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# Desire, moral evaluation or sense of duty: the modal framing of stated preference elicitation

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#### 8 ABSTRACT

9 Contingent valuation surveys generally elicit stated preferences by asking how much a 10 respondent would be willing to pay for an environmental improvement. By drawing on linguistic theory, we propose that the modal phrasing of this question establishes a particular type of 11 12 commitment towards a hypothetical payment, namely a subjective want or desire. Based on the idea that beyond subjective desires, considerations about what is morally adequate may 13 guide expressed values and that elicitation of these can be linguistically facilitated, we employ 14 an experimental framework to investigate the effects of different modals (willing, should, and 15 16 appropriate) in the elicitation question on stated preferences. We find that elicited amounts with appropriate are higher than those elicited with willing and should for environmental 17 improvements more associated with use values, while differences are non-significant for 18 environmental improvements more associated with non-use values. We discuss the 19 implications of our findings for stated preference studies, as well as the potential broader 20 theoretical implications that our study entails regarding linguistic representations of the moral 21 entrenchment of environmental values. 22

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#### 24 KEYWORDS

Environmental economic valuation, contingent valuation, deontic values, linguistic modality,language

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#### 28 1 INTRODUCTION

Economic environmental valuation is generally performed in order to produce values that can be used for environmental policy-making, and, ideally, to improve environmental protection (Hansjürgens et al., 2017). However, the topic is contentious, notably due to the questionable capacity of economic valuation methods to capture the multitude of value dimensions inherent to nature besides instrumental values, such as intrinsic, shared and social values (Kallis et al., 2013; Vatn, 2000).

Classical contingent valuation method (CVM) studies represent the most widely used stated 35 preference methods for estimating economic values of non-market goods, such as 36 37 environmental goods and services (Carson, 2000; Hanemann, 1994). In these surveys participants typically read a description of a good or service and a proposed intervention and 38 39 are then asked how much they would be willing to pay for an environmental improvement 40 (willingness-to-pay, WTP) or how much they would ask in compensation for an environmental 41 degradation (willingness-to-accept, WTA). The stated amounts are taken as proxies for individual economic preferences that can be aggregated to obtain the total public valuation for 42 a given good or service. The underlying definition of economic preferences is in the 43 44 neoclassical tradition, i.e. preferences are seen as choices that maximise the satisfaction of subjective wants and desires (von Neumann and Morgenstern, 1944). 45

46 Stated preference studies constitute value articulating institutions (VAI) (Farrell, 2007; 47 Jacobs, 1994; Vatn, 2005). VAI define a set of rules concerning the valuation process, which 48 do not only reveal, but also heavily influence the results of a valuation process, through the 49 types of values that are elicited (monetary values, preference rankings or weights,...), and the

content and form of the information given about the environmental issue at stake. Restricting
environmental VAI to wants and desires expressed in WTP may not be reflective of the
multitude of values associated with environmental public goods and services, which include
broader moral and society-oriented considerations (Gómez-Baggethun and Ruiz-Pérez, 2011;
Sen, 1995; Vatn and Bromley, 1994).

55 The different understandings of economic value articulations have been analysed in 56 the context of the WTP/WTA gap (Kahneman et al., 1990), a phenomenon in which WTA structurally exceeds WTP for the same goods or services, even when income effects are 57 compensated for. Kahneman et al. (1990) attributed the WTP/WTA gap to the endowment 58 effect, referring to the observation that people value things more once they own them, which 59 in turn is based on loss aversion. In their experimental investigation, the endowment effect 60 61 was instantaneously detectable for consumption goods that were handed out and described 62 as belonging to participants, but not for tokens with merely induced financial value, a finding 63 which highlights the importance of both the goods' properties and the structural context of 64 valuation for preference construction.

This interpretation was supplemented by Hart and Latacz-Lohmann (2001) with regard to economic environmental valuation, who argued that the WTP/WTA gap may be indicative of a feeling of moral responsibility towards a valued entity and towards others who value it.

Sagoff (1988; 1998) underlined the distinction between consumer and citizen preferences: Consumer preferences refer to what the individual thinks is good for them in terms of the satisfaction of personal, individual-level desires. This notion of preferences thus corresponds to the mainstream idea of economic preferences, which is derived from a utilitarian conception of rational choice. Citizen preferences, on the other hand, pertain to considerations about fairness and justice, about people's own predicaments and those of others – both now and in the future (Sen, 1995). The notion of citizen preferences is derived from a deontological understanding of rational choice and thereby conceptually diverges from
the mainstream definition of economic preferences.

