

A pilot randomised controlled trial comparing the effectiveness of the MaTerre180' participatory tool including a serious game versus an intervention including carbon footprint awareness-raising on behaviours among academia members in France

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A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector PREPRINT: NOT PEER REVIEWED

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2	A pilot randomised controlled trial comparing the effectiveness of the MaTerre180'
3	participatory tool including a serious game versus an intervention including carbon
4	footprint awareness-raising on low-carbon behaviours among academia members in
5	France
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sector

- 23 All the authors have read and approved this version of the manuscript. All the authours agree
- 24 to the sharing of this preprint on *PsyArxiv*.
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27 Background. Activities embedded in academic culture (international conferences, 28 field missions) are an important source of greenhouse gas emissions. For this reason, 29 collective efforts still need to be strengthened to lower the carbon footprint of the academic 30 sector. Serious games are often used to promote ecological transition. Nevertheless, most 31 evaluations of their effects either uses qualitative methods or focuses on changes in 32 knowledge and not on behaviour. The main objectives of this study are to 1) Evaluate the 33 feasibility of a control and an experimental behaviour change intervention and, 2) Evaluate 34 the fidelity (the extent to which the implementation of the study corresponds to the original 35 design) of both interventions.

Methods. People employed by a French research organisation (N=30) will be randomised to one of the two arms. The experimental arm consists in a 1-hour group discussion for raising awareness about climate change, carrying out a carbon footprint assessment and participating to a serious game called "Ma terre en 180 minutes." The control arm consists of the same intervention (1h discussion + carbon footprint assessment) but without participating to the serious game.

On two occasions over one month, participants will be asked to fill in online surveys about their behaviours, psychological constructs related to behaviour change, sociodemographic and institutional information. For every session of intervention, the facilitators will assess task completion, perceived complexity of the tasks, percentage of completion of online questionnaires, duration of each session and perceived responsiveness of participants. Descriptive statistics will be done to analyse percentages and averages of the different outcomes.

49 Discussion. Ma-terre EVAL pilot study is a 1-month and a half pilot randomised
 50 controlled trial aiming to evaluate the feasibility and the fidelity of a 24-month randomised

sector

- 51 controlled trial aiming to change academic behaviours such as professional mobility by air,
- 52 daily commuting and digital and material purchases.
- 53 Keywords: serious game, carbon footprint, psychology, professional mobility, commuting,
- 54 behaviour change, RCT, human geography, air travel

55

56	A pilot randomised controlled trial comparing the effectiveness of the
57	MaTerre180' participatory tool including a serious game versus an intervention
58	including carbon footprint awareness-raising on low-carbon behaviours among
59	academia members in France
60	1. Background
61	Reducing greenhouse gas emissions to successfully mitigate climate change is a great
62	challenge of the 21st century. From demand-side perspective, an important percentage of
63	greenhouse gases (72%) are related to household or "lifestyle" consumption such as housing,

64 mobility and daily diet (Hertwich & Peters, 2009). For this reason, citizens and communities 65 are encouraged to change their behaviours and practices to mitigate climate change not only 66 in their individual spheres but also in their professional and collective spheres (Whitmarsh et 67 al., 2021). If humanity wants to limit global warming to 1.5° (or 2°), a set of coordinated 68 actions between citizens, investors, consumers, role models and professionals is needed 69 (IPCC, 2022).

70 Academia members, which include scholars, technical and administrative staff 71 working in universities and research centres, are not exempt from contributing to greenhouse 72 gas emissions and inequalities through certain behaviours. One common practice is attending 73 in-person scientific conferences and field missions, which have been identified as significant 74 sources of emissions (Leochico et al., 2021). Related to the Covid-19 lockdowns, many recent 75 studies focused on conference habits in the academic sector. For example, (van Ewijk & 76 Hoekman, 2021) compared the impact of three in-person conferences in the United States, Korea and England, and estimated that each academic attending to one of these three 77 78 conferences produced between 1.3 and 1.8 tons of CO2e.

6 A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 79 Moreover, research indicates that certain groups within academia face additional disadvantages in accessing in-person conferences. Women, individuals with household 80 81 responsibilities, those requiring visa applications, scientists working in the Global South and 82 early-career researchers are among those disproportionately affected (Cohen et al., 2020; 83 Glover et al., 2017; Kreil, 2021a; Whitmarsh et al., 2020; Wynes et al., 2019). Criticism has been raised both within and outside academia regarding the high 84 85 emissions associated with such behaviours (Goodwin, 2020; Köhler et al., 2022), which can undermine the credibility of academics' recommendations on climate change (Attari et al., 86 87 2016). Nonetheless, attending in-person scientific conferences continues to be strongly encouraged and often linked to academic excellence (Berné et al., 2022; Glover et al., 2017; 88 89 Kreil, 2021a; Le Quéré et al., 2015; Storme et al., 2013). 90 1.1 Strategies for changing Academia's practices and behaviours: hard and soft 91 levers 92 Increasingly, universities and research centres (National Centre for Scientific 93 Research, CNRS, 2022; EHT Zurich, Kreil, 2021b), communities of actors from the academia (Ma Terre en 180 minutes community, Gratiot et al., 2023; Labo1point5 community, 94 95 Labo1point5, 2021) and international conference organisers (Warner et al., 2022) are 96 committing to proposing strategies to reduce the carbon footprint of the academic world. 97 In this study protocol, strategies will be categorised in *Hard* levers and *Soft* levers 98 (Bamberg et al., 2011; Javaid et al., 2020; Teran-Escobar et al., 2022). Hard levers aim to 99 change the economic and geographical contexts of individuals to encourage or discourage 100 them from adopting a practice. In general, hard levers seek to build enabling environments for 101 the adoption of behaviours (Michie et al., 2011). In recent years, in the Academic context, 102 hard levers as economic (dis)incentives (e.g., carbon tax on air travel, Barron et al., 2020), 103 limiting air travel by applying individual or collective quotas (Locean-Climactions et al.,

