-zeJ$ $m\check{\alpha}J$
 $p^{h\vee}J-t\check{\alpha}eJ\check{\alpha}eJ$ $g\vee\uparrow$ $-k\vee\uparrow$ $-ze\uparrow$ $m\check{\alpha}\uparrow$
 very_white to_become ABILITIVE PFV AFFIRM
 ‘[after boiling, linen thread] can become really white!’ (FoodShortage.73)

The usual pronunciation is $/p^{h\vee}J-t\check{\alpha}eJ\check{\alpha}eJ/$ ‘very white’. In (5), the second syllable is realized phonetically with extremely high fundamental frequency on the syllable $/t\check{\alpha}eJ/$, which is considerably lengthened. From a phonetic point of view, its phonetic L tone is conspicuously disregarded. One way of looking at this modification would be to describe it as due to an intonational overlay: functionally, one could consider transcribing as $/p^{h\vee}J-\uparrow t\check{\alpha}eJ\check{\alpha}eJ-g\vee J/$, where the arrow \uparrow indicates emphatic stress, and the underlying tonal string is unchanged.

This forcible intonational modification does interact with the phonological tone string of the tone group, however. If the modification of the second syllable in /p^hvɿ-tɕæɿɕæɿ-gvɿ/ only took place on an intonational level, one would expect the underlying tonal string to remain unchanged, in which case the third syllable would retain its phonological H tone. But what is observed is that the third and fourth syllables in (5) are lowered to L: /ɕæɿ-gvɿ/. This is precisely what is expected if the second syllable carries H tone. This phenomenon is therefore analyzed as involving a categorical tone change, from a L.L.H sequence, /p^hvɿ-tɕæɿɕæɿ/, to a L.H.L sequence, /p^hvɿ-tɕæɿɕæɿ/.

8.3.2 Focalization through local intonational modification of tone

8.3.2.1 The main facts

In Yongning Na, there can be focalization through local intonational modification of tone on the last syllable of the focalized word. The syllable gets lengthened. A H or M level is changed to a dipping contour, and the phonetic range of a MH or LH rising contour gets expanded. As for L tone, which is canonically realized with a decrease in fundamental frequency, its phonetic falling contour becomes more noticeable under focalization.

This phenomenon was first identified in examples where the syllable receiving this intonational modification carried H tone. Modification of H tone is more conspicuous than that of M, L, MH or LH: the realization of H tone becomes a rapid dipping contour, for instance in example (6).

- (6) hĩ-t-ki-t | ɕwɿ-t-k^hwɿɿ F | mɿ-t-piɿ
 hĩɿ -ki-t ɕwɿ-t-k^hwɿɿ mɿ-t- piɿ
 person to 1-CLF.pieces NEG say

‘(S)he did not say anything to the people present! / (S)he did not greet anyone!’ (Field notes, 2009)

The numeral-plus-classifier phrase /ɕwɿ-t-k^hwɿɿ/ ‘one piece’ is given prominence through the phonetic realization of the classifier /k^hwɿɿ/ with a noticeable fall plus lengthening. This phenomenon is found in rapid speech as well as in slow repetitions.

It was later realized that the same type of prominence-lending local intonational modification could also be found for the two rising contours: high-rising (MH) and low-rising (LH). For these contours, the modification consists of lengthening and F₀ range expansion. Due to the phonetic fact that these are phonological contours, which by themselves have greater duration than simple levels, the

intonational modification is less salient perceptually than for the M and H levels. Recognition of the existence of this phenomenon for the L level came last.

This phenomenon will be referred to, for short, as *intonational focalization*. The notation adopted is ‘F’ (for ‘Focalization’), written after the syllable at issue. This may seem inconsistent with the choice to place the upward arrow for emphatic stress (↑) *before* the syllable that receives emphatic stress. There is a phonetic basis for this different treatment, however: emphatic stress is strongest at the *beginning* of the syllable, whereas intonational focalization is implemented through a modification that strongly affects the syllable *rhyme*.

On the analogy of Naxi, where a reduced M- or L-tone syllable can result in the creation of similar contours (Michaud & He 2007), it was first hypothesized that there must be a reduced syllable in examples such as (6): ‡ **ɗuɿ-k^hwɿŋ-əŋ mɿɿ-piŋ**. Likewise, example (7) was initially transcribed as ‡ əŋ-dzɿŋ~dzɿŋ-əŋ **dzuɿ**.

- (7) əŋ-dzɿŋ~dzɿŋ F | dzuɿ
 əŋ-dzɿŋ~dzɿŋ F dzuɿ
 slowly FOCALIZATION to_eat
 ‘Eat slowly!/Take your time!’ (Polite invitation to eat.)

Subsequent investigation showed that these notations were wrong. The dip in fundamental frequency, accompanied by lengthening, is a purely intonational device: it does not involve an added syllable.

The realization of focalization is sufficiently specific – comprising a movement in fundamental frequency, a slight lengthening, and perhaps a slight change in the vowel: a difference in the time course of formant frequencies – to avoid interference with lexical tone. Emphatic tone is likewise identifiable as such, from cues other than fundamental frequency. This limits the possibility of a misperception of lexical tone caused by these intonational phenomena.

8.3.2.2 Borderline cases

About two hundred instances of intonational focalization are indicated in the first twenty transcribed texts. Some cases are clearer than others. For one thing, cases where this focalization is superimposed on rising contours appear less salient – for phonetic reasons, as outlined above. For another, there are borderline cases, where it is not obvious whether to add a ‘F’ in the transcription or not. Borderline cases do not by themselves cast doubt on the categorical nature of the phenomenon. Intonational focalization can be toned down, in the same way as emphatic stress has toned-down avatars shading into non-emphatic realizations;

this is a common situation in the field of intonation. On the other hand, it should be borne in mind, when consulting the texts, that the ‘F’ and ‘↑’ symbols (for intonational focalization and emphatic stress, respectively) cannot be assigned with the same degree of certainty as consonants, vowels and tones. When transcribing narratives, one way to check with the speaker whether to classify a given case as having intonational focalization or not consists in playing the passage at issue, then repeating it with and without the telltale fall in pitch and lengthened rhyme, and asking the consultant to indicate which of the two realizations fits better. This entails no guarantee, however, as the consultant is apt to provide an answer which does not correspond to the realization on the recording but which they consider (in retrospect) would have been more suitable in that context.

Pending experimental verification by perceptual tests, it seems likely that different speakers have different degrees of sensitivity to intonational detail. Toned-down versions of intonational focalization may go unnoticed by some speakers. It is a fact of life that hearers can fail to pick up intended clues. A linguist’s aphorism has it that, in human communication, misunderstanding is the general case, and mutual understanding is a special case (“la compréhension est un cas particulier du malentendu”: Culioli 1990: 39). Lost allusions are often staged in literary works, among them *In Search of Lost Time*: the grandmother’s sisters design allusions that are so carefully veiled that they are unintelligible to the intended addressees.⁶ The world is a cemetery of cultures, and each text is a tomb for allusions.

8.3.2.3 A case in which intonational focalization has become habitual

This paragraph presents a case of habitual association of intonational focalization to a phrase. The classifier /saʎ/ ‘thing’ only appears in the phrase /ɖwɔʎ-saʎ/ ‘anything’, itself restricted to negative contexts: typical examples are shown in (8) and (9).

- (8) ɖwɔʎ-saʎ F | mɣʎ-dzoʎ
 ɖwɔʎ saʎ F mɣʎ- dzoʎ_b
 one CLF.things FOCALIZATION NEG to_possess
 ‘[I/they] don’t have anything at all / [I/they] have nothing at all’

⁶ “... they, in their horror of vulgarity, had brought to such a fine art the concealment of a personal allusion in a wealth of ingenious circumlocution, that it would often pass unnoticed even by the person to whom it was addressed.” Scott Moncrieff translation revised by Terence Kilmartin. *Original text*: “Celles-ci par horreur de la vulgarité poussaient si loin l’art de dissimuler sous des périphrases ingénieuses une allusion personnelle qu’elle passait souvent inaperçue de celui même à qui elle s’adressait.”

- (9) $\text{ɕw}^{\uparrow}\text{-sa}^{\downarrow} \text{F} \mid \text{m}^{\uparrow}\text{-ji}^{\downarrow}$
 $\text{ɕw}^{\uparrow} \text{sa}^{\downarrow} \quad \text{F} \quad \text{m}^{\uparrow}\text{-} \quad \text{ji}^{\downarrow}$
 one CLF.things FOCALIZATION NEG to_do
 ‘to do nothing, to slob around, to do bugger all’

Out of 30 examples of / $\text{ɕw}^{\uparrow}\text{-sa}^{\downarrow}$ / found in 25 transcribed narratives, all but one are accompanied by intonational focalization. The existence of one example without intonational focalization (TraderAndHisSon.24) is enough to demonstrate that the association of this focalization with / $\text{ɕw}^{\uparrow}\text{-sa}^{\downarrow}$ / is habitual, and should not be considered a lexicalized characteristic of this expression in F4’s speech.

8.3.2.4 Intonational focalization and the division of the utterance into tone groups

As discussed in Chapter 7, the division of the utterance into tone groups is a fundamental dimension of Yongning Na prosody. The sifting of examples reveals that intonational focalization does not necessarily entail the presence of a following tone-group boundary, as shown by BuriedAlive3.143. In the vast majority of examples, such a boundary is present, however. Moreover, there are examples where the intonational focalization is associated to the insertion of a tone-group boundary at a place where it would otherwise be highly unexpected, as in (10).

- (10) $\text{zo}^{\uparrow} \text{F} \mid \text{ə}^{\downarrow}\text{mi}^{\downarrow}\text{-n}^{\downarrow}\text{w}^{\downarrow} \mid \text{m}^{\downarrow}\text{v}^{\downarrow}\text{-ki}^{\downarrow} \text{z}^{\downarrow}\text{w}^{\downarrow}\text{ɕ}^{\downarrow}$
 $\text{zo}^{\downarrow} \quad \text{F} \quad \text{ə}^{\downarrow}\text{mi}^{\downarrow} \quad \text{-n}^{\downarrow}\text{w}^{\downarrow} \quad \text{m}^{\downarrow}\text{v}^{\downarrow} \quad \text{-ki}^{\downarrow} \quad \text{z}^{\downarrow}\text{w}^{\downarrow}\text{ɕ}^{\downarrow}$
 son/man FOCALIZATION mother A daughter/girl DAT to_speak

‘The young man’s mother talked to the young woman.’ (BuriedAlive3.161)

The emphasis placed on zo^{\uparrow} ‘son, man’ causes the insertion of a tone-group boundary inside the phrase ‘the young man’s mother’. This results in a different sequence of tones than is found in the phrase ‘the boy’s mother’, which, outside this context, is / $\text{zo}^{\uparrow}\text{-ə}^{\downarrow}\text{mi}^{\downarrow}$ /. The division of this phrase into two tone groups results in the non-application of the tone rules which hold in determinative compounds, since tone rules never apply across tone-group boundaries.

8.3.3 Intonational backgrounding of function words

It is a well-established cross-linguistic observation that grammatical words are less strongly articulated than lexical words. In languages that have distinctive

stress, many grammatical words do not carry lexical stress, and those that do are sometimes destressed in discourse; in some languages that have tone, there are cases of toneless grammatical words, for instance in Mandarin (Lin & Yán 颜景助 1980; Chen & Xu 2006). Even in cases where there is no phonological difference between lexical words and grammatical words – e.g. in French, which does not have lexical stress, and in Vietnamese, which has tone on all syllables including grammatical morphemes –, the phonetic difference between these two categories is noticeable.

The strength of this tendency varies across languages. It is relatively weak in Vietnamese: there is less hypo-articulation of grammatical words in Vietnamese than in English or French (Brunelle 2015). There also exist reports that the phonetic realization of tone sequences in some Sub-Saharan languages is unaffected (or almost unaffected) by the nature of the syllables that act as tone-bearing units, so that a M tone on a grammatical morpheme will be realized in the same way as if the tone-bearing syllable were a verb or a noun (Jacqueline Leroy, p.c. and Larry Hyman, p.c.). In Yongning Na, on the other hand, the intonational backgrounding of grammatical words such as sentence-final particles is highly salient. Direct comparison is possible between the possessive /-bɿ/ and the noun /bɿ/ ‘intestine’ (in sequences such as /hwɿ-li-bɿ | bɿ/ ‘cat’s intestine’: there are examples in the recordings 7, 8–10, 11 and 13 of the *BodyPartsOfAnimals* set), or between the relativizer /-hĩ/ and the word for ‘person, human being’, /hĩ/.

8.4 **An expressive phenomenon: habitual intonational modifications as a path towards the loss of lexical tone**

Expressive coinages and iconic phenomena constitute marginal elements: each of them has a lilt of its own, and only bears loose links to the rest of the linguistic system. On the other hand, these phenomena undergo continuous attraction from the more central structures that make up the language’s phonological system. There is thus a tendency towards their integration into the language’s phonological categories. Segmental examples are discussed in §12.7; the present section is devoted to an example that concerns tone. In this example, habitual intonational modifications arguably constitute a path towards the loss of lexical tone.

In Yongning Na, extra-distal locative expressions share a specific intonation. The argument proposed here is that this habitual intonational modification constitutes a path towards the loss of lexical tone on the grammatical morphemes at issue. These locative expressions are listed in Table 8.1, where their first syllable is

8.4 An expressive phenomenon: habitual intonational modifications as a path towards the loss of lexical tone

transcribed with an exclamation mark ‘!’ instead of a tone mark, as a provisional device to represent this telltale intonation.

Table 8.1: Extra-distal locative expressions carrying specific intonation.

locative expression	meaning	analysis of 1 st σ	meaning of 1 st σ
gʏ!-qo↓	way up	gʏ↓ -	upward
gʏ!-[ʂ^hu↓-qo↓	way up there (PROX)	gʏ↓ -	upward
gʏ!-t^hv↓-qo↓	way up there (DIST)	gʏ↓ -	upward
gʏ!-t^hv↓-gi#↓	way up in that direction	gʏ↓ -	upward

dʏ!-qo↓	way over there	?	?
dʏ!-[ʂ^hu↓-qo↓	way over there (PROX)	?	?
dʏ!-t^hv↓-qo↓	way over there (DIST)	?	?
dʏ!-t^hv↓-gi#↓	way over in that direction	?	?

The two sets of extra-distal locatives shown in Table 8.1 are parallel in terms of their syntactic composition, and they share the same intonation. The realization of their first syllable is highly expressive and allows variants. Either it starts on an extra-high pitch and glides downward, at a slope left to the speaker’s discretion: a sharp fall or a prolonged one. Or it is rising, the details of the rise (duration, slope and peak height) being again left to the speaker’s discretion. As far as could be ascertained, the sharply falling variant emphasizes the great distance to the place at issue (paraphrase: ‘in a place far, far away’), whereas the rising variant (exemplified in Figure 8.4) is used to direct the speaker’s attention to the place at issue, against a background of shared knowledge (paraphrase: ‘that faraway place, you know’). For instance, the context to (11) is the following: a mother thinks that her daughter has died; the young woman’s lover tells the mother that her daughter is still alive, and that she is in hiding. The lover knows where the young woman is, but does not wish to disclose it to the mother. The extra-distal locative in (11) refers to a specific place (paraphrase: ‘Your daughter is actually there as we speak’). The emphasis is not on the great distance: para-

phrase as ‘Your daughter is in some faraway place’ would be inappropriate. In this context, the syllable /dɣ/ in dɣ!-t^hɣ!qo!/ ‘way over there’ is realized as rising: see the fundamental frequency tracing in Figure 8.4.

- (11) no! mɣ! | dɣ!-t^hɣ!qo! dzo!
 no! mɣ! dɣ!-t^hɣ!qo! dzo!
 2SG daughter DEM.EXTRA_DISTAL EXIST.animated_beings
 ‘Your daughter is [in a place that I know,] way over there.’ (BuriedAlive3.105)

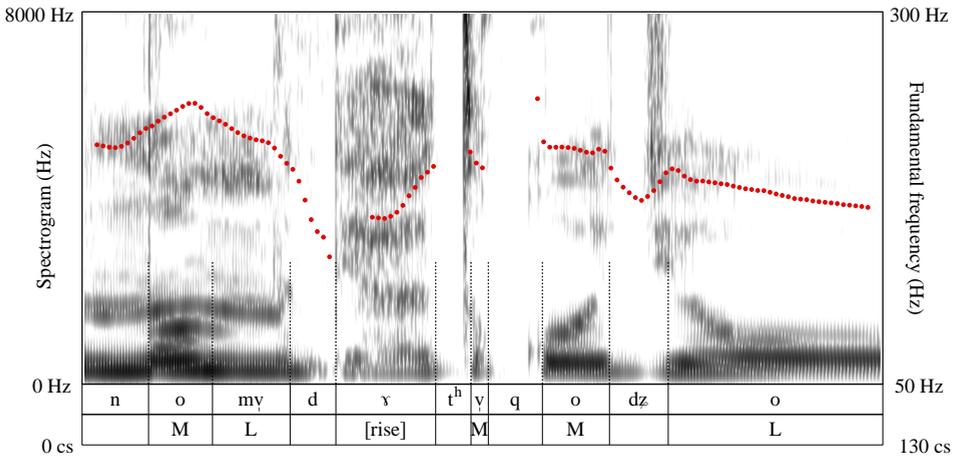


Figure 8.4: Spectrogram and F₀ curve for example (11), showing the rising realization of the syllable /dɣ/ in /dɣ!-t^hɣ!qo!/ ‘way over there’.

Use of tone marks to stylize the perceived pitch of the syllable (/dɣ/ in these locative expressions, such as /dɣ!/, /dɣ!/ or /dɣ!/, would introduce a potential for confusion between lexical tone and intonational phenomena. Devising a set of symbols for more detailed transcription would require a full-fledged study of expressive phenomena in Yongning Na; this falls outside the scope of this exploratory chapter.

Identification of the prefix /gɣ!-/ ‘upward’ in /gɣ!-qo!/, /gɣ!-t^hɣ!qo!/, /gɣ!-t^hɣ!qo! and /gɣ!-t^hɣ!-gi#!/ is not problematic, as it is attested elsewhere in the language, in a number of productive constructions, with the same meaning that it has in the extra-distal locatives. On the other hand, it is an issue how to analyze the extra-distal locatives /dɣ!-qo!/, /dɣ!-t^hɣ!qo!/, /dɣ!-t^hɣ!qo! and /dɣ!-t^hɣ!-gi#!/, because the syllable /dɣ/ is synchronically orphaned. It is peculiar not only in its intonational realization, but also in its segmental composition. Apart from

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extra-distal locative expressions, this combination of initial and rhyme is only attested in Yongning Na in /h^hŷ!d^hr!i#ŋ/ ‘clumsy’ and /õ!d^hr!i#ŋ/ ‘fundamental(ly)’. In both of these words, the syllable /d^hr/ is followed by /i/; since rhotic sounds are known (cross-linguistically) to have especially strong coarticulatory effects (see for instance West 1999), it is far from implausible that the combination /d^hr/ in these two words results from the phonologization of phonetic coarticulation.

My best guess at the time of writing is that the syllable /d^hr/ in extra-distal locatives originates in an expressive deformation of the extra-distal morpheme //d^hr!//. This morpheme appears in /d^hr!-t^ho#ŋ/ ‘that way, that direction’, an expression now almost fallen into disuse which is structurally parallel to /t^hŷ^hu#ŋ-t^ho#ŋ/ ‘this way’ and /t^hŷ^h!-t^ho#ŋ/ ‘that way’. This expression alone is not enough to determine the tone of the extra-distal morpheme //d^hr!// with certainty: it is necessary to observe a morpheme in several contexts of occurrence in order to arrive at its lexical tone, as explained step by step in the discussion of the lexical tones of nouns in Chapter 2. But the presence of a L tone on the first syllable in /d^hr!-t^ho#ŋ/ ‘that way, that direction’ suggests that the tone of the extra-distal morpheme d^hr is likely to be *L or *LM (hence *d^hr!).

From a synchronic point of view, however, there is little evidence for positing an initial lexical L tone in the extra-distal locatives /d^hr!-qo#ŋ/, /d^hr!-t^hŷ^hu#ŋ-qo#ŋ/, /d^hr!-t^hŷ^h!-qo#ŋ/ and /d^hr!-t^hŷ^h!-gi#ŋ/. This set shares essentially identical intonation with the set consisting of /g^hr!-qo#ŋ/, /g^hr!-t^hŷ^hu#ŋ-qo#ŋ/, /g^hr!-t^hŷ^h!-qo#ŋ/, and /g^hr!-t^hŷ^h!-gi#ŋ/ (shown in Table 8.1), but this intonation is so distant from what one would expect on the basis of the lexical L tone of /g^hr!-/ ‘upward’ that it looks like a case of neutralization of all tonal oppositions on the first syllable of these locatives.

While the synchronic data provides no evidence for one tonal notation rather than another, it also provides no evidence against adopting a notation of /d^hr-/ with L tone, as suggested by language-internal comparative evidence. Therefore, notation as /d^hr!-/ is provisionally adopted, rewriting Table 8.1 as Table 8.2. This is a place in the system where there is some instability and hence special potential for change.

The considerable intonational modification of the initial syllable in these locative expressions is transcribed in narratives through the addition of the mark for emphatic stress ↑ (see §8.3.1). This mark does not tell the full story, but has the advantage of bringing attention to the presence of a strong intonational modification resulting in a gap between the hypothesized lexical tone and the phonetic realization. Such situations hold a potential for the neutralization of lexical tone oppositions.

Table 8.2: Extra-distal locative expressions carrying specific intonation.

locative expression	meaning	analysis of 1 st σ	meaning of 1 st σ
gɣ↓-qo↓	way up	gɣ↓-	upward
gɣ↓-tʂ^hu↓qo↓	way up there (PROX)	gɣ↓-	upward
gɣ↓-t^hv↓qo↓	way up there (DIST)	gɣ↓-	upward
gɣ↓-t^hv↓-gi#↓	way up in that direction	gɣ↓-	upward

dɣ↓-qo↓	way over there	*dɣ↓-	*DEM.DIST
dɣ↓-tʂ^hu↓qo↓	way over there (PROX)	*dɣ↓-	*DEM.DIST
dɣ↓-t^hv↓qo↓	way over there (DIST)	*dɣ↓-	*DEM.DIST
dɣ↓-t^hv↓-gi#↓	way over in that direction	*dɣ↓-	*DEM.DIST

8.5 Key factors in the phonetic implementation of tone

The following section sets out key factors in the phonetic implementation of tone in Yongning Na.

8.5.1 The weak realization of grammatical words

Following a well-attested cross-linguistic tendency, the lighter semantic weight of grammatical words relative to lexical words is reflected in a weaker phonetic realization. To use a concept proposed by Shih Chilin for speech synthesis, grammatical words have a lower strength coefficient. In Shih Chilin's model, the tones of syllables with a high strength coefficient are realized close to their lexical tone template, whereas the lower the coefficient, the stronger the coarticulation with following tones (Kochanski & Shih 2003).

The PROHIBITIVE prefix /t^ha↓-/ is a case in point. When it is followed by a reduplicated verb of tone category M_a, the tone pattern is M.H.L, e.g. /t^ha↓-ki↓~ki↓/ 'do not give away, do not distribute'. Phonetically, the syllable /t^ha↓/ is realized with rising fundamental frequency. Averaging across eight tokens, there is a 6.7% rise in F₀ (standard deviation: 3.6). This rise is probably too small to be perceived

as such: glissandos need a minimum slope of about 14% over a long tone-bearing unit (over 300 ms) or 18% over a short tone-bearing unit (100 ms) (Rossi 1971; Gsell 1979). The amplitude of the rise during /t^ha+/- can be compared with the 16% jump in F₀ (standard deviation: 5.0) between the prefix and the H-tone syllable that follows.

The anticipatory rise in the course of the prefix /t^ha+/- is interpreted as a typical example of coarticulation between a M-tone syllable with low strength coefficient and a following H-tone syllable with high strength coefficient.

8.5.2 The absence of oppositions between /L.M/ and /L.H/, or between /H.M/ and /H.L/

Two of the key phonological facts about Yongning Na tone are (i) that there is no contrast between /H.M/ and /H.L/ sequences (only /H.L/ is observed), and (ii) that the contrast between //LM// and //LH//, which is postulated at the underlying phonological level, is neutralized in surface-phonological forms. This leads to the following generalization: in Yongning Na surface-phonological tone sequences, there is no context where a two-step shift on the tone scale (i.e. from /L/ to /H/, or from /H/ to /L/) contrasts with a one-step shift (i.e. from /L/ to /M/, or from /H/ to /M/). This is unlike the closely related language Naxi, where all combinations of tones in disyllables are attested, including /L.H/, /L.M/, /H.M/ and /H.L/.

This state of affairs has important consequences for the production and perception of tone sequences. For any pair of successive syllables within a tone group, it is enough to identify the tone of the second as being (i) higher than the preceding tone, (ii) on the same level as the preceding tone, or (iii) lower than the preceding tone.

8.5.3 Tonal coarticulation: anticipatory phonetic dissimilation in M.L and M.H sequences

In some Sub-Saharan languages, phonetic anticipation of following tones takes place: in a L.L.H sequence, the second L tone is realized higher than the first, in a gradual progression towards the H tone (Creissels 1994: 216). The opposite happens in Yongning Na. If a M tone is followed by L, this M tone is realized with a higher fundamental frequency than when followed by H. This has the effect of bringing out the contrast in pitch between M and the following tone. The M tone can be said to have three allotones: that found in front of L is the highest; that found in front of M or MH is in a central phonetic range of F₀; and that found in front of H is the lowest.

Likewise, a L tone is realized lower in front of M or H than when followed by another L. Using the vertical position of letters to indicate relative pitch, an approximation of the phonetic realization of a M.M.L sequence would be [M.M₁L], and a L.L.H sequence could be approximated as [L.L₁H].

This links up with observations about the realization of like-tone sequences, L.L.L.. and M.M.M.. (H.H.H.. sequences are never observed, since a H tone is always followed by L: this is formulated in §7.1.1 as Rule 4, “A syllable following a H-tone syllable receives L tone”). Sequences of L tones decline gradually towards a final L target that is clearly low. The first L tone in such a sequence may be realized in a relatively high range of fundamental frequency: extracted from context, it may sound like [M]. This does not threaten its correct identification within the tone sequence: a sequence such as L.L.L cannot be mistaken for M.M.L, because the latter sequence has to be realized with a significant phonetic drop from M to L (approximation: [M.M₁L]). In the absence of this slight upward jump in fundamental frequency, and of any sudden drop from one syllable to the next, the sequence is perceived as consisting of like tones. Likewise, a M.M.M like-tone sequence cannot be mistaken for M.M.L even if its last tone is depressed by intonational factors such as final lowering. Final lowering affects the last syllable, but does not raise the previous one (schematic representation: [M.M.M]), whereas a M.M.L sequence would comprise a raising of the syllable before last: [M.M.L].

These auditory impressions are summarized in Table 8.3. (Remember that the symbol σ is used to stand for syllables.)

Grasping the phenomenon of anticipatory phonetic dissimilation in M.L and M.H sequences is crucial to the recognition of tones in Yongning Na. The system allows for a great range of phonetic variation in sequences of L tones or M tones, since L-tone sequences may start from a relatively high pitch, as long as they descend clearly towards a phonetic low target without intervening upward jumps in pitch. M-tone sequences may be strongly affected by declination (a phonetic, gradual, noncategorical decrease in fundamental frequency in the course of the utterance: see §8.2) without putting their identification at risk. Listeners confronted with a sequence of syllables of decreasing fundamental frequency, without noticeable upward jumps, can safely interpret the sequence as carrying M.M.M.. or L.L.L.. tones; the overall slope of the decrease in F_0 , and the range of F_0 reached at the end of the sequence, guide the listener towards interpretation as either M.M.M.. or L.L.L..

Of course, it should be kept in mind that such highly simplified descriptions of the perception process are based on impressionistic observations in a highly spe-

8.5 Key factors in the phonetic implementation of tone

Table 8.3: A schematic representation of the realization of some tone sequences in Yongning Na.

phonetic outline	interpretation	cues to tonal identification
[σ.σ.σ.σ.σ]	[M.M.M.M.M]	gentle decline in F ₀ ; overall mid range of F ₀
[σ.σ.σ.σ.σ]	[L.L.L.L.L]	slightly steeper decline in F ₀ than for all-M sequences; slightly lower endpoint
[σ.σ.σ.σ]	M.M.M.L	raising of the third syllable, and clear difference in pitch between the last two syllables
[σ.σ.σ.σ]	L.L.L.H	lowering of the third syllable, and clear difference in pitch between the last two syllables

cific situation: that of a non-proficient speaker (namely the investigator) focusing on tone recognition. In communication between Na speakers, tone recognition is only a small component of the global interpretation process.

Anticipatory phonetic dissimilation in M.L and M.H sequences is especially salient, but preplanning in tone production is also noticeable at the level of the entire tone group. For instance, a group whose tones consist of the sequence M.M.H begins on a lower pitch than one with a M.M.M sequence, which itself begins lower than one with a M.M.L sequence. A striking example was unintentionally recorded by eliciting verbs in frame (12).