77 Massenberg et al. (2023) proposed a conceptual framework of how social values enter economic environmental valuation, which consists of three spheres: social, natural and 78 79 contextual. The social sphere, in their understanding, comprises social factors that influence the valuing individual(s) independently of the concrete valuation exercise, such as 80 81 transcendental values, beliefs, dispositions, and cultural aspects. The natural sphere relates to the valued ecosystem and its intrinsic value, defined as a weak anthropocentric intrinsic 82 value, meaning valuing an entity for itself, in a non-instrumental way, albeit inevitably from a 83 human perspective. The social and natural spheres are linked by human-nature relationships 84 85 and a sense of connectedness, which are all highly heterogeneous among different groups and individuals. The contextual sphere, finally, contains the valuation context which defines a 86 set of rules based on specific ontology and epistemology. Notably, the valuation context leads 87 88 from abstract values to concrete (economic) value articulations. Massenberg et al. (2023) highlighted the role of information for the likelihood of considering specific aspects of the 89 natural and social spheres, alluding to the possibility that tweaks in the descriptions of 90 91 environmental goods and services can give indications as to which value dimensions are 92 deemed relevant in a given valuation context.

93 The idea of linguistic correspondents of preferences regarding different value dimensions was introduced by Sagoff (1998, p. 214-215), who contended that "[w]hile the 94 words 'I want' are likely to introduce a consumer preference, a statement that begins with 95 96 'society should...' is likely to express a citizen preference". Influences of linguistic features on 97 consumer behaviour have also been examined in the field of marketing research, where structural features of language as well as lexical-semantic features have been shown to affect 98 preferences (Zhang et al., 2003; Zhang and Schmitt, 1998). We take Sagoff's proposed link 99 between linguistic representations of different preference orderings and the empirical findings 100

from marketing research as a conceptual basis, which we aim to refine by focusing on specific
 modal structures to assess economic environmental values.

103 The notion of linguistically accessed preferences is highly pertinent in the context of 104 stated preference methods, as they strongly rely on the verbal construction of counterfactual 105 markets: To account for the hypothetical nature of the payment, it is necessary to make use 106 of linguistic terms that prompt participants to take a specific stance towards it.

107 The most common elicitation question in CVM studies roughly has the following 108 structure: *What is the maximum amount you would be willing to pay (for the proposed* 109 *intervention)?* The specific stance that the participant is asked to take towards the payment is 110 here indicated by the phrase *would be willing*. This phrase is what linguists call a modal 111 (Kratzer 1981, Portner 2009, Giannakidou and Mari 2021), because it refers to a hypothetical 112 situation. In this case, the modal expresses a want or desire, as indicated by *willing*.

In this study, we experimentally investigate the effect of different linguistic modals on 113 stated preferences. In particular, we ask not only what people would be willing to pay, but also 114 115 what they think they should pay and what they think is appropriate to pay for environmental 116 interventions. This allows us to isolate the influence of different types of modals on stated 117 preferences, which, to our knowledge, has not yet been done. Our aim is to contribute to the 118 debate on the expression of concepts underlying economic environmental valuation that has been ongoing for many years. Arias-Arévalo et al. (2018) linked metaphors used for describing 119 120 human-nature relationships to different value dimensions. The expression "gaining from 121 nature" is taken to relate to the view that human welfare and economic productivity depend on the benefits derived from ecosystems, and therefore to the instrumental value domain. 122 Conversely, the metaphor "living for nature" is associated with the intrinsic value domain, here 123 124 defined as the value that ecosystems have in and of themselves. "Living in nature", finally, is linked to two value domains: fundamental and eudaimonistic values. The fundamental value 125 126 domain encompasses all systems of relations and processes that are necessary to sustain life

