

## To classify words: Western and Indian Grammatical Approaches

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### To classify words: European and Indian grammatical approaches 2

## ÉMILIE AUSSANT

Abstract: This paper aims at briefly recalling the presuppo-4 sitions the European and Indian grammatical approaches 5 present regarding word-classification. From its very be-6 7 ginning up to the most contemporary trends in linguistics, the European approach knew essentially only one clas-8 sification of words: the canonical list of eight parts of 9 speech which comes from Dionysius Thrax and which was 10 developed, restructured, sub-divided, etc., throughout the 11 course of history, according to the aims of particular schol-12 ars or schools. Within Vyākaraņa, native Sanskrit gram-13 mar, the situation is not so different: the Sanskrit gram-14 marians generally alternated between only two classifica-15 tions (the four-fold Yaskan division of parts of speech and 16 the two-fold division proposed by Pānini); some of them 17 like Nāgeśa having even tried to reconcile the two. 18

Keywords: Europe, India, history of linguistic ideas, episte-19 mology of language sciences 20

#### Introduction 1 21

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Even though the analysis of language into units appears to have 22 been essential in all traditions of language study, the classification 23 of words consists in an activity which is neither self-evident nor 24 homogeneous: the classifier (whether he is an individual scholar, 25 a school of thought or a trend) has an epistemological aim (that 26

is to say, he has something to explain) and one must consider the 27 regularities (hence the categories) he makes exist on this basis. 28 Epistemological aims have varied a lot in history, from one tradi-29 tion of language study to another, but also within one and the same 30 tradition. The analysis and description of Sanskrit in the perspec-31 tive of computational linguistics brings two different grammatical 32 traditions, as well as different classifications, face to face. With-33 out calling into question their legitimacy (my approach is rooted 34 in the perspective of the history of ideas), I would like to recall, al-35 beit briefly, the presuppositions these theories present. I will con-36 sider first the European approach and then the approach of Sanskrit 37 (Vyākarana perspective).<sup>1</sup> 38

## **39 2 The European approach**

#### **40 2.1 Parts of speech in computational linguistics**

In computational linguistics, the parts of speech which are used 41 come, most of the time, from works realized in the field of for-42 mal linguistics. Very often indeed, taggers are statistical tools 43 trained on manually or semi-automatically annotated data. These 44 data were mostly annotated under the responsibility of formal lin-45 guists and according to the guidelines they elaborated on the basis 46 of their linguistic expertise, as well as on the basis of what re-47 sults from the confrontation of the system with real data. Hence, 48 at first sight, there is no real norm: corpora can be tagged in var-49 ious ways. However, some tag sets, such as the Brown Corpus 50

<sup>&</sup>lt;sup>1</sup>I wish to thank Aimée Lahaussois, Clément Plancq and Benoît Sagot for their kind help.

tag set.<sup>2</sup> the Penn Treebank tag set.<sup>3</sup> and, to a lesser extent, the 51 French Treebank developed by the University of Paris Diderot,<sup>4</sup> 52 today constitute a reference. If one looks at these tag sets, one ob-53 serves that the choices made remain mainly traditional — it is even 54 explicitly given on the website of the French Treebank, "For part 55 of speech, we made traditional choices [...]" and, once we sub-56 tract the numerous categories and sub-categories which divide or 57 complete each part of speech (see Table 1), and which are mainly 58 based on inflectional and lexico-semantic information, we find the 59 well-known list again: noun, verb, article, adjective, preposition, 60 pronoun, adverb, conjunction, and interjection. Even tools devel-61 oped for languages other than English and French, such as the Nat-62 ural Language ToolKit (NLTK) tag set for four Indian languages 63 (Bangla, Hindi, Marathi and Telugu),<sup>5</sup> and the Stanford Natural 64 Language Processing Group (SNLPG) tag set for Chinese,<sup>6</sup> resort 65 to this list. Where does this list come from? On what kind of 66 classification criteria is it based? How can one explain its stability 67 throughout the course of history? 68

# 69 2.2 Parts of speech in Graeco-Latin grammatical tradi 70 tion

The list of eight parts of speech comes from the Graeco-Latin grammatical tradition, and more specifically from the  $T \epsilon \chi \nu \eta$ 