- (12) noʈ-ŋuʈ | V-zoʈ-hoʈ
noʈ -ŋuʈ V -zoʈ -hoʈ
2SG A V OBLIG FUT
‘you must now V / you are going to V.’

The sequence ‘you are going to eat’, /noʈ-ŋuʈ | dzuʈ-zoʈ-hoʈ/, was realized with very high pitch on the two M-tone syllables of the utterance-initial tone group, /noʈ-ŋuʈ/ (2SG-A), whereas the two next M-tone syllables, /dzuʈ-zoʈ/, were realized lower than average so as to maximize the contrast with the H tone that follows them. The result is a considerable drop in F₀ from /ŋuʈ/ to /dzuʈ/,

despite their identical phonological tone. Likewise, in the elicited sentence (13), the M tones in /**hu+mi**/ ‘stomach’ are realized distinctly lower than those in /**zɰæ+zo+by**/ ‘of (a/the) horse’.

- (13) tʂ^hu+ | zɰæ+zo+by+ | hu+mi+ ni+
 tʂ^hu+ zɰæ+zo#+ | -by+ hu+mi+ |\$ ni+
 DEM.PROX colt POSS stomach COP
 ‘This is the stomach of a colt.’ (BodyPartsOfAnimals7.140)

Similar evidence comes from narratives. In (14), /**ɰu+ɰæ+ɰæ**/ ‘one plateful’ was initially transcribed as † **ɰu+ɰæ+ɰæ**: due to final lowering, there is a phonetic drop in F₀ from the second syllable (/**ɰæ**/) to the last syllable (/**ɰæ**/), even though these two syllables carry the same tone (L). This phonetic drop may be as salient (or even more salient) than that from the first syllable (/**ɰu**/) to the second syllable (/**ɰæ**/), which carry different tones (M and L, respectively).

- (14) ɰæ+ɰæ+qo+ɰu+ | ɰæ+ɰæ+ | ɰu+ɰu+qo+ɰu+ | ɰu+ɰæ+ɰæ+
 ɰæ+ɰæ+ -qo+ -ɰu+ ɰæ+ɰæ+ ɰu+ ɰu+_b -qo+
 wooden_plate inside ABL/TOP wooden_plate one CLF inside
 -ɰu+ ɰu+ ɰæ+ɰæ+
 ABL/TOP one CLF.platefuls
 ‘[The sweets used to be presented] on a plate! [They were placed] on a plate; [one used to prepare] a plateful!’ (Funeral.216)

This example brings us to the topic of the resetting of reference values for tones at junctures between tone groups.

8.5.4 Resetting of reference values for tones at junctures between tone groups

A return to the baseline does not take place at each juncture between two tone groups. On the other hand, there is a *resetting of reference values for tones* at each juncture between tone groups, such that a M tone in final position within a tone group and another M tone in initial position within the following tone group can have widely different fundamental frequency values. This also relates to the phonological fact that M tone in final position within a tone group contrasts with L, H, MH, and LH. This state of affairs places great constraints on a final M tone’s position within the tonal space (from an acoustic and perceptual point of view). Conversely, a M tone in initial position within a tone group only contrasts with L,

8.6 *As a recapitulation: examples of mistaken tonal identification*

so that it has greater freedom of phonetic variation. (Monosyllabic tone groups constitute a special case, where there are three tonal possibilities at the surface-phonological level: M, LH, and MH.)

8.5.5 The realization of rising contours

It was pointed out in Chapter 2 that there only exist two types of contours on monosyllables at the surface-phonological level: low-rising and mid-rising. At the surface-phonological level, there is no opposition between /LM/ and /LH/ contours. Phonologically, the product of the neutralization is labelled LH, for the structural reason set out in §2.3.6. Phonetically, it is a low-rising contour whose endpoint is not as high as that of the /MH/ contour. To approximate phonetic realizations in terms of three levels, they are closer to [LM] than to [LH]. This makes sense both in terms of production and in terms of perception. In production, reaching as high a phonetic target for the low-rising contour as for the mid-rising contour would require extra effort, as the rise in F_0 would have to be greater. In perception, this rise to a final target similar to that of the MH contour could make it more difficult to distinguish the (phonologically contrastive) low-rising and mid-rising contours. There are thus phonetic reasons why the product of the neutralization of /LM/ and /LH/ should be phonetically closer to [LM] than to [LH].

From the point of view of the tone system, this creates a tension between phonological categories and phonetic realizations. Such discrepancies between lexical-phonological categories and phonetic realizations hold a potential for re-analysis of the system by language learners – especially in the present social context, where exposure to Na is made more limited by the pervasive presence of Mandarin, so that children do not acquire the tonal system in its full complexity. This issue will be taken up in Chapter 9.

8.6 **As a recapitulation: examples of mistaken tonal identification**

In this section, the above observations about intonation and tone implementation are recapitulated through examples of mistaken notations from early field notes: cases where tone identification was erroneous because the investigator was unaware of the language-specific factors that go to shape the final prosodic form of utterances. These examples shed light on the process of categorical interpretation of the pitch of successive syllables.

8.6.1 Anticipatory dissimilation before a L tone

The tone sequence M.M.L was often mistakenly transcribed as M.H.L, for instance in the determinative compound ‘tiger’s ear’, shown in 15.

- (15) $la\uparrow\text{-}hi\uparrow\text{-}pi\downarrow$
 $la\uparrow$ $hi\uparrow\text{-}pi\downarrow$
 tiger ear
 ‘tiger’s ear’ (DetermCompounds6.17)

This compound was initially transcribed as $\ddagger la\uparrow\text{-}hi\uparrow\text{-}pi\downarrow$. This was because the pitch of the second syllable, $/hi\uparrow/$, is higher than that of the first, $/la\uparrow/$, due to anticipatory dissimilation before a L tone, as described in §8.5.3: the successive pitch levels can be stylized as [M.M.L]. This difference in pitch is a cue to the M.L sequence; it is important to the identification of the tone of the third syllable as L, and not as a M tone lowered by declination or final lowering. But it must be factored out when determining the tone of the second syllable, $/hi\uparrow/$.

8.6.2 Interplay between morphosyntax and phonology

The tone sequence M.L.L.L in $/le\uparrow\text{-}m\check{y}\downarrow\text{-}p^h\check{a}\text{-}ze\downarrow/$ ‘have forgotten’ (ACCOMP-to_forget-PFV) was mistakenly transcribed as $\ddagger MH.M.L.L$ ($\ddagger le\uparrow\text{-}m\check{y}\downarrow\text{-}p^h\check{a}\text{-}ze\downarrow$) on my first field trip. This transcription is relatively close to the phonetic realization, with a slight rise during the first syllable, and a gradually declining fundamental frequency during the three syllables that follow, with a low phonetic target on the last syllable. In order to identify the correct tone sequence, a crucial piece of information consists of the overall higher fundamental frequency on the first syllable. Details in its phonetic contour must be overlooked: whether it is flat, rising or falling is phonologically irrelevant in this context, since phonological contours are only found in tone-group-final position. Higher mean F_0 on the first syllable points to a difference in phonological level between the first syllable and those that follow. Since the first tone in a tone group can only be M or L on the surface-phonological level, the first tone must be M, and those that follow can only be L.

It could be that, for the listener, the identification of this sequence as one syntactic phrase (a verb phrase) helps identify it as one tone group, which in turn provides precise guidance in tone identification. But the issue of how morphosyntactic information and phonological information are processed in speech comprehension is, of course, to be investigated by means of psycholinguistic methods; this lies outside the scope of the present volume.

8.6.3 Resetting at junctures between tone groups

At a stage when I had not yet worked out clearly the existence of tone groups, sentence 16 was transcribed as † **njɣʌ-ŋuʌ | hwæʌ-biʌ-zeʌ**: the sequence of three M tones over the last three syllables was identified correctly, but it seemed that the tone of the first two was higher, hence the choice to transcribe them as H.

- (16) njɣʌ-ŋuʌ | hwæʌ-biʌ-zeʌ
 njɣʌ -ŋuʌ hwæʌ_a -biʌ -zeʌ
 1SG A to_buy IMM.FUT PFV
 ‘I’m paying for it!/ I’m the one who’s buying [it]!’

Once it was recognized that there were two tone groups in 16, the considerable phonetic difference in pitch between the two groups could be interpreted as due to a difference in their baseline. There is no risk that a proficient speaker of Yongning Na would interpret the high pitch on /**njɣʌ-ŋuʌ**/ as the realization of a H.H sequence, since such sequences are never found in Yongning Na (due to Rules 4 and 5: within a tone group, a H tone can only be followed by L tones). Further examples are shown in Table 8.4. In all of these cases, I based my transcription on the perceived dissimilarity in pitch between successive syllables, overlooking the fact that the baseline is modified from one tone group to the next.

8.6.4 The effects of pragmatic intonation

In my first field notes, example 17 was transcribed as † **kwɔʌʌ | tɣʌ** due to an intonational strengthening of the verb: the phrase was provided as an answer to the question of which verb is associated with ‘erhu, Chinese violin’ (I began a sentence, /**tɣʌʌ-ŋuʌ | kwɔʌʌ** ... /, ‘(S)he ... the violin’, while making the gesture of playing), so that the consultant emphasized the verb in her answer. This is a form of emphatic stress as described in §8.3.1.

- (17) kwɔʌʌ | tɣʌ
 kwɔʌʌ tɣʌ_a
 Chinese_violin to_pull
 ‘to play erhu (Chinese violin)’

Likewise, the sentence 18 was initially transcribed as † **ɗuʌ-bæʌ | mɣʌ-tɣʌ**, with H tone on the verb. I believe that this was because the verb /**tɣʌ**/ is realized phonetically with higher fundamental frequency than the preceding NEGATION prefix, which as a grammatical morpheme is intonationally weaker.

Table 8.4: Some examples of mistaken tonal transcriptions due to oversight of resetting at junctures between tone groups.

meaning	phonological tone sequence	early, mistaken transcription	current transcription
you go and buy [it]	M M.M	‡ no hwæ-hō	no hwæ-hō
one mountain	M M.M	‡ kwɔ dju-lju	kwɔ dju-lju
a drop of water	M M.M	‡ dzu dju-l ^h ɔ	dzu dju-l ^h ɔ
this is a mountain	M M.L	‡ tʂ ^h u kwɔ ni	tʂ ^h u kwɔ ni
to light a fire	M M.MH	‡ mɤ t ^h i-tʂ ^h i	mɤ t ^h i-tʂ ^h i
I cut a piece	M.M M.M.H	‡ njɔ-l-ŋju dju-l-k ^h wɔ dze	njɔ-l-ŋju dju-l-k ^h wɔ dze

8.6 As a recapitulation: examples of mistaken tonal identification

- (18) $\text{d}\text{u}^{\uparrow}\text{-b}\text{a}^{\uparrow} \mid \text{m}\text{y}^{\uparrow}\text{-t}\text{s}\text{y}^{\uparrow}$
 $\text{d}\text{u}^{\uparrow} \text{ b}\text{a}^{\uparrow}_{\text{a}} \quad \text{m}\text{y}^{\uparrow}\text{-} \quad \text{t}\text{s}\text{y}^{\uparrow}$
 one CLF.sorts NEG to_become/to_be
 ‘It’s not the same!’

‘The sky is cloudy’ was transcribed as † $\text{m}\text{y}^{\uparrow}\text{-}\text{h}\text{o}^{\uparrow} \mid \text{t}\text{c}\text{u}^{\uparrow}$ instead of $\text{m}\text{y}^{\uparrow}\text{-}\text{h}\text{o}^{\uparrow} \mid \text{t}\text{c}\text{u}^{\uparrow}$. The final syllable has a high informational load, and received some intonational emphasis. Moreover, there is no opposition between M and H in tone-group-initial position, and phonetic realizations can range into the higher part of the speaker’s tonal space without risks of phonological confusions.

In early notes, I jotted down ‘This is a man’s job! / This type of work [viz. plowing] is men’s part!’ as † $\text{z}\text{o}^{\uparrow}\text{-}\text{n}\text{u}^{\uparrow}\text{-}\text{j}\text{i}^{\uparrow}$. The correct notation is in fact $\text{z}\text{o}^{\uparrow}\text{-}\text{n}\text{u}^{\uparrow} \mid \text{j}\text{i}^{\uparrow}$: the first two syllables, which constitute a tone group, carry the same surface-phonological tone (M). My initial notation was influenced by the intonational emphasis carried by the first syllable, which resulted in a much higher pitch on $\text{z}\text{o}^{\uparrow}$ than on the following syllable; to boot, that syllable is a grammatical word and hence prone to intonational backgrounding, a phenomenon studied in §8.3.3.

9 Yongning Na tones in dynamic-synchronic perspective

The past century of phonetic research has illuminated our understanding of the production of sound, the properties of the acoustic signals, and to a certain extent, the perception of speech sounds. But the search for the originating causes of sound change itself remains one of the most recalcitrant problems of phonetic science.

(Labov 1979: 1)

The synchronic description proposed in the present volume provides a basis for studying the historical dynamics of tone in Na: a dynamic approach to synchrony brings out patterns of synchronic variation which, in turn, offer some glimpses into the evolution of the tone system. The study of variability and evolution is especially crucial to the study of tone. Variability in tone patterns tends to be high in level-tone systems with rich tonal morphology, and diachronic change tends to be more rapid than in other areas of the linguistic system (such as syntax).

The argument that tonological models should be designed in such a way as to accommodate patterns of variation is found e.g. in a discussion of Bambara, a Mande language:

Clearly, any hypothesis about the system that underlies the tonal productions of Bambara speakers should be able to account, with minimal adjustments, for observed patterns of variation. (Creissels 1992: 8)¹

¹ *Original text:* ... il est clair que toute hypothèse sur l'organisation du système sous-jacent à un corpus de productions tonales de bambarophones doit pouvoir au prix d'un minimum d'aménagements rendre compte de possibilités éventuelles de variation.

As more data becomes available about the dialectal diversity of Na, it will be possible to investigate patterns of contact and variation with increasing precision. Four topics are discussed here: structural gap-filling; disyllabification; analogy; and the influence of bilingualism with Mandarin.

9.1 Gap-filling in tonal paradigms: the example of subject-plus-verb phrases

Structural gap-filling causes a change in the phonological system when an allophone has drifted far enough away from its original pronunciation for a new combination to nest itself in the abandoned slot. For instance, in Yongning Na the sound [ɣ] was originally an empty-onset filler (12.4.3). The syllable /ɣo/ in /a.ɣo/ ‘house’ is reconstructed as a simple *o at the proto-Naish stage (Jacques & Michaud 2011); it remains phonemically onsetless to this day in Laze ([a.lwu], phonemically /a.lu/) and in Naxi ([mi.twu], phonemically /mi.tu/). Since all *o syllables came to be realized as [ɣo] (at the surface-phonological level) in Yongning Na, there remained no [o] or [wo] syllables. But this phonetic slot was filled by syllables with other origins: the syllable /wo/ is now firmly attested, in examples such as /wo/ ‘hard’, /wo.b/ ‘CLF for teams of oxen’, /wo.lkɣ#/ ‘swing’, and /wo/ ‘turnip leaves’. This resulted in the phonemicization of what was originally an empty-onset-filler: the syllable /ɣo/ in /a.ɣo/ ‘house’ must now be analyzed as composed of two phonemes, an initial /ɣ/ (now contrasting with the absence of an initial consonant) and the vowel /o/.

Gap-filling can also take place in tonal paradigms. This section is devoted to a plausible example from subject-plus-verb constructions.

A challenge raised by the data in Table 6.43 (repeated here as Table 9.1) concerns the analysis of how the PERFECTIVE /-ze/ acquires its /L/, /M/ or /H/ tone after a M-tone verb. Three different types of sequences are observed: ending in /M+L/, as in (1); ending in /M+M/, as in (2); and ending in /M+H/, in one case only: the combination of a //H\$/-tone subject and a //M_b\$/-tone verb, as in (3).

- (1) la⁺ se^l-ze^l
 la⁺ se^l -ze^l
 tiger to_walk PFV
 ‘the tiger walked’ (input tones: //M// on noun and //H// on verb)

- (2) la⁺ ɣu⁺-ze⁺

Table 9.1: The tone patterns of subject-plus-verb combinations, in surface-phonological transcription.

		tone of verb				
tone of noun	H	M _a	M _b	L _a	L _b	MH
LM, LH	LH	L.M+M	L.M+M	L.H	L.H	L.MH
M	M.M+L	M.M+M	M.M+M	M.L	M.L	M.MH
L	M.M+L	L.L	M.M+M	L.L	L.L / M.L	L.L
H	M.M+L	M.M+L	M.M+L	M.MH	M.MH	M.L
MH	M.H	M.H	M.H	M.MH	M.MH	M.H
M	M.M.M+L	M.M.M+M	M.M.M+M	M.M.L	M.M.L	M.M.MH
#H	M.M.M+L	M.M.M+L	M.M.M+L	M.M.MH	M.M.MH	M.M.L
MH#	M.M.MH	M.M.MH	M.M.MH	M.M.MH	M.M.MH	M.M.H
H\$	M.M.M+L	M.M.M+L	M.M.M+L / M.M.M+H	M.M.MH	M.M.MH	M.H.L
L	L.L.L	L.L.L	L.L.L	L.L.L	L.L.L	L.L.H
L#	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L	M.L.L
LM+MH#	L.M.M+L	L.M.M+L	L.M.M+L	L.M.MH	L.M.MH	L.M.H
LM+#H	L.M.M+L	L.M.M+M	L.M.M+M	L.M.L	L.M.MH	L.M.MH
LM	L.M.M+L	L.M.M+M	L.M.M+M	L.M.L	L.M.L	L.M.MH
LH	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L	L.H.L
H#	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L	M.H.L

lɑ˩ ʂw˩_a -ze˩
 tiger to_die PFV

‘the tiger died’ (input tones: //M// on noun and //M// on verb)

- (3) hwɤ˩mi˩ tsʰo˩-ze˩
 hwɤ˩mi˩\$ tsʰo˩_b -ze˩
 she_cat to_jump PFV

‘the she-cat jumped’ (input tones: //H\$// on noun and //M_b// on verb)

The hypothesis proposed here is that the pattern ending in /M+H/ is an innovation.

The PERFECTIVE can receive one of three tones in subject-plus-verb plus PERFECTIVE constructions: /M/, /H/, or /L/. Cases in which the PERFECTIVE carries /M/ tone are the simplest: the morpheme does not receive any tone assignment from what precedes, and surfaces with its lexical M tone. The underlying tone category for the subject-plus-verb combination can therefore be analyzed as //M// in the case of /M.M+M/ (for monosyllabic nouns) and /M.M.M+M/ (for disyllabic nouns); and as //LM// in the case of /L.M+M/ (for monosyllabic nouns) and /L.M.M+M/ (for disyllabic nouns).

Cases where the perfective receives /H/ tone look like typical instances of the floating H tone, //H\$/. This tone, which does not surface in isolation but can be manifested on a following syllable (§2.3.1), is frequently observed in Yongning Na. It is the lexical tone of a class of nouns, exemplified by ‘little brother’, realized in isolation as /gi˩zɯ˩/ ‘little brother’, and yielding /gi˩zɯ˩ ni˩/ when followed by the copula, as explained in §2.3.1.

It was already noted in §2.3.2 that //H\$// tone shows signs of variability: it is the lexical tone for which there is the greatest number of morphotological variants, in various morphosyntactic contexts. In subject-plus-verb combinations, its association with a //M_b//-tone verb allows for two possibilities, /M.M.M+L/ and /M.M.M+H/. The latter tonal string, /M.M.M+H/, is not attested in any of the other subject-plus-verb combinations. A hypothesis suggested by this distribution is that this variant is an innovation which filled a slot that was empty in the surface-phonological forms.

Under the hypothesis that the tone pattern /M.M.M+H/ on subject-plus-verb plus PERFECTIVE combinations represents an innovation, prior to this innovation the /M.M.M+L/ pattern could be analyzed as //H\$//, where the floating H tone was not manifested directly but triggered a lowering of following tones – in this instance, a lowering of the tone of the PERFECTIVE morpheme. This state of affairs is reflected in the analysis in Table 9.2, which leaves out the problematic variant /

M.M.M+H/ for the combination of a subject carrying tone H\$ and a verb carrying tone M_b.

Table 9.2 can be said to constitute a reconstruction of the set of tone rules that applied in subject-plus-verb constructions prior to the appearance of the /M.M.M+H/ variant. If it represents a historical reality, this reconstructed stage is likely to have shallow time depth: the amount of observed idiolectal and dialectal diversity suggests that such a change can take place within a couple of generations. At the reconstructed stage represented in Table 9.2, a tone rule must be specified, to the effect that // #H// tone in subject-plus-verb combinations does not surface, but depresses following tones to L. In view of the general architecture of the Na tone system, this rule is not an *ad hoc* device to explain away an unaccountable observation: a rule to the same effect operates in other morphosyntactic contexts.

In the present state of the language, on the other hand, the /M.M.M+H/ variant has settled in, and its simplest phonological interpretation is as the manifestation of a floating H tone – an interpretation that conflicts with the earlier system. Interpretation of the /M.M.M+H/ pattern as underlying // #H// causes an in-depth modification in the system: as the // #H// slot in the system comes to be occupied by the new, innovative form, the surface pattern ending in /M+L/ (in full: /M.M.M+L/), which could previously be analyzed as reflecting an underlying // #H//, requires a new interpretation.

A possible reanalysis in view of the entire system would be as // #L// (a floating L tone), which would thus enter the language's tone system. The surface-phonological patterns in subject-plus-verb plus PERFECTIVE combinations would then be interpreted as in Table 9.3. The // #L// category is highlighted, bringing out its relatively pervasive presence in the table.

Devoting the whole of the present section to the discussion of one isolated tonal variant may seem disproportionate. This variant deserves special attention, however, because it illustrates the constant tension between underlying forms and surface-phonological forms, shedding light on types of evolution taking place in level-tone systems. From the point of view of surface-phonological forms, the innovative form discussed here can be viewed in the light of a simplification: at the (hypothetical) conservative stage presented in Table 9.2, for the // H\$// plus // M_b// combination there is a H tone in the input, and none in the output; by contrast, in the innovative form there is an output H tone reflecting the input H, creating a better fit between input and output. From the point of view of underlying forms, on the other hand, the new combination creates an analytical puzzle for linguists – and probably for language learners too. Cases like this one allow for several analytical options and hence hold potential for diachronic

Table 9.2: An analysis of the tones of subject-plus-verb combinations, leaving aside the M.M.M+H variant of the combination of a H\$-tone subject and a M_b-tone verb.

		tone of verb					
tone of noun	H	M _a	M _b	L _a	L _b	MH	
LM, LH	LM	LM	LH	LH	LH	LM+MH#	
M	M	M	#H	M.L	M.L	M.MH	
L	L	M	#H	L	L	L	
H	#H	#H	#H	MH#	MH#	L#	
MH	H#	H#	H#	MH#	MH#	H#	
M	M	M	#H	#H	#H	MH#	
#H	#H	#H	#H	MH#	MH#	#H	
MH#	MH#	MH#	MH#	MH#	MH#	#H	
H\$	#H	#H	#H	MH#	MH#	H#	
L	L	L	L	L	L	L+H#	
L#	L#°	L#°	L#°	L#°	L#°	L#°	
LM+MH#	LM°+#H	LM°+#H	LM°+#H	LM+MH#	LM+MH#	LM+H\$	
LM+#H	LM°	LM°	LM°+#H	LH°	LM+MH#	LM+MH#	
LM	LM°	LM°	LM°+#H	LH°	LH°	LM+MH#	
LH	LH°	LH°	LH°	LH°	LM+MH#	LH°	
H#	H#°	H#°	H#°	H#°	H#°	H#°	

Table 9.3: Analysis of the tones of subject-plus-verb combinations, positing floating L tones.

		tone of verb					
tone of noun	H	M _a	M _b	L _a	L _b	MH	
LM, LH	LH	LM	LM	LH	LH	LM+MH#	
M	#L	M	M	M.L	M.L	M.MH	
L	#L	L	M	L	L	L	
H	#L	#L	#L	MH#	MH#	L#	
MH	H#	H#	H#	MH#	MH#	H#	
M	#L	M	M	#L	#L	MH#	
#H	#L	#L	#L	MH#	MH#	#L	
MH#	MH#	MH#	MH#	MH#	MH#	#L	
H\$	#L	#L	#H / #L	MH#	MH#	H#	
L	L	L	L	L	L	L+H#	
L#	L#°	L#°	L#°	L#°	L#°	L#°	
LM+MH#	LM°+#H	LM°+#H	LM°+#H	LM+MH#	LM+MH#	LM+H\$	
LM+#H	LM°+#H	LM°	LM°	LH°	LM+MH#	LM+MH#	
LM	LM°+#H	LM°	LM°	LH°	LH°	LM+MH#	
LH	LH°	LH°	LH°	LH°	LM+MH#	LH°	
H#	H#°	H#°	H#°	H#°	H#°	H#°	

change.

9.2 Disyllabification

As mentioned at the outset of Chapter 3, many roots that used to be phonologically distinct have become homophonous in Na, as in other Sino-Tibetan languages that have undergone considerable phonological erosion (such as Tujia, Bai, Namuyi, or Shixing / Xumi). As a consequence, there exists a strong tendency towards disyllabification. If each tonal combination of two monosyllables created a different tonal category for the resulting disyllable, this could multiply the number of tones by squaring: six tones on monosyllables could yield $6 \times 6 = 36$ tones on disyllables. The observed number of tone categories for disyllabic nouns is much smaller (eleven tonal classes); the study of the relationship between the tones of monosyllables and those of disyllables holds promise for an understanding of the dynamics of the tone system.

9.2.1 A dynamic analysis of compound nouns

The analysis of compound nouns in Chapter 3 aimed to bring out the relationship between input nouns and the resulting compound. The notations chosen for their tones emphasized their internal makeup. For instance, the combination of a #H-tone determiner and a LM-tone head yields a M.H surface-phonological tone pattern, as in (4).

- (4) $z\omega\text{æ}^{\dagger}\text{-}\gamma\omega\lrcorner$
 $z\omega\text{æ}\lrcorner \quad \gamma\omega\lrcorner$
 horse skin

‘horse’s skin’ (DetermCompounds6.24, DetermCompounds7.67-68, DetermCompounds12.44)

The processes leading from the input tones to the tone of the compound can be interpreted as follows: the lexical tone of the determiner, being a floating H tone (never expressed on the lexical item itself, only on a following syllable), associates to the second syllable of the compound. The assignment of surface tones then takes place according to the general rules governing the association of tone #H in Yongning Na. Since there is a following syllable within the tone group to host it (namely, the second syllable of the compound), the H tone attaches there, and the first syllable of the compound receives M by default (through Rule 2: see §7.1.1). The notation used for this combination in Chapter 3 is #H^o, using the

symbol $^\circ$ to stand for the last syllable of the first part of the compound. This notation, while it is fairly complex, appears adequate insofar as it reflects a hypothesis about the way in which the tone pattern of the compound obtains. Such notations are referred to below as ‘source-oriented’.

In terms of end result, on the other hand, the resulting compound belongs in tone category H#: it carries a final H tone, which does not move. Compounds made up of the combination of a #H-tone determiner and a LM-tone head therefore feed into the lexical category of H# disyllables. Notation as H# is referred to below as ‘result-oriented’.

Likewise, the source-oriented notation $^\circ$ L corresponds to the result-oriented notation L#: assigning a L tone after the juncture between the two parts of the compound yields the same result as assigning a final L tone to the entire expression. Table 9.4 provides a summary.

Table 9.4: Source-oriented and result-oriented notations of the tones of compounds: three examples.

input tones	surface-phonological tone	phonological analysis	
		source-oriented	result-oriented
#H and LM	M.H	#H $^\circ$	H#
M and LM	M.L	$^\circ$ L	L#
M and L	M.L	$^\circ$ L	L#

The table presenting the tone patterns of disyllabic compounds (Table 3.2a of Chapter 3) is rewritten below as Table 9.5, adopting a result-oriented notation, eliminating all references to the juncture between the two parts of the compound (transcribed by means of the symbol $^\circ$ in Table 9.4). Each row corresponds to a tonal category of determiners, and each column to a tonal category of heads.

The same treatment cannot be extended to compounds of more than two syllables: it is not possible to describe the tone patterns of these compounds without referring to the juncture between the two input nouns (except by changing the entire notation, for instance specifying the tone of each syllable).

All of the tone categories observed on disyllabic nouns in Yongning Na are found in Table 9.5, except M. This reveals that the synchronically productive tone rules that apply in compounds feed into all of the tone categories of disyllables, apart from M.