in general. Eudaimonistic values relate to entities and processes that are conditions for "a 127 good human life", yet not driven by merely self-interested preferences, but extend to notions 128 129 of what is considered meaningful, including altruism and environmental justice. How people think and talk about nature is thus indicative of the values ascribed to it. Instead of employing 130 different metaphors or using different kinds of information to describe environmental goods 131 132 and services, our experimental investigation is focused only on the elicitation question of a stated preference study. This is a highly restricted approach to accessing the plurality of 133 environmental values, as we remain in a stated preference framework eliciting individual 134 monetary values, and do not present different kinds of information or different metaphors to 135 136 describe the scenarios. This differentiates our approach from a number of studies in which 137 alternatives to WTP have already been applied, notably in the domain of what has become known as "deliberative monetary valuation" (DMV) (Spash, 2007). This methodology emerged 138 following the conceptualization of environmental preferences as more inclusive than the 139 140 traditional consumer view and as a response to calls for the democratisation of valuation processes (Kenter, et al., 2016a; Niemeyer and Spash, 2001; Pelletier et al., 1999; Sagoff, 141 1998). In contrast to traditional stated preference methods, these studies feature deliberative 142 activities. Group discussions and learning sessions are often part of these activities (Alvarez-143 144 Farizo et al., 2009; Lienhoop and Völker, 2016). To account for the community-based nature 145 of the economic values they aim to elicit, some DMV studies employ alternatives to the standard WTP elicitation question. For example, they ask for a "fair price" to pay for an 146 environmental good or service (Szabó, 2011; Kenter, 2016). However, the alternative 147 148 elicitation guestions are implemented along with deliberative activities, and so their effects are likely confounded with the effects of deliberation. The relationship between WTP and fair 149 prices is not unequivocal. Szabó (2011) observed higher elicited amounts for group-150 deliberated fair prices than for individual WTP, in contrast to Kenter (2016) and Kenter et al. 151 152 (2016b), who measured lower deliberated fair prices than non-deliberated individual WTP. 153 Concepts like fair price, how much one should pay and what would be appropriate to pay tend to be used interchangeably in these studies. For example, Szabó (2011) asked the participants 154

in his study which amount they would find "acceptable/approvable", and later referred to theiranswers as "fair price", or "how much society [should] pay".

157 By contrast, in this study we concentrate on the effect of the subtle differences between linguistic modals on stated preferences, which constitutes a focused way to access different 158 moral conceptions and evaluative tendencies underlying stated preferences. The study might 159 then bear potential broader implications and help understand how deontic and other morally-160 161 based values are entertained by the participants during CVM experiments depending on the linguistic format of the elicitation format they face. By contrasting linguistic, and in particular 162 modal formats of the elicitation mechanism, we take a very minimalistic approach, which could 163 164 be seen as a "lower bound" for the expression of different values related to the environment, 165 i.e. values that may not correspond (only) to the definition of consumer preferences, but that can also be based on deontological ethics, considerations of justice and norms. Those kinds 166 of value motivations have been recognized as being integral to environmental values, as 167 168 discussed above (Arias-Arévalo et al., 2018; Hart and Latacz-Lohmann, 2001; Massenberg et 169 al., 2023; Sagoff, 1988; 1998). We assume that if a small change in linguistic modals used in 170 establishing practical commitments (as defined below) can lead to differentiated stated 171 preferences, even when measured only on a monetary scale, then this is indicative of the richness of environmental values, which too linguistically rigid experimental standards in 172 173 traditional CVM studies may conceal or flatten out. The paper thus contributes to advancing the understanding of how values, manifested in linguistic expressions, are articulated with 174 preferences and how preferences vary according to the nature of the value that the linguistic 175 176 expression conveys.

The rest of this paper is organised as follows: In section 2 we review literature on the concepts relevant for our study, based on which we formulate our hypotheses in section 3. Section 4 lays out our experimental design and the results. We discuss the results and their practical and, especially, theoretical implications, as well as the limits imposed by our experimental design, and conclude in section 5.