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<sup>2</sup>http://www.comp.leeds.ac.uk/ccalas/tagsets/brown.
html
<sup>3</sup>http://www.cst.dk/mulinco/filer/PennTreebankTS.
html
<sup>4</sup>http://www.llf.cnrs.fr/Gens/Abeille/French-
Treebank-fr.php
<sup>5</sup>http://nltk.googlecode.com/svn/trunk/doc/book/
ch05.html#fig-tag-indian
<sup>6</sup>http://nlp.stanford.edu/projects/chinese-nlp.
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#### Table 1

Sub-categories of noun in the Brown Corpus

NN	singular or mass noun
NN\$	possessive singular noun
NNS	plural noun
NNS\$	possessive plural noun
NP	proper noun or part of name phrase
NP\$	possessive proper noun
NPS	plural proper noun
NPS\$	possessive plural proper noun
NR	adverbial noun (home, today, west)

Γραμματική by Dionysius Thrax (2nd-1st c. BCE). The aim of 73 the Greek scholar was to provide, mainly for writers and poets, 74 an overview of the Alexandrian grammatical tradition, giving a 75 reference list for phonetics (letters-sounds, syllables) as well as 76 the list of eight parts of speech: noun, verb, participle, article, 77 pronoun, preposition, adverb and conjunction. This list itself is 78 the result of developments and restructurings of a more ancient 79 classification: that made by Aristotle (384-322 BCE) in his Poet-80 ics (chapter XX), which distinguishes four parts of speech: ὄνομα 81 'noun', ἑῆμα 'verb', σύνδεσμος 'link' (late 'conjunction'), ἄρθρον 82 'articulation' (late 'article') and which is mainly based on notional 83 criteria (semantic, ontological, psychological, and/or logical) (Lal-84 lot 1988). The four parts of speech list was quickly enlarged and 85 restructured on the basis of formal and semantic criteria. In the 86 *Τ*έχνη Γραμματική by Dionysius Thrax, each part of speech is 87 defined, its 'accidents' (e.g. everything which happens to it, that 88 is to say number, gender, inflection, diathesis and tense variations) 89 are listed, defined and illustrated, its morphological and/or seman-90

tic sub-classes are listed and illustrated (31 for the noun, 13 for 91 the verb, 26 for the adverb, 9 for the conjunction) (Lallot 1998). 92 What are the criteria used for this classification in eight parts of 93 speech? Notional (see above) and formal (morphological) crite-94 ria were used very early; functional criteria (syntactical) appeared 95 a little bit later, with the Greek grammarian Apollonius Dyscolus 96 (2nd c. CE). But from the very beginning, parts of speech were 97 — and are still today — classified according to (a) their meaning 98 and/or their reference, (b) according to their form, and (c) accord-99 ing to their position and/or their relation to other parts of speech 100 in sentences (Lagarde 1988). The hierarchy of these criteria, the 101 number of their sub-categories as well as their relationship, varied 102 greatly in history. For instance, Ramus (Pierre De La Ramée), a 103 16th c. French grammarian, tried to impose (in his Scholae Gram-104 maticae) a model with two categories of words (lat. voces), us-105 ing exclusively formal criteria: 'mots de nombre', i.e. nouns and 106 verbs, versus 'mots sans nombre', i.e. adverbs and conjunctions.<sup>7</sup> 107 For authors of universal grammars (which elaborate their own cat-108 egories by linking them to cognitive structures), such as Beauzée 109 and Condillac in the case of the "Grammaire générale française", 110 notional criteria are much more relevant than the others (Auroux 111 1988: 81). As Lagarde (1988: 93) already highlights, the list of 112 eight parts of speech, though provoking strong debate,<sup>8</sup> crossed 113 over the centuries and modeled grammatical consciousnesses. Ev-114 ery linguist must, explicitly or not, make a stand regarding this 115 model of analysis which has become an unavoidable reference. 116

<sup>&</sup>lt;sup>7</sup>See Colombat 1988, 1998.

<sup>&</sup>lt;sup>8</sup>See, for instance, the debate opposing Haspelmath and Dryer, two leaders of linguistic typology. Haspelmath (2010) argues that crosslinguistic grammatical comparison cannot be based on grammatical categories, because these are language specific, while Brown and Dryer (2008) write, "While there are often ways in which languages differ from each other in terms of what word classes they have, the differences are generally small compared with the similarities."