Table 9.5: The tones of disyllabic compounds, adopting a result-oriented notation. The four combinations transcribed differently from Table 9.4 are set in italics.

tone	LH; LM	M	L	#H	MH#
LM	LM	LM	LM	LM+#H	LM+MH#
LH	LH	L	LH		
M	<i>L#</i>	#H	<i>L#</i>	#H	MH#
L	L				
#H	<i>H#</i>	#H			<i>L#</i>
MH	<i>H#</i>			<i>H\$</i>	

9.2.2 Possible origins for disyllables, on the basis of their tone

Table 9.6 flips around the morphotonological rules set out in Chapters 3 and 5 to provide an overview of possible origins of disyllabic items, in view of currently productive tone rules. The indication ‘-’ means that no example was found. The mention ‘dubious’ is given for tone H# as a product of suffixation because there is no firmly-attested pattern of correspondence between monosyllables and suffixed forms carrying tone //H#//, only isolated tokens whose analysis is problematic. For instance, /tse-ɿmi/ ‘cigarette lighter’ has tone //H#// and looks like the product of suffixation, but there is no corresponding monosyllable and hence no possibility (from this dialect alone) to establish a tone correspondence between root and suffixed form.

The bird’s-eye view in Table 9.6 can provide a hint for the analysis of disyllabic words whose etymology is unclear.

9.2.3 Recovering the tones of nouns on the basis of compounds

The tones of compounds can shed indirect light on the tones of their constituting elements. For instance, ‘elder sibling (brother or sister)’ is commonly realized as /ə-ɿmɿ/ (tone: L#), but it has a variant with MH# tone: /ə-ɿmɿʌ/. The tone of the coordinative compounds /ə-ɿmɿ-ɿgiɿzɿ/ ‘brothers’ (made up of ‘elder sibling’ + ‘younger brother’) is the one expected for an input MH# tone, not an input L# tone. This leads to the conclusion that it is the MH# variant, /ə-ɿmɿʌ/, that went

Table 9.6: Possible origins of disyllabic items, in view of currently productive tone rules.

tone	compounding	suffixation	prefixation
M	–	yes	yes
#H	yes	yes	–
MH#	yes	–	yes
H\$	yes	yes	yes
L	yes	yes	yes
L#	yes	–	yes
LM+MH#	yes	–	–
LM+#H	yes	yes	–
LM	yes	yes	–
LH	yes	yes	–
H#	yes	dubious	–

into the creation of the compound. This fact, together with the rarity of the MH# variant in present-day speech, where /ə-**lmɿ**./ is far more common, suggests that the MH# variant /ə-**lmɿ**./ is not a recent innovation but a form which is currently losing ground to /ə-**lmɿ**./.

Needless to say, the greatest care must be exercised when attempting to recover tones in this way, since different tone rules may have applied at different stages of the language's history.

9.3 Analogy

9.3.1 General principles

Analogy is the process whereby word forms perceived as irregular are reshaped so as to conform with more common forms. From a morphological point of view, analogy can thus be viewed as a process of regularization. From the point of view of phonetic change, on the other hand, the piecemeal changes introduced by analogy tend to obfuscate regular correspondences.

Case studies of analogical reanalysis reveal the complexity of individual situations. For instance, in the Bantu language Eton (Van de Velde 2008b), the stem of the possessive adjective 'my' ends in /ɔ/ in association with nouns of classes 1 and 3: /-**amɔ**/, and in /a/ elsewhere: /-**ama**/. This irregularity is due to a mecha-

nism of analogical morphophonological reanalysis that changed the original /a/ of the class 1/3 forms to /ɔ/. In Eton, there is a |ɔ| morphoneme whose morphologically-conditioned realizations include /wa/; commonly occurring sequences of /w/ + /a/, although separated by a morpheme boundary, were reinterpreted as realizations of this morphoneme. In this example, morphophonological analogy disregards morphological boundaries. This is not the least of the paradoxes of analogy, which has the potential to create morphophonological alternations (Blevins & Garrett 2009) as well as to inhibit phonetic change in some contexts (Blevins & Wedel 2009).

Analogy is by definition irregular and unpredictable. One may nonetheless believe that evidence from case studies gradually adds up:

... it is possible to some extent to constrain the space of hypotheses involving analogy, and research on the general principles of analogy is of utmost importance for historical linguistics. (Jacques 2016: 239)

General studies about the principles of analogy are mainly from the Indo-European domain – a family whose history is exceptionally well-studied – and hence contain little about tone (e.g. Hill 2007; Juge 2013).

9.3.2 Analogy in Yongning Na morphotonology

It appears highly plausible that the tantalizingly similar, but not identical tonal paradigms of classifiers – H_a and H_b, M_a and M_b, MH_a and MH_b, L_a, L_b and L_c (see Chapter 4) – have undergone a degree of analogical levelling, without becoming fully identical. The existence of variants for some combinations, and the consultants' occasional hesitations and confusions (errors) during elicitation sessions, all point to the presence of contradictory pressures: on the one hand the tendency towards analogical simplification, and on the other hand the tendency to maintain the distinct identity of the different classes. Traces of analogy are also found among the tones of compounds and of affixed forms, as was pointed out in several places (in §3.3.3, §3.4.2, §5.1.3.2, §5.1.3.8, and §6.4.1.2).

This is a field where the description of a single language variety reaches its limits, and a variationist approach would be called for. This study could be based on the closest language varieties: studying phenomena of accommodation between speakers within the hamlet under study, then extending the investigation to dialects spoken in the plain of Yongning and its close vicinity.

9.4 The influence of bilingualism with Mandarin

Language contact is known to be a key factor in linguistic change. An exemplary illustration of how the study of present-day dynamics can shed light on prosodic systems is the analysis of Kagoshima Japanese by Kubozono (2007). The Kagoshima dialect has two prosodic patterns for words: one (Tone A) with a high tone on the penultimate syllable (i.e. a fall from the penultimate to the last syllable), and the other (Tone B) with a high tone on the final syllable (i.e. no fall in pitch in the course of the word). At the time of study, this dialect was undergoing tonal change through influence from Tokyo Japanese, the national standard: words that involve an abrupt pitch fall in Tokyo tended to be reinterpreted as carrying Tone B, and vice versa. This sheds light on the issue of the tonal or accentual nature of this prosodic system: “the tonal changes in question can best be understood if an accentual analysis of Kagoshima Japanese prosody is adopted in preference to the traditional tonal analysis” (Kubozono 2007: 348; supporting evidence from a follow-up study of twenty speakers is reported by Ota, Nikaido & Utsugi 2016).

Since the present volume is synchronic in orientation, past contact between Na, Tibetan, Chinese, Pumi, Lisu, Naxi and other languages will not be investigated (apart from a few remarks in §10.2.2.1). Instead, this section focuses on the current landscape of language contact, in which Mandarin has, by far, the leading role. To take the example of the main consultant, Mandarin is the only language other than her mother tongue of which she has any knowledge.²

Mandarin is a late comer to this area. The feudal chieftain of Yongning spoke Na, and the Na language had a dominant situation in the plain of Yongning up until the mid-20th century. There were few (Han) Chinese migrants to Yongning, and they learnt Na, which was the locally dominant language, used in the Yongning marketplace by native speakers of other languages, such as Pumi, Lisu, and Nosu (Nuosu / Yi). While there can be no doubt that the Na language received various influences in the course of its development, bilingualism was not wide-

² Since moving to Lijiang (2010), she has had relatively frequent contacts with Naxi speakers, however, and this has led to at least one amendment to her Na vocabulary. The Naxi are referred to by the Na as //naJhĩ#ʔ//, by a calque of the word structure of the Naxi word /naJçi/, made up of an endonym (/na/) which is segmentally identical in both languages, and of the word for ‘person, human being’: Naxi /çi/, Na /hĩ/. Initially, the main consultant used this Na pronunciation when discussing with Naxi people in Lijiang. But to a Naxi listener, the realization /naJhĩ/ does not sound right: the Naxi do not have nasalization in the syllable for ‘person, human being’. Whether at Naxi speakers’ suggestion, or through a spontaneous process of adjustment, she began to refer to the Naxi as /naJçi/, denasalizing the second syllable. This amounts to borrowing the word from Naxi, instead of calquing it with Na morphemes.

spread: speakers of other languages were bilingual in Yongning Na, rather than the other way round. Numerous Na speakers had very little command of other languages, or none at all. This situation is somewhat uncommon in this area, at the border between Sichuan and Yunnan. For instance, the small community of Na speakers in the neighbouring county of Muli (Shuiluo township) are bilingual in Shixing and have some command of Tibetan and Pumi; and the variety of Na spoken in Guabie has long been influenced by other languages, in particular Pumi and Nosu.

While Yongning still preserves the role of a meeting place and market place in the eyes of inhabitants of neighbouring mountain villages, for instance for the Nosu and Pumi people from small villages around Yongning (Wellens 2006: 85), language shift from Na to Mandarin is now under way in Yongning. All of the Na here have some command of Mandarin. While some members of the community regret the fact that their language is falling into gradual disuse, proficiency in Mandarin – one of the keys to success in society – tends to be ranked far above proficiency in Na. The blending of Na with Mandarin, rather than being stigmatized, is accepted with tolerance. This attitude facilitates language change. While a pool of variation is present at every moment and for any language, linguistic change in the strict sense requires the acceptance of innovative, deviant forms by the community of speakers.

All the level-tone systems spoken within China are currently subject to the same pressure towards reinterpretation of their tones by analogy with those of Mandarin. For instance, in Naxi, language contact with Mandarin arguably played an important role in the lexicalization of a new tone, the rising tone, which emerged in a system that contained three levels: High, Mid and Low (Michaud 2006c; Michaud & He 2007). A lexical contour (rising) tone thus coexists with three lexical level tones that participate in some synchronic processes of tonal reassociation. It is unclear to what extent Naxi speakers, most of whom are highly proficient in Southwestern Mandarin, keep the tone systems of Naxi and Mandarin cognitively distinct. Specifically, it is an issue to what extent the Naxi rising tone is perceived as a unit (like contours in Mandarin) and to what extent it is perceived as a combination of levels. To venture a hypothesis, the lexical rising tone of Naxi seems to behave essentially like an undecomposable contour.

The Yongning Na sociolinguistic scene currently offers interesting opportunities for studying the effects of bilingualism – specifically, *non-egalitarian bilingualism*, to take up a notion from Haudricourt (1961) – in languages with widely different tone systems. The account of Na tone presented in Chapters 2 to 7 of this

volume makes it clear how much this system differs from that of the Mandarin dialects to which speakers of Na are currently exposed (Southwestern Mandarin and Standard Mandarin). As discussed in Chapter 10, tones in Yongning Na are phonetically simple, consisting of three levels and combinations thereof, whereas tones in Mandarin are phonetically complex. The tone system of Yongning Na includes some oppositions that are neutralized when a word is said in isolation. When they learn Mandarin, Na speakers come to terms with a differently structured tone system: one in which (leaving aside marginal phenomena of toneless syllables and tone sandhi) each syllable has its own tone, which surfaces as such in isolation. The discrepancy between the underlying forms and the surface forms of Yongning Na tones makes them difficult to handle for less proficient speakers: bilingual speakers who have more exposure to Mandarin than to Na.

9.4.1 Loss of tone categories that do not surface in isolation

Among less proficient speakers, there is a tendency to overlook the differences that are neutralized in isolation, such as that between tones //H#// and //H\$//. The surface tone pattern of a word is reinterpreted as its underlying pattern, causing an upheaval in the architecture of the tonal system.

For instance, the family name of the main language consultant is realized in isolation as /la-t^ha-mi/, and the ASSOCIATIVE form ('the Latami clan, the Latami family') is /la-t^ha-mi-t=ɿ/. This reveals that the H tone on the last syllable of /la-t^ha-mi/ is the realization of a *gliding* H tone (tone category H\$), and the underlying form is //la-t^ha-mi\$//. But less proficient speakers take the M.M.H surface tone pattern in /la-t^ha-mi/ at face value, interpreting the word as carrying a H tone on its last syllable, i.e. as belonging in phonological category //H#// (/la-t^ha-mi/#//). As a consequence, when building phrases, e.g. creating an ASSOCIATIVE form ('the Latami clan') by addition of the suffix / =ɿ/, they leave the H tone sitting on the last syllable of the lexical word, hence †la-t^ha-mi =ɿ.

An especially difficult opposition to learn is that between the LM and LH patterns over disyllables, because it only surfaces when the word is followed by a toneless clitic. For instance, //boɿmi-t// 'sow, female pig' and //boɿta/ // 'boar, male pig' have the same tones not only in isolation (/boɿmi/ and /boɿta/) but also in most other contexts. The few contexts in which their tone patterns are disambiguated are exemplified by /boɿmi-t-by-t/ '... of (a) sow' vs. /boɿta-by-t/ 'of (a) boar', where the H part of the lexical LH pattern results in the lowering of the following possessive particle, to L.

Under such circumstances, it does not come as a surprise that the lexical opposition between LM and LH tone should be lost by some speakers, such as F5

(aged 35, proficient in both Na and Mandarin). In her speech, the tones of ‘sow’ and ‘boar’ are strictly identical: LM and LH have merged.

9.4.2 Simplification of morphosyntactic tone rules

Examining the tables in Chapters 4 to 7, the complexity of Na tonal morphosyntax may look staggering. These combinations are not all that difficult to memorize and apply so long as one practises the language regularly: the rules are productive, and the syntactic structures at issue (subject-plus-verb, object-plus-verb, compound nouns...) are so frequent that they are not particularly challenging to learn if one is steeped in a Na linguistic environment. On the other hand, for a speaker with limited fluency, these combinations become problematic.

Evidence about ongoing language change can be gathered from deviant patterns, which to the linguist are harbingers of language change. They include a range of phenomena, from occasional slips of the tongue to ingrained habits.

Instances of hesitation and of pattern simplification can be found in the speech of M23, a bilingual language consultant. In subject-plus-verb and object-plus-verb combinations as well as in compounds, //L// tone, which surfaces as /M/ in isolation, tends to be neutralized with //M// tone: consultant M23 realizes ‘the sheep arrived’ as /joʈ | tsʰuJ-zeJ/. In F4’s speech, this is a variant condemned as a slip of the tongue; in M23’s speech, it has become the usual form. Likewise, in his speech the combination ‘sheep’s nose’, made of //joJ// ‘sheep’ and //niJgʷʌ// ‘nose, muzzle’, is //joʈ-niJgʷʌ//, i.e. a simple concatenation of the surface forms of the two nouns, unlike the conservative pattern found in F4’s speech: //joJ-niJgʷʌ//. In the conservative form, the tone of the compound is phonologically identical with the lexical L tone of the determiner of the compound, //joJ// ‘sheep’. This yields //joJ-niJgʷʌ//, ‘sheep’s nose’, surfacing as /joJ-niJgʷʌ/ following the post-lexical addition of a final H tone, due to Rule 7: all-L tone groups are not allowed in Yongning Na; if a tone group only contains L tones, a post-lexical H tone is added to its last syllable.

A speaker needs a good command of the grammar of Na to implement the conservative tone pattern in this compound, which thoroughly modifies the surface forms. The L tone of ‘sheep’, which does not even surface in isolation, has the effect of imposing itself onto no less than three syllables in succession in the compound, overriding the lexical tone of the head of the compound: the realization of ‘nose, muzzle’ in isolation is /niJgʷʌ/; in this compound, it is changed to /niJgʷʌJ/. Such tonal processes are alien to Mandarin. In light of this discrepancy, it is understandable that some less proficient speakers of Yongning Na who are exposed to Mandarin on a day-to-day basis should come to have hesitations, and

should (occasionally or regularly) go for a simple succession of the tones as they appear in isolation, as is the case in their second language (Mandarin), instead of applying the complex rules of Yongning Na tonal grammar.

These examples illustrate the complexity of phenomena of language variation and change, which can constitute a simplification from one point of view and a complexification from other points of view. Saying /joɪ | ts^huɪ-zeɪ/ for ‘the sheep arrived’ is a simplification insofar as the subject bears the same tone as in isolation. It is a complexification insofar as it increases the frequency of occurrence of contexts in which the lexical L tone of ‘sheep’ does not surface, making it more difficult for language learners to arrive at the identity of this word’s lexical tone.

9.4.3 Straightening out irregular tone patterns

In addition to losing some tone categories, less proficient speakers tend to regularize irregular patterns, for want of having memorized the exceptions. For instance, the word for ‘powder, flour’ is /tsaɪbɻɻ/, with M tone. According to the synchronic rules that govern the tone patterns of compound nouns, the combination of this word with /ɪɥɪmiɪ/, ‘stone’, should yield a simple M-tone output, †ɪɥɪmiɪ-tsaɪbɻɻɪ. (The compound means ‘fine sand’.) However, in the speech of the the older generation of speakers, compounds involving /tsaɪbɻɻ/, ‘powder, flour’, are irregular – a fact that may well be related to the fact that this is a Tibetan loanword. Compounds with the M-tone determiners /ɪɥɪmiɪ/ ‘stone’, /q^haɪdzeɪ/ ‘sweetcorn’ and /dzeɪɬuɪ/ ‘wheat’ all carry a L tone on their last two syllables, witness /ɪɥɪmiɪ-tsaɪbɻɻɪ/ ‘fine sand’, /q^haɪdzeɪ-tsaɪbɻɻɪ/ ‘sweetcorn flour’, /dzeɪɬuɪ-tsaɪbɻɻɪ/ ‘wheat flour’, all with a M.M.L.L tone pattern (phonological notation: °L). This irregularity is lost in the speech of less proficient speakers, who realize these compounds with a M.M.M.M tonal string (phonological analysis: /M/), as †ɪɥɪmiɪ-tsaɪbɻɻɪ ‘fine sand’, †q^haɪdzeɪ-tsaɪbɻɻɪ ‘sweetcorn flour’, and †dzeɪɬuɪ-tsaɪbɻɻɪ ‘wheat flour’.

9.4.4 Cases where MH tone fails to unfold: towards a syllable-tone system?

Naxi, a close relative of Yongning Na, has few phenomena of tone change. In the A-sher dialect, the reduction of a morpheme carrying H tone results in reassociation of this tone to the syllable to its left (Michaud 2006c; Michaud & He 2007). Informal observations and exchanges with Naxi speakers living in the city of Lijiang suggest that even these simple instances of tone change are disappear-

ing from Lijiang Naxi. For instance, the conditional/topic is /seŋ/ in A-she, but this syllable is preceded by a floating H tone (its full form can be transcribed as //ŋ seŋ//). This is presumably the historical product of the reduction of disyllabic ***[wŋ seŋ]**. The phenomenon is still reported in a dictionary elaborated from 1995 to 2012: “This word is fairly unique in that it triggers the mid or low tone of the preceding syllable to become a rising tone” (Pinson 2012: 337). Impressionistic observations made in Lijiang around 2010 suggest that this morpheme becomes simplified to /seŋ/ in the speech of younger speakers. It is not at all unlikely that increasing familiarity with Mandarin is exerting an influence on Naxi, leading to the reinterpretation of its tones as units attached to the syllable, rather than levels that can combine among themselves.

Of the four tones of Naxi, High, Mid, Low and Rising, the latter appears especially revealing in this respect. It is clearly an innovation on the basis of an earlier three-tone system (H, M, L). The following historical scenario can be proposed.

- Rising contours appeared in the Naxi language through processes of syllable reduction, and became lexicalized on some words.
- Lexicalized instances of rising tones paved the way for the assignment of a /LH/ tone sequence to Mandarin words with rising tone. At that point, Mandarin borrowings consolidated this marginal lexical tone category by giving it considerable lexical development.
- Bilingualism with Mandarin gradually tilted the perception of Naxi tones towards the syllable-tone type, to the point that it is now an issue whether the rising tone of Naxi is to be analyzed as a combination of levels (L+H, or M+H) or as a phonological unit.

In Yongning Na, there is plentiful synchronic evidence that contours are to be analyzed as sequences of levels. There are nonetheless some weak hints of a tendency for MH contours to be treated as units associated to one syllable. When saying MH-tone monosyllabic nouns in the frame ‘This is ...’, /tʂ^hw¹ N **ni**/, consultant F4 occasionally produced ‡ M.MH.L or ‡ M.MH.H variants, instead of M.M.MH. Examples are shown in (5); the standard realization is provided in (6).

- (5) ‡ [tʂ^hw¹ | ts^hw¹ ni] ~ ‡ [tʂ^hw¹ | ts^hw¹ ni]
 [tʂ^hw¹ | ts^hw¹ ni]
 DEM.PROX goat COP

‘This is a goat.’ (DetermCompounds6.24, DetermCompounds7.67-68, DetermCompounds12.44)

- (6) $ts^h\omega\uparrow \mid ts^h\omega\uparrow \text{ni}\downarrow$
 $ts^h\omega\downarrow \quad ts^h\omega\uparrow \quad \text{ni}\downarrow$
 DEM.PROX goat COP
 ‘This is a goat.’

When her attention was drawn to these discrepancies, the consultant said: / $\text{d}\omega\uparrow\text{-b}\mathfrak{a}\mathfrak{e}\uparrow\text{-l}\mathfrak{a}\uparrow \text{ni}\downarrow$ /, ‘It’s just the same’. Intonationally, realization of the MH contour on the syllable to which it is lexically attached tends to happen when the word is emphasized.

This tendency surfaces here and there in the recordings. An example is found on item 7 of the ‘Tone: Even’ recording, where / $ts^h\mathfrak{a}\mathfrak{e}\uparrow\text{-p}\mathfrak{r}\downarrow\text{to}\downarrow$ / ‘even a deer’ is realized phonetically close to [$ts^h\mathfrak{a}\mathfrak{e}\uparrow\text{-p}\mathfrak{r}\downarrow\text{to}\downarrow$], with (i) a H tone on [$p\mathfrak{r}\downarrow$], due to reassociation of the H part of the MH contour of / $ts^h\mathfrak{a}\mathfrak{e}\uparrow$ / ‘deer’, as expected; and (ii) a MH contour on [$ts^h\mathfrak{a}\mathfrak{e}\uparrow$], due to incomplete deassociation of the H part of its phonological MH contour.

This is only a weak tendency, however. It by no means warrants the conclusion that Yongning Na is on its way towards adopting a syllable-tone system. A detailed cross-linguistic phonetic study would be necessary to determine to what extent such tendencies are present among the world’s level-tone systems. Such a study might reveal that Yongning Na is not at all exceptional in this respect. The computation of tone sequences is not a mechanical process, and slips of the tongue whereby a H tone does not fully deassociate from the syllable to which it is lexically attached may not come as a surprise to linguists with an experience of level-tone systems. This synchronic tendency appeared well worth mentioning, however, in relation to the influence currently exerted by Mandarin.

9.4.5 A topic for future research: influence of contact on intonation

Intonation is especially subject to carry-over from one language to another in the speech of bilinguals; a striking example involving Vietnamese speakers in a French-speaking environment is reported by Dô, Trần & Boulakia (1998: 401). In the case of Yongning Na, a full-fledged study of intonation should include a description and analysis of the intonation of Na speakers when using Mandarin, comparing it with their intonation when using Na, and examining patterns of interaction. In practice, this is not an easy topic. The main consultant only uses Mandarin reluctantly and hesitantly: she lacks confidence and feels awkward using this language. Her own evaluation is that she will never be able to speak “proper Chinese”, and will make do with “pig Chinese” until her last breath. A complicating factor is that the variety of Chinese to which she was

occasionally exposed until 2000 was Southwestern Mandarin, but since the year 2000 she has had regular exposure to Standard Mandarin from listening to television. The tone systems of these two dialects of Mandarin are different enough to complicate accommodation to the intonation patterns. She does her part in dialogues with Mandarin-speaking relatives and acquaintances, but recording these exchanges and establishing a transcription together with the participants would have run counter to our collaboration's implicit focus on her mother tongue, Yongning Na. The consultant's comfort zone was respected, and no pieces in Mandarin were recorded.

As a (lame) consolation for not being able to offer data on this topic, here is an example from another language showing that language contact can exert a strong influence on intonation, and that this links up with fascinating issues about language evolution. Wolof, one of the nontonal languages of the Niger-Congo family, has been described as having typological peculiarities such as the absence of any intonational marking of focus and the optional nature of the division of sentences into intonation groups (Rialland & Robert 2001). Information structure is conveyed by verbal morphology: there are three "nonfocusing conjugations" and three "focusing conjugations"; the latter "vary according to the syntactic status of the focused constituent: subject, verb, or complement (in the wide sense of any constituent that is neither subject nor main verb)" (Rialland & Robert 2001: 895). As Wolof ascends "from its origins in the heartland of Senegal to the status of urban vernacular and national *lingua franca*" (McLaughlin 2008: 142), it is acquired as a second language by speakers of many other languages. Informal discussion with a speaker of Wolof who also speaks some Bambara suggests that, in her speech, focus is clearly marked intonationally: pitch is raised on the focused constituent 'Peer' (a proper name) in (7), as compared with (8), where it is not under focus.

- (7) ... Peer moo ko lekk
Peer SUBJEMPH.3SG OPR to_eat

'It was Peer who ate it.' (SUBJEMPH stands for *subject-emphatic*, and OPR for *object pronoun*.)

- (8) ... Peer lekk na
Peer to_eat PFT.3SG

'Peer has eaten.' (No focused constituent.)

This suggests that carry-over of intonation patterns from Bambara to Wolof is not uncommon for bilingual speakers. Such situations can have far-reaching

9.4 The influence of bilingualism with Mandarin

consequences for the evolution of the intonation system.

10 Areal and typological discussion

This chapter addresses issues pertaining to Yongning Na in its areal context, and in typological perspective.

10.1 Introduction: synchronic and diachronic typology

10.1.1 Typology and universals

Linguistic typology is central to the series “Studies in diversity linguistics” which hosts this volume. The “Aims and scope” of the series fit snugly with the approach adopted here:

This book series will publish book-length studies on individual less-widely studied languages (especially, but not only reference grammars), as well as works in broadly comparative typological linguistics that takes into account the world-wide diversity of human languages. Work on individual languages and broadly comparative work is of a different nature, but this book series sees the two as closely related: comparative studies need in-depth work on individual languages from around the world to build on, and descriptive work is done best in a comparative perspective.

The following statement sets the stage for the synchronic dimension of the comparative task:

... languages may differ at virtually all levels in their process of categorisation – not only in how they group sounds into emic categories (phonemes) but also in the way their particular constraints group these phonemes into meta-categories (classes of phonemes). These constraints, in turn, have to be defined system-internally, even when they derive from such supposedly universal parameters as sonority. Haspelmath (2007: 129) reminds us that “structural categories of language are language-particular, and we cannot take pre-established, *a priori* categories for granted”. Such a stance does not rule out the possibility of universal generalisations, but entails that they

can only be based on the empirical study of language-internal structures, and the acknowledgment of cross-linguistic diversity. (François 2010)

These views by no means amount to a relativistic claim that each language is different and calls for a distinct approach and distinct concepts. On the contrary, they open into a programme for comparative work that emphasizes cross-language similarities in functional terms, and in terms of evolutionary potential, instead of static characteristics. In phonology, an ambitious formulation of this programme has been proposed under the heading of *panchronic phonology*.

10.1.2 **Panchronic phonology**

Panchronic phonology, a research programme formulated by Haudricourt, is presented below through a brief discussion of approaches to language evolution.

Evolutionary phonology, building on Ohala (1989), considers phonetic variation to be the primary source of phonological change (Blevins 2004; Blevins & Garrett 2009; see also Smith & Salmons 2008). The emphasis on phonetic bases of change encourages a continuous and mutually profitable dialogue between experimental phonetics and historical phonology. However, the role played by phonetic factors may be slightly overestimated by this approach. Hypothesized universals of language change based on phonetic properties seldom stand close scrutiny. Competing phonetic tendencies exist, and they do not have explanatory or predictive power when it comes to individual cases (Labov 1994: 601; see also the critical assessment of evolutionary phonology by Andersen 2006: 168–171). Clearly, the existence of a pool of phonetic variation is only part of the thoroughly complex story of diachronic sound change. Structural approaches to diachrony study the way in which phonological systems respond to the causes of change (see in particular Martinet 2005). A major source of change is the constant competition between the tendency towards phonological integration on the one hand and the tendency towards phonetic economy on the other. Phonological integration tends to fill structural gaps in phonological systems, while phonetic economy tends to create phonological gaps. A simple example can be drawn from tones: having five level tones (Top, High, Mid, Low, Bottom) is phonologically economical, as tone alone allows for numerous lexical distinctions, and the combinations of the five levels open up immense morphophonological possibilities. But this is phonetically uneconomical, because the distinction between a large number of level tones is perceptually difficult, e.g. distinguishing sequences such as Top+High, Top+Mid, and High+Mid.

Out of the pool of potential changes, the actual direction of evolution observed in a given language depends in part on the state of its phonological system, e.g. – again taking tone as an example – which tones it possesses, which phonotactic constraints they are subject to, and what functional load they have in the system. Ultimately, what is needed is an approach that attempts to formulate generalizations about sound change that are independent of any particular language or language group. The aim is to build an inventory of common types of sound change and arrive at an improved understanding of the conditions under which they occur. Haudricourt labels such an approach *panchronic phonology* (Haudricourt 1940; 1973; see also Hagège & Haudricourt 1978). Panchronic laws are obtained by induction from a typological survey of precise diachronic events whose analysis brings out their common conditions of appearance. In turn, these laws can be used to shed light on individual historical situations.