#### 182 **2 CONCEPTS**

#### 183 2.1 Preferences, attitudes and practical commitments

CVM was developed to measure economic preferences, meaning how much people would 184 185 actually pay to "buy" the environmental good or service in question. This classical view was termed by Kahneman et al. (1993) the "purchase model"; CVM respondents are assumed to 186 be able to perform an evaluation of their utility levels in a state of the world where the good is 187 provided, as well as in a state of the world where it is not provided and assign a monetary 188 value to the difference in utility. This view has been challenged for a long time and the analysis 189 of stated preferences has notably been enriched with insights from psychology and philosophy 190 (Gregory, Lichtenstein, and Slovic, 1993; Sagoff, 1988; Sen, 1995). It is clear today that stated 191 192 preferences are not unidimensional, and that they have the potential to be influenced by many factors, such as the level of information that is provided, the valuation context and social 193 194 interactions. What stated preferences truly represent is still not without controversy. In the Review on the economics of biodiversity (Dasgupta, 2021), CVM estimates were referred to 195 196 as "opinions", a notion which is not further defined. However, it seems to be interpreted as an 197 epistemic concept, since Dasgupta (2021) went on to argue that opinions which are not 198 sufficiently informed about the valuation object should not be used for valuation.

199 The much-voiced criticism of CVM that stated preferences often rest on an insufficient information base has been one of the driving forces behind the recent development of 200 201 deliberative monetary valuation (DMV) (Bunse et al., 2015; Spash, 2007; Zografos and 202 Howarth, 2008). Studies that apply this methodology include elements of discussion and deliberation among participants and often allow for exchange between participants and 203 experts on the topic. Deliberation is supposed to fulfil two main functions: First, to allow for 204 205 preferences to be constructed, since they might not be pre-existing (especially for unfamiliar goods and services) and second, to prompt participants to adopt a less self-centred 206 207 perspective through the exchange with others. In some of these studies, the latter is reinforced

by using alternative economic value elicitation questions, such as "What do you think is the 208 maximum increase in the price [...] that is acceptable?" (Szabó, 2011, p. 40), labelling this a 209 fair price, or how much an average individual should pay for the good. In a study by Kenter 210 (2016) a group-based fair price is elicited in addition to individual WTP in order to shift the 211 212 conceptualization of payments from a purchase model to a public policy model, based on the 213 considerations of the linguistic correspondents of citizen preferences (Sagoff, 1998). Kenter 214 (2016) highlighted, however, that a firm theoretical and empirical basis is still missing for the fair price concept. By employing an approach anchored in linguistic theory, we hope to 215 216 facilitate understanding of language independently of deliberative processes, i.e. to identify 217 the capacity of different modals to access values that may transcend consumer preferences. 218 By doing so, our goal is not to propose an alternative way to formulate those questions, but to 219 demonstrate that we may reveal underlying moral stances indicative of the heterogeneity of environmental values. Our driving assumption, then, is that a linguistic format for a preference 220 221 elicitation mechanism actually reveals a moral attitude, assimilable to a practical commitment which can eventually expressed through a hypothetical payment or other environmental value 222 indicators. Below we outline the ongoing debate on how stated preferences should be 223 interpreted, and notably how they relate to attitudes. We then go on to explain the 224 225 understanding of attitudes that underlies the design of our study.

226 A branch of literature that investigates the motives for stated WTP of laypeople was established by Kahneman et al. (1993); Kahneman and Ritov (1994) and Kahneman et al. 227 (1999). They proposed that stated WTP estimates are better understood as an expression of 228 attitudes than as economic preferences, with attitudes being defined as "an evaluative 229 230 tendency, which can be favourable or unfavourable" based on Eagly and Chaiken (1993). This was justified by the observation that WTP measures were strongly correlated with elicited 231 attitudes towards briefly described environmental and public health interventions (Kahneman 232 and Ritov, 1994). One group of participants to the study was asked to state their WTP and 233 234 another group was asked to state their attitudes, measured on Likert scales in three domains,

with one question respectively: support for government intervention, satisfaction derived from contribution, and an importance rating of the issue. The strong correlations between monetary amounts and attitude measures were taken as an indication that WTP is actually an expression of attitudes that is forced onto a monetary scale.

239 Ryan and Spash (2011) extended this idea in a WTP study for environmental interventions. In their study, attitudes were defined in line with Kahneman and Ritov (1994). In 240 241 contrast, they were not measured with a single item, but with psychometric scales featuring 242 several items. Attitude items included for example how good or bad the proposed intervention was perceived to be and how effective it was. In addition to attitudes, Ryan and Spash (2011) 243 244 included measures for subjective norms and perceived behavioural control in the framework 245 of the theory of planned behaviour. These were also measured on multi-item psychometric 246 scales. The authors found that all of the psychometric scales, attitudinal and non-attitudinal ones, predicted whether participants stated a positive WTP amount. From this, they postulate 247 248 that what underlies WTP is a complex process of psychological apprehension which is not 249 only, but also driven by attitudes.