A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector
2020; Pellarin et al., 2023), replacing air travel by videoconference (Glover et al., 2017),
prohibiting air travel (e.g., for domestic air travel or for easily achievable travel by train) and
making it more complicate (e.g., longer procedures) to purchase airline tickets (Hoolohan et
al., 2021) have only started to be implemented.

108 Soft levers or behavioural interventions aim to change the psychological factors 109 associated with behaviours, such as the intention to reduce air travel for professional mobility 110 and subjective norms (the feeling of social pressure to adopt a practice deemed acceptable or 111 expected by others). In general, *soft* levers seek to influence attitudes, motivations and reasons 112 for adopting a practice (Araújo-Soares et al., 2019). In the field of behaviour change 113 literature, these levers are referred to as behaviour change techniques (Michie et al., 2013). 114 Some examples of behaviour change techniques in the field of academic behaviours are the 115 creation of tools to inform about the emissions of air travel (Hoolohan et al., 2021), relaying 116 detailed documents about how to travel in a train or engaging in eco-friendly actions during 117 conferences (e.g., Warner et al., 2022), informing about the social and environmental impacts 118 of air travelling (Whitmarsh et al., 2020), developing and promoting the use of collaborative 119 workshops and serious games to raise awareness and discuss transition pathways (Gratiot et 120 al., 2023). Indeed, serious games are becoming increasingly widespread to promote pro-121 environmental behaviours and climate change adaptation behaviours (for reviews, read 122 Fernández Galeote et al., 2021; Fernández Galeote & Hamari, 2021; Flood et al., 2018). 123 Although the use of serious games can influence the perception of climate change issues, 124 stimulate cognitive engagement and even increase the intention of adopting new behaviours 125 (Fernández Galeote et al., 2021; Fernández Galeote & Hamari, 2021), most of them present 126 similar limitations (Fernández Galeote et al., 2021). First, most studies examine and evaluate the gains in knowledge and participants' engagement without measuring any actual 127 128 behavioural changes. Second, they often lack of rigorous methodologies including controlled

A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 129 designs (i.e., comparing the effects of an experimental group containing a serious game versus 130 a control group containing other content or no content at all) and longitudinal designs (i.e., 131 studies following the participants' behaviours and knowledge over weeks or months). Third, 132 to our knowledge, there is a lack of studies taking into account the socio-spatial context of the 133 individuals who participate in serious games (their age, their socio-economic status, the 134 characteristics of their living areas). Ma Terre-EVAL study aims to address all the 135 aforementioned limitations.

136

1.2 Ma Terre-EVAL pilot study

137 Ma Terre-EVAL is a study carried out by a consortium of researchers in social 138 psychology, human geography and climate science aiming to better understand the 139 motivations and obstacles to adopt ecological transitions paths in Academia. For this purpose, 140 Ma Terre-EVAL combines concepts and knowledge in climate science, gaming and 141 simulation, human geography and behavioural sciences to evaluate the effects of a serious 142 game on three academic practices: professional mobility by air, daily commuting by car and 143 digital and material purchases. Moreover, the motivations towards changing behaviours and 144 the socio-economic and institutional contexts will also be assessed. Ma Terre-EVAL will rely 145 on rigorous methodologies such as a randomised controlled study comparing an experimental 146 arm versus a control arm, a longitudinal follow-up of 6 weeks spread over 24 months and a 147 large-scale study with 1000 participants. More precisely, the sample size was estimated by 148 using *a priori* sample calculation in G*Power 3.1.9.4 (Erdfelder et al., 2009; Faul et al., 2007) 149 by considering that implementing *soft* levers in our second dependent variable (because no 150 study has investigated the effects of *soft* levers on air travel) reduces 7% of daily travel by car 151 (g = 0.16, Z = 6.419, p < .001, 95 % CI [0.113, 0.213], (Semenescu et al., 2020) and152 considering a significance level of 0.05 and a statistical power of 80%. The behaviours of 153 Academia's actors will be assessed through detailed online surveys (e.g., questionnaires about A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 154 the numbers of academic travels, distances travelled and questionnaires assessing intentions, 155 habits, subjective norms and socio-economic and institutional contexts).