Let us consider an example of panchronic regularity: the transphonologization of voicing oppositions among initial consonants (Haudricourt 1965; Ferlus 1979). After evolving into an opposition between phonation types on the following vowel (breathy voice vs. modal voice), this opposition becomes tonal if the language already had tones (creating a split in the tone system); otherwise it becomes a vowel quality opposition, creating a two-way split in the vowel system. This model is verified in numerous East and Southeast Asian languages.

The explicit research programme defined by Haudricourt holds promise of an increasing degree of precision and explicitness in modeling historical change. The aims and methods of many researchers in historical phonology are actually close to this programme (see e.g. Jacques 2011a; and the detailed epistemological discussion by Mazaudon & Michailovsky 2007). Labov's generalization that "(i)n chain shifts, peripheral vowels become more open and nonperipheral vowels become less open" (1994: 601) can be considered as a panchronic statement: it aims to explain synchronic states in terms of the processes that lead up to them, and to arrive at general laws of sound change. I believe that, in practice, these common goals are more important than theoretical differences, and that practitioners of panchronic phonology, evolutionary phonology or other approaches to the typology of sound systems and sound change would draw essentially the same conclusions from the data and analyses in the present book.

10.2 Comparisons with other Sino-Tibetan languages of the area

Comparisons with other Sino-Tibetan languages of the area are set out in a separate section because of the possibility of past contact between the languages at issue.

10.2.1 Comparison within the Naish group of languages

10.2.1.1 *Naxi: a comparandum of little synchronic relevance?*

Comparison of Na with Naxi is highly significant from a diachronic point of view, as reconstruction is based on the establishment of correspondences within the Naish subgroup of languages. From a synchronic point of view, on the other hand, Naxi is so close to syllable-based tone (one tone per syllable, with few processes of tonal change) that it does not readily lend itself to point-by-point comparison with Na. The tonal morphology of Na simply has no equivalent in Naxi.

On the other hand, comparison of the overall typological profiles of the two languages is not without interest. Naxi is a nonrestricted tone language in the sense of Voorhoeve (1973): a language in which tones are assigned to individual syllables without regard to the tone pattern of the entire word or tone phrase. Specifically, there is no limitation on the number of H tones that appear in succession, unlike in Yongning Na. This allows phoneticians the luxury of placing any item inside a carrier sentence without any disruptive effect of the target syllable's tone on the tones of neighbouring syllables. It is also possible to devise Naxi sentences in which all syllables carry the same tone, thus allowing for a study of intonational parameters (such as declination, and the conveyance of phrasing and emphasis) as distinct from tones (Michaud 2006a). By contrast, tone in Yongning Na is restricted, albeit much less than in 'terracing' tone systems, about which see §10.3.2.

10.2.1.2 *A point of difference with Laze: tone change occurs in syntagms, not at lexicalization of compounds*

Like Naxi, Laze is a language closely related to Na (as mentioned in §11.3.2). Laze has some processes of tonal change, allowing for some synchronic-comparative observations with the morphotonological system of Yongning Na.

In Yongning Na, tone changes occur in syntagms: typically at compounding or affixation, or when associating an object to a verb. Cases of discrepancies

between the tones of lexicalized syntagms and those that would be expected in view of the synchronic rules are interpreted (under the present analysis) as vestiges of earlier tone patterns, as mentioned earlier (e.g. in §5.1).

This is a point of difference with Laze, where there is no tone change in compounding. In Laze, tone changes occur as lexicalization takes place, leading to the neutralization of numerous tonal contrasts. There are four lexical tones for Laze monosyllables (for predicates: H, M, L and MH; for nouns: H, M, L and a floating H tone); in theory, this could yield as many as sixteen tone patterns over disyllables, but only seven are observed. Numerous combinations of two tones other than H yield H+H. For instance, ‘dog’ is /k^hu˥˥/, and ‘to beat’ is /d̪u˥˥/. Their combination should yield M.L, but when the speaker says it at one go, rather than as the simple juxtaposition of the two words, it is realized as H.H. This is a key process in the lexical integration of disyllables in Laze: the tonal change signals that the two monosyllables are fused into one unit.

10.2.2 Comparison with Pumi

10.2.2.1 A point of similarity: the tone group and its role in conveying information structure

It has been observed that “the same phonological processes such as tone sandhi and lengthening obviously make reference to different prosodic domains within the same language family (Bantu)” (Zerbian 2006a: 132). In view of this cross-linguistic diversity, it is remarkable how close the prosodic system of Na is to that of Pumi (also known as Prinmi), a neighbouring Sino-Tibetan language. In Wadu Pumi as in Yongning Na, there are *tone groups*, likewise defined by a tonal criterion:

Within a tone group, the underlying tone of one lexical element (usually the left-most element) spreads (usually rightwards) to the adjacent morphemes in the same tone group (...). The remaining (...) elements in a tone group are assigned default low surface tone. Tone does not spread across tone group boundaries. (Daudey 2014: 66)

As in Yongning Na, the tone group plays a key role in conveying information structure:

...some elements always combine with others into a single tone group, some elements always form a tone group by themselves, and for some elements, speakers can decide to combine or not combine them into tone

groups. The latter elements are the most interesting, in that they allow the speaker to express pragmatic differences through the choice of combining them or not. (Daudey 2014: 68)

The observations about Na set out in Chapter 7 are strikingly parallel to these observations about Pumi.

Such similarities raise the issue of whether language contact is involved. The variety of Pumi studied by H. Daudey (Wadu Pumi) is spoken in the plain of Yongning, where the Na and the Pumi have lived together on good terms for at least eight centuries, so the similarities could be due to language contact. The two groups “frequently intermarry and so a fair amount of Pumi speak or understand Yongning Na to some degree. The reverse is not necessarily true” (Daudey 2014: 5).

But similar characteristics are observed in another dialect of Pumi, that of Ni-wozi, which is not in contact with Yongning Na. This dialect is spoken close to the Ninglang county seat; it is in contact with another variety of the Na language, not mutually intelligible with that of Yongning. (As a piece of anecdotal evidence about the degree of mutual comprehension: one of the daughters of consultant F4 married a Na from that area; the differences in dialect led the couple to adopt Mandarin to communicate with each other.) While the vocabulary adopted in the linguistic description is slightly different, the observations appears to match closely those made about Wadu Pumi and Yongning Na.

Under the influence of intonation, the underlying H tone of a phonological word is readily removable when it is situated in the final unit of the clause (...). When this happens, the phonological word is merged with the other prosodic domain (removal of the original boundary of phonological word due to a loss of H tone in a following word [...]). Deletion of H tone in the final phonological word undoubtedly paves the way to the general falling intonation pattern found in Prinmi. Sometimes, a series of low tones may appear in the ending syllables of an utterance after the boundary of phonological word is eliminated (...). (Ding 2014: 69)

10.2.2.2 Other similarities

There are also similarities between Na and Pumi phonological rules, such as that each prosodic domain requires at least one non-L tone; when none is present, a H tone is added to the final syllable, yielding a rising tone, LH (Ding 2014: 60). Concerning numeral-plus-classifier phrases (studied in Chapter §4), Ding (2014:

69) notes that their tone patterns “are not utterly predictable from the tones of the two formatives, as other factors beyond phonology are at work”. This clearly hints at a situation similar to that found in Na, although judging from Ding’s book, the degree of complexity found in that particular variety of Pumi would seem to be smaller than in the variety of Na studied here. (The issue of assessing the degree of complexity of the tone system is addressed in §10.4.)

The high number of points of similarity suggests that further comparison of Na and Pumi could be highly revealing. The aim would be to attain the degree of depth and precision reached by Wagner & McCurdy (2010) for English, and Lahiri & Plank (2010) for Germanic.

10.2.3 Comparison with Yi (Lolo)

Naish languages have striking typological similarities with languages of the Yi (Loloish) branch of Burmese-Yi (Burmese-Lolo). From a tonal point of view, there are similarities of the kind that one would expect given the similarity in terms of syntax: tone sandhi occurs in contexts such as compounds and numeral-plus-classifier phrases. Specifically, there is in Nuosu an alternation whereby tone /³³/ changes to the sandhi tone /⁴⁴/ (transcribed in the orthography as a final *x*) when followed by another /³³/. This dissimilatory process is morphosyntactically conditioned, witness the existence of a tonal distinction between *nga gu* ‘I called (someone)’ and *ngax gu* ‘(Someone) called me’: in this case, the tonal difference reflects one between AGENT and PATIENT (Gerner 2013: 28). The morphotonology has limited extent, however: in total, there are eight contexts where tone sandhi occurs. Their description takes up no more than three pages in a grammar of half a thousand pages (Gerner 2013: 28–30).

10.2.4 Do some of the tone rules of Yongning Na result from contact with two-level systems?

An interesting dimension of language contact involves two-level and three-level tone systems. Among Na dialects, that of Wuzhiluo 五指落, on the north edge of the swamp area known as the Grass Sea which forms the eastern end of Lugu Lake, has two levels only (Dobbs & La 2016). The dialect of Na spoken in Shuiluo 水落, in the county of Muli 木里, likewise appears to have two levels only (on the basis of my preliminary fieldwork, 2009). The dialect of Luoshui 落水, geographically close to the Yongning plain, has three levels, but among these, the highest level has a relatively marginal status (Lidz 2010). By contrast, dialects spoken further to the West and the Northwest, such as Labai 拉柏, clearly have

three levels. Yongning Na has three levels, but with a strongly restricted distribution. Past contact between two-level and three-level tone systems could shed light on synchronic phenomena found in Yongning Na, such as the exceptionless phonological rule prohibiting tone-group-initial H tone, effectively limiting the number of tonal contrasts to two in group-initial position. This synchronic rule has far-reaching consequences: at the surface-phonological level, it is impossible to have H tone (as distinct from M) on a monosyllable said in isolation, or tone patterns H.M, H.MH, H.L or H.H on disyllables said in isolation. As pointed out in §2.3.1.4, correspondences between overt H tones in the Labai dialect and floating H tones in Yongning suggest the possibility that word-initial H tones in Yongning Na were shifted from their position on the first syllable of the word as a response to the enforcement of the rule prohibiting initial H. In this scenario, the next question is why word-initial H tones ceased to be phonotactically licit. At this point, one can entertain the possibility of contact with a two-level tone system, whose speakers had special difficulty handling a three-term tonal opposition on an initial syllable. Contact scenarios between two-level and three-level tonal systems are not implausible. Pumi, with which Na has been in at least occasional contact for centuries, has two levels. Synchronic case studies of contact between two-level and three-level tonal systems would be useful to gain insights into the types of processes to be expected, and the possible consequences for the linguistic systems in contact. The findings of such studies, combined with additional data on present-day dialectal diversity, could shed light on the historical role played by contact in shaping the tonal systems that can be observed today.

This brings us to the topic of tonal typology.

10.3 Tonal typology

In this section, clarifications are provided about the typological distinction between “level tones” and “complex tones”, which constitutes the background to the classification of Yongning Na tones as “level tones” (§10.3.1). Some reflections are then set out (§10.3.2) concerning the typological profile of Na prosody as shaped by the tone rules described in the preceding chapters.

10.3.1 Level tones and phonetically complex tones

In what can be broadly termed as “Africanist” usage, “level tone” refers to *a tone that is defined simply by a discrete level of relative pitch*. Level tones (a phrase used interchangeably with “tonal levels”) are monodimensional: they are defined

along a single parameter, F_0 . This is unlike segments, which are defined along intersecting phonetic parameters, such as voicing, nasality, place of articulation, etc. For want of free combinability of multiple properties, level tones are not further analyzed in terms of features: level tones constitute phonological primitives (for a discussion: Clements, Michaud & Patin 2011: 20, Hyman 2011).

Level-tone systems have two to five levels of relative pitch: L vs. H; L vs. M vs. H; L vs. M vs. H vs. T(op); or B(ottom) vs. L vs. M vs. H vs. T(op). Systems with more than three levels are relatively uncommon (Bariba: Welmers 1952; Bench, a.k.a. Gimira: Wedekind 1983; 1985). One single case of a six-level system has been reported: Chori (Dihoff 1977), for which a reanalysis is possible (Odden 1995). The above languages are spoken in Subsaharan Africa, a domain where level tones are especially common. However, level-tone representations have proved useful beyond the Subsaharan domain, for which they were initially developed (on languages of the Americas: Gomez-Imbert 2001; Hargus & Rice 2005; Girón Higueta & Wetzels 2007; Michael 2010; on languages of Asia: Ding 2001; Hyman & VanBik 2002; 2004; Donohue 2003; 2005; Evans 2008; Jacques 2011a). In Yongning Na, the morphotonological alternations studied in the preceding chapters provide overwhelming evidence for a level-tone analysis. In level-tone systems, a phonetic contour is *the realization of two or more level tones on a single syllable*. The contours are phonologically decomposable; the observed movement in F_0 is the result of interpolation between the successive levels.

There are some languages for which attempts at the decomposition of contours into levels has been less successful, however, to the point of casting doubt on the relevance of decomposition for these languages. In the Austroasiatic and Tai-Kadai language families, no convincing evidence is found for the decomposition of contours into simpler units (e.g. Morey 2014: 639).

In the field of Sinitic languages (Chinese dialects), Chao Yuen-ren's work on Mandarin in the early 20th century (Chao 1929; 1933) brought to the attention of linguists the complexities of its tone system. Following sustained exchanges with Chao Yuen-ren, Kenneth Pike proposed a typological divide between (i) register-tones, defined simply in terms of discrete pitch levels, and (ii) contour-tones, about which he concludes: "the glides of a contour system must be treated as unitary tonemes and cannot be broken down into end points which constitute lexically significant contrastive pitches" (Pike 1948: 10). Later studies have brought out the importance of durational properties and phonation-type characteristics. In some prosodic systems, phonation types are a redundant, low-level phonetic characteristic of some tones (see, for example, an investigation into the role of creaky voice in Cantonese tonal perception: Yu & Lam 2014). In others, they

are a distinctive feature orthogonal to tone, as in the Oto-Manguean languages Mazatec (Garellek & Keating 2011) and Trique (DiCanio 2012). Finally, in a third type of system, phonation-type characteristics are part and parcel of the definition of tones. Experimental studies of this third type of tone system include Rose (1982; 1989; 1990) for the Wu branch of Sinitic; Edmondson et al. (2001) for Yi and Bai; Mazaudon & Michaud (2008) for Tamang; and Andruski & Ratliff (2000), Andruski & Costello (2004), Kuang (2013) for Hmong. Pike's two-way typology of tone, while it emphasizes typologically relevant properties of those languages which he was able to take into consideration, has some limitations. Categorization as "contour tones" may not be adequate for tones such as those of Vietnamese: in the Vietnamese system, the tones contrast with one another through a set of characteristics that include specific phonation types in addition to the time course of F_0 (Alves 1995; Mixdorff et al. 2003; Tran et al. 2007; Brunelle, Nguyễn & Nguyễn 2010; Nguyen et al. 2013; Mac et al. 2015).

Languages such as Black Miao and Vietnamese highlight the difficulty of drawing a line in the sand separating 'tone' languages from 'register' languages. This problem is even more strikingly illustrated by Burmese (...), which has been described both as a register system (e.g. Bradley 1982; Jones 1986) and as a tone language (e.g. Watkins 2001; Gruber 2011) (...). Gruber (2011) has shown that glottalisation, creakiness and the presence of a high pitch target are all important perceptual cues, thus demonstrating that, much like Vietnamese or Black Miao, Burmese should not be analyzed in terms of pitch or phonation type alone, nor is it straightforward to decide which property is the primary acoustic cue to the contrast. (Brunelle & Kirby 2016: 194)

For this reason, the term "complex tones" is used here in preference to Pike's "contour tones". To recapitulate the terms used in the present discussion: *level-tone systems* are based on discrete levels of relative pitch, unlike *complex-tones systems*. Complex tones include Pike's category of "contour tones", with the explicit addition of tones that comprise phonation-type characteristics.¹

Under this set of definitions, "contour" refers to a unitary contour: a tone defined phonologically in terms of an overall template specifying the time course

¹ Note that this differs from the definition used in the *World Atlas of Language Structures*, where "complex" refers to the number of oppositions, not to the nature of the tones: "[t]he languages with tones are divided into those with a simple tone system — essentially those with only a two-way basic contrast, usually between high and low levels — and those with a more complex set of contrasts" (Maddieson 2011).

of F_0 over the tone-bearing unit. Phonologically unitary contour tones are encoded as an overall shape: “there are no objective reasons to decompose Vietnamese tone contours into level tones or to reify phonetic properties like high and low pitch into phonological units such as H and L” (Brunelle 2009a: 94; see also Brunelle, Nguyễn & Nguyễn 2010; Kirby 2010). In this type of system, the term “level tone” is used to refer to *a tone that does not exhibit any salient fluctuations in F_0* . For instance, Mandarin tone 1 and Vietnamese tone A1 (orthographic *ngang*) can be referred to as “level tones” because, unlike the other tones of Mandarin and Vietnamese, their F_0 curve is relatively stable in the course of the syllable. This does not entail that they are phonologically defined by a discrete level of relative pitch (on Mandarin: see Xu & Wang 2001).

The two types of phonological contour tones – sequences of levels on the one hand, unitary contours on the other – can be phonetically indistinguishable, so that phonetic observation must be related to functional-structural levels of description. The evidence for distinguishing the two types of contours is morphotonological. A rising contour in a Bantu language will typically exhibit phonological behaviour showing that it consists of a low level tone followed by a high level tone (see in particular Clements & Goldsmith 1984; Clements & Rialland 2007). In Yongning Na, too, there is a wealth of evidence for the analysis of contour tones into sequences of level tones. From a typological point of view, instead of positing that all tones can be decomposed into levels, it is at least as reasonable to adopt the opposite standpoint, viewing contours as nondecomposable units unless there is positive evidence to the contrary (Nick Clements, p.c. 2008).

Tonal systems thus based on levels (tone heights) are relatively unusual in Sino-Tibetan, but not unheard of. Examples include Pumi (Ding 2006; Jacques 2011a; Daudey 2014), Mianchi Qiang (Evans 2008), Shixing / Xumi (Chirkova & Michaud 2009), Hakha Lai (Hyman & VanBik 2002), Kuki-Thaadow (Hyman 2010), and the Lataddi dialect of Na (Dobbs & La 2016).

The distinction between level tones and complex tones is proposed as a rule-of-thumb initial distinction; it aims to bring attention to a considerable amount of interesting Asian data that is likely to lie below the radar of some prosodic typologists and which deserves to be more widely appreciated. Needless to say, the two-way distinction between level tones and complex tones is by no means water-tight: there are borderline situations.

The following subsection attempts to convey a feel for the organization of the Na prosodic system by pointing out some consequences of its tone rules for the outlook of the system as a whole.

10.3.2 Typological profile of Na prosody as shaped by the tone rules

One of the salient characteristics of Yongning Na is the partial neutralization of lexical oppositions when words are said in isolation. This does not appear to have far-reaching implications for the organization of the entire tonal system, however: such neutralization is observed in numerous tonal systems which differ widely from one another, e.g. Japanese (Kubozono 1993), San Juan Quiahije Chatino (Oto-Manguean family) (Cruz 2011: 91), and Sotho and Tswana (Bantu) (Creissels, Chebanne & Nkhwa 1997; Zerbian & Barnard 2010b).

On the other hand, the levelling rules of Yongning Na (Rules 4 and 5), whereby all tones following a H tone, or a M.L sequence, are lowered to L, have far-reaching consequences for surface-phonological tone sequences. These two rules result in the neutralization of tonal oppositions over large portions of tone groups – a massive phenomenon of levelling that is reminiscent of stress systems in which all syllables following a major stress are de-stressed. This rule alone makes Yongning Na tone strikingly different from the extensive set of tone systems called ‘terraced-level tone languages’ (Armstrong 1968). ‘Terracing’ refers to processes of categorical shift in register, affecting all following tones: *downstep*, a distinctive lowering; and *upstep*, a distinctive raising. An important consequence of terracing is that tones belonging to the same ‘terrace’ become more tightly knit together than the successive tones in a language which, like Na, does not have downstep or upstep. This intuition is reflected in Nick Clements’s proposed treatment of ‘terracing’ in terms of a “tone level frame”. “Within this framework, terracing is seen to be the result of (...) processes applying to the tone level frame itself, rather than directly to individual tones” (Clements 1979: 538). ‘Terracing’ places constraints on the range of fundamental frequency within which the tonal levels are realized, as shifts in register are distinctive. It makes a major contribution to shaping surface-phonological tone sequences and their phonetic realization. Clements points out a key factor: categorical shift in register can take place more than one time in a tone group.

A final, important feature of tone terracing, at least in the case of downstep, is that there is no limit on the number of register lowerings that may occur within a tone group; the only limit is the external one imposed by the lexical, grammatical, or phonological factors that govern the occurrence of downstep. (Clements 1979: 540)

This leads to preplanning strategies that can get highly elaborate: brilliant speakers anticipate the amount of downsteps that will be required in a long ut-

terance, raise the initial pitch accordingly, and carefully manage successive lowerings all the way to the end of the utterance. Less talented orators need to reset the tonal baseline when successive downsteps make them hit bottom before they reach the end of a tone group (Rialland 2001).

In Yongning Na, there is no downstep, and hence no need for such long-distance preplanning. Whenever a tone group contains a H tone, this tone serves as the tone group's climax. In terms of information processing, in cases where a H tone is identified, following syllables in the tone group contain no more tonal information: there is nothing to expect but a sequence of phonological L tones. Phonetically, the pitch gradually lands towards its floor value; the realization of the F_0 curve on the portion of the tone group that follows the H tone is free from the trammels of categorical precision.

To summarize, the 'tone level frame' (Nick Clements's term to refer to the tone space at a given point in an utterance) is subject to much fewer constraints in Yongning Na than in 'terracing' tone languages. The absence of downstep or upstep in Yongning Na, and the culminative nature of its H tone, go a long way towards explaining the different feel of its prosody compared to that of 'terracing' tone languages. There are simply fewer possible tone sequences in Yongning Na than in, for instance, Yala (Ikom), which has H, M and L tones, like Na, but also has the downstepped counterparts !H, !M and !L, and allows the full range of their combinations (Armstrong 1968). In Yongning Na, there is no contrast between a fall from M to L and one from H to L. This gives a greater range of intonational freedom than in languages where the tonal space is more crowded.

Like downstep, *downdrift* – the gradually lower phonetic realization of phonologically identical tones separated by a lower tone – is absent in Yongning Na, for the same reason: any sequence of a higher tone and a lower one constitutes a descent to the lowest phonological level (H.L or M.L), and this sequence can only be followed by L tones, thus prohibiting sequences such as ‡ M.L.M, ‡ H.L.H or ‡ H.M.H. This is another major difference between the typological profile of Yongning Na and that of many Sub-Saharan languages (see, among a great wealth of publications, Vydrin 2016).

10.4 Assessing the complexity of the Na tone system

In comparison with Naxi and Laze, its immediate siblings in the Naish subgroup of Sino-Tibetan (§1.1.3.2), Na presents a high degree of tonal complexity. It has more lexical tone categories, and greater morphotonological complexity. A task for the future will consist in assessing this complexity by modelling regularities

and irregularities in the paradigms that make up Na morphotonology. In this endeavour, it will be possible to build on advances in computational tools (for an example in the field of segmental morphology: Sagot & Walther 2013). As a stepping-stone towards this mid- and long-term goal, some dimensions of this complexity are recapitulated below and compared with other languages, not on the basis of phylogenetic or areal closeness but of synchronic typological similarities.

10.4.1 **Partly regular morphotonology**

Partly regular morphological paradigms are cross-linguistically wide-spread. Examples include the inflection of transitive verbs in Dinka (Andersen 1993: 8) (while certain inflections are marked by a particular toneme for all verbs alike, other inflections are specific to particular classes of verbs) and the inflection of interrogative pronouns in the Australian language Anguthimri (Crowley 1981: 172).

Within the tone system of Na, numeral-plus-classifier phrases constitute an area where tone patterns have proliferated. The description set out in Chapter 4 brought out no less than nine tonal categories for monosyllabic classifiers, as opposed to five for monosyllabic nouns. Furthermore, the tone patterns of these nine categories of classifiers in combination with numerals need to be learnt: they do not follow from synchronically regular rules. While this complexity is not as spectacular as that found in the Ahmao language (Hmong-Mien family), where classifiers have “12 basic forms, each displaying a complex cluster of meanings” (Gerner & Bisang 2009), the Na data may nonetheless have a contribution to make to typological generalizations, as it shows that the tones of classifiers can be more complex than those of nouns.

10.4.2 **Nouns and verbs: a comparable degree of complexity?**

Keeping in mind that some types of nouns (especially classifiers) are more complex than others in terms of their tone categories, it seems that there is no conspicuous imbalance between nouns and verbs in terms of complexity. This differs from tonal systems in Bantu, and in the Niger-Congo family at large, where verbs display less diversity of tone categories than nouns. Many Bantu languages have two tonal types of verbs (irrespective of their number of syllables), versus three or more types of monosyllabic nouns, and an even greater number for nouns of two syllables and more (Creissels 1994: 183). Gbeya, Kissi, Baoulé and Urhobo do not have tonal oppositions among verbs at all (Creissels 1994: 184).

10.4.3 **More progressive spreading than regressive spreading: a typologically common pattern**

Under the analysis proposed here, Yongning Na has a phonological tone rule (Rule 1) whereby L tone spreads progressively ('left-to-right') onto syllables that are unspecified for tone (§7.1.1). The H tone does not spread, in the sense of associating to several syllables in a row: there can only be one H tone per tone group. Despite this, the presence of a H tone does influence the following tones in the group: they all get lowered to L. Although the morphotonological rules are context-specific, and cannot be summarized in terms of a set of phonological rules, they also reveal an overall tendency towards progressive tone spreading, rather than the other way round. For instance, averaging over the entire set of tone rules applying in determinative compounds (§3.2), the determiner (which comes first) makes a larger contribution than the head to the tone of the entire compound. Seen in this light, Na clearly has more progressive spreading than regressive spreading of tone.

This is a typologically common pattern. Regressive tone spreading is firmly attested, e.g. in Tswana and Odienné Dioula (Creissels 1994: 206), but progressive tone spreading is far more common.

A separate but not wholly unrelated observation is that H tone in Yongning Na has a tendency to be realized late within a tone group. A H tone never associates to the first syllable within a tone group. //H#// tone associates to the last syllable of the root; so does //H\$//, but it typically glides from this position to a later syllable (§2.3.2); and //H// tone never associates to the word to which it is lexically attached, only to a later syllable (§2.3.1). This overall tendency is relatively common cross-linguistically. Late realization of H targets is more common than early realization: "perseverative tone spreading phonologises the tendency of tone targets to be realized late" (Hyman 2007b: 19). The diachronic developments leading to the present diversity of final H tones in Yongning Na seem to follow tendencies that are well-attested across languages. This is a case where synchronic complexity is not particularly surprising when viewed from a typological (panchronic) perspective.

10.4.4 **The dual status of the M tone is not a typological rarity**

Under the present description, the M tone in Yongning Na has two facets. On the one hand it is a full-fledged, phonologically specified tone: the M element in tone categories such as LM and MH cannot be omitted. LM contrasts with L, and MH# with H#. On the other hand, the M tone serves as a default tone: by

Rule 2, M tone is assigned to syllables that remain toneless after Rule 1 (L-tone spreading onto toneless syllables) has applied.

Na is not an isolated case in this respect: the dual status of the M tone is not a typological rarity. In Yoruba, for example, the M tone is not lexically specified: the only two lexical tones are L and H, but following its insertion through default-tone assignment rules, M behaves as a specified tone in derivations (Akinlabi 1985).

10.5 Intonational typology: tonal vs. non-tonal intonation

This last section of the areal and typological discussion is devoted to intonational typology. The argument is that *tonal intonation* and *non-tonal intonation* need to be carefully distinguished, and that Yongning Na does not have tonal intonation. This is not a particularly complex argument, and its conclusion seems fully clear to this author, but the present state of confusion in intonation studies requires step-by-step exposition of the typological premises.

10.5.1 Instances of intonational tones in the world's languages

There are some well-established cases where intonation is encoded by tones that are treated on a par with lexical and morphological tones: in some tonal languages, tone can serve as a marker for functions at the phrasal level. These will be referred to as *intonational tones*. This extension of the notion of tone beyond its primary meaning (lexical and morphological tone) is made in view of the structural similarities between lexical and morphological tone, on the one hand, and certain intonational phenomena, on the other hand. It does by no means amount to a broadening of the concept of tone to intonational phenomena in general, as is the case in some versions of autosegmental-metrical models of intonation.

Firstly, tone may indicate sentence mode. “The most commonly encountered cases involve a tonal means to distinguish interrogatives from declaratives. In Hausa, a L is added after the rightmost lexical H in a yes/no question, fusing with any pre-existing lexical L that may have followed the rightmost H (...). As a result, lexical tonal contrasts are neutralized. In statements, [kái] ‘head’ is tonally distinct from [káí] ‘you [masculine]’. But at the end of a yes/no question, they are identical, consisting of an extra-H gliding down to a raised L” (Hyman & Leben 2000: 61). The Hausa example is described as a case of intonational tone, not a case of superimposition of an intonational contour onto an underlyingly unchanged tone sequence.