In the present study we also pursue an attitudinal approach to stated preferences, but we define attitudes more narrowly as propositional attitudes (Schwitzgebel, 2019), referring to the mental state of having some stance, take or opinion about a proposition or about the potential state of affairs in which that proposition is true. The following sentence can be taken as an example of this understanding of attitudes:

255 (1) Ahmed (the subject) hopes (the attitude) that Alpha Centauri hosts256 intelligent life (the proposition).

This focused approach allows us to have more control over the attitudes we are testing than do Kahneman and Ritov (1994) and Ryan and Spash (2011). While they investigated the correlation between attitudes and stated WTP, we focus on the effect of different propositional attitudes (*willing*, *should*, *appropriate*) on hypothetical payments (HP), based on the idea of

the survey as a value articulating institution which mediates the form of the elicited valuesthrough its own structure (Farrell, 2007).

263 We will use the expression hypothetical payment rather than willingness to pay to be able to refer to all of the elicited economic values. This reflects our approach of situating WTP in a 264 wider realm of modals and associated stances. To avoid confusion with different 265 understandings of attitudes and to underline the associated behavioural implications, we will 266 267 favour the term practical commitment, based on Bratman et al. (1987) and Bratman (2012), to refer to the specific attitudes that we look at. Bratman suggests that people's practical 268 commitments are elements of stable plans of action which are usually grounded in practical 269 normative reasons. We will employ the term practical commitment in a slightly different 270 271 manner, namely as referring to the propositional attitudes, which are conveyed by a certain modal (should, appropriate and willing, in our study), and that are related to an associated 272 action. The action to which the practical commitments in our experiment are related is the 273 274 hypothetical making of a payment. The modal lexically conveys norms and the attitudes or 275 practical commitments that the modals convey are stances towards hypothetical payments grounded in the norms that are linguistically encoded. We manipulate the practical 276 277 commitments by linguistically manipulating the modals that encode these commitments, to gain insights into how the assigned economic value is construed. In the next section, we will 278 279 spell out the basic linguistic theories of modality which underlie this approach.

#### 280 2.2 Linguistic expressions of practical commitments

281 CVM questionnaires that directly aim to elicit a value (i.e. that are not designed as a 282 referendum) usually include a WTP elicitation question such as *What is the maximum amount* 283 *you would be willing to pay (for the proposed intervention)?*. This question features the 284 linguistic concept of modality. The notional category `modality' and its linguistic reflex in modal 285 auxiliaries (*must/might/can/should* ...) refers to situations which need not be real (Portner, 286 2009, p. 1), typically in the realm of possibility and necessity. As an example, the sentence 287 (2) John must be sick.

is a modal sentence, because it does not imply that John is actually sick, but that based on what the speaker knows about John, for example that he has been sneezing all day, they believe that he is sick. With modals, truth cannot be evaluated objectively, as in *I am typing this paper now*. This sentence is either true or false objectively, but, with modals, truth is relative to non-actual or hypothetical possibilities (Portner, 2009, ch. 2).

293 Many different categories of modality have been identified by both logicians and 294 linguists (see Fitting and Mendelson, 1998, for the logic approaches and Portner, 2009, for an 295 overview of the linguistic approaches), but there is an agreement that these can be classified 296 into two main classes: `non-root' modals for epistemic modals and `root' modals for the large 297 category encompassing deontics and priority modals<sup>1</sup>.

298 Epistemic modals (e.g. *must/might*), convey the speaker's epistemic stance towards truthfulness of the state of affairs described in the modal sentence. In (2) the word must 299 conveys that, given the information that the speaker has, John is sick (Giannakidou and Mari 300 2016). Truth is thus relative to a body of information, and, for this reason it has been called 301 302 subjective (Giannakidou and Mari 2021). When using an epistemic modal, the speaker signals 303 that she only has partial information and that she cannot be fully committed to the truthfulness 304 of the state of affairs that is being described. If one utters `It must be raining now' and not `It is raining now', it is because one is not entirely certain that it is raining, given the information 305 306 available in the context (one might have seen a wet umbrella, and this can only lead to the hypothesis that it is raining but not to full knowledge that it is raining). With a bare assertion `It 307 is raining', the speaker is fully committed to truth, but with an epistemic modal, it presents the 308 state of affairs in which it is raining as a possibility or a necessity, but not as an established 309

<sup>&</sup>lt;sup>1</sup> The class of root modals also comprises ability modals, describing capacities of individuals as in *John can play the piano*.

fact (Giannakidou and Mari *ibid*.). In other terms, the state of affairs in which it is raining ispresented as non-actual.

