How Distributed are Distributed Representations? An Observation on the Locality of Syntactic Information in Verb Agreement Tasks

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Bingzhi Li and **Guillaume Wisniewski** and **Benoît Crabbé**
Université de Paris, LLF, CNRS
75 013 Paris, France
bingzhi.li@etu.u-paris.fr
{guillaume.wisniewski,benoit.crabbe}@u-paris.fr

Abstract

This work addresses the question of the localization of the syntactic information that is encoded in the Transformers representations. We tackle this question from two perspectives, considering the object-past participle agreement in French, by identifying, first, in which part of the sentence and, second, in which part of the representation syntactic information is encoded. The results of our experiments using probing, causal analysis and feature selection method, show that syntactic information is encoded locally in a way consistent with the French grammar.

1 Introduction

Transformers (Vaswani et al., 2017) have become a key component in many NLP models, arguably because of their capacity to uncover distributed representation of tokens (Hinton et al., 1986) that are *contextualized*: thanks to a multi-head self-attention mechanism (Bahdanau et al., 2015), a token representation can, virtually, depend on the representation of all other tokens in the sentence, and transformers are able to learn a weighting to select which tokens are relevant to its interpretation.

Many works (Rogers et al., 2020) have striven to analyze the representations uncovered by transformers to find out whether they are consistent with models derived from linguistic theories. One of the main analysis methods is the long-distance agreement task popularized by Linzen et al. (2016) that consists in assessing the capacity of a neural network to predict the correct form of a token (e.g. a verb) in accordance with the agreement rules (e.g. its subject). This method has been generalized to other agreements (Li et al., 2021) and other languages (Gulordava et al., 2018). The concordant conclusions of all these experiments show that transformers are able to learn a 'substantial amount' of syntactic information (Belinkov and Glass, 2019).

If the method of Linzen et al. (2016) makes it possible to show that syntactic information is encoded in neural representations, it does not give any indication on its localization: it is not clear whether the syntactic information is distributed over the whole sentence (as made possible by self-attention) or only in a way consistent with the syntax of the language, i.e. only in the tokens involved in the agreement rules.

This work addresses the question: *where* the syntactic information is encoded in transformer representations. We approach this question from two perspectives, considering the object-past participle agreement in French (Section 2). First, in Section 3, using probing and counter-factual analysis, we try to identify the tokens in which syntactic information is encoded in order to find its localization within the sentence. Second, in Section 4, using a feature selection method, we study the localization of syntactic information within the representation of a token in the sentence.

2 The Object-Participle Agreement Task

Task We evaluate the capacity of transformers to capture syntactic information, by considering the object-past participle agreement in French object relatives. This task consists in comparing the probabilities a language model assigns to the singular and plural forms of a past participle given the beginning of the sentence. The probability of a past participle form is conditioned on all the words in the *prefix* (the words from the beginning of the sentence up to and including the antecedent ; see Figure 1 for an example) and the *context* (the words from the word next to the antecedent up to and excluding the past participle). Following Linzen et al. (2016) the model is considered to predict the agreement correctly if the form with the correct number has a higher probability than the form with the incorrect number.

Contrary to the classical subject verb agreement

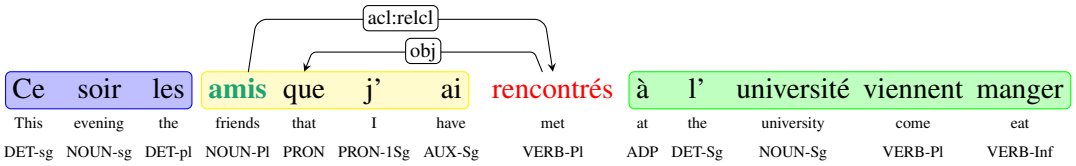

Figure 1: Example of object-past participle agreement in French object relatives. Dependencies between the target verb (in red) and the tokens involved in the agreement rules using the Universal Dependencies annotation guidelines are also shown. The prefix is represented in blue, the context in yellow and the suffix in green. To predict the past participle number, a human is expected to extract number information from the object relative pronoun *(que)* that gets it from its antecedent (*amis* in bold green).

task (Linzen et al., 2016), the French object past participle involves a filler gap dependency and the target past participle has to agree with a noun that is never adjacent to it. In our case, it features a syntactic structure that allows us to highlight the way information is distributed in the sentence (§3.1).