156 The main objective of this pilot study will be to evaluate the feasibility and the fidelity 157 of Ma Terre-EVAL study through a shorter version (a follow-up of two weeks over one 158 month and a half) with a small number of participants. In this study, the feasibility will be 159 understood as the extent to which the different elements of the intervention and evaluation are 160 feasible for the implementation team (Whitehead et al., 2014) and the fidelity will be 161 understood as the extent to which all the elements of the intervention are accurately 162 implemented and without modifying the behaviour change techniques and contents (Bartholomew et al., 2016). For evaluating the feasibility and the fidelity of this study, a 1-163 164 month and a half pilot randomised controlled trial, parallel groups, two arms, exploratory trial 165 with a 1:1 allocation ratio will be implemented. More precisely, the objectives of the study are twofold: 166 167 a) Evaluate the feasibility of the different elements of the interventions (completion

- 168or non-completion of planned tasks, assessment of task complexity, participation169rates in intervention sessions) and the evaluation (completion rate of online
- 170 questionnaires and quality of the responses) proposed in Ma Terre-EVAL study.
- b) Evaluate the fidelity of the behavioural interventions provided to the experimental
 and control arms (the extent to which all the different elements of the interventions
 and evaluation are feasible for the team implementing the study).
- 174 **2. Methods**
- 175 The Figure 1 shows the SPIRIT schedule of the present study. All the details related to176 the tools used for the assessments are presented in Table 1.

	STUDY PERIOD					
	Enrolment	Allocation	Post-allocation			Close-out
TIMEPOINT	-S1	0	S ₀	SI₁	SI ₂	S ₁

ENROLMENT:						
Eligibility screen	Х					
Informed consent	Х					
Allocation		Х				
INTERVENTIONS:						
Intervention A/Experimental Arm				Х	Х	
Intervention B/Control Arm				Х		
ASSESSMENTS:						
Feasibility of the intervention and adherence Task completion, Perceived complexity of tasks, Participation in sessions				x	х	
Feasibility of the evaluation Completion rate of online surveys, Quality of responses			х			Х
<i>Fidelity of the intervention</i> <i>Exposure to the intervention,</i> <i>Perceived responsiveness of</i> <i>participants</i>				х	х	
Academic's behaviours Number of professional trips by air, Percentage of home-work trips made by car or other modes, Frequency of purchasing of equipment, Use of alternatives to air travel				х		
Psychological constructs Intention towards changing behaviours, Knowledge about climate change Beliefs about the consequences of behaviour on the climate, Self- efficacy, Beliefs about negative consequences of reducing air travel, Attitude, Environmental attitudes, Ecological identity, habits, Compensatory beliefs, Self-control resources, Descriptive and subjective norms			x			Х
Sociodemographic and institutional information Gender, age, number of children, self-reported distance between home and work, perceived accessibility by train or alternative transport modes, income level, Career status, employer,						

secto	or

characteristics, academic			
recognition, funding for			
professional mobility			

177 *Figure 1.* SPIRIT schedule of the present study.

178 Note. S = Session (Survey to be fill up), SI = Session if intervention.

179 **2.1 Ethics and data protection**

180 The Figure 2 shows the procedure of ethics, data protection, recruitment, inclusion in

181 the study, allocation, study enrolment and beginning of the study. First, the main researchers

182 of this study contacted the Data Protection officer to establish a detailed planned of data

183 collection and data protection. Then, a detailed document containing the data collection, data

184 analysis and data protection were submitted to the Grenoble Alpes Research Ethics

185 Committee (CERGA). Ma Terre-EVAL pilot study received the ethic's approval in May 2023

186 (File CERGA-Avis-2023-11).



187

188 Figure 2. Procedure of the Ma Terre-EVAL pilot study since the ethics and data protection

189 procedures to the study enrolment and beginning of the study.

190 **2.2 Participants**

2.2.1 Study Setting

11

	A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic
192	sector This study will be restricted to individuals being employed by a French private or
193	public research organisation. In France, 462k ¹ of people work full time for a French private or
194	public research organisation (Ministère de l'Enseignement supérieur et de la Recherche,
195	2020). According to a recent survey involving more than 6000 people working in public
196	research centres (Labo1point5, 2021), between 25% and 35% of the respondents have
197	travelled by air for professional reasons between 2017 and 2019. The principal motives of
198	professional air mobility were conferences, meetings, workshops and research visits.
199	According to this same survey, 24% of the respondents commute by car to go to work.
200	2.2.2 Eligibility criteria
201 202	To take part in Ma Terre-EVAL pilot study, participants will need to meet the following criteria:
203	• Being over 18 years old
204	• Being employed by a French public or private research organisation.
205	2.3 Participant timeline
206	2.3.1 Recruitment, allocation, and blinding
207	The participants will be recruited through announcements by e-mail sent by the study
208	partners (CNRS, IRD, Univ. Grenoble-Alpes) and "snowball". The study partners (CNRS,
209	IRD, Univ. Grenoble-Alpes) will relay announcements describing the study and the steps to
210	follow to participate.
211	The people volunteering to participate in Ma Terre-EVAL pilot study will send an
212	email to the field manager who will call them back to explain the study procedure and
213	verify the eligibility criteria.
214	Participants accepting to participate will be randomly allocated to the experimental or
215	control arm. For this purpose, previously to the enrolment, the scientific team will create a

 $^{^{1}}$ In total 649 1000 people work partial or full time for R&D representing 462K full-time works.