Secondly, tone may serve the function of phrasing. In some languages, certain junctures of the utterance are characterized by the addition of boundary tones, which, though introduced by post-lexical rules, are integrated into the tone sequence of the utterance on a par with lexical tones. L. Hyman (p.c. 2012) points out that such phenomena are “rampant in African tone systems”, taking the example of a phrase-final boundary tone in Luganda. This tone is transcribed as H%, where the %, representing a boundary, is a functional indication of the tone’s origin. It acts just like any level tone, except that it is inserted into the tonal string later than the lexical tones. Any sequence of preceding toneless moras will be raised to that H level (though there has to remain at least one L before it). For example, /**omulimi**/ ‘farmer’ is pronounced all-L as subject of a sentence (/ò**mùlì**mì/), but at the end of an utterance marked by this H% it is pronounced L-H-H-H: /ò**mùlì**mì/.

A third intonational function that may be served by tone is to convey prominence. A clear example of intonational tone (a tone of intonational origin) is encountered in Naxi: a word that carries lexical L or M tone on its last syllable can be focused by the addition of a H tone that aligns at the right edge of the word, causing the tone of the last syllable to become rising (Michaud 2006c: 72).

In order to understand how intonational tones emerge and evolve, it appears interesting to examine not only clear-cut cases such as those reviewed in this paragraph, but also doubtful cases of intonational tone.

10.5.2 Doubtful cases of intonational tone: crossing the fine line between intonation and tone?

Scholars have long been aware of the phonetic similarities between (phrasal) intonation and (syllable-based) tones. In the mid-17th century, the European authors who devised a Latin-based writing system for Vietnamese (de Rhodes 1651) had to develop a notation for a six-way tonal contrast. One of the tones was left unmarked, grave and acute accents were used for two others, and the tilde for a fourth one. For the remaining two tones, symbols from sentence-level punctuation were used: the full stop was added (below the vowel) to indicate tone B2 (orthographic *nǎng*) on the basis of the perceived similarity between its final glottal constriction and the intonational expression of *finality*; and the question mark (in reduced form, on top of the vowel) was used for tone C1 (orthographic *hỏi*) due to its final rise (Haudricourt 2010). To the authors of this system, the newly coined tone marks served as mnemonic cues to the pronunciation of tone, via an analogy with intonation in Romance languages. When Chao Yuen-ren devised a system of “tone-letters” some three centuries later (Chao 1930), he pro-

posed it as a tool to transcribe intonation, as well as tones. Examples of use are offered in English (distinguishing various ways of saying *Yes* or *Where does he live*), as well as in two tonal languages: Cantonese and Lhasa Tibetan (Figure 10.1).

igzɑ:mp|z əv ju:s :

:42	jesʔ	(o:di:nəri æfəmeiʃn.)
:51	jesʌ	(əv kɔ:s.)
:24	jesɻ	(gou ən, aim æŋkfəs tə hiə ðə rest əv it.)
:13	jesv	(aim liʃŋiŋ.)
:15	jesv	(bət, —.)
:11	fjesL	(ai ʌndəstænd əv kɔ:s.)
:44	jē·sɻ	(its ə:l rait, ə:lθou ju: meid ə mes əv it.)
:55	jē·sɻ	(ai hə:d ə:l əbaut ðæt sɔ:t əv θiŋ.)
:351	jesʌ	(ai fəd bi moust dilaitid.)
:3513	jesʌ	(sou faɪ əz ðæt s kənsə:nd, ounli —.)

MEƏΓ	dəzɻ	i:ɻ	livʌ	(o:di:nəri interəgeiʃn.)
MEƏL	dəzɻ	i:ɻ	livɻ	(MEƏ didgu sei hi: livd?)
MEƏL	dəzɻ	i:ɻ	livʌ	(nou mətə MEƏ i: its.)
MEƏɻ	dəzɻ	i:L	livɻ	(ai didnt ə:sk . . . , ai ə:skt <i>hau</i> hi: livd.)
MEƏL	dəzɻ	i:ɻ	livv	(dountfu nou MEƏ i: livz?)

*kəntəniz :

ŋəʌ lɪkənɻ wəʌ pei nei tɔiŋ, kək-ɪ jam kɛ-ɪ cənɻmɛŋɻ
tɛhi:ɦɑ: tɔɔŋ kək-ɪ jam kɛ-ɪ kɑ:u-ɪmɛŋɻ, tɦuŋɻ mɑ:ɪ
tɛhi-tɔɔy-ɪ, kɔŋ pei nei tɦɛŋɻ. kəʌ luk-kə-ɪ jam tɔəu-ɪ
ɦai-ɪ niɻ luk-kə-ɪ tɔi kɛ-ɪ jam :

fanɻ fanɻ fan-ɪ fanɻ fanɻ fanɻ

*tibetɻ (*lɑsɑ dɑiɔlekt):

ɪɔŋɻ lɑl kɑwɛɻ tɛɦɑmLbɑt
sɛnrɔzɻ tɻmɻmɔɻt lɑŋɻ sɔŋɻ
kɦoʔ-nɛɻ sɛmɻbɛɻ tɔɔŋɻ kɦil

Figure 10.1: Examples given by Chao Yuen-ren of use of “tone-letters” for the transcription of intonation (“tone-values”) and tones (“tonemes”) (Chao 1930: 26-27).

Under Chao’s initial proposal, there was no ambiguity as to whether tone letters are used for tones (which Chao calls “tonemes”, to bring out the analogy with phonemes, with which they share a distinctive function) or for intonation (what he calls “tone values”). “Each tone-letter consists of a vertical reference line ..., to which a simplified time-pitch curve of the tone represented is attached, for tonemes to the left of the line, and for tone-values to its right” (Chao 1930: 24-25). But the symbols with the stylized pitch curve to the right of the reference bar

were not taken up in the International Phonetic Alphabet, and hence were not submitted to the Unicode consortium as part of the set of phonetic symbols.² The International Phonetic Alphabet takes up Chao's system as a tool for transcribing "tones and word accents", i.e. tonal and intonational phenomena alike.

Chao's proposal to use similar tools for the transcription of intonational differences in English and tonal oppositions in Cantonese underlines their similarity in phonetic form. In the case of Chao's examples (English, Cantonese and Tibetan), the distinction between lexical tone and intonation is clear, but there are other cases where a language's lexical tones are reported to serve intonational purposes. Phake (Tai-Kadai language family) exemplifies the diversity of situations found in Asian languages.

10.5.2.1 The expression of negation and sentence mode in Phake

Phake, a Tai-Kadai language of Assam (India), has six lexical tones, and cases of "changed tones" (Morey 2008: 234–240). Two different processes are reported. (i) If a verb has the second tone (High falling), it changes to rising when negated. This rising tone is identical in form to the language's sixth lexical tone; speakers of the language perceive such tone change to be categorical in nature (tonal replacement). This process also appears to be extending to verbs carrying other tones (S. Morey, p.c. 2013). (ii) According to observations made in the 1960s and 1970s, changing the lexical tone of the last syllable in a sentence to the sixth tone (a rising tone) would express a question (Banchob 1987). More recent fieldwork reports the same phenomenon, but instead of identifying the "changed tone" with one of the six lexical tones, it is suggested that it is "a special questioning tone (...). This questioning tone first rises and then falls, and here is arbitrarily notated as 7" (Morey 2008: 234). Finally, an eighth tone is reported: an "imperative tone", "that exhibited glottal constriction and creaky voice" (Morey 2008: 239). Observation (ii) can be reinterpreted in terms of neutralization of tonal oppositions: it does not appear implausible that question intonation in Phake would override the lexical tone of the sentence's last syllable in questions. Likewise, imperative intonation has a salient influence on some tense-aspect-mood markers, which may go so far as to override their lexical tone. As observed by Martinet (1957), "the fluctuating needs of communication and expression are reflected more directly and immediately in intonation than in any other section of the phonic system". The phonation type associated to imperative mode – a contraction of

² At the time of publication of the present volume, these symbols have not yet been included in the Unicode standard (current version: 9), so that it is not yet possible to reproduce Chao's transcription of the English examples in text mode, only in image mode.

the laryngeal sphincter, to convey an attitude of authority – appears to have a clear iconic motivation (see Fónagy 1983: 113–126).

It is perhaps significant that “changed tones” are reported in an area where the dominant languages are non-tonal. Speakers of Phake are also fluent in Asamese, a non-tonal language, which may create a pressure towards the simplification of the Phake tone system, e.g. through neutralization of tonal contrasts in some contexts. Overall, it would seem that intonation does not easily win the day over lexical tone. Some experimental evidence on this topic comes from a study of the Austroasiatic language Kammu, one of few languages with two dialects whose only major phonological difference is the presence or absence of lexical tones. A comparison of the two dialects concludes that the intonational systems of the two Kammu dialects are basically identical, and that the main differences between the dialects are adaptations of intonation patterns to the lexical tones when the identities of the tones are jeopardized (Karlsson, House & Svantesson 2012).

10.5.2.2 Mandarin interjections: a case of spurious tonal identification

The treatment of the interjection /a/ (transcribed as 啊 in Chinese writing) in a learner’s dictionary of Standard Mandarin offers a clear case of spurious tonal identification. This dictionary treats the interjection as if it had lexical tone, and sets up four distinct entries for it, corresponding to the four tones of Standard Mandarin: with tone 1, the interjection would mean “speaker gets to know something pleasant”; with tone 2, it would signal a “call for repetition”; with tone 3, “surprise or disbelief”; and with tone 4, the “sudden realization of something” (Qìnglián 1994, entry “a”). This categorization is based on phonetic similarities between the pitch patterns of the four tones and intonational variants of the interjection, as recapitulated in Table 10.1.

There is in fact a considerable phonetic difference between the four-way division of the Mandarin tonal space, on the one hand, and the intonational gradations in the realization of interjections. Interestingly, the authors of the dictionary gloss the “tone-4” realization of the interjection /a/ as the “*sudden* realization of something” (emphasis added). The interjection /a/ can just as well convey the realization of something, without any hint of suddenness (Lín 1972, entry “啊”). The F_0 of the interjection decreases gradually, in a manner that does not resemble tone 4 (an abruptly falling tone). The mention of suddenness was probably added because the intonational signalling of this extra nuance tends to shorten the interjection, thereby creating greater surface similarity with tone 4. From the point of view of linguistic functions, there should be no confusion:

Table 10.1: Phonetic basis for the four-way categorization of the nuances expressed by the interjection /a/ in Mandarin, as proposed in some dictionaries.

tone	characterization in dictionary	example	translation of example	F ₀ on interjection	canonical realization of tone
1	“speaker gets to know something pleasant”	啊！我考过了！ <i>ā! wǒ kǎo guò-le!</i>	Wow! I passed the exam!	overall high F ₀	level, in the upper part of the speaker's range
2	“call for repetition”	啊，是吗？ <i>á, shì ma?</i>	Oh, is that right?	rising	rising
3	“surprise or disbelief”	啊？你在这儿干嘛？ <i>ǎ? nǐ zài zhèr gàn má?</i>	Huh? What are you doing here?	falling-rising	falling from mid-low to lowest, with final rise in isolation
4	“sudden realization of something”	啊，现在我知道 道了。 <i>à, xiànzài wǒ zhīdào-le.</i>	Aha! Now I understand.	falling	sharply falling, from high starting-point

the phonetic realization of interjections in Standard Mandarin is purely intonational, “with varying, indeterminate accent, like English *Oh! ah! aha!*” (Lín 1972, entry “啊”). Mandarin interjections bypass tonal coding; the interjection /a/ has a wide range of possible realizations, and of expressive effects. The four entries set up for this interjection in the dictionary single out four of these realizations, and grant them separate status merely because they happen to be phonetically close to the language’s four lexical tones. This example illustrates the potential for a misinterpretation of intonational phenomena as tonal.

10.5.3 Conditioning factors for the development of intonational tones

In light of the above survey, it appears that the presence or absence of intonational tones is a typological parameter: a parameter that varies from language to language.

The issue of whether a language has tonal intonation or not may appear as a non-issue to researchers who use autosegmental-metrical models, since these models operate with the same concepts – among which tone plays a key role – for all languages, as a matter of definition. To some extent, this is an issue of choice of terms: there often exist straightforward equivalences between observations couched in tonal and non-tonal terms. For instance, in their description of phrasing in French, Fougeron & Jun (1998: 49–51) explain that they use notations as H* tone and H% tone (or L% tone) respectively as equivalents for Delattre’s (1966) *minor continuation* and *major continuation*.

Such equivalences allow for converting from one framework to another – but only from a language-internal point of view. When it comes to typology, use of the same labels with widely different meanings for different languages creates difficulties. Upon close examination, it appears that the label H% as used for, say, Kinande, Vietnamese, and French refers to different phenomena in each case. In Kinande, the H% which marks the end of a phrase is a *bona fide* tone, which interacts with tones of lexical origin, e.g. causing neutralization of certain lexical tone oppositions on nouns when they are said in isolation (Hyman 2014: 558). In French, in the absence of tones at the lexical level (and at the morphological level), there is no language-internal evidence to decide whether the phenomenon at issue is tonal or not, so H% can be considered to be equivalent to *major continuation*, with added information on phonetic realization. For Vietnamese, H% is used for “rising final pitch movements” by Hà & Grice (2010); like in French, this choice of label is based on theory-internal motivations, not on structural similarities between the lexical tones of Vietnamese and the phenomenon labelled as H%. The generalized use of boundary-tone labels such as H% and L% may appear

economical from a theoretical point of view, but it veils typological differences (Ladd 2008).

This is analogous to the use of the feature /ATR/ (Advanced Tongue Root) to describe the four-way opposition in a vowel system containing /i-e-ɛ-a/. This device obviates the need for a multi-valued /open/ feature for vowels, considered uneconomical under certain phonological analyses (Calabrese 2000). The pinch comes when typological considerations come in: should French and other Romance languages be included in cross-linguistic studies of ATR phenomena? A common-sense answer is that it would seem best to begin by identifying a core set of languages that uncontroversially possess ATR systems – a crucial phonological test being the presence of ATR vowel harmony –, and to apply due caution when considering extensions of the concept beyond this core domain.

The current vogue of tonal models of intonation as applied for the most diverse languages entails an indirect benefit for specialists of intonation, as it leads linguists engaged in intonational descriptions of tonal languages to raise explicitly the issue of the degree of similarity of intonational tones with the other tones found in the language. For instance, a study of Tanacross Athabaskan, a two-tone language, uses the tonal notations advocated in the framework of intonational phonology, proposing four intonation contours for four utterance types: H* L% for declaratives, H* H% for polar questions (yes/no interrogatives), L* L% for imperatives, and H+L* L% for open questions (wh-questions) (Holton 2005: 263). The author espouses the logic that underpins these notations: that tone and intonation are of the same nature at a certain phonological level. “Both tone and intonation can be viewed as strings of binary tone values with certain associations between the tone values and the segmental tone bearing units” (Holton 2005: 267). This opens into the question of whether intonational tones partake in the language’s tone rules. In Tanacross Athabaskan there is a phenomenon of tone spreading which is conditional on the stem’s tone: the tone of the stem syllable affects the assignment of tone to preceding syllables. The author raises the issue of whether the final L tone found in declaratives has an influence over tone spreading: in principle, an added tone in the sequence could modify the application of tone spreading.

The observation is that the pre-stem tone spread constraint is sensitive to the underlying lexical tone, and is unaffected by the hypothesized intonational ‘tone’ (Holton 2005: 270). The search for categorical effects of intonational ‘tones’ on the tonal string yields a clear conclusion in the negative.

For specialists of tonal and intonational typology, this conclusion is highly interesting, as it can be taken as evidence that real examples of languages with

‘tonal intonation’ are few in number. On the other hand, for readers who would like to gain an understanding of the language-specific facts, autosegmental-metrical notations cause no small amount of confusion, requiring caveats such as: “The notation consists of two types of ‘pitch-accents’, or tones (not to be confused with lexical tones discussed in the previous section)” (Holton 2005: 263). To call two things by the same name, assert their identity at some level, and insist that they need to be kept distinct is to ask a lot of the reader.

Moreover, not all studies of intonation couched in autosegmental-metrical terms contain an explicit discussion of the theoretical underpinnings of the notions used. This leaves readers hard put to figure out whether intonation in the language at issue is actually encoded by tones.

In most East and Southeast Asian languages, the available literature suggests that intonation is not implemented by the addition of tones in the way described for Kinande, Hausa, or Luganda and Naxi (§10.5.1). The widely-studied case of Standard Mandarin provides an example. Mandarin has salient intonational phenomena, which have a strong influence on the phonetic realization of tones, to the extent of making the automatic recognition of tone in continuous speech a major technological challenge. But these intonational phenomena do not affect the phonological identity of the lexical tones. Instead, intonation is superimposed on tone sequences. From the point of view of linguistic structure, intonation remains on an altogether different plane from tones: it does not modify the phonological sequence of tones, even in cases where it exerts a considerable influence on their phonetic realization. This has been studied since the pioneering work of Chao (1929). Relevant evidence on this issue comes from the field of speech synthesis: some specialists choose to specify (i) full templates of the time course of F_0 for each lexical tone, and (ii) a “strength coefficient” for each syllable (Kochanski, Shih & Hongyan 2003; Kochanski & Shih 2003). The strength coefficient, which correlates with informational prominence, plays a major role in the final shape of the synthesized F_0 curve. This synthesis system provides indirect evidence that, although intonational parameters interact with the phonetic realization of tone, they do not modify the underlying phonological sequence of tones: there is no insertion or deletion of tones. The informational prominence of a syllable is reflected in local phenomena of curve expansion and lengthening on the target syllable, as well as some modifications in supraglottal articulation. Conversely, a degree of phonetic reduction is found on other syllables, including post-focus compression of F_0 range (see in particular Xu 1999).

It seems intuitively clear that multilevel tone systems (e.g. Ngamambo, Wobe) cannot allow the type of intonational flexibility in the realization of tone which is

pervasive in Mandarin or Vietnamese, because such flexibility would jeopardize the identification of the utterance's underlying tonal string. The need to distinguish a wide range of categorically different sequences makes it less economical to encode information about phrasing and prominence as modulations of F_0 superimposed on the tonal string. This creates a tendency to favour other means to convey phrasing and prominence: either by integration into the tonal string (i.e., *intonational tones* as defined here), or by the use of nonintonational means, such as word order or topicalization and focalization morphemes.

Experimental verification of such hypotheses is greatly complicated by the multifarious differences between the languages to be compared, including the effects of areal convergence between languages with different tone systems. It is hoped that the availability of an increasing number of monographs such as the present one can contribute to gradual clarification of these typological perspectives.

11 Conclusion

When one aims to please others,
one may fail, whereas things that
we do to please ourselves always
have a chance to interest someone
or other. (Marcel Proust)¹

The Yongning Na tone system comprises a host of rules that are specific to certain morphosyntactic contexts, as set out in Chapters 3–6. Different rules apply in the association of a verb with a subject or an object, the association of two nouns into a compound, that of a numeral and classifier, or that of a word and its affixes, for instance. These tonal paradigms constitute the core of the tonal morphology of Yongning Na, and represent the bulk of what language learners must acquire to master this tone system.

As a conclusion, let us return to the initial puzzle: the first example presented in the Introduction. It is now possible to set out the mechanisms whereby the surface-phonological tone sequence of example (1) obtains.

¹ This sentence is followed by reflections that seem close to linguists' concerns: "No one is unique: our individualities are made out of a universal fabric; this is what allows for sympathy and understanding, which are such great pleasures in life. If we could analyze the soul as we analyze matter, it would become apparent that below the surface diversity of minds, as under that of material objects, there are but a few simple substances and irreducible elements; and that what we think of as our personality is made up from elements which are quite common, and which are met again pretty much everywhere in the universe." *Original text*: Quand on travaille pour plaire aux autres on peut ne pas réussir, mais les choses qu'on a faites pour se contenter soi-même ont toujours chance d'intéresser quelqu'un. Il est impossible qu'il n'existe pas de gens qui prennent quelque plaisir à ce qui m'en a tant donné. Car personne n'est original et fort heureusement pour la sympathie et la compréhension qui sont de si grands plaisirs dans la vie, c'est dans une trame universelle que nos individualités sont taillées. Si l'on savait analyser l'âme comme la matière, on verrait que, sous l'apparente diversité des esprits aussi bien que sous celle des choses, il n'y a que peu de corps simples et d'éléments irréductibles et qu'il entre dans la composition de ce que nous croyons être notre personnalité, des substances fort communes et qui se retrouvent un peu partout dans l'Univers. (*En mémoire des Églises assassinées*, in *Pastiches et mélanges*, Paris: Gallimard, 1919, pp. 108–109)

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- (1) njɣ˥ zɪ˩ bi˩ zo˩ ho˩.
 1SG to_take to_go OBLIGATIVE DESIDERATIVE
 “I have to go and take [my luggage] now.” (Field notes, 2006)

With morpheme-level transcriptions indicating lexical tone in terms of the lexical tone categories of Yongning Na, the sentence can be represented as (2).

- (2) njɣ˥ | zɪ˩ bi˩ zo˩ ho˩.
 njɣ˩ zɪ˩_a bi˩_c zo˩ ho˩
 1SG to_take to_go OBLIGATIVE DESIDERATIVE
 “I have to go and take [my luggage] now.”

A crucial piece of information is the tone-group boundary after the 1SG subject: this utterance contains two tone groups, and tonal processes apply independently for the two groups, as set out in detail in Chapter 7.

The first tone group only contains one syllable. Its lexical tone is L. As reported in Chapter 2, the realization of this tone in isolation is as a level, non-low tone: M, hence the surface-phonological form /njɣ˥/ in (2).

The second tone group consists of two serialized verbs and two suffixes. The second verb, ‘to go’, behaves tonally like its grammaticalized counterpart, the IMMEDIATE FUTURE suffix. Following the morphotonological rules brought out in Chapter 6, //zɪ˩_a// in association with //bi˩_c// ‘to go’ yields //zɪ˩-bi˩//; addition of the OBLIGATIVE //zo˩// yields //zɪ˩-bi˩-zo˩//. The last suffix, DESIDERATIVE //ho˩//, carries L tone. The tonal behaviour of a L-tone suffix depends on the number of syllables of the expression to which it is attached: if suffixed directly to a L-tone verb, it carries L tone; if suffixed to a L-tone expression of two syllables or more, the suffix carries H tone, hence //zɪ˩-ho˩// ‘will take’, with a LL pattern, but //zɪ˩-zo˩-ho˩// ‘will need to take’, with a LL.H pattern. Association of this suffix to the three-syllable expression //zɪ˩-bi˩-zo˩// thus yields a final H tone, hence //zɪ˩-bi˩-zo˩-ho˩//.

Both tone groups, //njɣ˥// and //zɪ˩-bi˩-zo˩-ho˩//, contain at least one tone other than L, so that the repair rule for all-L tone groups (referred to as Rule 7) does not apply. These tone groups therefore proceed unmodified to the surface-phonological level, as /njɣ˥ | zɪ˩-bi˩-zo˩-ho˩/.

While it is satisfying to verify that the morphotonological patterns described in the present volume shed light on this and other examples, it must be acknowledged that this book is only one step towards the long-term goal of advanced linguistic modelling of tone in Yongning Na. A mid- to long-term perspective is the computer-aided analysis of individual utterances on the basis of a computer

model of the grammar (finite-state modelling), following the methodological suggestion of Karttunen (2006). This will require (i) implementation of the entire tonal grammar of Yongning Na by a computer script, (ii) glossing Yongning Na texts at the morpheme level (indicating lexical tones), providing a unique link to the lexicon, and (iii) encoding the morphosyntactic structure of each utterance. The aim will be to generate surface-phonological tone patterns for an utterance, spelling out the full set of possible variants in the division of utterances into tone groups. The model will make it possible to verify quantitatively, over the full set of available data, the generalizations which were proposed in this volume.

More ambitiously, the model will allow the investigator to set the patterns observed in a textual utterance (a real sentence from a text) against the backdrop of the complete set of alternatives. This opens new perspectives for appraising the speaker's stylistic choices in a narrative, such as the observed division of the sentence into tone groups, and the choice of one variant rather than another in cases where two or more tone patterns are acceptable. This holds promise for uncovering factors at play in so-called nonconditioned variation. Modelling of the various components of the language's prosody and morphosyntax could allow for an exploration of the range of stylistic possibilities allowed by the system, e.g. through the choice of congruence vs. dissonance between message and form, and between syntax and prosodic phrasing (a source of inspiration here is Delattre's approach to French intonation: 1966; 1970).

A second perspective will consist in examining the phonetic implementation of surface-phonological tone sequences. This study has not begun in earnest yet, because the approved order of business consists of first understanding the system (the morphotonology, and the intonation) before launching into experimental investigation into acoustic correlates and fine phonetic details (Rice 2014; Mazaudon 2014). From the beginning of fieldwork on Yongning Na, this objective has always been kept in view, however. It motivates constant efforts to collect data that will be exploitable for this purpose: high-fidelity audio, and, for some recordings, an electroglottographic signal. The first steps will consist of modelling the tonal targets and studying coarticulation patterns. Since tone-group boundaries are systematically indicated in the Yongning Na annotations, it should be possible to obtain quantified evidence on issues such as: To what extent are tone-group boundaries accompanied by pauses? Do fine phonetic details in the realization of segments cue the presence of tone-group boundaries, i.e. to what extent are tone-group boundaries signalled by "segmental intonation" in the sense of Niebuhr (2009b)?

The ultimate aim consists of assessing the contribution of various factors to the

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final phonetic realization of each syllable, teasing apart and spelling out the various components of the speech signal, and their linguistic interpretation. Computer implementation may be used as a tool to bring out, by contrast, intonational phenomena, as components that are not predictable on the basis of the utterance's contrastive units: the sequence of phonemes, and the tonal string parsed into tone groups.

12 Appendix: Vowels and consonants

... without a good sense of how languages vary, not only in terms of the symbolic units such as phonemes and allophones but also in the details of their phonetic implementation, we have little hope of understanding the possible range of language. Endangered languages in particular represent an important but often-ignored source of information about what is possible in Language. (Richard Wright)

In Yongning Na, there are no restrictions on co-occurrence of tones and segments, and no special classes of segments triggering synchronic tonal processes (as is the case with depressor consonants in Bantu, e.g. in the Ikalanga language: see Hyman & Mathangwane 1998). So it did not appear necessary to interpose a presentation of the language's segmental phonetics and phonology between the reader and the book's central topic – tone.

This Appendix offers an opportunity to smuggle into this tonal study a free-standing overview of the vowels and consonants of Yongning Na. Choices made at phonemicization are discussed in some detail, laying emphasis on those areas where phonemic analysis is cracking at the seams. Not a few of these observations could open into experimental phonetic/phonological studies, building on the availability of many hours of transcribed and annotated recordings.

12.1 Introductory note

The vowels and consonants of Yongning Na are phonologically inert: they are not involved in synchronic phonological rules and processes. In this respect,

Yongning Na is at the opposite end of the typological continuum from a language such as Kifuliiru (Bantu), which has (i) a range of phonological rules, such as the strengthening of /h/, /l/ and /r/ to a plosive when preceded by a nasal, and (ii) morphological rules, such as the deletion of final consonants in resultative verb forms (Van Otterloo 2011: 37–96).

When examining vowels and consonants in Yongning Na, one’s attention is drawn instead to their coarticulation patterns. Due to dramatic phonological erosion since proto-Sino-Tibetan (Jacques & Michaud 2011), syllabic structure in Yongning Na has collapsed down to (C)(G)V+T, where C is a consonant, G a glide – with a severely restricted distribution –, V a syllable nucleus, and T represents tone; the brackets indicate that C and G are optional. Coarticulation constitutes a salient part of a language’s sound system (Keating 1990; Kühnert & Nolan 1999). Structural approaches to phonological systems predict cross-linguistic differences in phenomena of coarticulation and articulatory reduction. To take an example, the extent to which the palatalizing influence of high, front vowels makes itself felt depends in part on the number, nature and functional yield of existing phonemic oppositions: in Na, which contrasts /ki/ and /tɕi/, the range of allophonic variation of /ki/ can safely be predicted to be narrower than in Naxi, which does not have this contrast.

Phonetic studies confirm the language-specific nature of coarticulation. DiCanio (2012: 162) recapitulates the following conclusions: “Language-specific factors which influence coarticulatory timing include lexical contrast (Scarborough 2004), phonological inventory size (Manuel 1999), and the phonological distribution of contrasts (Bird et al. 2008; Howe & Pulleyblank 2001; Manuel 1999; Miller 2007).” In the description that follows, special attention is paid to coarticulation, allophonic variation, and phenomena of articulatory reduction.