Figure 1 gives an example of the sentences considered here. It involves sentences the verb of which is in the compound past *(passé composé)*, a tense formed using an auxiliary and the past participle of the verb. In compound past, when the past participle is used with the auxiliary *avoir*, it has to agree in number[1] with its direct object when the latter is placed before it in the sentence. This is notably the case for object relatives considered here, in which the direct object is the relative pronoun *que* that has the same number information than its antecedent (even if its morphology is same in singular and plural). To correctly agree the past participle in object relatives, it is therefore necessary to identify the object relative pronoun, its antecedent and the auxiliary.

Experimental Setting We reuse the dataset of Li et al. (2021): they have extracted, with simple heuristics a set of 68,497 such sentences after having automatically parsed the Gutenberg corpus with a BERT based dependency parser (Le et al., 2020).

The experiments are carried out with the incremental transformer designed by Li et al. (2021), which was trained on 90 millions tokens of French Wikipedia, and has 16 layers and 16 heads. Word embeddings are of size 768. This model is able to predict 93.5% of the past participle forms, a result that allows these authors to conclude that syntactic information are encoded in the representations.

[1]The past participle must agree in number *and* in gender. For clarity, we will only consider agreement in number.

3 Are Syntactic Information Locally or Globally Distributed in the Sentence?

Results reported in the previous section show that information about the number of the past participle is encoded in the token representations but they do not allow to identify which tokens are used to predict the correct form of the past participle. In this section, we first identify, using linguistic probes, in which tokens syntactic information is encoded and then, thanks to a causal analysis, which tokens the prediction of the past participle form relies on.

3.1 Probing Experiments

In a first set of experiments, we propose to use linguistic probes to better identify **where in the sentence** the information about the number of the past participle is encoded. A probe is a classifier trained to predict linguistic properties from the language representations: achieving high accuracy at this task implies that these properties were encoded in the representation (Hewitt and Manning, 2019).

More precisely, we associate each sentence of our dataset with a label describing the number of the target verb and consider the task of predicting this label given a token representation. We trained one logistic regression classifier per category of word[2] considering 80% of the examples as training data and the remaining 20% as a test set.

Table 1 reports the averaged accuracy achieved by our probes on different parts of the sentence. We observe that the past participle number information is essentially encoded *locally* within the tokens of the *context* and is not represented uniformly across all the tokens of sentences.

Indeed, as expected,[3] in the *prefix* (before the antecedent) the performance of the probe mainly

[2]See detailed description in Section A of the appendix.

[3]Recall that we are considering an incremental model in which token representations can only depend on the preceding tokens. The following tokens are masked.

	Accuracy		
	correct predictions	wrong predictions	overall
prefix	$60.2\%_{\pm 0.3}$	$51.6\%_{\pm 0.5}$	$59.4\%_{\pm 0.3}$
context	$94.6\%_{\pm 0.9}$	$83.9\%_{\pm 1.4}$	$94.4\%_{\pm 1.1}$
suffix	$72.2\%_{\pm 2.1}$	$62.1\%_{\pm 2.2}$	$71.6\%_{\pm 2.1}$

Table 1: Accuracy achieved by our probe on different sentence parts (see Figure 1). We detail the performances for the sentences for which the language model is able to predict agreement ("correct predictions") and those for which this is not the case ("wrong predictions").

reflects the difference between the prior probabilities of the two classes.[4] By contrast, the accuracy becomes high when the tokens of the *context* are considered as input features of the probe, showing that the information required to predict the correct past participle form is spread over all tokens between the antecedent (where the number of the past participle is specified) and the past participle (where the information is 'used'). It is quite remarkable that, as soon as the past participle has been observed and the information on the number of the antecedent is no longer useful, the token representations no longer encodes it: in the *suffix* the probe accuracy drops sharply even if it remains better than that observed in the *prefix*. This result contradicts, at least partially, the observation of Wisniewski et al. (2021) which shows that in a neural translation system, gender information is distributed all over the source and target representations. It should however be noted that this experiment deals with a different kind of information and only considers sentences following a very simple pattern.