A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 216 randomisation list by blocks of ten (i.e., in this randomisation list by blocks of ten, here are 217 five possibilities to be in the experimental arm and five possibilities to be in the control arm). 218 Concerning the blinding, the implementation team (the facilitators in charge of leading 219 the intervention sessions) will not be aware of the arm allocation at the beginning of the study 220 (S_0) , but blinding will be impossible afterward because the facilitators deliver different 221 contents to each arm through online meetings with the participants. The research team might 222 be aware of the group allocation at the moment of analysing the data of the pilot study 223 because of the missing data (because the control arm will have no information about the 224 second intervention meeting). Nevertheless, the lack of blinding will not be a problem, because the objective of this study is not to determine the effects of the intervention but the 225 226 feasibility and the fidelity of the intervention and the evaluation.

227

2.3.2 Timeline of the Study

Participants enrolled in this study will have a first 45-minutes online meeting with a member of the implementation team ("Session 0" or S_0 , see Figure 3). At the beginning of this meeting, the participant will read and electronically sign and send by mail the consent form (cf. Supplementary materials). Then, he or she will fill in a questionnaire to report on his or her professional mobility, home-to-work mobility and professional electronic purchases, psychological constructs and socio-economic and institutional information (see Table 1 for all the details about the tools and surveys).



235

Figure 3. Calendar of Ma Terre-EVAL pilot study and the measurements. S = Session (Survey to be fill up), M = Month, IM1 = Intervention meeting with experimental and control arm. IM2

238 = Intervention meeting only with experimental arm. \blacksquare = Online survey.

239 Approximately two weeks after this session, participants of both experimental and 240 control arm will have the first intervention meeting (IM₁) with another four participants of their 241 same arm and a facilitator (1 hour). During this meeting, the participants will have a discussion 242 aiming to raise awareness between academic behaviours and environmental issues (all the details of this session will be described in the section 2.4). At the end of this meeting, 243 participants of both arms will be asked to evaluate their carbon footprint through the Micmac 244 245 app (https://avenirclimatique.org/micmac/simulationCarbone.php) and send it to the 246 implementation team.

Approximately two weeks after this first intervention meeting, only the participants of the experimental arm will be contacted to carry out a second intervention meeting (IM_2) with four participants of their same arm and a facilitator (2 hours and 20 minutes). During this meeting, the participants will participate to the serious game "Ma Terre en 180 minutes" aiming to discuss the possible transition pathways to diminish carbon emissions while playing the role of fictional research laboratory team members (all the details of this session will be described in the section 2.4). During and after each of the meetings (IM_1 and IM_2) the facilitators will A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 254 complete a checklist and answer questions about the sessions (see Table 1 and supplementary 255 materials).

Two weeks after the experimental arm's intervention meeting session (IM2) and one month after the control arm's intervention meeting session (IM2), the participants will receive a link to complete a 15-minutes online survey ("Session 1" or "S₁").

259

2.3.3 Retention of participants

To limit the attrition risk, we will send a newsletter one month after the start of the study to inform about the laboratories involved in the study and a short presentation of the research and field team.

263

2.3.4 Power Analysis and Sample Size

Because our data analysis will be descriptive as a part of the feasibility study, we consider that a power analysis is not necessary (Whitehead et al., 2014). Considering time and resources constraints, we decided to recruit thirty participants (N = 30) that will be randomised in the experimental or control arm.

268

269 **2.4 Intervention**

Eligible participants will be randomised in equal proportion to the experimental arm or to the control arm. The intervention for the experimental arm will last for two weeks (including two intervention meetings and a carbon assessment) as the one for the control arm (including only one intervention meeting and a carbon assessment of the one month and a half study period) (Figure 3). The detailed content of the interventions for experimental and control arms will be described in the next subsections (see Table 2 for a summary).

276 2.4.1

2.4.1 Experimental Arm

The intervention (Intervention A) to be carried out by this arm consists of an awareness-raising session (one hour in a group of five people with a facilitator), the A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector 279 completion of a carbon footprint assessment and a "Ma Terre en 180 minutes" game session 280 (2 hours 20 minutes in a group of five people with a facilitator).

281 During the first online 1-hour meeting (Intervention meeting 1, IM_1), the facilitator and the group of five participants will discuss about the energy consumption and the basic 282 283 human's needs, planetary limits, climate issues, current carbon footprint of a random French 284 person and target carbon footprint according to the Paris Agreement, the link between 285 activities in an academic life and carbon footprint, the distribution of the carbon footprint of 286 some laboratories and the initiatives to diminish it. At the end of the meeting, they will 287 collectively make an astonishment report using virtual Post-its. The behavioural change 288 techniques (Michie et al., 2013) that were targeted by these activities are "5.2 Salience of 289 consequences", "5.3 Information about social and environmental consequences", "6.3 290 Information about others' approval" and "4.1 Instruction on how to perform the behaviour". 291 After the first meeting of the intervention, the study participants are encouraged to 292 carry out an online carbon footprint assessment by using a free tool: 293 https://avenirclimatique.org/micmac/simulationCarbone.php. This takes between ten and

The behavioural change technique (Michie et al., 2013) that was targeted by this activity is "2.2 Self-monitoring of behaviour".

fifteen minutes and requires precise information about the household energy consumption.