The judgements made with regard to this model are generally neg-117 ative. Despite endlessly repeated criticisms and the periodic at-118 tempts to develop universal categories or tag sets (such as the one 119 elaborated by Petrov, Das and McDonald in 2011),<sup>9</sup> this classifi-120 cation remains. Auroux (1988: 82) observes quite rightly that, as 121 a word-classification tool used in various fields of language study 122 (language description, learning, etc.) which are not always theo-123 retically well mastered, the list of eight parts of speech is stable, 124 much more so than the theoretical variations suggest. Simply for 125 communicability reasons, no theoretical change can lead to a fun-126 damental change in the list of parts of speech. The question of 127 parts of speech cannot be reduced to a mere matter of word classes 128 because it is on the basis of this word-classification that European 120 grammar was elaborated. 130

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If we now move to the Indian side and, more particularly, to the
field of Sanskrit Vyākaraņa, what ways of classifying words do we
find? Two models were mainly used: the *nāman-ākhyāta-upasa- rga-nipāta* classification and the Pāṇinian classification of padas.

### 136 3.1 The nāman-ākhyāta-upasarga-nipāta classification

One of the most ancient classifications of words seems to be the one in four parts: *nāman*, *ākhyāta*, *upasarga* and *nipāta*, which are traditionally translated as 'noun', 'verb', 'preverb' and 'particle'. In section 13.9 of the *Nirukta* (*N*. hereafter) which is considered to be an appendix (*pariśiṣța*),<sup>10</sup> while commenting on the *Rg-vedic* verse

<sup>&</sup>lt;sup>9</sup>See http://www.petrovi.de/data/lrec.pdf <sup>10</sup>Sarup (1920–1929) does not translate this section.

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143	Catvári vák párimitā padáni
144	tấni vidur brāhmaṇấ yé manīṣíṇaḥ;
145	Gúhā trĺņi níhitā néngayanti
146	turťyam vācó manusyà vadanti. (RV. 1.164.45)
147	Speech has been measured in four steps. The Brah-
148	mins who have control over their mind know them.
149	The three of them which are deposited secretly do not
150	stir. The fourth [step] of the speech is that which men
151	speak. (Bhate undated: 2, revised).
152	Yāska mentions different readings of the expression catvāri padā-
153	<i>ni</i> among which the following one:
154	Nāmākhvāte copasarganipātās ceti vaivākaranāh
155	$(N 13.9 \text{ Sarup } 1920 - 1929 \cdot 226)$
156	Grammarians [consider that these four kinds of pada
157	arel: the noun and the verb, as well as the preverbs
158	and the particles.
450	Vaska himself gives this classification of padas at the very begin
159	ning of his Nirukta:
160	ling of his workin.
161	Tad yāni catvāri padajātāni nāmākhyāte copasarga-
162	nipātāś ca tānīmāni bhavanti. (N. 1.1, Sarup 1920–
163	1929: 27)
164	Now, what (are) the four classes of words? They are
165	the following: noun and verb; prepositions and parti-
166	cles. (Sarup's translation)
167	This classification, which is found in other texts such as the Ma-
168	hābhāsya (Paspaśā), seems to come directly from the very early
169	grammatical analyses elaborated in India. Such analyses aimed
170	at deconstructing Vedic texts in their continuously recited version

(samhitā-pāṭha), where sandhis are applied, to result in a word-byword version (pada-pāṭha) of the same texts, where any dissocia-

<sup>173</sup> ble sequence was de facto isolated. This kind of analysis implied

an accurate study of morphology and syntax, and it is most likely
during this period that the four-part classification of words was
elaborated. The fact is that it is to be found in the *Rgveda-Prātiśā- khya*:

178	Nāma-ākhyātam upasargo nipātaś
179	catvāry āhuḥ padajātāni śābdāḥ;
180	tan nāma yenābhidadhāti sattvam
181	tad ākhyātaṁ yena bhāvaṁ sa dhātuḥ. (ŖPr. 12.5)
182	The noun, the verb, the preverb and the particle, these
183	are the four classes of words say the grammarians.
184	The noun is that by which a substance is denoted; a
185	verb is that by which a process [is denoted]. It is a
186	dhātu.
187	
188	kriyā-vācakam ākhyātam upasargo viśeṣa-kr̥t;
189	sattva-abhidhāyakam nāma nipātah pāda-pūraņah.
190	( <i>RPr.</i> 12.8)
191	The verb expresses an action; the preverb specifies
192	it. The noun expresses a substance; the particle com-
193	pletes the verse quater $(p\bar{a}da)$ .