To get a more accurate picture of how the number information is distributed within the *context*, we focus on a specific sentence template: we only consider sentences in which the antecedent is separated from the relative pronoun only by a prepositional phrase made of a preposition and a noun as in the following example:[5]

(1) ... bureaux en bois qu' il as trouvés ...
... desks Prep. wood that he has found...
... ANTEC-PL ADP NOUN-SG QUE PRON-SG AUX-SG PP-PL ...

This pattern represents 3% of the examples of the original dataset (1,936 sentences). Note that, in this pattern there is an *attractor* between the antecedent of object pronoun and the target verb, i.e. a noun with (possibly) misleading agreement feature.

Figure 2 reports the probing accuracy at each position. In the *prefix* (i.e. b-positions) the probe accuracy is low, except for the two positions just before the antecedent, which often correspond to determiners or adjectives that have to agree in number with the antecedent. On the contrary, in the *context*, the predictions of the probe are almost perfect, even when we are probing tokens marked with a number information that is not necessarily related to the number of the past participle (e.g. the auxiliary or the attractor). Accuracy in the *suffix* drops quickly as we move away from the past participle, especially in the presence of an attractor. These observations confirm that the number information is not distributed over all tokens in the sentence as made possible by the self-attention mechanism.

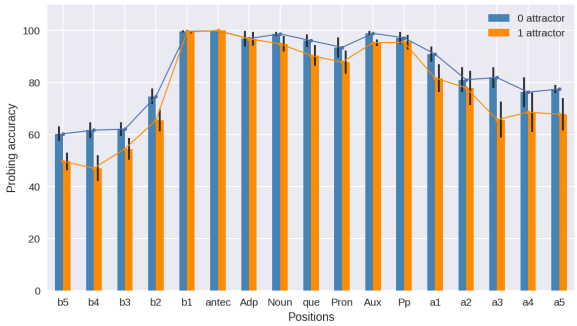

Figure 2: Probing accuracy at each position of the first pattern. The `bI` (resp. `aI`) position denotes the I-th token before (resp. after) the pattern.

3.2 Causal intervention on attention

As it stands, we observe that number information is encoded only in the *context* part of sentences. Now we test **which** tokens are responsible for providing the number information used to choose the past participle form. To do so, we design a causal experiment in which we mask some tokens of the *context* to better figure out their role in the decision.

Masking Tokens in Self-Attention Computation Self-attention is a core component of transformers. In our causal analysis we mask some representations in the *context* to the self-attention layer. By design, incremental transformers are already masking the end of the sentence with a boolean mask to prevent a token representation to attend to the future tokens. We extend this mechanism to mask, when computing the past participle representation,

[4] In the dataset, 65% of the past participles are singular.
[5] See Appendix B for results on a second pattern.

subset	size (in sentences)	Original	Mask all except ANTEC QUE AUX	Mask Antec	Mask que	Mask Antec+que
Overall	68,200	$93.6\%_{\pm 1.2}$	$85.3\%_{\pm 3.1}$	$84.0\%_{\pm 2.0}$	$79.0\%_{\pm 1.0}$	$76.6\%_{\pm 0.7}$
0 attractor	59,915	$95.4\%_{\pm 0.9}$	$87.3\%_{\pm 3.0}$	$87.5\%_{\pm 1.7}$	$82.9\%_{\pm 0.9}$	$81.3\%_{\pm 0.6}$
1 attractors	7,090	$82.8\%_{\pm 2.5}$	$71.3\%_{\pm 3.9}$	$61.1\%_{\pm 4.2}$	$53.3\%_{\pm 1.7}$	$44.6\%_{\pm 1.4}$
2 attractors	1,195	$71.4\%_{\pm 3.3}$	$68.3\%_{\pm 4.8}$	$47.0\%_{\pm 4.2}$	$36.4\%_{\pm 2.1}$	$27.2\%_{\pm 1.4}$

Table 2: Prediction accuracy based on prediction difficulty measured by the number of attractors

additional tokens from the sentence prefix such as the antecedent and the relative pronoun.