294

During the second online 2 hour and 20 minutes meeting (Intervention meeting 2, IM₂), the group of five participants play the serious game "Ma terre en 180 minutes" (see Figure 4 to see an example of a game table or <u>http://51.178.55.78/MT180/mt180.htm</u>) under the gaze of the facilitator who answers questions and paces the game steps. During the game session, each player takes on the role of two characters from a research team and begins a free negotiation followed by a guided negotiation aiming at a 50% reduction of the research laboratory carbon footprint. In addition, after the end of the game, the facilitator leads a A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector debriefing session with the participants. The behavioural change techniques (Michie et al., 2013) that was targeted by the serious game and its debriefing are "6.2 Social comparison", "5.2 Salience des consequences", "13.2 Framing/reframing", "6.1 Demonstration of the behaviour", "4.1 Instruction about how to perform a behavior", "12.2 Restructuring the social environment". Moreover, the detailed content of the intervention is available on

309 Supplementary materials.



Figure 4. Example of a game board from the serious game "Ma terre en 180 minutes".
The tokens show the different sources of carbon footprint of each fictional character (e.g.,
travel for conferences or field missions, commuting). The bigger tokens indicate bigger
carbon foot prints.

315 **2.4.2** Control Arm

310

The intervention (Intervention B) to be carried out by this arm consists of an awareness-raising session (one hour in a group of five people with a facilitator) and the completion of a carbon footprint assessment.

319 During the first online 1-hour meeting (Intervention meeting 1, IM₁), the facilitator 320 and the group of five participants will discuss about the energy consumption and the basic 321 human's needs, planetary limits, climate issues, current carbon footprint of a random French

	A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector
322	person and target carbon footprint according to the Paris Agreement, the link between
323	activities in an academic life and carbon footprint, the distribution of the carbon footprint of
324	some laboratories and the initiatives to diminish it. At the end of the meeting, they will
325	collectively make an astonishment report using virtual Post-its. The behavioural change
326	techniques (Michie et al., 2013) that were targeted by these activities are "5.2 Salience of
327	consequences", "5.3 Information about social and environmental consequences", "6.3
328	Information about others' approval" and "4.1 Instruction on how to perform the behaviour".
329	After the first meeting of the intervention, the study participants are encouraged to
330	carry out an online carbon footprint assessment by using a free tool:
331	https://avenirclimatique.org/micmac/simulationCarbone.php. This takes between ten and
332	fifteen minutes and requires precise information about the household energy consumption.
333	The behavioural change technique (Michie et al., 2013) that was targeted by this activity is
334	"2.2 Self-monitoring of behaviour". The control group does not participate in the serious
335	game "Ma Terre en 180 minutes".
336	The figure 5 compares the targeted sources of behaviour (i.e., motivation, opportunity
337	or capability according to (Michie et al., 2013), the intervention functions (i.e., education,
338	persuasion, incentivisation, coercion, training, enablement, modelling, environmental
339	restructuring and restriction according to (Michie et al., 2013)) and the behaviour change
340	techniques (Michie et al., 2013) mobilised in the Experimental and Control arms.



	A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector
343	Figure 5. Sources of behaviour targeted by the interventions (in the centre of the circle),
344	intervention functions (in the intermediary part) and behaviour change techniques (in the
345	exterior part).
346	2.4.3 Adherence
347	To measure the adherence to each intervention arm, the facilitator will take notes
348	about the duration of each intervention meeting and of every situation that could disrupt the
349	planned elements of the intervention (e.g., participants not sending their carbon footprints,
350	participants lacking an intervention meeting).
351	2.5 Outcomes and data collection
352	2.5.1 Primary outcomes and data collection methods
353	The main outcomes of Ma Terre-EVAL pilot will be the feasibility of the intervention,
354	the feasibility of the evaluation of behavioural changes and the fidelity of the intervention.
355	The intervention's feasibility will be operationalised in three variables: 1) Task
356	completion (a checklist of all activities that need to be carried out during the intervention
357	sessions), 2) Complexity of the intervention tasks (a scale of 1 to 3, from easy to difficult) and

358 3) Percentage of participation in the meetings (percentage of people absent or present at theintervention meetings). All of this information will be collected through paper questionnaire.