In this study, we situate the modal expressions in the realm of root modals (e.g. *should/must*), with a specific attention to deontic and priority modals.

Root modals (e.g. *must/should/ought*) are used to describe possibilities and necessities that depend on some state of the world and not on the knowledge that an individual has in a given context. Deontic and priority modals are subcategories of root modals. Deontic modals convey notions of permission and obligation (see (3a) and discussion below) and priority modals convey individuals' preferences (3b).

319 (3) a. You must pay the taxes every year.

b. I'd rather buy a new pair of shoes.

(3a) conveys that, given what the laws state, the taxes must be paid. The state of affairs
in which taxes are paid is relativized to a body of laws. Note that non-actuality is also the
hallmark of deontic modals. Indeed, the sentence is compatible with the fact that, in the actual
world, the one we live in, one might not pay the taxes and be in a situation of financial evasion.

In (3b), the modal `would rather' describes a preference of the speaker, and the buying of the shoes is relativized to the worlds in which the preferences of the speaker are satisfied. Once again, at the time at which the preference is formed, the shoes have not been bought yet, and the sentence describes a potentiality rather than an actuality.

If the hallmark of modals is non-actuality, then, the category modal encompasses several grammatical categories beyond modal auxiliaries. It is today commonly accepted in the linguistic literature (see Giannakidou and Mari, 2021, for an overview) that the empirical spectrum of the notional category modal encompasses lexical expressions of propositional

attitudes (such as *want, believe*) and adjectives (such as *appropriate*) across both the root
and the epistemic domains (see Portner, 2009).

This extension to other grammatical categories leads to a revisitation of the strict understanding of the notion of modality as pertaining to possibilities and necessities into a broader view that conceives it as attitudes towards a possible state of affairs (as desired, morally (un)suitable, epistemically conceivable, ...), in line with Giannakidou and Mari (2021).

In the question generally used in CV studies What is the maximum amount you would 339 be willing to pay?, modality is grammatically expressed through the use of the conditional 340 mood in *would be willing*, which refers to the hypothetical (non-actual) nature of the market for 341 the good or service in question.<sup>2</sup> The set of information which serves as the basis of evaluation 342 343 is called the "modal base" (Kratzer, 1991), and in our case it is the information given about the environmental good and the hypothetical market (the same across our different elicitation 344 questions). In order to define the type of modal we are dealing with, we need another 345 346 parameter, which Kratzer (ibid.) called the "modal ordering source". This describes how the possibility induced by the modal is realised. For example, in our What is the maximum amount 347 you would be willing to pay?, the modal ordering source is indicated by "willing": It is about a 348 will or desire, what linguists call "bouletics" (Palmer, 2001). The modality expressed by willing 349 is therefore deeply anchored in the subject and their individual goals. 350

In our experiment the different modal expressions take the role of practical commitments towards the hypothetical payment with the respondent being the subject. In our framework, the term practical commitment replaces the term "root modals" in a perspective that emphasises the behavioural component of propositional attitudes and conveys that the modal describes an attitude towards a possible action on the part of the speaker.

<sup>&</sup>lt;sup>2</sup> The expression "hypothetical" as referring to a payment is ambiguous. Under a strict understanding, the payment is presented as hypothetical by the very fact of being syntactically embedded under the modal (as in willing to pay). Under a broader understanding, the payment is hypothetical, as in the experiments, subjects are not actually going to pay.

The gist of our study is to modify the modal expression typically used in stated preference elicitation for contingent valuation to confront respondents with different practical commitments towards the hypothetical payment. In addition to the "classical" bouletic, priority modal question *What is the maximum amount you would be willing to pay*?, we therefore also propose the elicitation questions *What is the maximum amount you think you should pay*? and *What is the maximum amount you think is appropriate to pay*?, which possess particular linguistic properties.