12.2 Consonant and vowel chart

Table 12.1 presents consonants, and Figure 12.1 presents rhymes. The chart of rhymes includes syllabic /ɟ/ and /ɣ/, discussed in the following section (§12.3.1). They are respectively placed in the lower right-hand and upper right-hand areas of the chart as a rough approximation of their articulatory characteristics. To the rhymes in the chart must be added rhymes that contain a glide (discussed in §12.4.1):

- /wæ/, /wa/, /wɤ/, /jæ/, /jɤ/ and /jo/

- the nasal vowels /ĩ/, /ỹ/, /ĩ̃w̃/,¹ /ã̃/ and /ã̃/ appearing only after /h/
- the rhymes /ĩ̃/ and /ĩ̃w̃æ/, which always constitute syllables on their own (i.e. do not combine with any initial)
- the nasal vowel /õ̃/ appearing after /h/ or on its own, i.e. in the syllables /hõ̃/ and /õ̃/

Table 12.1: The initials of Yongning Na.

	bilabial / labio- dental	dental	alveolo- palatal	retroflex	velar	uvular	glottal
plosive	p^h p b	t^h t d		t^h t ɖ	k^h k g	q^h q	ʔ
affricate		ts^h ts dz	tɕ^h tɕ dz	tʂ^h tʂ dʂ			
nasal	m	n	ɲ	ɳ	ŋ		
fricative	f	s z	ɕ ʐ	ʂ ʐ		ʁ	h
lateral		ɬ l					
approximant				ɻ			

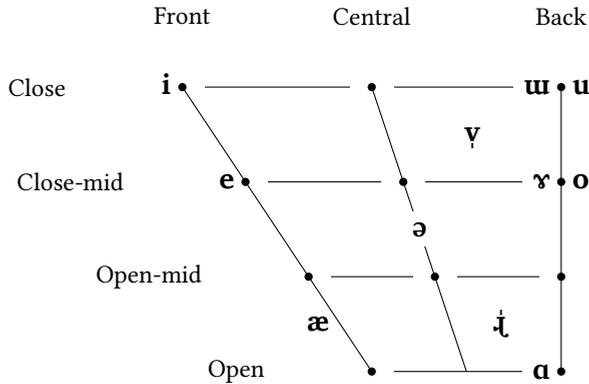


Figure 12.1: The rhymes of Yongning Na.

¹ In the rhymes /ĩ̃w̃/ and /ĩ̃w̃æ/, the diacritic for nasality is placed on the glide rather than on the final vowel because the degree of phonetic nasalization decreases throughout the course of the rhyme. About nasal rhymes in Yongning Na, see §12.3.4.

The notation used throughout this book, and in the transcription of texts, is phonemic in orientation. However, a concern for phonetic transparency led to indicate the empty-onset fillers [j], [ɣ] and [w] in transcriptions, even though they are not phonemically contrastive (as explained in §12.4.1) and hence not included in Table 12.1.

12.3 Syllable nuclei: vowels and syllabic consonants

12.3.1 Consonantal nuclei

In Na, some consonantal sounds function as syllable nuclei. In the International Phonetic Alphabet such sounds are called ‘syllabic’. Fricative syllabics are an areal characteristic. In particular, they are common in neighbouring Yi languages, which belong to the Nasoid subgroup of Loloish (a.k.a. Ngwi; see Bradley 1979: 70). Local dialects of Mandarin also have a fricative syllable nucleus, /fɣ/: for instance ‘lake’, Standard Mandarin *hú* 湖, is pronounced [fɣ]. In the absence of coda consonants in Na, ‘consonantal nuclei’ are also referred to in this volume as ‘consonantal rhymes’. Yongning Na has the following consonantal rhymes: [ɣ], [ʒ], [ʒ̣], [ɰ̣] and [ɰ̣̣].

12.3.1.1 The voiced fricative /ɣ/

The voiced fricative /ɣ/ can only appear as a rhyme, not as an initial. On the whole, the friction for /ɣ/ is weaker than in Naxi. There is some amount of formant movement towards a central articulation: [və̃]. Since friction noise is slight, even at the beginning of the rhyme, this /ɣ/ could be described as close to an approximant, [v]. Martinet makes a distinction between fricatives proper, which have a firm articulation and are characterised by fricative noise, and spirants, which have a relaxed articulation, tending towards a vowel-like aperture (Martinet 1956: 24; Martinet 1981). In terms of this distinction, unfortunately not taken up in the International Phonetic Alphabet, the articulation of /ɣ/ in Yongning Na is spirant rather than fricative. After bilabial initials, /ɣ/ has a tendency towards trilling (though, again, less markedly so than in Naxi): /bɣ/ is realized close to [β], /pɣ/ close to [pβ], and /p^hɣ/ close to [p^hβ].

In the absence of strong friction, the rhyme /ɣ/ can be difficult to distinguish from the high back vowel /o/, especially after consonants that exert similar coarticulatory effects on the rhyme. Uvular stops result in a retracted (backed) articulation of both /ɣ/ and /o/, making the opposition between syllables such as /qɣ/ and /qo/ difficult to hear at first. Minimal pairs such as /mæ-tqɣ/ ‘tail’ vs.

/mæ˧lqo˧/ ‘end; back’ constitute handy materials for learners to practise this opposition.

From a diachronic point of view, the rhyme /ɤ/ in Yongning Na (as also in Naxi) is hypothesized to originate in ***u**. Seen in this light, the process of fricativization is less advanced in Yongning Na than in Lijiang Naxi. One could find among Naish languages a continuum between [u]-like and [ɤ]-like realizations, through approximant [ɸ].

One wonders whether the habit of constantly operating with graphic notations does not make some linguist[s] deaf to the gradual shifts which any painstaking observation can reveal. If one has been taught, not only that phonological systems are made up of discrete units, but also that these units are basically the same in all languages, (. . .) one can hardly avoid concluding that no change can take place except by means of jumps from one unit or allophone to another. Only those who know that linguistic identity does not imply physical sameness, can accept the notion that discreteness does not rule out infinite variety and be thus prepared to perceive the gradualness of phonological shifts. (Martinet 1988: 25)

12.3.1.2 Apicalized vowels

Apicalized vowels are found “on the tip of many tongues” across Sino-Tibetan (Baron 1974), and Na is no exception. The vowel /**u**/ has fricative allophones after dental and retroflex fricatives and affricates; this situation is identical to that found in Naxi. For instance, /ts^h**u**˧/ ‘goat’ is realized [ts^hʒ˧], and /dʒ**u**˧/ ‘market, city’ is realized [dʒʒ˧]. In phonetic transcription, it is customary in Asian studies to use the symbols coined by Chao Yuen-ren: [ɿ] and [ɿ̚], respectively. The whole set proposed by Chao Yuen-ren is shown in Table 12.2; symbol descriptions are from Pullum & Ladusaw (1986: 80, 89-90).

The reason that rounded apical vowels are not found in Na is that (i) there is no rounding opposition among front vowels, and hence no source for a process of apicalization such as ***y** > [ɿ], and (ii) among back vowels, the close vowel ***u** fricativized to **v**, as described above (see §12.3.1.1).

In addition to an opposition between plain and retroflex apical vowels, there is in Yongning Na an opposition between /i/ and another high front vowel after the alveopalatal initials /dʒ/, /tʃ/ and /tʃ^h/. The first set – syllables /dʒi/, /tʃi/ and /tʃ^hi/ – has moderate friction during the initial, and the rhyme is not strongly apicalized.

Table 12.2: Chao Yuen-ren' symbols for apicalized vowels, and closest equivalents in the International Phonetic Alphabet.

sound	symbol	symbol description	IPA
plain apical vowel	[ɿ]	long-leg turned iota	ɿ̄
retroflex apical vowel	[ɿ̣]	right-tail turned iota	ɿ̣̄
rounded plain apical vowel	[ʋ]	curvy turned h	ʋ̄
rounded retroflex apical vowel	[ʋ̣]	right-tail curvy turned h	ʋ̣̄

The second set consists of syllables that have an apicalized rhyme and a slightly more central vowel; these syllables can be approximated in IPA notation as [dʒ̄], [tɕ̄ʒ̄] and [tɕ̄^hʒ̄], respectively. If one were to abstract from the phonetic friction found on the rhymes of these syllables, a possible phonetic notation would be as [dʒɪ], [tɕɪ] and [tɕ^hɪ]. The phonemic analysis adopted here is as allophones of /ɯ/, hence /dʒɯ/, /tɕɯ/ and /tɕ^hɯ/. This choice is based on structural considerations (complementary distribution), not on phonetics, and the degree of validity of this choice remains to be tested experimentally, e.g. by investigating to what extent priming by canonical realizations of the vowel /ɯ/ (as in /t^hɯ/ 'to drink') affects reaction times in perceptual tests.

Phonetically, the syllables /dʒɯ/, /tɕɯ/ and /tɕ^hɯ/ are not easy to distinguish from /dʒɯ/, /tsɯ/ and /ts^hɯ/, which are realized as [dʒɿ], [tsɿ] and [ts^hɿ] (standard International Phonetic Alphabet notation: [dʒ̄], [ts̄] and [ts^h̄]). An added complexity is related to Voice Onset Time oppositions among alveolopalatal initials. Syllables with an unvoiced or aspirated initial, /tɕ/ or /tɕ^h/, have stronger affrication than those with initial /dʒ/. This has coarticulatory consequences on the following vowel, so that both /i/ and /ɯ/ are somewhat less open after /tɕ/ and /tɕ^h/ than after /dʒ/.

A recording was specifically conducted for words containing one of the following syllables: /dʒɪ/, /dʒɯ/, /tɕɪ/, /tɕɯ/, /tɕ^hɪ/ and /tɕ^hɯ/. The corresponding recording is: PalatalizedApicalized.

12.3.1.3 Rhotic rhymes

Further consonantal rhymes are /ɹ/ and its nasalized counterpart /ɹ̃/. From a phonetic point of view, the rhyme /ɹ/ does not display the considerable lowering of the third formant which is the tell-tale characteristic of rhotic vowels (Ladefoged & Maddieson 1996: 313), such as Naxi /ɻ/. The diacritic indicating syllabic status

rhyme, /ɣɪ/. However, in the attested syllable /ŋɣ/, coarticulation between the initial and rhyme does not go so far as to result in complete coalescence: the syllable retains two parts, a nasal onset [ŋ], with complete oral closure, and a rhyme [ɣ̃], which is partly nasalized phonetically but without oral closure. A syllable that is identical with /ŋɣ/ except for a feature of rhoticity would be expected to begin similarly by a nasal consonant (with complete oral closure). For this reason, this syllable is not analyzed here as a rhotic counterpart to /ŋɣ/ (with nasal onset and oral rhyme), but as a monophonemic syllable consisting simply of a nasal rhyme. As for its analysis as /ɣ̃/ or /ɣ̃/, both options are open, since there is no opposition between these on onsetless syllables. On the basis of phonetic considerations, I believe that notation as /ɣ̃/ is more adequate synchronically.

12.3.1.4 Potential for the creation of new syllabic consonants and monophonemic syllables

In syllables of simple CV (consonant+vowel) segmental structure, the consonant and vowel paired together become strongly coarticulated; their features tend to be realized over the syllable as a whole. Numerous examples are found in the Yi (Lolo) branch of Sino-Tibetan languages. While the impetus for monosyllabification can safely be hypothesized to have come from Old Chinese, which influenced – directly or indirectly – languages of the Sino-Tibetan, Tai-Kadai, Hmông-Miê and Austroasiatic families, segmental depletion has reached a more extreme stage in Yi than within Sinitic itself (as pointed out by Haudricourt 1991). In Naish as in Loloish, coarticulation in CV monosyllables tends to create compact units that become less and less tractable to a straightforward analysis into two distinct phonemes, until the syllable becomes monophonemic.

In addition to apicalized vowels (§12.3.1.2), syllabic nasals can also be interpreted in this light. “In various Loloish languages some or all of the nasals occur as syllabics. In most such cases the diachronic source is syllables with a nasal initial and a high vowel; sometimes one dialect has nasal syllabics where others have nasals plus a high vowel. This could be called rhyme-gobbling” (Bradley 1989: 150; see also Björverud 1998: 8). Yongning Na reveals an intermediate stage: the syllable /mɣ/ is phonetically realized as [m̃] except in careful (hyperarticulated) speech, but /nɣ/ and /ŋɣ/ are pronounced as [nɣ] and [ŋɣ] respectively, retaining an oral portion after the initial nasal. In the present state of the language, these are simply phonetic variants; they are mentioned to illustrate the potential for the further evolution of highly eroded syllables in Yongning Na.

12.3.2 Close vowels

The close vowels /i/ and /e/ contrast after dental fricatives and affricates, e.g. /**dzi**l/ ‘to sit’ vs. /**dze**l/ ‘to fly’, /**tɕi**l/ ‘to boil’ vs. /**tse**l/ ‘to lock’, /**ts^hi**l/ ‘classifier for animal skins’ vs. /**ts^he**l/ ‘salt’, and /**si**l/ ‘wood’ vs. /**se**l/ ‘to walk’. The syllable /zi/ has only been found in /**ts^hi+zi**#l/ ‘highland barley’ and /**la+zi**l/ ‘painter’, as against about forty instances of /zu/.

After the dental nasal /n/ and the dental lateral /l/, the close vowels /i/ and /e/ are marginally contrastive. The syllable /ne/ is only found in a grammatical morpheme, /-ne/ ‘as, like’, which appears in the interrogative /**q^haJne**l/ ‘how’, the manner demonstratives /**ts^hu+ne+ji**l/ (proximal) and /**t^hv+ne+ji**l/ (distal), and constructions such as /**tç^hv+ji+ne+ji**l/ ‘every day; repeatedly, all the time’. The recognition of the morpheme /ne/ was delayed by the fact that the highly frequent expression /**ts^hu+ne+ji**l/ ‘thus, in this way’ (occurring over 500 times in 25 texts) is pronounced very close to [ts^hu+ni⁴], and hence was initially transcribed as † ts^hu+ni⁴. (This adverb is analyzed further in the section devoted to on-glides, §12.4.1.) Another marginal case where it appears reasonable to posit a /e/ vowel distinct from /i/ is in combination with initial /l/. The syllable /li/ is common, appearing in about thirty words. A [le] syllable appears in the ACCOMPLISHED prefix /le+/, and in derived items, such as /**njv+le+gv**#l/ ‘daytime’, which is perceived by consultant F4 as meaning literally ‘the day is flowing/going by’, as shown in (1).²

- (1) njv+le+gv#l
 jil le+ gv+
 day ACCOMP to_flow/to_go_by

‘daytime’, interpreted by consultant F4 as having the literal meaning ‘the day is flowing/going by’

In F4’s idiolect, the second syllable of ‘daytime’ is perceived as the ACCOMPLISHED prefix, and therefore does not constitute evidence for /le/ as an attested syllable in nouns. Moreover, the form /**njv+le+gv**#l/ is only one of many avatars of the word for ‘daytime’ among Na dialects, some of which have /ɬi/ and not /le/ as a middle syllable (/jɪ33-ɬi31 ku33/ in Lidz 2010: 297). It appeared interesting to dwell on this example nonetheless, as it provides an insight into processes whereby marginal combinations of initials and rhymes can enter the lexicon.

² Under this interpretation, the change of the first syllable from /jɪ/ to /njv/, which testifies to the advanced stage of lexicalization of this phrase, cannot be accounted for at present.

To sum up, the opposition between /e/ and /i/ is restricted to dental sounds, and within this order, it is mostly restricted to fricatives and affricates. This is one of the many cases where a phonemic opposition is found in highly restricted contexts; in Praguian terms, these constitute extreme cases of neutralization. This issue is taken up in the discussion of the inventory of syllables, in §12.5.

Recognition of the opposition between /i/ and /e/ after dental fricatives and affricates was delayed by the fact that, in this context, both /i/ and /e/ are apicalized, which makes their phonetic difference a very fine one. Apicalization is stronger for /u/ than for /i/. Another difference is that the lips are stretched for /si/, /dzi/, /tsi/ and /ts^hi/. In the first transcriptions, the contrast between the two apicalized vowels /i/ and /u/ was overlooked, and the syllables above were mistakenly transcribed as ‡**su** (for ‘wood’), ‡**zu** (for ‘barley’), ‡**dsu** (for ‘to sit’), ‡**tsu** (for ‘to boil’) and ‡**ts^hu** (for the classifier for animal skins).

In the many contexts where the opposition between /i/ and /e/ is neutralized, the transcription follows their phonetic realization, which is closer to [e] after retroflex fricatives and affricates, and to [i] in all other contexts (after bilabials, velars, uvulars, retroflex stops and fricatives, laterals, nasals, alveopalatals, and glottals).

As for close back vowels, [o] and [u] are only contrastive after initial /h/. Examples of /ho/ include /ta^hho/ ‘together’, /ho#ɫ/ ‘porridge, gruel’, /ho#ɫ/ ‘partridge’, /qoɫho/ ‘wicker box’, /ho/ ‘to sip’, /ho_a/ ‘correct’, and /-ho/ DESIDERATIVE. Examples of /hu/ are fewer; they include /hu^hmiɫ\$/ ‘stomach’, /hu/ ‘to wait’, and /hu/ ‘to miss, to long for’. Phonetically, there is stronger friction in the initial for /ho/ than for /hu/, which could be approximated phonetically as [hu] vs. [χo]. This suggests an alternative phonemic analysis, dispensing with the opposition between /u/ and /o/, positing instead an opposition between /h/ and /χ/, and rewriting /hu/ and /ho/ as /ho/ and /χo/. The phonetic difference in vowel quality appears more salient than the difference in the initial, however, hence the choice to interpret the vowel difference as phonemic.

Another way to reinterpret the syllable [hu] and eliminate the /u/ phoneme altogether would be to grant phonemic status to initial [f], analyzing the syllables [fy], [hu] and [ho] as /fy/, /hy/ and /ho/, respectively (Roselle Dobbs, p.c. 2016): see §12.4.7.

After all initials other than /h/, there is no opposition between close and close-mid rounded back vowels, [o] and [u]. Phonetic realizations are close to [o] after dentals, velars and uvulars, and more often close to [u] after the other consonants. In all the contexts where the opposition is neutralized, the notation chosen is /o/: this appeared less cumbersome than notation as an archiphoneme /O/ (or /U/).

The two sounds [o] and [u] may have stronger phonemic status in the speech of younger speakers, whose increasing proficiency in Standard Mandarin makes them familiar with a phonemic /u/ (contrasting with /o^w/ and /^wo/; the Pinyin transcription of these three vowels is: *u, ou, uo*). Southwestern Mandarin does not exert direct pressure in this direction, however, since /u/ is fricativized in this dialect of Mandarin. For instance, the word /ts^hu/ ‘vinegar’ is pronounced [ts^hv̥]. This word, which is in common use in Yongning, is accordingly pronounced as [ts^hv̥ʌ] in the speech of the older speaker F4.

12.3.3 A neutral vowel: /ə/

A clarification is necessary concerning the use of the phonetic symbol /ə/. In their 1985 book about Naxi, which includes a word list for Yongning Na, He Jiren and Jiang Zhuyi use the symbol /ə/ for two different vowels: a back unrounded vowel, /ɤ/, realized as [ɤ̥] in an onsetless syllable; and a neutral vowel, /ə/, which always constitutes a syllable on its own, harmonizes with the following syllable’s vowel, and is realized with an initial glottal stop (Michaud 2013a: 130).³ This confusing usage was adopted for the official phonetic transcription for Naxi, which remains influential.⁴

In the present system, the symbol /ə/ is only used to transcribe the INTERROGATIVE particle, and the first syllable of some lexical words, where it can plausibly be analyzed as a prefix. In particular, it is present in kinship terms referring to one’s elders, such as /ə+mi+/ ‘mother’, realized as [e+mi+]; /ə+ma+/ ‘mother (VOCATIVE)’, [a+ma+]; and /ə+v̥+/ ‘uncle’, [ɤ̥+v̥+]. Realizations are close to [æ] before /æ/ and apicalized allophones of /ɤ̥/; to [ɛ] before /i/, /ī/ and /e/; to [a] before /a/ and /wɤ̥/; and to [ɤ̥] before /ɤ̥/, /o/, /ɤ̥/, /jɤ̥/, /jo/ and /ɤ̥/. Before /v̥/, the neutral vowel /ə/ harmonizes differently depending on whether there is an intervening consonant or not: it is realized close to [ɤ̥] when immediately

³ A likely origin for this confusion is the use of /ə/ as the official phonetic equivalent of the letter *e* of the Pinyin romanization of Standard Mandarin: /ə/ was used for a back unrounded vowel. The ‘ram’s horn’ vowel symbol, /ɤ̥/, or the turned *v*, /ʌ/, constitute more felicitous choices than the neutral vowel /ə/, in view of phonetic realizations, which are “ɤ̥-like or ʌ-like” (International Phonetic Association 1949: 42). Linguists trained in mainland China in the first decades of the People’s Republic of China tended to base their transcriptions on this system, reproduced in dictionaries and textbooks until the turn of the twenty-first century. Things have now changed, thanks to more accurate descriptions distinguishing a vowel /ɤ̥/ from a vowel /ə/, such as Lee & Zee (2003: 110).

⁴ In his manuscript lexicographic notes, He Jiren used the turned *v*, /ʌ/, and not the neutral vowel, /ə/, but the symbol /ə/ was reintroduced when the data was edited for publication as a dictionary (Hé, Zhào 赵庆莲 & Hé 和洁珍 2011).

followed by /ɥ/, as in /əɥɥ/ ‘uncle’ (phonetic approximation: [ɣɥɥ]); and it is close to [æ] when the /ɥ/ is preceded by a consonant, as in /əɥp^hɥ/ ‘mother’s uncle; male ancestor of the second generation’ and /əɥmɥ/ ‘elder sibling’ (phonetic approximation: [æɥp^hɥ] and [æɥmɥ]).⁵

Note, however, that phonetic (incomplete) vowel harmony is not restricted to the vowel transcribed as /ə/. This topic is taken up in §12.3.7.

No phonetic difference could be found between the [a] realization of /ə/ before /a/, on the one hand, and the realization of the /a/ vowel phoneme; for instance, the clan name [aɥlaɥ] is phonemicized as /əɥlaɥ/, but an interpretation as /aɥlaɥ/ cannot be ruled out.

12.3.4 Nasal rhymes

12.3.4.1 Nasal rhymes after the glottal /h/

Yongning Na has a relatively large inventory of nasal rhymes: it comprises /ĩ/, /ɥ̃/, /õ/, /w̃ɣ/, /æ̃/ and /ã/, and the syllables /ɥ̃/ and /w̃æ̃/. The first six are found after /h/, where they contrast neatly with their non-nasal counterparts: /hi-/ /hĩ/, /hy-/ /hɥ̃/, /ho-/ /hõ/, /hwɣ-/ /hw̃ɣ/, /hæ-/ /hæ̃/, and /ha-/ /hã/. Examples are provided in Table 12.4.

Diachronically, these syllables illustrate a process of transfer of nasality from a syllable-initial consonant cluster to a following vowel. This process is attested in several languages of Asia. In Kam-Sui (Tai-Kadai family), Sandong Sui lost the stop part of the original cluster: the stop+nasal clusters *km-, *kn-, *tn- and *kɲ- merged with the preglottalized *²m-, *²n- and *²ɲ- initials. The latter are preserved in Sui, e.g. /²ma¹/ ‘vegetables’, /²ma³/ ‘flexible’, both corresponding to a proto-Kam-Sui *²m initial (Ferlus 1996: 251–252). Lakkia preserved the initial stop, while the nasal underwent lenition, nasalizing the following vowel in the process. Northern Sui dialects (Pandong 潘洞 and Yang’an 阳安) illustrate a possibility for the later evolution of glottal+nasal onsets. Distinctive nasality is transferred onto the following vowel, and only the glottal remains, yielding [ɥ̃] or [h̃ɥ̃]; the entire syllable is nasal, including the initial glottal sound (Haudricourt 1967: 176). This is exactly parallel to the facts of Yongning Na and other Naish languages, as brought out in Table 12.5, taken up from a cross-linguistic (panchronic) analysis of historical transfers of nasality between consonantal onset and vowel (Michaud, Jacques & Rankin 2012). Japhug is a conservative Sino-Tibetan language; after correspondences between Naish languages have been es-

⁵ This analysis was suggested by Roselle Dobbs.

Table 12.4: Examples of /h/-initial syllables that are part of a correlation of nasality.

oral rhyme	nasal rhyme
/hɪ̃/ ‘tooth’	/hĩ̃/ ‘man’; /hĩ̃/ ‘to stand’
/hʏ̃/ ([fʏ̃]) ‘to like’	/hỹ̃/ ‘red’; /hỹ̃/ ‘hair’; /nʏ̃·hỹ̃/ ‘kidney bean’; /dʒi·hỹ̃/ ‘clothes’; /hỹ̃~hỹ̃/ ‘to stir-fry’
/hõ/ ‘to sip’; /hõ/ ‘to wait’	/hõ̃/ ‘eight’; /hõ̃/ ‘to go (IMPERATIVE)’
/hw̃r̃/ ‘to pass over, to hand over’	/h̃w̃r̃/ ‘late’
/hæ̃/ ‘Sinitic’; /hæ̃/ ‘lime’; /hæ̃·p̃r̃/ ‘plait’	/hǣ̃/ ‘wind’; /hǣ̃/ ‘gold’
/hã/ ‘food’	/hã̃/ ‘night’

established, comparison with such conservative languages suggests hypotheses for fleshing out reconstructions (Jacques & Michaud 2011: 470-471).

Table 12.5: Comparative data pointing to the development of nasality in Naish from earlier */rN-/ onsets.

	Japhug	Fengke Naxi	Yongning Na	Laze
red	yurni	hỹ̃	hỹ̃	–
to stand	rma	hỹ̃	hĩ̃	hĩ̃̃̃
person	tu-rme	hĩ̃	hĩ̃	hĩ̃
body hair	tr-rme	hỹ̃	hỹ̃	hỹ̃
to stir-fry	r̃ŋu	–	hỹ̃~hỹ̃	–
two	r̃nus	jĩ	jĩ	jĩ

As seen in Table 12.5, Japhug has initial clusters; indeed, Rgyalrongic languages constitute the only group in this part of Sino-Tibetan that preserves a broad range of initial clusters. Rgyalrong provides more relevant evidence than Written Tibetan. Taking the third word in Table 12.5 as an example, Written Tibetan does

not have an initial cluster in the word for ‘person’ (*mi*), whereas Japhug has /**rme**/.

Table 12.5 brings out a correspondence between the /**hṼ**/ syllables of Yongning Na and etyma with initial /**rm-**/ or /**rn-**/ in Japhug. This leads to the hypothesis that the /**hṼ**/ syllables found in Naish languages originate in earlier *CNV syllables. The hypothesis that the nasal vowels found in some Sino-Tibetan languages could be attributed to the influence of syllable-initial nasals was already expressed by Huáng 1991. On the other hand, no hypotheses had been proposed heretofore as to which specific sequences of phonemes were involved in the change.

The last example in Table 12.5, ‘two’, illustrates the preservation in Naxi, Na and Laze of nasals that originate in onsets other than */**rN-**/. It appears reasonable to hypothesize that the *CN- onsets that led to vowel nasalization all went through a */**sN-**/ stage; sN-initial cognates are observed in Tibetan for some of these items. (For general phonetic reflections on this topic see Ohala & Ohala 1993: 233: “children learning English sometimes pronounce target *sm* and *sn* clusters as voiceless nasals”.)

In front of nasal rhymes, /**h**/ is nasalized; the lowered velum prevents the buildup of intra-oral pressure required for a strong friction noise. Since the entire syllable is nasalized, another option for phonemic analysis would be to posit a nasalized glottal fricative, /**h̃**/, contrasting with plain /**h**/. A review about “possible and impossible segments” (Walker & Pullum 1999) includes /**h̃**/ among the set of “possible segments” because it is firmly attested as a phoneme contrasting with /**h**/ in at least two languages: Kwangali (Bantu; Ladefoged & Maddieson 1996: 132-133) and Seimat (Austronesian; Blust 1998). In the case of Yongning Na, the decision to interpret nasality as a characteristic of the vowel rather than the consonant is based on the observation that nasal vowels are also found in a small set of syllables that do not have an initial glottal fricative.

12.3.4.2 Onsetless nasal syllables: /**õ**/, /**ǣ**/ and /**ĩ**/

Among onsetless nasal syllables, /**õ**/ contrasts with /**o**/, /**ǣ**/ with /**æ**/, and /**ĩ**/ with /**ɪ**/. Examples of /**o**/ (realized with a glide onset, [**wo**]) include /**wo**/ ‘hard’; examples of /**õ**/ include /**õ**ʎ/ ‘(one)self’, /**õ**-ʎswɣ/ ‘mosquito’ and /**õ**Jdɣ/ ‘wolf’. Synchronically, initial glottalization contributes to a clear phonetic contrast between /**o**/, realized [**wo**], and /**õ**/, realized [**ʔõ**]. Examples of /**ĩ**/ and /**ɪ**/ were presented above (§12.3.1.3). The syllable /**ǣ**/ always has a glottalized onset: [**ʔǣ**]. A non-nasalized syllable [**ʔæ**] is also found in the system, and is analyzed as /**æ**/, i.e. recognizing the phonemic status of both /**æ**/ and /**ǣ**/. Examples of /**ǣ**/ are

relatively numerous: it is found in words such as ‘chicken’, ‘bronze’, ‘plow’ and ‘soul’. Among disyllables, examples include / $\tilde{\text{æ}}\text{Jzu}$ / ‘agate’ vs. / $\tilde{\text{æ}}\text{Jgy}$ / ‘ard’; / $\tilde{\text{æ}}\text{Jmi}$ -/ ‘hen’ and / $\tilde{\text{æ}}\text{Jmi}$ - $\text{ɣwɣ}\#$ / ‘the village of A-Mi’ form a quasi-minimal pair.