Though we have access to neither the thought nor the method of 194 the authors of pada-pātha texts, it is nevertheless possible to infer 195 from their works some of the principles they adhered to. For in-196 stance, what they meant by *pada*, according to Bhate (undated: 4) 197 were isolated inflected forms (nominal, verbal), indeclinables, and 198 preverbs. As it appears in the first verse quoted previously, as well 199 as in the *Nirukta*, the criteria on which the distinction between the 200 noun and the verb is based is notional (ontological) criteria. Re-201 garding the upasargas, two definitions are given by Yāska: 202

- 203 204
- One, ascribed to Śākaţāyana, says that upasargas do not express meanings (na ... arthān nirāhur); they simply help the

emergence of the whole meaning by suggesting it (*karma-upasaṁyoga-dyotakā bhavanti*) (N. 1.3)

• The other, ascribed to Gārgya, presents upasargas as units 207 expressing (vācaka) diverse meanings, meanings which 208 modify the meaning of verbs and nouns with which the u-209 pasargas are combined (uccāvacāh padārthā bhavantīti gā-210 rgyah. tad — ya esu padārthah, prāhur ime tam — nāma-211 -ākhyātavor artha-vikaranam) (N. 1.3). The second verse 212 quoted from the Rgveda-Prātiśākhya (upasargo viśesa-krt) 213 seems to refer to this conception. 214

<sup>215</sup> Concerning the particles, only one description is given in the
<sup>216</sup> *Nirukta*: nipātas are said to express numerous meanings (*nipātā u-ccāvaceṣv artheṣu nipatanti*): comparative (*apy upamārthe*), con<sup>218</sup> nective (*api karma-upasamgrahārthe*), expletive (*api pāda-pūra-*<sup>219</sup> *ņāḥ*) (*N*. 1.4).

We note that the *nāman-ākhyāta-upasarga-nipāta* classification is presented as exclusively based on notional criteria: an ontological criterion for the noun and the verb and a semantic criterion for the preverbs and the particles. This is surprising since, considering the context of the emergence of this classification, we would have expected formal criteria.

226 **3.2** The Pāninian classification of padas

On a practical level, the  $A \underline{st} \overline{a} dh y \overline{a} y \overline{i}$  (*A*. hereafter) provides guidelines for forming words with affixes. These affixes (*pratyaya*) are directly taught in some of the 4000 sutras, in distinction from most of the bases with which they combine and which are either verbal roots (*dhatu*) or nominal bases. These nominal bases are:

232 233 • either generally introduced by A. 1.2.45 arthavad adhātur apratyayah prātipadikam ("A meaningful [unit], which is neither a verbal root nor an affix, [is called]  $pr\bar{a}tipadi$ ka"), which is completed by A. 1.2.46 krt-taddhita-samāsāś ca ("Primary derivatives, secondary derivatives and compounds [are] also [called]  $pr\bar{a}tipadika$ ");

238 239 240  or given in lists which gather together units sharing some derivational features (cf. the *Gaṇa-pāṭha* lists (*GP*. hereafter);

or directly introduced in some sūtras, such as A. 3.1.16 bāspa-ūṣmabhyām udvamane, which states that the (denominative) suffix kyan is used after the nominal bases bāṣpa
'tear' and ūṣman 'heat' to express 'to emit'.