This intervention allows us to suppress direct access to some tokens such as the antecedent (and its number) when building the past participle representation, even if the latter can still access them indirectly: it indeed relies on all other tokens in the sentence for which the mask is kept unchanged. It is then possible, as featured in ablation experiments, to compare performances on the agreement task with and without intervention to evaluate whether the representation of a given token has a direct impact on the prediction of the past participle form.

Results Table 2 reports the accuracy on the object-past participle agreement task when some of the tokens in the context are masked. Accuracies are broken down by the number of attractors found in the *context*, a proxy to the difficulty of the prediction (Gulordava et al., 2018). Results show that masking either of the tokens involved in the agreement rule (i.e. the relative pronoun *que* or the antecedent) strongly degrades prediction performance. On the contrary, masking all tokens in *context* except these two and the token before the target verb (generally the auxiliary) has a limited impact on models performance, especially for the most difficult case. This suggests that Transformers learn representations that are consistent with the French grammar: the model relies on the same token as humans to choose the correct form of the past participle.

4 Probing Representations Components

Experiments reported in the previous section show that syntactic information is locally encoded in the *context*. In this section, we address the question of finding **where** this information is encoded **within the transformers representation**. To that end, we repeat the probing experiment of §3.1 with an ℓ_1 regularized logistic regression (Tibshirani, 1996). The resulting probe is thus constrained to minimize the number of features used to perform accurate predictions. Given the probe objective function $\sum_{i=1}^{n} -\log P(y_i|\mathbf{x}_i;\mathbf{w}) + \frac{1}{C}||\mathbf{w}||_1$ to minimize, we first determined the lowest bound for C such that the feature coefficients are guaranteed not to be all zeros, from which, we increase C evenly on a log space (i.e. decrease the regularization strength).

Results Figure 3 reports the regularization path of the probing classifier. It shows that number information can be extracted with high accuracy (90.1%) solely from a very small number of dimensions, namely 90. Increasing the number of dimensions (by decreasing the regularization strength) only result in a small improvement of model quality: the probe achieves an accuracy of 94.8% when all features are considered. Interestingly, when removing the 90 features selected by the ℓ_1 regularization from the representation, a probe trained on the remaining features still achieve a very good accuracy of 93.8%, suggesting that the number information is encoded in a redundant way in the contextualised representations.

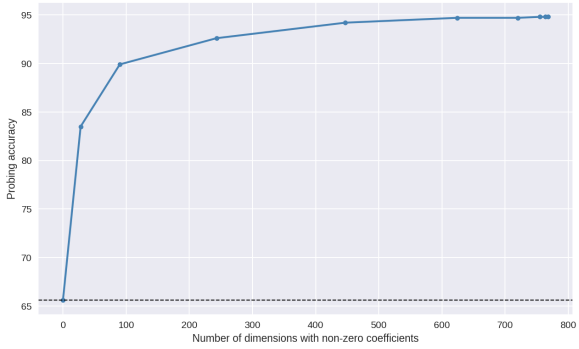

Figure 3: Feature selection by ℓ_1-logistic regression: probing accuracy of all *context* tokens representations

5 Discussion and conclusion

To understand how syntactic information is encoded and used in Transformers-based LM, we carried out two sets of experiments considering the French object-past participle agreement task. First, our probing experiments uncovered clear evidence

of a local distribution of number information within the *context* tokens, even if the self-attention mechanism allows this information to be spread all over the sentence. Second, our masking intervention on attention shows a causal link between linguistically motivated tokens and the model's decision, suggesting that Transformers process French object-past participle agreement in a linguistically-motivated manner. Finally, we used a ℓ_1 feature selection method to study the localization of number information within representations and found that if this information is encoded in a small amount of highly correlated dimensions, it is also fuzzily encoded in a redundant way in the remaining dimensions.

Our work is a first step towards a better understanding of the inner representations of LM. Designing new probes, supported by causal analysis and involving a wider range of languages, could improve our understanding of such models. In particular, our observation about the linguistically motivated distribution of syntactic information in transformers representations could be extended to other linguistic phenomenon and languages.