From an evolutionary point of view, the glottal onset of [$\text{ʔ}\tilde{\text{o}}$] and [$\text{ʔ}\tilde{\text{æ}}$] may be due to the same phenomenon of hardening of empty onsets which results in the presence of an initial [ɣ] in words such as / ɣwɣ / ‘village’, corresponding to Naxi / wɣ -/ (more about this phenomenon below, §12.4.1).

12.3.4.3 Phonemic analysis of the onsetless nasal syllable [$\tilde{\text{wæ}}$]

The syllable realized as [$\text{ʔ}\tilde{\text{wæ}}$] appears in a single word: ‘to swell, to inflate (e.g. the belly is swollen)’, [$\text{ʔ}\tilde{\text{wæ}}$]. It does not have a non-nasal counterpart. In the absence of an opposition with an oral syllable [wæ], nasality and initial glottalization might be considered the product of implementation rules, rather than as part of the phoneme’s definition. From this perspective, phonemic analysis could be simply / wæ /. However, the Mandarin syllables *wa*, *wan* and *wang* (as in 王 *wáng*, a common family name) are pronounced as [ɣwæ] by consultant F4, rather than as [$\tilde{\text{wæ}}$]. If the underlying, phonemic form of syllables such as ‘to swell’ were simply / wæ /, one would expect these Mandarin forms to fall into the / wæ / category (phonetically [$\text{ʔ}\tilde{\text{wæ}}$]), not into the / ɣwæ / category. The transcription used here is therefore / $\tilde{\text{wæ}}$ /, granting phonological status to nasality, which plays a role in the vowel system of Yongning Na, but not to initial glottalization, which does not. To sum up the analysis: the syllable / $\tilde{\text{wæ}}$ /, realized as [$\text{ʔ}\tilde{\text{wæ}}$], contrasts with / wæ /, realized as [ɣwæ] (see §12.4.3).

12.3.4.4 The syllable / $\tilde{\text{i}}$ /

The syllable / $\tilde{\text{i}}$ / appears in one single word, the interjection ‘Yes!’, ‘Okay!’, used as a response to an instruction given by a person having authority (either one’s elder, or another person detaining authority): / $\tilde{\text{i}}$ -/ (phonetically: [$\text{ʔ}\tilde{\text{i}}$]). This item is described as having a mid tone, but could also be analyzed as a toneless interjection generally realized on a level pitch.

12.3.4.5 The nasal rhyme / $\tilde{\text{o}}$ / appears as a variant on two Tibetan loanwords

Some Tibetan loanwords preserve a nasal rhyme / $\tilde{\text{o}}$ / in Na, alternating with oral realizations: / o /. Only two examples have been found so far: / $\text{ts}^{\text{h}}\text{o}$ - $\text{pæ}\#$ / ~ / $\text{ts}^{\text{h}}\tilde{\text{o}}$ - $\text{pæ}\#$ /

‘head of caravan’ (compare Written Tibetan *tshong pa* ‘merchant’), and /la+ma+ko+tsʰo+~ /la+ma+ko+tsʰõ+ / ‘name of deity’ (see the narrative Lake4). On the other hand, the word for ‘monastery’, /go+br̥+ / (compare Written Tibetan *dgon pa*), does not have a nasalized variant ‡ gō+br̥+.

12.3.5 The open vowels /a/ and /æ/, and the vowel /ɤ/

Na, Naxi and Laze all have an opposition between two open vowels. For Naxi, some authors transcribe them as /a/ vs. /æ/ (for instance Hé & Jiāng 1985; Hé et al. 1989), others as /a/ vs. /æ/ (Fāng & Hé 1995), others still as /a/ vs. /a/ (Fù 1981; Pinson 2012). The use of the symbol /a/ can cause some confusion for linguists who consult data from several sources, hence my decision to adopt a notation as /a/ vs. /æ/ for all Naish languages, avoiding the symbol /a/.⁶ Phonetically, the vowel transcribed as /a/ is clearly a back vowel in Naxi, close to cardinal [a], whereas in Na and Laze it is closer to [a]. I have not noticed any cross-language difference in the pronunciation of the vowel transcribed as /æ/.

In Yongning Na, the sounds [a] and [ɤ] are contrastive in some contexts. Although it is clear from their present-day distribution that the opposition used to be neutralized in most contexts, this opposition has been reinforced through processes of structural gap-filling.

After labials, only [ɤ] and not [a] is found, but the combination /ma/ (contrasting with /mɤ/) has been introduced by Tibetan borrowings, as in the names /dʒu+ma# /, /ni+ma# / and /gy+ma+ /, and in /la+ma+ / ‘priest, lama’, /ma+ŋu+do+br̥+ / ‘pile of stones with sacred inscriptions, chorten’, and /ma+tpʰɤ+ / ‘butter’ (the second syllable is a Na adjective meaning ‘white’). Somewhat paradoxically, the first syllable of this word is perceived as semantically and phonemically different from /mɤ+ / ‘animal fat’, even though ‘butter tea’ is /mɤ+ŋi+ /. The combination /ma/ is also found in the term of address /ə+ma+ /, ‘Mum, mother’. Finally, there is a clan name, /la+ma+ /, which also contains this syllable; it may be Tibetan in origin, but this could not be verified. In the remaining two items, the syllable /ma/ may result from vowel harmony: /ma+dzə+ / ‘solid ink’, and /ma+tsa+ / ‘origin, cause’.

On the other hand, /a/ and /ɤ/ are contrastive after affricates and fricatives, e.g. /tsa+ / ‘busy’ vs. /tsɤ+ / ‘greedy’ and /sa+ / ‘hemp, *Cannabis sativa*’ vs. /sɤ+ /

⁶ For similar reasons of consistency across Naish languages, the vowel symbol /u/ is used for Yongning Na, Naxi and Laze despite slight differences in pronunciation. In Yongning Na, this vowel is articulated less to the back than the Naxi vowel transcribed with the same symbol. From a phonetic perspective, one could argue in favour of using the symbol /i/ for Yongning Na.

‘blood’.

Only [ɣ] is found after velars, and only [a] after uvulars.

After /h/, there is an opposition, witness /ha/ ‘to open (one’s eyes)’ vs. /hɣ/ ‘to dry beside or over a fire’.

12.3.6 A note on phonetic diphthongization

There is some diphthongization in the phonetic realization of the Na phonemes written as simple vowels, /i/, /e/, /æ/, /u/, /ɣ/, /o/ and /a/. Formants are not as stable as in, say, Northern (Parisian) French, whose conservative varieties provide a canonical illustration of the four-way contrast in openness among the simple vowels /i/, /e/, /ɛ/, /a/ and /u/, /o/, /ɔ/, /ɑ/. There is also some formant movement during the syllabic consonants /ɰ/ and /ʋ/.

Diphthongization is at its clearest for the vowel /e/, realized phonetically close to [ej], as noted by Lidz (2010: 63, 96). This is a point of similarity with Naxi, a language in which the simple vowels are otherwise stable. Phonetic diphthongization appears to date back to a century at least, as Bonin, an explorer of the turn of the twentieth century, transcribed the Naxi word /ni/ ‘two’ as *ngié*, and Bacot, another explorer, transcribed the Naxi name of the city of Lijiang, /ji+gy+dy/, with a *yé* for /ji/ (Bacot 1913: 3); Bacot indicates that “each [simple] vowel and its diphthongs [diphthongized variants] are interchangeable” (Bacot 1913: 28),⁷ suggesting that diphthongization was limited (Michaud & Jacques 2010).

12.3.7 A note on vowel harmony

Anticipatory vowel harmony (‘right-to-left’ harmony) is a salient phonetic tendency in connected speech in all the Naish languages studied so far. For instance, in Laze, /ji+dy/ ‘family’ is sometimes realized close to [jy+dy]. This is not a phonological phenomenon: vowel oppositions on the first syllable of disyllables are not neutralized. But this phonetic tendency becomes lexicalized on some disyllables. For instance, in some Naxi dialects, including A-she, ‘pigswill’ is /bu+ha/ (from /bu/ ‘pig’ and /ha/ ‘food’); in other dialects, including Ndale, it has become /ba+ha/. (This phenomenon is reported by Hé 1985: 11 but without mention of the dialects concerned.)

In detail, this phenomenon is highly language- and dialect-specific. Among the three Naish languages studied so far (Laze, Na and Naxi), Naxi is least prone to the lexicalization of such phenomena, and Laze most prone to it. The lexicalization of vowel harmony sometimes goes hand in hand with other processes such

⁷ *Original text*: ... chaque voyelle et ses diphtongues sont interchangeables.

as the voicing of intervocalic voiceless consonants. A typical example in Laze is /**ɕie**-**lie**-**mie**-/ ‘seventh month’, from /**ɕu**-/ ‘seven’ and /**hie**-**mie**-/ ‘month’: in addition to the change in the vowel of the first syllable, note the voicing of /**t**/ to /**l**/.

In Na, the phonetic tendency towards regressive vowel harmony is especially strong for the vowel /**æ**/. For instance, /**ŋwɤ**-/ ‘five’ plus the monetary unit /**mæ**-/ is pronounced [ŋw**æ**-m**æ**] ‘five yuan’, although in careful (hyperarticulated) speech the pronunciation is [ŋw**ɤ**-m**æ**]. Also, function words are more susceptible to vowel harmony – this is one of the manifestations of their overall weaker realization. Here are two examples.

- (i) The ACCOMPLISHED prefix /**le**-/, is realized close to [l**æ**] when the vowel of the following verb is /**æ**/ or an apical vowel.
- (ii) The NEGATION prefix, /**mɤ**-/, is realized close to [m**æ**] when the vowel of the following verb is an apical vowel.

Vowel harmony for these two prefixes is so strong that at one point (2008–2011) I transcribed them as /**lɛ**-/ and /**mɛ**-/, with a neutral vowel. But other morphemes such as the DURATIVE prefix /**tʰi**-/ also exhibit some vowel harmony. Pending the results of future phonetic studies of the relative degree of vowel harmony for a broad range of grammatical words, I chose to stop granting special phonemic status to the vowel in the ACCOMPLISHED and NEGATION prefixes. This topic is well worth investigating further. It links up with topics of diachronic phonology: vowel harmony has the potential to introduce new phonotactic combinations into the phonological system, through sporadic lexicalization of harmonized phonetic forms. For instance, syllables containing a dental stop followed by /**æ**/, such as /**læ**/, /**tæ**/ and /**tʰæ**/, are scarce in Na, and most of them appear to originate in vowel harmony (see §12.4.5).

12.4 Initial consonants

12.4.1 On-glides

The high vowels /**i**/, /**u**/ and /**o**/ have phonetic on-glides: they are realized as [j**i**], [ɥ**u**] and [w**o**]. The phonetic realization of the syllable /**u**/ could also be transcribed with an approximant initial: [ɥ**u**]; this is the choice made in a dictionary of Naxi (Pinson 2012), a language where the phonemic analysis of this syllable is the same as in Yongning Na and the phonetic realization does not sound any different to me.

The phonetic on-glides in [ji], [yɯ] and [wo] appeared salient enough to be indicated in transcriptions, departing slightly from considerations of notational economy. However, they do not involve the noticeable change in vowel quality (formant movement) associated with the phonemic on-glides found in the complex rhymes, /jo/, /jɣ/, /jæ/, /wɣ/, /w̃ɣ/, /wæ/, /w̃æ/, /wa/ and /w̃ɣ/. There is a potential for phonetic coalescence of onsetless syllables with the preceding syllable in polysyllabic words or tightly-knit polysyllabic expressions. For instance, I mistakenly believed for several years that the manner adverbials ‘in this way, thus’ and ‘in that way’ were /tʂ^hu-ni¹/ and /t^hɣ-ni¹/, respectively; these are in fact /tʂ^hu-ne¹ ji¹/ and /t^hɣ-ne¹ ji¹/, made up of a manner adverbial (/tʂ^hu-ne¹ /, /t^hɣ-ne¹/) followed by the verb ‘to do’, /ji¹/ (as mentioned in §12.3.2). Realization of the trisyllabic structure of these phrases did not come from phonetic evidence, but from their tonal behaviour. Consider example (2), shown here as initially transcribed:

- (2) tʂ^hu-ni¹ | gwɣ¹-ni¹-mæ¹
 tʂ^hu-ni¹ gwɣ¹ ni¹ mæ¹
 in_this_way to_sing CERTITUDE OBVIOUSNESS
 ‘This is how [people] used to sing!’ (Caravans.51, 53, 57)

If the notation /tʂ^hu-ni¹/ were correct, it should be possible to link up the adverbial together with the following verb phrase into a single tone group, as † tʂ^hu-ni¹ gwɣ¹-ni¹-mæ¹.⁸ But this stylistic option is not open: the manner demonstrative cannot project a H tone onto a following syllable, as would be expected if it carried MH# tone. The solution to this puzzle is that the adverbial phrase ‘thus, in this way’ is trisyllabic (/tʂ^hu-ne¹-ji¹/), and remains so despite the strong phonetic coarticulation between its last two syllables. The correct notation of (2) is as in (3).

- (3) tʂ^hu-ne¹ ji¹ | gwɣ¹-ni¹-mæ¹
 tʂ^hu-ne¹ ji¹ gwɣ¹ ni¹ mæ¹
 in_this_way to_do to_sing CERTITUDE OBVIOUSNESS
 ‘This is how [people] used to sing!’ (Caravans.51, 53, 57)

Now moving on to other vowels: /æ/ and /a/ may begin either with a glottal stop (hard phonetic onset) or with soft phonation: [ɦæ] ~ [ʔæ], [ɦa] ~ [ʔa]. Unlike in Naxi, where /ɣ/ is realized as /ɣɣ/, in Yongning Na the vowel /ɣ/ never constitutes a syllable on its own. As explained in §12.3.5, the opposition between

⁸ About the division of the utterance into tone groups, see Chapter 7.

/ʁ/ and /ɑ/ is restricted to a few contexts; synchronically, it may be considered to be neutralized in onsetless syllables.

No distinct onset portion is found for /ʁ/, realized simply as [ʁ]. Likewise, the rhyme /ɨ/ is not separated from the preceding rhyme by a glide or glottal stop: for instance, in /bʁɨ#/ ‘fly’ the /ʁ/ and /ɨ/ follow each other: [bʁɨ].

The following paragraph discusses syllables that canonically begin with a glottal stop.

12.4.2 Initial glottal stop

The rhymes /ə/ and /ɨ/ begin with a phonetic glottal stop when said in isolation, and also word-medially in hyperarticulated realizations: e.g. /sɛɨɨ/ ‘bone’ is realized as [sɛɨʔɨ] in careful speech, and as [sɛɨɨ] in casual speech.

The morpheme /uɨ/, an ASSOCIATIVE first person pronoun that appears in the pronouns /uɨɨ/ ‘my clan, my people’ and /uɨɨɨ/ ‘us (as opposed to them)’, is pronounced with an initial glottal stop, hence [ʔuɨɨ] and [ʔuɨɨɨ]. The morpheme /uɨ/ is the only instance of this syllable that has been observed; but one example is enough to require recognition of this syllable as distinct from the syllable realized as [wo] ~ [wu], found in words such as ‘hard’, ‘swing’ and ‘turnip leaves’. Several solutions are open here, such as the following three (which could be combined in various ways).

- (i) The syllable [ʔu] could be phonemicized as /u/, and [wo] ~ [wu] as /wu/, granting phonemic status to the initial glide.
- (ii) The syllable [ʔu] could be phonemicized as /ʔu/, and [wo] ~ [wu] as /u/, granting phonemic status to the initial glottal stop.
- (iii) The syllable [ʔu] could be phonemicized as /u/, and [wo] ~ [wu] as /o/, ascribing the different initials to phonotactic rules of assignment of empty-onset fillers.

The most important cue to this opposition appears to lie in the initial, and would suggest granting phonemic status to the initial glottal stop or the labial-velar approximant. The provisional choice made here consists in considering the approximant as an empty-onset filler, and the glottal stop as a phonemic initial, hence its inclusion in Table 12.1. Clearly, such cases of phonemic ambiguity hold potential for changes to the system; the example of initial /ʁ/, examined in the next paragraph, exemplifies the introduction of new consonants from phonemic reinterpretation of empty-onset fillers.

12.4.3 Initial /ɣ/ as a phonemicized empty-onset filler

The situation of /wɣ/, /wæ/, /o/, /ɑ/ and /æ/ is especially interesting. These rhymes can combine with an initial voiced uvular fricative, /ɣ/. (Phonetically, this /ɣ/ is weakly articulated, and can be mistaken for /w/ in some hypoarticulated tokens.) Some of the words at issue derive diachronically from onsetless syllables, such as ‘village’, /ɣwɣ-//, corresponding to /wɣ-/ in Naxi and Laze, and its homophone ‘mountain’, /ɣwɣ-//, likewise corresponding to /wɣ-/ in Naxi (where it means ‘hill, hillock’); others from syllables with an initial velar or uvular cluster, such as ‘sword’, /ɣæmi-//, corresponding to Naxi /ŋgæ/. (A process of hardening of initial glides has been reported in Zelu Ersu, where “w- is sometimes pronounced with frication, as [ɣ^w-]”: Yu 2012: 11.)

The hardening of soft onsets should in principle result in the absence of any syllable realized as [wɣ], [wæ], [o], [ɑ] or [æ], since these became [ɣwɣ], [ɣwæ], [ɣo], [ɣɑ] and [ɣæ]. This is true for /wæ/: only [ɣwæ] is attested, not [wæ] (e.g. /ɣwæ/ ‘left, leftward’, corresponding to Naxi /wæ/ and Laze /væ/). For the other syllables, however, there are oppositions between syllables with and without a /ɣ/ onset: a process of structural gap-filling has taken place. Progress in the etymological study of individual examples will be necessary to understand the various processes whereby onsetless syllables were reintroduced into the system. The hardening of empty-onset fillers must date back a relatively long way, judging from the number of onsetless syllables that currently exist: e.g. , for /wɣ/, the classifier for loads, /wɣ/; the classifier for generations, /wɣ/; ‘slave’, /wɣ/; ‘again, anew’, /wɣ/; ‘to detour past, to bypass’, /wɣ wɣ/; and the final exclamative particle /wɣ/ (currently analyzed as toneless).⁹

The change from proto-Naish *j to Na /z/ may be part of the same process of hardening. ‘To sleep’, Na /zi/, corresponds to Naxi /ji/ (phonemically a simple /i/, to which an empty-onset-filler gets added) and Laze /zi/; the reconstruction proposed in Jacques & Michaud (2011) is *jip.

12.4.4 Velar and uvular stops

Velar and uvular stops are in complementary distribution, except in front of /ɣ/, /wɣ/, and /o/, where they are contrastive. Examples of the syllables /kɣ/, /qɣ/,

⁹ The voiced uvular fricative /ɣ/ is not uncommon in this linguistic area, but with a widely different phonemic status from one language to another. For instance, in Lizu (Chirkova & Chen 2012), it is an allophone of the voiced velar fricative /ɣ/ in front of /e/ and /we/, e.g. /ɣe/ [ɣe] ‘needle’, /ɣwe/ [ɣwe] ‘to thunder’. Tone is not indicated in the Lizu words because the transcription system used in this source would require explanations that are not relevant to the present discussion.

/k^hʏ/, /q^hʏ/, /ko/, /qo/, /k^ho/ and /q^ho/ are provided in (4). A single instance of /qi/ (contrasting with /ki/) has also been found.

(4)	a.	/qʏ/		/kʏ/	
		qʏʌ	handle	kʏʌ	garlic
		qʏʌ	to frighten	kʏʌ	to be able to
		qʏʌʃsæʌ	throat	kʏʌʃsuʌʃ	nail
		mæʌqʏʌ	tail	kʏʌdzuʌ	tent
	b.	/q ^h ʏ/		/k ^h ʏ/	
		q ^h ʏʌ	six	mʏʌk ^h ʏʌ	smoke
		q ^h ʏʌ	horn	k ^h ʏʌ	to cut (grass)
		q ^h ʏʌ	hole	k ^h ʏʌ	dog
		bʏʌq ^h ʏʌ	conch shell	k ^h ʏʌ	year
	c.	/qo/		/ko/	
		qoʌ	to love	koʌ	hill
		qoʌhoʌ	bamboo box	koʌ	to bask
		qoʌqaʌ	mountain pass	koʌdzoʌ	flail
		-qoʌ	inside	mæʌkoʌ	harness
	d.	/q ^h o/		/k ^h o/	
		q ^h oʌ	to kill	k ^h oʌ	to spread (e.g. a sheet)
		q ^h oʌloʌ	wheel	k ^h oʌloʌ	prayer wheel
		q ^h oʌtyʌ	tree stump	tseʌk ^h oʌ	sanctuary
		q ^h oʌmʏʌ	straw hat	hæʌk ^h oʌ	princess, young lady
	e.	/qi/		/ki/	
		qiʌqiʌ	originally, at first	kiʌ	to give

This situation is unsurprising in areal context. In Lizu, velar and uvular stops only contrast before /o/, e.g. /ko/ ‘to beg’ vs. /qo/ ‘hole, pit’ (Chirkova & Chen 2012).

From a diachronic point of view, uvulars have various possible origins (Sun 2003a: 782-783); in view of cognates with uvular initials in rGyalrong, a conservative language, the current analysis is that Na uvulars have great historical depth (Jacques & Michaud 2011: 492).

12.4.5 Retroflex stops and affricates

Yongning Na has (i) an opposition between dental and retroflex affricates, with a high functional load, and (ii) an opposition between dental and retroflex stops

and nasals, but only in front of /i/, /æ/, /ɤ/ and /o/. Examples include: /tʰi/ ‘tired’ vs. /tʰi/ ‘to plane (wood)’; /ti/ ‘to get up’ vs. /ti/ ‘to knock, to tap (lightly)’; /tʰæbɤ/ ‘Buddhist priest’ vs. /tʰæpɤ/ ‘thin, skinny’; /do/ ‘to allow, to permit’ vs. /do/ ‘to climb’. After retroflex consonants, /o/ is realized close to [u].

The consonants transcribed as retroflex are articulated much less to the back than canonical retroflex sounds such as those of Nepali; a palatographic study may reveal that they are postalveolars rather than retroflexes. In the absence of distinct International Phonetic Alphabet symbols for postalveolar stops, the simplest solution is to use retroflex symbols and retain the label ‘retroflex’.

12.4.6 Laterals /l/ and /ɭ/, and the retroflex approximant /ɻ/

The laterals /l/ and /ɭ/ are contrastive in Yongning Na, as demonstrated by pairs such as /li/ ‘to look’ vs. /ɭi/ ‘month’ and /lo/ ‘thick’ vs. /ɭo/ ‘deep’. Phonetically, the voiced lateral /l/ has a broad perimeter of allophonic variation. It is realized as retroflex in front of /ɯ/, e.g. in the classifier for round objects such as bowls and grains (also serving as generic classifier). The phonemic analysis /lɯ/ for this syllable was only arrived at after the greatest hesitations: a broad range of options was considered, including [ɻɯ], [ɭɯ], [lɤ], [ly], [ɻy] and syllabic [l] or [ɻ]. The entire syllable is articulated loosely: the initial is close to an approximant, and the vowel quality is not precise, so that the syllable often resembles a monophonemic [l].

In front of /ɤ/, the voiced lateral /l/ is slightly retroflex. In all contexts, /l/ is accompanied by some friction. This characteristic is at its clearest before the high front vowel /i/, but it is also observed before open vowels, including /a/ and /æ/. Phonetically, it may therefore be more adequate to transcribe this allophone as [ɭ] rather than [l]. Phonologically, it would be economical to consider that the two laterals are distinguished solely by the feature of voicing; this also argues in favour of a notation as /ɭ/.

The compromise choice made here for the sake of simplicity consists in using a notation as /l/ rather than /ɭ/. On the other hand, a retroflex initial is used in the transcription of the syllable [ɻɯ] (phonemically: /lɯ/) to reflect what I perceive as a great phonetic distance between the realization of /l/ in this context and in all others; it appeared better to keep the transcriptions close to the surface forms.

These observations on the allophonic variation of /l/ shed indirect light on the distribution of the retroflex approximant /ɻ/: this phoneme may well have originated as an allophone of /l/ which drifted to such a phonetic distance that it opened a structural gap that was later filled through borrowings and processes of vowel harmony. The present-day /ɻ/ initial of Yongning Na appears only in

the syllables /ɣæ/ and /ɣwæ/, which correspond to the syllables /læ/ and /lwæ/ in Laze, e.g. ‘to shout, to cry’: Na /ɣwæɿ/, Laze /lwæɿ/, and ‘seed’: Na /ɣæɿ/, Laze /læɿ/. Roselle Dobbs (p.c. 2013) indicates that in villages that belong to the area referred to in Na as /la-tʰa-di/, to the east of lake Lugu, these items retain the lateral initial. Synchronically, /ɣæ/ contrasts with /læ/, but the latter only appears (i) in borrowings, such as /læ-tsuɿ/ ‘chili peppers’, from Southwestern Mandarin 辣子 [la.tʂɿ], (ii) in the ACCOMPLISHED prefix /leɿ-/, whose phonetic realizations, determined by the vowel of the following verb, include [læ], and (iii) in words where the /æ/ could have resulted from vowel harmony, e.g. /læ-ɣæɿ/ ‘raven’. (Regressive vowel harmony, which becomes sporadically lexicalized, is a salient phonetic tendency in Na: see §12.3.7.) As for the syllable /ɣwæ/, there exists no lateral counterpart /lwæ/.

It can therefore be hypothesized that present-day /ɣæ/ and /ɣwæ/ originated in earlier /læ/ and /lwæ/, which became phonetically closer to [ɣæ] and [ɣwæ], thus leaving these phonetic slots empty; the [læ] slot was then occupied by other syllables.

These diachronic observations do not detract from the synchronic phonemic status of /ɣ/.

A tantalizingly similar situation is found in Lizu, where the /ɿ/ phoneme only occurs before /æ/, /ə/, and /wæ/, e.g. /ɿæ/ ‘yak’, /ɿə/ ‘to laugh’, and /ɿwæ/ ‘chicken’ (Chirkova & Chen 2012). This is not due to language contact but to cross-linguistic (panchronic) regularities in phonological erosion processes.

12.4.7 The glottal fricative /h/, and the sound [f]

Na has a glottal fricative /h/. At an earlier stage of the language, the sound [f] can be hypothesized to have been entirely absent, since early Mandarin borrowings with initial [f] in the donor language were reinterpreted as having initial /h/. For instance, ‘method, solution’, 办法 (Standard Mandarin: *bànfǎ*) was borrowed as /pæɿhwɿɿ/.

The sound [f] appears in more recent layers of borrowings, however. A plausible scenario is that /h/ in front of oral rhymes came to be realized in Na with a friction source at a point in the vocal tract determined by the following vowel, e.g. palatal before /i/ and labial-dental before /ɿ/, hence [çi] and [fɿ]. Once the sound [f] had thus been introduced into Na (on a phonetic level), the way was paved for the introduction of [f]-initial loanwords. In the present state of the language, speakers of Yongning Na have no problem pronouncing a [f] sound in front of any of the Na rhymes, for instance in the commonly used Mandarin borrowing [fa] (e.g. for Mandarin *fā* 发, as in *fājiào* 发酵 ‘to ferment’).

This can be taken as evidence that the sound [f] is no longer perceived by the speakers as an allophone of /h/. It is well-known that allophones that drift far apart acquire psychophonetic independence from one another, witness the well-documented cases of German [ç] and [x], and Standard Mandarin [ç] and [x]. As the psychological reality of the underlying unity between allophones wanes, the resistance against structural gap-filling decreases.

In view of this situation, and also in order to keep the transcriptions close to the surface forms, the syllable [fʏ] is transcribed as such, rather than pushing phonemicization to an extreme and analyzing it as /hʏ/. Under a flatly synchronic analysis that includes Mandarin borrowings, the sound [f] needs to be granted phonemic status, hence its inclusion in Table 12.1.

This could lead to a modified treatment of the syllables [fʏ] and [hu]. The former could be phonemicized as /fʏ/, and the latter as /hʏ/; this would eliminate the /u/ phoneme altogether (Roselle Dobbs, p.c. 2016). This is one of the points of Yongning Na phonemics which are open to several analyses.

The combination /hi/ retains a relatively back articulation; this appears to be motivated in part by its opposition with /çi/, which would be threatened by further fronting. The combination [çi] is here transcribed as /hi/.