363 Since should and appropriate deal with how the world ought to be, both the guestions with should and appropriate can, prima facie, be classified in linguistic works in the realm of 364 deontic modality. However, there are important differences between the two. Traditionally, 365 deontic modality has been associated with permission and obligation (Kratzer, 2001; 366 Verstraete, 2005; Von Wright, 1951). In a redefinition of deontic modality, Nuyts et al. (2010) 367 said that it should cover only cases where the moral desirability of a state of affairs is evaluated 368 369 and under this definition, deontic modality does not involve granting a permission or imposing 370 an obligation. Examples of deontic modality from Nuvts (2008):

- 371 (3) a.The way you are behaving is intolerable for a civilised person.
- b. This fund raising agency is a very laudable initiative.

In these examples, no permission is granted, nor is any obligation imposed. Rather, a speaker
evaluates the state of affairs against some ideal. For expressions of permission and obligation,
on the other hand, Nuyts et al. (2010) proposed the term "directive" meaning, which is
associated with a clear action plan.<sup>3</sup> Example from Nuyts (2008):

<sup>&</sup>lt;sup>3</sup> A related idea was brought forward by Cariani, Kaufmann, and Kaufmann (2013) in their discussion of "deliberative modality", which they characterize as referring to "the thing to do" (Cariani et al., 2013, p. 225), an action-oriented proposition that depends on the agent's information state. Schroeder (2011) contrasts this deliberative modality that establishes a clear agent-action relationship with what he calls, similarly to Nuyts (2008), "evaluative", meaning a broadly normative claim.

377 (4) You may leave now. (permission)

For our question with *should*, both the deontic-evaluative as well as the directive-deliberative reading are possible, depending on whether it is interpreted as being more associated with an individual action plan or, inversely, with a general evaluation of an "ideal" behaviour.

With *appropriate*, we explicitly ask participants to perform an evaluation of the appropriateness or normative acceptability of a state of affairs, thus labelling it clearly as an evaluative modal. We do not engage here with whether moral norms pertaining to what is appropriate are absolute or relative, nor are we committed to a set of moral value categories (see Vincent and Koessler, 2019). In our experiments, we intend to investigate what stance speakers adopt with respect to a specific situation of consideration, with reference to the character of which the appropriateness of one or another behaviour is adjudicated.<sup>4</sup>

To conclude, based on the linguistic notions introduced above we define three categories of practical commitments: evaluative (refers to our elicitation question with *appropriate*), bouletic (*willing*) and normative (*should*). Note that we allow for ambiguity in the interpretation of *should* as more evaluative or more directive.

#### 392 2.3 Use and non-use value of environmental goods and services

With the linguistic base for the choice of the practical commitments in our experiment laid out,
we will now turn to our second experimental parameter. It concerns the type of environmental
good or service under valuation.

We assume that there may be differences between types of goods with regard to their sensitivity towards modal modification of the valuation question. As the bouletic commitment evokes a desire, it is conceivable that it facilitates the elicitation of value components that are associated with the satisfaction of personal wants and needs, since desires are mainly

<sup>&</sup>lt;sup>4</sup> We thank an anonymous reviewer who suggested this formulation of what attitude the modal "appropriate" is hypothesized to realize in the participant.

associated with personal motivation and "are directly connected only to intentions and mental
states in the pre-intention formation phase of decision making" (Perugini and Bagozzi, 2004,
p.72), excluding factors such as the social implications of an action.

403 Correspondingly, it is possible that deontic commitments are related more easily to 404 concerns for entities outside of the self, since moral considerations rest on other-involving 405 norms or rules (Gillett, 1993).

406 In order to refine our understanding of these value components, we will employ the concept of Total Economic Value (TEV) of environmental goods to humans, as first developed 407 by Pearce and Moran (1994). They make the principal distinction between use values and 408 409 non-use values. Use values are connected to the use of natural resources and comprise for 410 example consumptive values like food or indirect values like climate regulation. Non-use 411 values are generated without personal use. In general, they encompass values arising from 412 the knowledge about benefits to nature or to other humans, both in the present and in the 413 future. Notably, existence value, as conceptualised by Krutilla (1967), is understood to be a 414 non-use value. It refers to the satisfaction one derives simply from knowing that a natural good 415 (e.g. an ecosystem) exists. These aspects of value tap into different deontic, moral and 416 metaphysical considerations. Importantly, use values are anchored in the individual and the satisfaction of their wants or needs, without taking into account implications for other entities. 417 Non-use values, on the other hand, are defined as being created without the satisfaction of 418 personal wants or needs, rather they are assumed to be anchored in the individual's 419 420 perception of the world that surrounds them and the well-being of others.