The feasibility of the evaluation of behavioural change will be operationalised in two variables: 1) Completion rate of the online questionnaires (average of the completion of each questionnaire) and 2) Quality of the answers (considering the duration of each questionnaire). More precisely, the quality of the answers will allow us to detect participants who potentially do not take the task seriously enough (i.e., with unrealistic short time on certain tasks) by calculating the Median Absolute Deviation (Leys et al., 2013) to detect outliers on the time spent on the page showing the article and will exclude participants with a Median Absolute A randomised pilot study to evaluate the effect on low-carbon behaviours in the academic sector
367 Deviation superior to 3. Both of these outcomes will be calculated by the scientific team by
368 downloading the online surveys completed by the participants of the study.

Finally, the fidelity of the intervention will be operationalised in two variables: 1) Exposure to the intervention (average time of each session) and, 2) Responsiveness of the participants (via a scale of 1 to 7 from not at all responsive to very responsive, this question will be asked to each facilitator). All of this information will be collected through paper forms. All the details of the variables, tools and measurement times can be found in Table 1.

375 **2.5.**2

2.5.2 Secondary outcomes and data collection methods

376 Secondary outcomes of Ma Terre-EVAL pilot will include the following variables 377 related to Academia's behaviours: Number of professional trips by air, percentage of home-to-378 work trips made by car, by active and sustainable mobility, frequency of numerical equipment 379 purchase, intention towards changing professional trips made by car, reducing home-to-work 380 trips made by car and frequency of numerical equipment purchase equipment (Godin, 2012) 381 and the use of alternatives to air travel through online surveys implemented on the platform 382 Sphinx iQ2 v 7.3.1.0. Moreover, we will collect psychological constructs such as knowledge 383 about climate change (measured through a multiple-choice questionnaire), beliefs about the 384 consequences of behaviour on the climate (Whitmarsh et al., 2020), self-efficacy of choosing 385 an alternative to air travel (Godin, 2012), self-efficacy of choosing an alternative to car travel 386 (Godin, 2012), self-efficacy of making sustainable purchases (Godin, 2012), beliefs about 387 negative consequences of reducing air travel (Labo1point5, 2021), attitudes towards 388 alternatives to air travel (Godin, 2012), attitudes towards alternatives to car travel (Godin, 389 2012), environmental attitudes (Schleyer-Lindenmann et al., 2016), ecological identity (Lalot 390 et al., 2019), professional travel habits by air (Gardner et al., 2012), commuting habits by car 391 (Gardner et al., 2012), compensatory beliefs (Whitmarsh et al., 2020), perceived self-control

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392 resources (Ryan & Frederick, 1997), descriptive and subjective norms (Godin, 2012) through 393 online surveys. Finally, socio-demographic and institutional information such as gender, age, 394 number of children, self-reported distance between home and work, perceived accessibility by 395 train or alternative transport modes, income levels, career status, employer characteristics, 396 perceived academic recognition and, funding for professional mobility. All the details of the 397 variables, tools and measurement times can be found in table 1.

398

2.6 Data management and statistical methods

2.6.1 Data quality, management, storage, access and confidentiality

In order to monitor the quality of the data, one member of the scientific team will
check the documents filled in by the facilitators (i.e., the documents evaluating the feasibility
and the fidelity) and the presence of missing data in these documents after the end of each
intervention meeting. This data will be immediately transferred to an Excel table.
Furthermore, data from online surveys will be downloaded at the end of each session of

405 measurement.

406 The data will be stored in two blocks:

Block 1 will contain the contact file including name and email of the participants for sending the surveys and setting the intervention meetings. Moreover, this block will contain the correspondence table between the participants' identifier and their name. This block will be encrypted and it will only be accessible by the scientific coordinator and the field coordinator.

Block 2 will contain all the collected data from the paper forms and the onlinesurveys. The data will be anonymised (only containing the participant's identifier).

414 Both of the blocks will be locally stored on the secured data centre of the university415 Grenoble-Alpes with access restricted only to Ma Terre-EVAL pilot team.

416 **2.6.2 Data monitoring, harms and auditing**

- 417 No data monitoring committee has been conformed for this study because the
- 418 researchers have no strong suspicion that any of the interventions can potentially harm the
- 419 participants. The coordinator of the implementation team and the coordinator of the scientific
- 420 team will meet once every two weeks to audit the trial conduct.
- 421

2.6.3 Ancillary and post-trial care

- 422 No ancillary and post-trial care will be provided.
- 423 **2.6.4 Trial registration**
- 424 Because of the non-clinical character of this research study, we have submitted a
- 425 registration in <u>https://www.protocols.io/</u> with the registration number YXMVM24JBG3P.

426 **2.6.5** Statistical methods

427 **2.6.5.1** Analysis of the feasibility of the intervention and adherence

Concerning the Task completion, we will identify the tasks that were not completed (i.e., for each group of participants and for each meeting) and we will calculate the percentage of completion of each task (e.g., the discussion about planetary limits was completed in 100% of IM_1 for experimental and control arms). Concerning the perceived complexity of tasks, we will calculate the average complexity of each task (from 1 to 3) and look at all the comments noted by the facilitators. Concerning the adherence, a participation/absence rate will be done per each intervention meeting.