12.5 Comments about the inventory of syllables

Numerous phonemic oppositions are found in highly restricted contexts in Yongning Na. A similar situation is found in Naxi (Michaud 2006b). The strict application of principles of Praguian synchronic description leads to an analysis of these phenomena as extreme cases of *neutralization* of phonemic contrasts. For instance, in Yongning Na the opposition between nasal and oral vowels is neutralized in all contexts except after glottal initials. It may appear counter-intuitive to speak of neutralization here: it is more usual to use this notion to describe cases where a thoroughgoing contrast disappears in a restricted environment, e.g. in Trubetzkoy's classical example: French /e/ and /ɛ/ contrast only in open syllables, the opposition being neutralized in closed syllables.

In French (...) an opposition between e and ɛ only occurs word-finally in open syllables, e.g. *les* 'definite article.PL' vs. *lait* 'milk' and *allez* 'to go.2PL' vs. *allait* 'to go.3SG.PST'. In all other positions the occurrence of e and ɛ is predictable: ɛ occurs in closed syllables, e in open. These two vowels must thus be considered two phonemes in open-syllable-final position, and combinatory variants of a single phoneme in all other positions. We

call such oppositions *neutralizable* oppositions, the positions in which the neutralization takes place *positions of neutralization*, and those positions where the opposition is relevant *positions of relevance*. (Trubetzkoy 1969: 78)¹⁰

However, the term *neutralization* should not be understood in a dynamic sense, whereby the opposition once existed and later has been neutralized. It has a static, flatly synchronic application (Martinet 1969: 257–259; 1970: 87–89). It is not unusual for a synchronic formulation to be the reverse image of a diachronic perspective. For instance, describing the synchronic stage during which Chinese contrasted three tones (A, B and C) on non-obstruent-final syllables, it can be said that the tonal opposition was neutralized on obstruent-final syllables (described as belonging in a fourth category: D), although this opposition never actually existed on these syllables.

In Praguian phonology, phonemes have relations of opposition with one another, and are marked for features as a consequence of these relations. If the features shared by two phonemes are not found in any other phoneme, the opposition is bilateral and neutralizable; otherwise it is multi-lateral and not neutralizable. Stated differently, only where there is opposition is a feature contrastive. An opposition is neutralized whenever one member does not occur in a specific environment. The product of neutralization is referred to as an archiphoneme. (For a recent book-length treatment of neutralization from a variety of theoretical perspectives, see Silverman 2012.)

In phonological transcription, archiphonemes can be set in capitals, but this gets visually cumbersome in cases where positions of neutralization are more numerous than positions of relevance. Notations using archiphonemes are also more abstract than notations containing phonetic symbols: interpreting them requires a knowledge of the language's phonotactics. For these reasons, notations in terms of archiphonemes are not used in this volume.

¹⁰ Some modifications to the translation were made by Roselle Dobbs. *Original text*: Im Französischen kommen aber e und ε nur im offenen Auslaute als Glieder einer phonologisch-distinktiven Opposition vor (*les-lait, allez-allait*); in den übrigen Stellungen ist das Vorkommen von e und ε mechanisch geregelt (in gedeckter Silbe ε, in ungedeckter e), so daß diese zwei Vokale nur im offenen Auslaut als zwei Phoneme, in den übrigen Stellungen dagegen als kombinatorische Varianten eines einzigen Phonems gewertet werden müssen. Der phonologische Gegensatz ist also im Französischen in gewissen Stellungen a u f g e h o b e n. Solche Oppositionen nennen wir a u f h e b b a r; jene Lautstellungen, in denen die Aufhebung erfolgt, A u f h e b u n g s s t e l l u n g e n, jene, wo die Opposition relevant ist, R e l e v a n z s t e l l u n g e n. (Trubetzkoy 1939: 70)

From a dynamic point of view, gaps in the inventory of syllables provide structural hints about past evolutions and current tensions within the system.

12.5.1 Combinations of a dental stop and /æ/ vowel seem recent

Combinations consisting of a dental stop followed by /æ/ are scarce. The only example for /dæ/ is /læ-|dæ-|qæ/ 'armpit'; the two examples for /tæ/ are /tæ-|qæ/ 'Adam's apple, oesophagus' and /tæ-|pɣ/ 'thin, skinny'; the only example for /tʰæ/ is /tʰæ-|qæ/ 'book'. All of these except /tæ-|pɣ/ 'thin, skinny' can be explained as resulting from vowel harmony. Na /tʰæ-|qæ/ 'book' corresponds to Laze /tʰa-|ɾ/ and Naxi /tʰe-|ɣuɾ/; the vowel correspondence /e:æ:a/ is otherwise unattested, reinforcing the hypothesis that vowel harmony or some other exception-causing force was at play here. Na /tæ-|qæ/ 'Adam's apple, oesophagus' corresponds to Labai Na /ta-|t/; again an irregular correspondence, as the regular correspondences are simply æ::æ and a::a.

12.5.2 A marginal combination: dental stop plus /ɣ/

Few words contain a dental stop plus /ɣ/; the only two attested combinations are /dɣ/ and /tɣ/ (no /tʰɣ/). There is an extra-distal demonstrative: 'way over there', realized as /dɣ-|qo-|/ or /dɣ-|qo-|/, with the locative /qo/ as its second syllable (like in /tɕ-|uɾ-|qo-|/ 'here' and /tʰɣ-|qo-|/ 'there'). The pitch of the first syllable reflects the intended distance: a realization with a mild rise, which could be transcribed as /dɣ-|qo-|/, points to a less distant place than a realization with a super-high, decreasing pitch, which could be transcribed as /dɣ-|qo-|/. The same phenomena are observed for /dɣ-|tʰɣ-|qo-|/ ~ /dɣ-|tʰɣ-|qo-|/ (same meaning, with added distal demonstrative) and /dɣ-|tʰɣ-|gi-|/ ~ /dɣ-|tʰɣ-|gi-|/ 'that side, way over there'. The expressive load of these phrases goes a great distance towards explaining oddities in the phonemes and tone of their first syllable, where the expressivity is at its strongest.

All the other examples of syllables /dɣ/ and /tɣ/ are found with a following /t/, in stative verbs: /hɣ-|dɣ-|t/ 'clumsy', /õ-|dɣ-|t/ 'fundamentally' (from /õ-|/ '(one)self'), /suɾ-|tɣ-|t/ 'smooth (e.g. carefully planed wood)', and /dzɯ-|tɣ-|t/ 'humid, moist' (from /dzɯ-|/ 'water').

These words contain a phonetic sequence which sounds like a trilled rhyme. They were initially transcribed as /dɾ/ and /tɾ/, adding another unit to the inventory of rhotic rhymes. This analysis appears correct for the phonological system of speaker F5 (F4's daughter-in-law): when repeating the phrase [hɣ-|dɾ-| hɣ-|dɾ-| zo] 'clumsily' very slowly, she clearly syllabifies the phonetic sequence [dɾ] as

one single syllable.

In the speech of F4, though, phonetic realization is slightly less packed together, ranging between [dər] and [dər̥] for the syllable with a voiced initial, and between [tər] and [tər̥] for the syllable with an unvoiced initial. A phonological argument demonstrating that there are two syllables, not one, comes from the noun /ho-dzɯ-tɻ.ɿ/ ‘paste, starch’, literally ‘watery gruel’, derived from /ho/ ‘porridge’ and /dzɯ-tɻ.ɿ/ ‘humid, moist’. The noun carries H tone on its penultimate syllable and L tone on its final syllable. No HL falling tone (or any other falling tone, HM or ML) is ever observed on a single syllable in Yongning Na, so one is led to conclude that there are two syllables here: /tɻ.ɿ/.

Interestingly, the two tokens containing /tɻ/ have a variant with /dɻ/: /sɯ-tɻ.ɿ/ for ‘smooth’, and /dzɯ-dɻ.ɿ/ for ‘humid, moist’. The reverse is not true: the two tokens containing /dɻ/ do not have a variant with /tɻ/; it is not acceptable to say † hɿ-tɻ.ɿ# for ‘clumsy’, or † ɔ-tɻ.ɿ for ‘fundamentally’. This suggests that /-dɻ.ɿ/ was, at one stage, a suffix used to derive adjectives (also used adverbially). This suffix must have ceased to be productive quite some time ago, since two of the four examples underwent a separate phonetic evolution. It may never have been highly productive.

12.5.3 After alveolopalatals, is the rhyme /o/ or /jo/?

The syllables transcribed as /tɕ^ho/, /tɕo/ and /dzo/ could also be analyzed as /tɕ^hjo/, /tɕjo/ and /dzjo/, with a /-jo/ rhyme. The correspondence between Na /dzo/ and Naxi /gy/ (phonetically: [jy]) suggests that the initial became palatalized in Na by a following high front vowel or glide. From a synchronic point of view, however, it seemed more appropriate to transcribe these syllables as composed of an alveolopalatal initial followed by a back vowel.

12.5.4 Phonemic status of the retroflex nasal

There is one single instance of /ɲɿ/: /ɲɿ/ ‘to sniff; to get to know (news)’, often used in the negative: /mɻ-tɻ.ɿ/ ‘[I] don’t know’. This syllable contrasts with /nɿ/, e.g. /nɿ/ ‘to bury’. Another possible analysis would be as [ɲɿ], phonemically /nɿ/, in which case one could dispense with positing a /ɲ/ consonant phoneme contrasting with /n/: retroflex realizations would be conditioned by a following /ɯ/ or /ɿ/, the combinations /nɯ/ and /nɿ/ being realized as [ɲɯ] and [ɲɿ], respectively. In the absence of a phonemic opposition, and given the phonetic proximity between these two rhymes in a retroflex context, this interpretation is

not absurd. The next logical step in that perspective would be to reinterpret /ɖʏ/, /tʏ/ and /tʰʏ/ as /d̪ɪ/, /t̪ɪ/ and /tʰ̪ɪ/.

This does not represent a real economy, however, since there is an opposition between dentals and retroflexes in front of other vowels (e.g. /t̪i/ vs. /ti/). The choice to transcribe as /ɳʏ/ is based on my auditory impression that, in the present state of the system, the rhyme is closer to [ʏ] than to [ɪ].

12.5.5 The palatal nasal

The palatal nasal [ɲ] only appears in the syllable [ɲi], suggesting a reanalysis as an allophone of one of the other nasal initials in the system: /m/, /n/, /ŋ/ or /ɳ/. The most plausible analysis from a language-independent perspective would be phonemicization as /ɳi/, in view of the well-documented palatalizing effects of high front vowels on velar consonants.

This analysis is possible in principle, in the absence of a syllable [ɲi] in the syllabic inventory of Yongning Na. In Naxi, analysis of palatal initials as allophones of velars is an attractive solution, because it applies throughout the system: [cʰi], [ci], [ji], [ɲji] and [ɲi] can be analyzed as /kʰi/, /ki/, /gi/, /ɳgi/ and /ɳi/ (Michailovsky & Michaud 2006: 14). However, detailed examination of the Naxi lexicon shows that this amounts to an internal reconstruction rather than a synchronic phonemic analysis, as some expressive coinages have now filled the structural gaps left empty by the palatalization of velars (Michaud & He 2015: 7). In Na, it is less tempting to phonemicize [ɲi] as /ɳi/, as there are phonemic combinations of velar initials with the vowel /i/, which are not strongly palatalized. The notation adopted therefore remains close to the surface form: /ɲi/.

12.5.6 Syllables introduced by Mandarin borrowings

Mandarin borrowings have a potential for bringing considerable changes to the phonotactics of Na syllables; in particular, they introduce many new combinations of vowels with glides. The overall situation is comparable to that of Naxi. A young Naxi from Dadong 大东, He Likun 和丽昆, did an inventory of the syllables present in his own speech, and found that recent Mandarin loanwords account for about 150 of the syllables that he uses when speaking Naxi (Michaud & He 2015). He Likun is basically bilingual in Mandarin, a situation which is common among young Naxi and Na people. On the other hand, the main consultant for Yongning Na is thirty-eight years older than He Likun, and her knowledge of Mandarin is limited. In her speech, there is a tension between (i) a general tendency to integrate loanwords into the Na phonological system and (ii) occa-

sional efforts at getting closer to the “correct” pronunciation in Mandarin (either Southwestern Mandarin or Standard Mandarin, depending on the addressee).

This source of instability needs to be recognized when transcribing Mandarin borrowings: they typically possess both (i) an adapted form, conforming to Na phonotactics and phonetics, and (ii) forms that are closer to Mandarin, and which depart from Na phonotactics and phonetics. For instance, in the absence of a rounding opposition for front vowels, Mandarin [y] is borrowed as [i]: the Mandarin 杂菌 [tsa.tɕyn] ‘mixed mushrooms’ is pronounced /tsa.lɕi/. But the consultant is aware of the phonetic distance between [tɕyn] and [ɕi], and is able to make efforts towards rounding of the front vowel, getting close to [tɕye]~[tɕyi].

The competing forces of (i) adaptation to the Na system and (ii) faithfulness to Mandarin pronunciation can sometimes be observed in the lexicon. The Chinese word for ‘Westerners, foreigners’, *yáng* 洋, has three forms in Yongning Na: /jɾ/, /je/ and /ze/. The most common form is /jɾ/, as in /jɾ.lho/ ‘matches’, from *yánghuó* 洋火 and /jɾ.ljo#/ ‘potato’, from *yángyù* 洋芋. The second, /je/, appears in /je.lze/, from *yáng rén* 洋人 ‘Westerner’. In turn, this word appears in a modified form in ‘wild cotton flowers’, /ze.lze-ɬ-bæ.lbæ/. This is a distortion of /je.lze-ɬ-bæ.lbæ/, literally ‘Westerners’ flower’, which is still an acceptable variant. The borrowed syllable /je/ in /je.lze/ 洋人 ‘Westerner’ is Naicized by identifying it with a syllable that is well-attested in Na (/ze/), taking occasion of its presence in the immediate vicinity: as the second syllable of ‘wild cotton flowers’. Unsurprisingly, the stylistic effect of Naicization is to sound more local, playing on a sense of closeness among speakers of Na, whereas the more faithful rendering of the Mandarin original sounds more modern and forward-looking.

12.6 Articulatory reduction: reduced forms and their lexicalization

Phenomena of articulatory reduction pave the way for the lexicalization of new forms, sometimes resulting in the creation of new syllabic combinations. Some salient examples are presented below.

The grammatical word /ji/ ‘to do’ is prone to reduction. Reduction is well on its way towards lexicalization for /gu.ji/ ‘really, truly’ (from /gu/ ‘authentic, true’), realized as [gi] except when hyperarticulated. Phonetic reduction is common, e.g. /no | ə-ɬso-ji-ɬbi/ ‘What are you going to do?’ (2SG-INTERROGATIVE:-what-to_do-IMMEDIATE_FUTURE) is commonly realized in a hypo-articulated way that can be approximated as [no ə-ɬsɾ-ɬbi].

The RELATIVIZER /hi/ is articulated much more weakly than the lexical word

/hĩ/ ‘human being, person’. The initial fricative is often strongly reduced: it gets voiced throughout. Before a voiced stop, realizations as a nasal consonant (nasal stop) are observed, as in Figure 12.2, which shows a spectrogram of (5).

- (5) d̥wæ¹ | f̥y¹-hĩ¹ d̥w¹-y¹ ni¹
 d̥wæ¹ f̥y¹ -hĩ¹ d̥w¹ y¹ ni¹
 very happy REL one CLF.individual COP
 ‘(S)he is really happy!’ (Caravans.51, 53, 57)

The spectrogram shows that the sequence /hĩ¹ d̥w¹/ is realized phonetically close to [nd̥w¹], as if the syllable /hĩ¹/ were realized as prenasalization of the following stop.

This is not a categorical process: the claim that /hĩ¹/ becomes categorically changed to /n/ in this context would be up against insuperable phonotactic difficulties, since Na does not have a series of prenasalized stops (hence no /nd̥/ initial) and /n/ on its own is not a well-formed syllable, as it lacks a rhyme and a tone. Instead, the reduction process is phonetic; annotating the reduced realization of /hĩ¹/ in Figure 12.2 as [n] is simply a convenient shorthand notation. Underlying specifications can still leave traces in the overall articulatory gesture, even though the targets normally thought of as primary are not being achieved (Nolan 1992: 272). With this reservation, it is clear that the reduction is strong; Roselle Dobbs (p.c. 2014) indicates that some younger speakers are not aware that the RELATIVIZER /hĩ¹/ is present in contexts such as the one shown in Figure 12.2, and that they tend to omit it altogether.

The phrase /tʰæ¹mi¹-ŋw¹/ ‘really, actually’ is generally reduced to a lengthened monosyllable which can be approximated as [tʰæ¹æ¹], sometimes with a trace of the final L tone of the full expression: [tʰæ¹æ¹]. In the absence of a length opposition among vowels, the reduced form is unlikely to become lexicalized.

The proximal demonstrative /tʰw¹/ in association with the associative plural clitic /=ɹæ¹/ yields /tʰw¹=ɹæ¹\$/ ‘these things, this sort of things’, and the distal demonstrative yields /tʰy¹=ɹæ¹\$/ ‘those things’. These disyllabic forms are strongly coalescent. Regressive vowel harmony is strong, yielding instances resembling [tʰæ¹=ɹæ¹], e.g. in Caravans.153 and Agriculture.109. Together with the weakening of the consonant /=ɹ/ (which, as an approximant, is vowel-like in the first place), this leads to realizations that often resemble a monosyllable, [tʰæ¹] or [tʰæ¹]. Examples include Mountains.83, 109, Funeral.190, BuriedAlive3.50, and Caravans.160, 165.

The exclamative final particle, /wɹ¹/, which conveys obviousness, tends to fuse with a preceding copula, /ni¹/, the combination /-ni¹-wɹ¹/ being realized close to

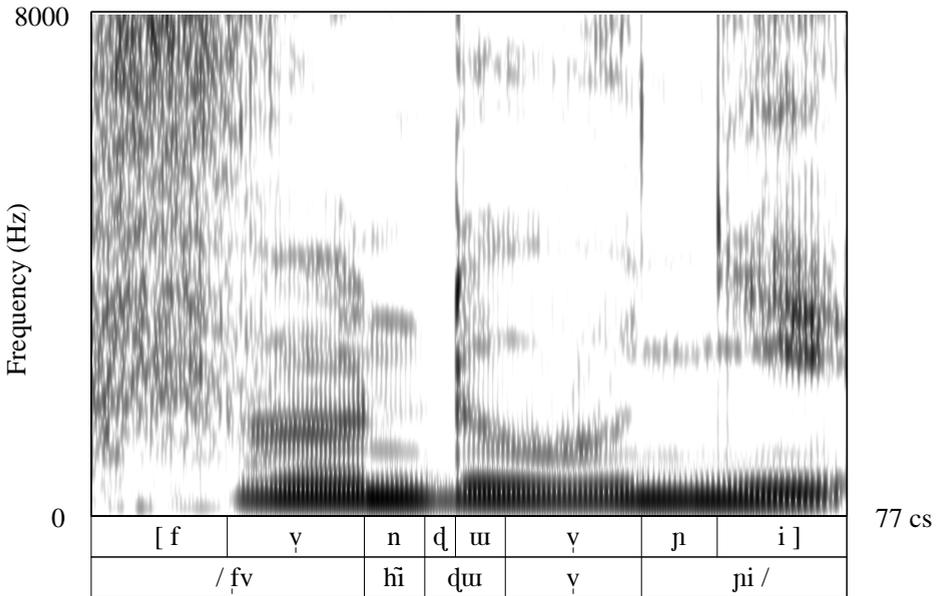


Figure 12.2: An illustration of the reduction of the relativizer /hĩ/ to a nasal consonant. Top: phonetic transcription; bottom: phonemic transcription. Speaker: F5.

[**-ɲoɲ**]. This is well-attested in F5’s narratives, and highly frequent in the speech of M21.

12.7 Expressive coinages and phonostylistic observations

To conclude this chapter about Na phonemes (vowels and consonants), it appeared interesting to mention expressive coinages, and some phonostylistic observations.

12.7.1 Onomatopoeia and ideophones

Onomatopoeia constitute one aspect of expressive (phonaesthetic) coinages, which also comprise interjections, calling sounds, and ideophones. All of these present interesting morphological and phonological specificities. “Of the 446 known onsets in Japhug, forty-five clusters (including thirty-five two-consonant and eleven three-consonant clusters) are exclusively attested in ideophones or ideo-

phonic verbs” (Jacques 2013: 264). Expressive coinages tend to have a lilt of their own, but they also undergo a continuous attraction from the language’s phonological system, tending to their integration into the language’s phonological categories: “ideophones fill gaps in the distribution of segments within rhymes that have been caused by sound changes” (Jacques 2013: 267). A classical case of structural gap-filling is found in Vietnamese, where the /ɔŋ/ and /oŋ/ rhymes underwent an evolution whereby lip rounding was shuffled from the vowel to the consonant, as an added final labial closure: the result can be approximated as [ʌɔŋ̹m] and [ʋoŋ̹m]. The slots left empty by this phonetic evolution were filled by onomatopoeic coinages, and by loanwords (Haudricourt 1952; Henderson 1985: 21; Michaud 2004b: 143).

He Likun, a native speaker of Naxi, went through each cell in a table of possible combinations of initials and rhymes in the Pianding dialect of Naxi, determining (by introspection) whether the combination was attested, and in which words. The results were supplemented by examining a word list of about 3,000 words. He identified more than fifteen syllables that are only attested in onomatopoeic words (Michaud & He 2015).

Onomatopoeia are no less abundant in Na than in Naxi. But they are scarce in the set of transcribed narratives, as could be expected of relatively formal monologues. Other data collection methods, such as recording lively conversations, will be required to explore the wealth of expressive phenomena found in Yongning Na. Here are three examples.

- (i) The noise of a shock between two hard objects, for instance the sound of an axe hitting a tree trunk (‘Bang!’), is rendered as [bō]. This syllable contravenes Na phonotactics, as nasal rhymes do not normally appear after stops.
- (ii) The onomatopoeia for rumbling sounds, for instance the sound of heavy loads carried over a wooden floor, or the noise of lorries, is a prolonged [z̥]. This sound is unlike the syllable /z̥u/. The latter is a full-fledged syllable, which surfaces with an apicalized vowel, as [z̥z̥]: the beginning of the syllable is more consonant-like, and the end more vowel-like. This is the reason that Chao Yuen-ren advocated the use of special symbols for apicalized sounds (see Table 12.2). In his system, the syllable would be transcribed as /z̥u/. In the onomatopoeic form for rumbling sound, on the other hand, friction is sustained from beginning to end, hence transcription as [z̥z̥z̥].
- (iii) The hissing noise of water that comes in contact with red-hot metal or

incandescent wood ('Pssshh!') is [tʂ^hɿ]. The combination of initial and rhyme used to transcribe this onomatopoeia is attested in some lexical items, but its phonetic realization does not exactly match that of the syllable /tʂ^hɿ/ of lexical items. To reflect this special status, a possible transcription is [tʂ^hɿɿ].

12.7.2 Phonostylistic observations

Expressivity is not limited to specific areas of the lexicon, such as ideophones. The “appeal function” of speech (Bühler 1934) is constantly present. The study of this function – examining how speakers shape their utterances with a view to evoking a certain response on the part of the hearer – features prominently in the programme of phonological research set out by Trubetzkoy (1969: 14) (original text: Trubetzkoy 1939), who coined the term ‘phonostylistics’ (for a review: Léon 1969). The term ‘psycho-phonetics’ used by Fónagy (1983) is less specific and therefore perhaps less appropriate, although it has the advantage of bringing out the considerable breadth of this strand of research: studying how phonetic details convey the speaker’s communicative purposes. If intonation is “a symptom of how we feel about what we say and how you feel when you say it” (Bolinger 1989: 1), phonostylistics is part and parcel of intonation studies.

This fascinating topic is best investigated through experimental phonetic study, whereas the present volume essentially focuses on lexical tone and tonal morphology; the approved order of business consists in postponing the study of expressive phenomena until the stage when the more central facts of a language’s linguistic structure have been clarified. Discussion is therefore deferred to experimental phonetic studies to be conducted in future. Let us simply mention two salient cases of modification of vowels and consonants for expressive effects in Na.

The first is *lip rounding and protrusion with demonstrative (proximal) value*. Pointing with the lips is used in Na culture. (For details about this gesture, see Enfield 2001.) When the lip-pointing gesture is used during speech, lip rounding and lip protrusion get superimposed onto the speech production gestures. For instance, the vowel /ɯ/, which has neither lip rounding nor lip protrusion, acquires lip protrusion when the phrase /tʂ^hɯɿ-ɿɯɿ/ ‘this one’ (proximal demonstrative plus generic classifier) is said while lip-pointing to an object within sight.

The second case is that of *palatalization conveying a tender emotion*. The adjective /ŋɯɿɿi/ ‘lovely’ can be pronounced close to /niɿɿi/. This child-speech-like variant has iconic value: palatalization, narrowing the vocal tract, is associated with smallness (Fónagy 1983: 22–23). The realization of this cross-linguistic

tendency is facilitated in Na by the tendency towards regressive vowel harmony (§12.3.7).

12.7.3 Expressive uses of reduplication

Reduplication serves various grammatical functions in Yongning Na, as also in Naxi (Hé & Jiāng 1985: 30–33). Despite having neatly grammaticalized uses, such as lending reciprocal value to verbs, it retains an expressive dimension, especially in its sporadic application to parts of speech other than verbs and nouns. This is reflected in irregular tone patterns – and thus this Appendix finally returns to the book’s central topic: tone.

The phrase /q^ha˧˥ ni˧˥/ ‘how many days’ reduplicates to /q^ha˧˥ ni˧˥~q^ha˧˥ ni˧˥/ ‘thus and so many days’ (Healing.29; the context is the following: a priest of the Na religion diagnoses the number of days of rituals it will take to cure a person’s disease will take). The tone pattern is not the same as in numeral-plus-determiner phrases, where the expected output would be M tone throughout the phrase (see Chapter 4).

The interrogative /ə˧˥tso˧˥/ reduplicates to /ə˧˥tso˧˥~ə˧˥tso˧˥/ (Dog.48). This is also an unexpected pattern.

The word /zo˧˥~zo˧˥-mv˧˥~mv˧˥/ ‘thingummy’ looks a lot like it could be the product of reduplication, perhaps as a playful manipulation over /zo˧˥mv˧˥/ ‘child’. A more common word for ‘thing’ is /tso˧˥tso˧˥#˧˥/, which may originate in a replication of the nominalizer /tso˧˥/. Both of the above nouns combine into /tso˧˥~tso˧˥-zo˧˥~zo˧˥-mv˧˥~mv˧˥/ ‘thingummies, stuff’, suggesting that they are currently perceived as having a similar internal structure.

The reduplicated form /zu˧˥~zu˧˥/ for ‘life, existence’ is more frequent than monosyllabic /zu˧˥/, but both are in common use.

The /lv˧˥lv˧˥/ portion in /bi˧˥lv˧˥~lv˧˥/ ‘snowflake’ and /dzo˧˥lv˧˥~lv˧˥/ ‘hailstone’ looks like a reduplicated form of the classifier for kernels, /lv˧˥/ (Lidz 2010: xxxiv).

The phrase /tɕɣ˧˥~tɕɣ˧˥/ ‘right at the moment that...’ looks clearly like a reduplicated form, but the *simplex* (non-reduplicated) form could not be recovered.

Several four-syllable onomatopoeic expressions of the form ABAB were observed, all of them with a L.L.M.M tone pattern: /tsu˧˥lqwa˧˥~tsu˧˥lqwa˧˥/ ‘crashing sound; sound of timber falling down’ (Housebuilding.243), /zu˧˥lgu˧˥~zu˧˥lgu˧˥/ ‘boom!’ (sound of heavy shock against a door: Tiger.15), /zu˧˥lzɣ˧˥~zu˧˥lzɣ˧˥/ ‘sound of tearing leaves to pieces’ (FoodShortage2.37), and /ɕi˧˥lhwa˧˥~ɕi˧˥lhwa˧˥/ and /zu˧˥lɕæ˧˥~zu˧˥lɕæ˧˥/, both describing the dizziness of a character under a dazzling moonlight (Reward.17 and Reward.68). These expressions do not have an identifiable *simplex* form.

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Tone in Yongning Na

摩梭话声调研究——

从词汇层的调类到声调形态

Yongning Na, also known as Mosuo, is a Sino-Tibetan language spoken in Southwest China. This book aims to provide a description and analysis of its tone system, progressing from lexical tones towards morphotonology. Tonal changes permeate numerous aspects of the morphosyntax of Yongning Na; they are not the product of a small set of phonological rules, but of a host of rules that are restricted to specific morphosyntactic contexts. Rich morphotonological systems have been reported in this area of Sino-Tibetan, but book-length descriptions remain few. This study of an endangered language contributes to a better understanding of the diversity of prosodic systems in East Asia.

The analysis is based on original fieldwork data (made available online), collected over the course of ten years, commencing in 2006.

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