Verbal and nominal bases constitute the two starting points of 245 the derivational process which occurs over the course of Pāninian 246 sūtras to generate more and more complex units up to sentences 247  $(v\bar{a}kya)$ .<sup>11</sup> The steps of this derivational process consist in the ap-248 plication of operations to these units and, generally, it is these very 249 operations which determine the arrangement of the units in classes 250 or categories. For instance, a unit is called *prātipadika*, that is 251 to say, belongs to the prātipadika class because it undergoes (or 252 must undergo) the application of several operations. Sanskrit mor-253 phology being very rich, operations to be applied are numerous, 254 and then, the number of classes Pānini distinguishes is particularly 255 high. The main classes are those shown in Figure 1 (based on that 256 made by Bhate (undated: 7)). 257

At the top of the diagram, one finds the term *pada*: Pāṇini uses it to designate the general class of words, but he also gives a definition of the term (probably the most ancient to have come down to us) which clearly delimits its extension: the sūtra *A*. 1.4.14 states that the units which constitute the pada class are those that end

<sup>&</sup>lt;sup>11</sup>The term  $v\bar{a}kya$  is used only twice in the *Astādhyāyī*, in *A*. 8.1.8  $v\bar{a}kya$ -*āder āmantritasya*..., and in *A*. 8.2.82  $v\bar{a}kyasya$  teh...).

#### **Figure 1** *The main classes of speech forms distinguished by Pānini*



in a nominal (sup) or a verbal  $(ti\dot{n})$  ending, in other words, the 263 inflected words. One notes that in the Paninian system, neither na-264 man nor *ākhyāta* are used to designate respectively the noun and 265 the verb: neither of these terms is used by Pānini in a metalin-266 guistic way. One notes too that there is an empty square on the 267 diagram: it stands for the nominal stems which cannot be derived, 268 such as *vrksa*, which are meaningful units but neither a verbal root, 269 nor a suffix, pronoun, indeclinable, primary derivative, secondary 270 derivative, nor compound. As far as I know, Pānini does not give 271 a name — other than prātipadika — to this kind of unit. Patañja-272 li explains (on vt. 5 ad A. 7.1.2) that for Pānini, nominal stems 273

which cannot be derived are the *unādis*.<sup>12</sup> Contrary to *nāman* and 274 ākhyāta, upasarga is used in the Astādhyāyī, and, here too, it des-275 ignates the preverbs (cf. A. 1.4.59 upasargāh krivā-yoge). How-276 ever, it belongs to a larger class called prādi (GP. 154), which itself 277 belongs to the nipāta class (the term is also used to designate the 278 particles). And this nipāta class belongs to the avyaya class ('inde-279 clinables', cf. A. 1.1.37 svarādinipātam avyayam) which includes 280 also the svarādi class (GP. 254). The avyaya class constitutes a 281 sub-category of the prātipadika class, these units being meaning-282 ful. If upasarga and nipāta are used in the Astādhyāyī with more or 283 less the same meaning as in the Nirukta, the Pāninian system nev-284 ertheless establishes a difference in the level of analysis between 285 them. However that may be, the Paninian classification of padas 286 is firstly based on formal criteria. Semantics plays a role too, but 287 to a lesser extent; it comes as a complement (e.g. the definition of 288 nipāta: A. 1.4.56–57 "... units which do not designate a substance 289 (asattve)"). 290

#### 291 **3.3** Some remarks on a few other classifications

Still within the Vyakarana sphere one finds mention of a few 292 other classifications of words. I will not address the classifica-293 tions which correlate linguistic categories with ontological cat-20/ egories, such as the jāti-guna-kriyā-yadrcchā classification pro-295 vided by Patañjali in his Mahābhāsva. This classification, as well 296 as the *ākrti-kriyā-guna-dravya* list of padārthas, actually provides 297 a sub-classification of prātipadikas, often illustrated by the sen-298 tence gauh śuklaś calo ditthah "[the] white moving cow Dittha". 299

300 301 At the very beginning of the third kāṇḍa of his *Vākyapadī*ya, Bhartrhari mentions three views on the classes of padas. It

<sup>&</sup>lt;sup>12</sup>Prātipadikavijñānāc ca pāņiner siddham. Prātipadikavijñānāc ca bhagavataḥ pāņiner ācāryasya siddham. Uņādayo 'vyutpannāni prātipadikāni. (A. 7.1.2, vt. 5; MBh. 3.241.19–21)

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is very well-known that, according to Bhartrhari, padas exist only
on the level of apoddhāra '[artificial] analysis'; in a philosophical
perspective, only the sentence is relevant. But placing himself on
the apoddhāra level, he says:

306	dvidhā kaiścit padaṁ bhinnaṁ
307	caturdhā pañcadhāpi vā;
308	apoddh <sub>r</sub> tyaiva vākyebhya <u>ḥ</u>
309	prakrtipratyayādivat. (VP. 3.1.1)
310	Some distinguish two [kinds] of padas, [some] four
311	[kinds] and [some] five kinds after having artificially
312	isolated them from sentences, just as [one would iso-
313	late] the stem and the suffix, etc.
314	These classifications are:
315	• nāma (which includes nipāta) : ākhyāta (which includes u-
316	pasarga and karmapravacanīya);
317	• nāman : ākhyāta : upasarga : nipāta;
318	• nāman : ākhyāta : upasarga : nipāta : karmapravacanīya.
319	A very similar classification is mentionned by Durga, in his
320	commentary on <i>Nirukta</i> 1.1:
321	Naikam padajātam yathārthaḥ padam aindrāṇām iti.
322	Nāpi dve yathā subantah tinantaś ca. Nāpi trīni ni-
323	pātopasargāv ekataḥkr̥tvā. Nāpi pañca sad vā yathā
324	gatikarmapravacanīyabhedeneti. (Durga on Nirukta
325	1.1)
326	It is not the case that there is a single class of words
327	as, 'that which is meaningful is a word for the Ai-
328	ndras'. Nor are there two [classes of words], for in-
329	stance nouns and verbs. Nor are there three [classes of
330	words] combining in one particles and preverbs [along
331	with the two preceding ones]. Nor five or six [classes

# of words], as with the distinction between *gati* and *karmapravacanīya*.

These classifications do not really improve upon the previous analyses: they can be seen as variants of the four-fold classification given in the *Nirukta* and the *Rgveda-Prātiśākhya*.

## **337 4** Concluding remarks

From its very beginning up to the most contemporary trends in lin-338 guistics, the European approach knew essentially only one classi-339 fication of words: the canonical list of eight parts of speech which 340 comes from Dionysius Thrax. Throughout the course of history, 341 it has been developed, restructured, sub-divided, etc. according to 342 the aims of particular scholars or schools. The heterogeneity of 343 its criteria and its Indo-European focus did not prevent theoreti-344 cians from analyzing speech and from proposing more and more 345 elaborate descriptive or generative models. 346

Concerning the Sanskrit approach (within the Vyākarana 347 sphere), the situation is not so different. I would say - follow-348 ing Deshpande (1992: 14) - that "Sanskrit grammarians care for 349 Pānini's two-fold division of stems into nominal stems and verb 350 roots only as far as the derivational procedures in his grammar are 351 concerned." When the discussion deals with semantics, "they gen-352 erally follow the four-fold division mentioned by Yaska .... " The 353 inclusion, in the Pāninian model, of the avyaya class in the prāti-354 padika class seems to have been counter-intuitive for most of the 355 vaiyākaranas. Some, like Nāgeśa, nevertheless attempted to recon-356 cile the four-fold Yaskan division of parts of speech with the two-357 fold division proposed by Pānini. Commenting on the Mahābhā-358 sya passage catvāri śrngāni — catvāri padajātāni nāmākhyātopa-359 sarganipātāś ca, he says: nāmaśabdena subantam ...ākhyātam 360

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*tinantam. upasarga-nipātayo*h prthag upādānam gobalīvardanyā-*yena.*

As far as the tagging of parts of speech is concerned, computa-363 tional linguists working on Sanskrit are not doing anything differ-364 ent from their predecessors: they try to establish more appropriate 365 models and have to hand a wonderful tool: the Paninian gram-366 mar, which matches their interests particularly well. More than 367 their 19th-20th c. colleagues who wrote Sanskrit grammars in Eu-368 ropean languages, "... they are in a position to put into practice 369 one of the major insights of structuralist linguistics of the twenti-370 eth century, namely, that languages are best described in their own 371 terms" (Haspelmath (2010: 664) referring to Boas (1911-1922)). 372

#### Table 2

#### Abbreviations

- A. Astādhyāyī.
- GP. Gaņapātha.
- MBh. Mahābhāsya.
- N. Nirukta. See Sarup 1920–1929.
- *RV. Rgveda*. See Sonatakke and Kashikar 1933–1951.
- RPr. Rgvedaprātiśākhya. See Régnier 1858.

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