435 **2.6.5.2** Analysis of the feasibility of evaluation

436 Concerning the Completion rate of online surveys, we will calculate the percentage of

437 completion of online questionnaires during the first session of measurement (S_0) and the

438 second session of measurement (S_1) . Concerning the quality of the responses, we will

- 439 calculate the Median Absolute Deviation (Leys et al., 2013) to detect outliers that spent too
- 440 few or too much time to complete the surveys (participants with a Median Absolute Deviation
- 441 > 3).

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442 **2.6.5.3 Analysis of the fidelity of the intervention**

443 Concerning the exposure to the intervention, we will calculate the average duration of 444 each intervention meeting. Concerning the perceived responsiveness of participants, we will 445 calculate the average of perceived responsiveness per each intervention meeting.

3. Discussion

447 Ma-terre EVAL pilot study is a 1-month and a half pilot randomised controlled trial 448 designed to evaluate the feasibility and the fidelity of a 24-month randomised controlled trial 449 aiming to change academic behaviours such as professional mobility by air, daily commuting 450 and digital and material purchases. Ma-terre EVAL pilot study will include a two-arm 451 intervention of 2-weeks: an experimental arm that includes an awareness-raising group 452 discussion, an online carbon footprint assessment and a group session of the serious game "Ma Terre en 180 minutes" (Gratiot et al., 2023); and a control arm which includes only the 453 454 awareness-raising group discussion and the online carbon footprint assessment. We believe that 455 because the control arm targets mainly raising awareness about climate change and professional 456 behaviours (through the group discussion and the carbon footprint assessment) and 457 consequently it will target sources of behaviour such as capacity and motivation (by using 458 behavioural techniques such as 2.2 self-monitoring of behaviour, 5.3 information about social 459 and environmental consequences, 5.2 salience of consequences according to (Michie et al., 460 2013)). Furthermore, the experimental arm will additionally aim the co-construction of 461 ecological transition paths in Academia (through the serious game) and potentially will lead to 462 capacity and opportunity building (by using additional behavioural techniques such as 13.2 463 framing/reframing, 12.1 restructuring the social environment and 6.2 social comparison 464 according to (Michie et al., 2013)).

465 Moreover, the evaluation of the effects of Ma Terre-EVAL pilot study includes two 466 sessions of online surveys to measure the participants' behaviours (number of professional trips

by air, percentage of home-to-work trips made by car and by active and sustainable mobility. 467 frequency of purchasing of equipment), the intention towards changing professional trips made 468 469 by car, reducing home-to-work trips made by car and frequency of purchasing of equipment, psychological mechanisms related to changing behaviours (attitudes, subjective norms, self-470 471 efficacy, ecological identity, habits) and socio-demographic and institutional information (e.g., 472 gender, age, income levels, career status, perceived academic recognition).

473 Because of the complexity of the interventions proposed to the experimental and control 474 arms and the complexity of the evaluation of the behavioural changes, this pilot randomised 475 controlled trial aims to evaluate the feasibility (the extent to which all the different elements of 476 the interventions and evaluation are feasible for the team implementing the study) of the 477 intervention and the evaluation of the fidelity (the extent to which all the elements of the 478 intervention are accurately implemented and without modifying the behaviour change 479 techniques and contents). Indeed, several authors (Bartholomew et al., 2016; Freedland, 2020; 480 Hankonen & Hardeman, 2020) have indicated that every large-scale study should be pre-tested 481 with small groups of participants to identify elements that could be adapted to ensure better 482 feasibility and fidelity.

483

484

4. List of Abbreviations

No abbreviation has been used in this paper.

485 5. Acknowledgements

486

The authors thank the IRD (Institut for Research and Developpement).

6. Composition, roles, and responsibilities in the project 487

488 IR and NB are the scientific coordinators of the project. NG, NC, GP and BH created the

489 content of the experimental and control interventions. CT-E has developed the details of the

490 protocol of the study. All authors contributed to the study design and data collection, and

491 approved the final version of this study.

- 492 **7. Protocol version and protocol amendments**
- 493 This version of the protocol (1.0) is dated 1 May 2023. Any changes in the protocol
- 494 will be communicated and shared on <u>https://www.protocols.io/view/my-earth-eval-pilot-</u>
- 495 <u>yxmvm24jbg3p/v1</u> with the DOI: 10.17504/protocols.io.yxmvm24jbg3p/v1.
- 496 **8. Dissemination policy**
- 497 The results of the study will be published in scientific journals and open science platforms
- 498 (e.g., OSF). In addition, the results of the study will be described in a user-friendly style to be
- 499 sent to the participants and partners of the study.
- 500 9. Authors' contributions
- 501 CT-E drafted the manuscript under the supervision of IR. All the authors critically appraised
- 502 the manuscript, worked on its content, and approved the final version for submission.

10. Funding

504 No funding will be received for carrying out this study.

505 **11. Availability of data and material**

- 506 The anonymised dataset and the analyse codes will be available after the end of the
- 507 data analysis in the open science framework:
- 508 <u>https://osf.io/chpfk/?view_only=0ba1ecd434ce427fb115a447cf36c2c1</u> with the DOI
- 509 <u>https://doi.org/10.17605/OSF.IO/CHPFK</u>
- **12. Declarations**

511 **12.1 Ethics approval and consent to participate**

- 512 Ma Terre-EVAL was approved by Grenoble-Alpes Ethics Committee (File CERGA-
- 513 Avis-2023-11) in May 2023. Moreover, each participant will read and sign a detailed informed
- 514 consent form (cf. Supplementary materials) before starting the study only if he/she agrees to
- 515 participate in the study.