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A Probing classifiers

We used a set of logistic regression classifiers[6] to investigate the way the syntactic information is distributed inside the sentences. Each sentence are divided into three parts: *prefix*, *context* and *suffix*, as described in Figure 1. The input for all classifiers are the contextualized token representations built by our pre-trained Transformers. We trained one classifier per category of word and per part of the sentences to classify whether the token representation is singular or plural. To ensure a fair comparison across parts of sentences, we eliminated the following tokens of PoS tags with less than 100 occurrences in some partition groups: SYM, SCONJ, INTJ, PART, PART and X. Therefore, we have in total 11 categories of tokens in each part of the sentences, resulting in 11*3 probing classifiers, and each classifier is trained with three random states(i.e. random_state $= 0, 20$ and 42). The averaged results is reported in table 1 of the paper. The detailed results per category of word is in table 4 below.

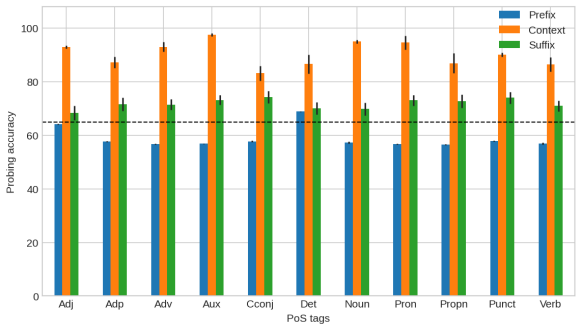

Figure 4: Probing accuracy based on tokens PoS tags and their positions in the sentences

B Fixed pattern probing

To corroborate the observation of probing classifiers trained on all tokens representations, and to get a more accurate picture of how the number information is distributed within the context. We extracted two specific sentence patterns. Compare to the first pattern in section 3.1, the potential *attractor* noun in this second pattern is located outside the relative clause and before the relative pronoun. There is a noun modifier between the antecedent and the participle as in:

(2) ... conseils que mon ami a donnés ...
... advices that my friends have suggested ...
... ANTEC-PL PRON DET-SG NOUN-SG AUX-PL PP-PL ..

This pattern represents 4% of the examples of the original dataset (2,991 sentences)

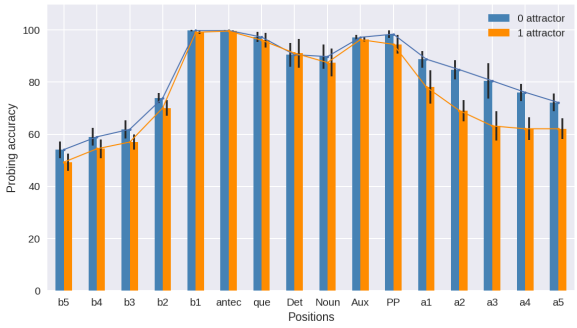

Figure 5: Probing accuracy at each position of the second pattern. The `bI` (resp. `aI`) position denotes the I-th token before (resp. after) the pattern. Blue represent sentences in which the intervened noun has the same number as the antecedent, and orange, sentences in which the intervened noun has an opposite number

The average probing accuracy reported in Figure 5 is in line with the observation in pattern 1 section 3.1 and shows a particularly clear trend: the network begins by marking the prior probabilities of the two classes (i.e. positions from $b5$ to $b3$ achieve close to majority-class accuracy), then it encodes the number information with accuracies approaching to 100% before and at the position antecedent. As the sentence goes on, the accuracy score drops in the middle part of the context, showing attraction effect on the 1-attractor group. Then the network resets with a higher accuracy when it reaches the auxiliary *have* from which Transformers calculate the number of the past participle. After the peak of close to 100% accuracy at the past participle position, the tracking of number diminishes. This result also illustrates that Transformers learn to recognise the number information of the antecedents and past participle verbs.

[6] All classifiers in this experiments are implemented with Scikit-Learn library. We set $max\_iter = 1000$, and class_weight='balanced'