51612.2Consent for publication

	A rando	omised pilot study to evaluate the effect on low-carbon behaviours in the academic sector
517	No	ot applicable
518	12.3	Competing interests
519	Th	e authors declare no potential competing interests.
520	13. Re	eferences
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Tables

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Table 1. Summary of the variables, tools and time measurements.

Outcomes	Tool	S ₀	SI ₁	SI ₂	S_1
Main outcomes of the study					
Feasibility of the intervention and					
adherence					
Task completion	Cheklist of tasks to complete		🗸 a	🗸 a	
Perceived complexity of tasks	Scale of 1 to 3 (easy to difficult)		🗸 a	🗸 a	
Participation in sessions	Rate of participation/absence in sessions		🗸 a	🗸 a	
Feasibility of the evaluation					
Completion rate of online surveys	Percentage of completion of online questionnaires	✔ b			✔ b
Quality of responses	Duration of each questionnaire (too short or too long times considered low quality)	✔ b			✓ b
Fidelity of the intervention					
Exposure to the intervention	Duration of each session		🗸 a	🗸 a	
Perceived responsiveness of participants	Scale of 1 to 7 (not at all responsive to very responsive)		✔ a	✔ a	
Secondary outcomes					
Academic's behaviours					
Number of professional trips by air	Survey	~			

Percentage of home-work trips made by car et by active and sustainable mobility	Survey	~	
Frequency of purchasing of equipment	Survey	✓	
Intention towards changing professional trips made by car, reducing home-work trips made by car and frequency of purchasing of equipment	Adapted survey (Godin, 2012)	~	~
Use of alternatives to air travel	Survey	✓	
Psychological constructs			
Knowledge about climate change	Multiple choice questionnaire	✓	✓
Beliefs about the consequences of behaviour on the climate	Adapted survey (Whitmarsh et al., 2020)	✓	~
Self-efficacy of choosing an alternative to air travel	Adapted survey (Godin, 2012)	~	~
Self-efficacy of choosing an alternative to car travel	Adapted survey (Godin, 2012)	~	~
Self-efficacy of making sustainable purchases	Adapted survey (Godin, 2012)	✓	~
Beliefs about negative consequences of reducing air travel	Adapted survey (Labo1point5, 2021)	✓	~
Attitudes towards alternatives to air travel	Adapted survey (Godin, 2012)	~	~
Attitudes towards alternatives to car travel	Adapted survey (Godin, 2012)	~	✓

Environmental attitudes	Environmental attitudes scale (Schleyer- Lindenmann et al., 2016)	~	~
Ecological identity	Green identity scale (Lalot et al., 2019)	✓	
Business travel habits by air	Adapted habits scale (Gardner et al., 2012)	~	
Commuting habits by car	Adapted habits scale (Gardner et al., 2012)	~	
Compensatory beliefs	Survey (Whitmarsh et al., 2020)	✓	~
Self-control resources	Subjective vitality scale (Ryan & Frederick, 1997)	~	~
Descriptive and subjective norms	Adapted survey (Godin, 2012)	~	~
Sociodemographic and institutional information			
Socio-demographic questionnaire	Survey	✓	
(Gender, age, number of children, self-			
reported distance between home and work,			
perceived accessibility by train or alternative			
transport modes, income level)			
Socio-demographic follow-up questionnaire	Survey		✓
(number of children, self-reported distance			
between home and work, perceived			
accessibility by train or alternative transport			
modes, income level)			
Employment questionnaire	Survey	✓	

(Career status, employer characteristics,		
academic recognition, funding for professional		
mobility)		
Employment follow-up questionnaire (career	Survey	/
status, employer characteristics, academic		
recognition, funding for professional		
mobility)		

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708 Note. By default, the outcomes will be measured by using an online survey. S = Session (Survey to be fill up), SI = Session if intervention, R=

Recruitment and enrolment periods. ^a indicates that the outcome was measured by a questionnaire by paper, ^b indicates that the outcome was

710 calculated by a member of the research team based on other data.

Element	Experimental arm	Control arm
First group appointment with a facilitator	Group discussion about topics related to planetary limits, climate change, distribution of the carbon footprint of some research laboratories and initiatives to decrease the Academia's carbon footprint.	Group discussion about topics related to planetary limits, climate change, distribution of the carbon footprint of some research laboratories and initiatives to decrease the Academia's carbon footprint.
Conducting an individual carbon assessment	Online individual carbon assessment related to food, mobility and energy consumption.	Online individual carbon assessment related to food, mobility and energy consumption.
Second group appointment with a facilitator	Group session of the serious game "Ma terre en 180 minutes". During the serious game, each participant takes on the role of two fictional characters from a research laboratory whose mission is to reduce the laboratory's carbon footprint by 50%.	

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