Nyborg (2000) suggested that the type of good or service in question and notably the value components it encompasses will trigger a certain mode of evaluation. One is more likely to adopt a consumer perspective when asked to assess market goods such as coffee mugs. Conversely, when asked about ethically complex issues usually at stake in CVM studies such as biodiversity protection it might be more natural for people to take a citizen point of view.

For our experiment we bridge these considerations about the influence of the valuation object on processing mode and about the suitability of modal cues in determining the characteristics of preferences that are expressed. We hypothesise and test in our first experiment that environmental public goods which people cannot directly interact with or derive directly noticeable benefits from, such as the Arctic Ocean and the Amazon rainforest, are subjectively perceived as generating relatively more *non-use* value than environmental public goods which have directly observable benefits, like clean air and pesticide-free produce.

In our second experiment, we test whether HP differ according to the stance conveyed 433 by the respective modal expression, which could be due to different modes of processing 434 triggered by certain modal expressions, thus leading to diverging HP. Conversely, it is possible 435 436 that certain modals facilitate the accessibility of specific types of value, which could lead to differences between HP for use and non-use value goods in their susceptibility to modal 437 modification. As a concrete manifestation of the former mechanism, we could imagine, in 438 applying Sagoff's (1998) theory of language correspondents of preference orderings, that 439 440 deontic modals are more likely to trigger a citizen processing mode, while bouletic modals are more likely to activate a consumer processing mode. The latter mechanism would imply that 441 442 certain value dimensions are more or less easily accessible, depending on the use of specific modals. Deontic modals could be assumed to facilitate the expression of non-use values, while 443 444 bouletic modals could facilitate the expression of use values. Our design does not allow us to differentiate precisely between the two mechanisms<sup>5</sup>, and they need not be exclusive. 445 However, we may expect that if there is a difference between the environmental goods more 446 447 associated with use values and those more associated with non-use values in terms of how 448 sensitive the elicited values are to different modals, then a specific modal might help to access 449 non-use values in particular.

<sup>&</sup>lt;sup>5</sup> In order to do this, we would need to collect qualitative data and develop a definition of the ways consumer and citizen processing are expressed (including both economic and non-economic measures) and in what ways those value expression might be different from facilitation of the mental accessibility of certain value dimensions (Kenter et al., 2016c).

In our explorative approach, we simply take differentiated elicited values as an
indication for underlying preferences that do not correspond to the standard consumer model,
but are instead more multifaceted.

#### 453 **3 HYPOTHESES**

We assume that the two scenarios which describe directly noticeable benefits to participants, i.e. the air pollution and the pesticides scenarios are perceived as having (relatively) more *use* value components than the two scenarios which do not describe directly noticeable benefits, but instead focus on benefits to other people and species. Our first hypothesis is thus:

H1: The protection of the Arctic Ocean and the Amazon rainforest are perceived as
generating relatively more *non-use* value than the provision of clean air and the abolishment
of harmful pesticides in the UK.

462 Further, i) appropriate, as a deontic-evaluative modal, evokes a mental state towards what is represented as counting as a moral ideal, whereas the bouletic willing evokes a subjective 463 desire. It seems reasonable that what one is willing to pay is equal to or less than the perceived 464 465 moral ideal. ii) Appropriate has an evaluative anchoring, which may prompt the consideration 466 of a wider range of interests (analogously to the concept of citizen preferences) than willing, which is anchored in the individual (and would therefore correspond more to consumer 467 preferences). As outlined above, should could be interpreted as a deontic-evaluative or as a 468 deontic-directive modal. We assume that its effect on stated preferences depends on this 469 470 interpretation. If should is interpreted mainly as deontic-evaluative, it is expected to yield 471 similar amounts of hypothetical payments as appropriate. If should is interpreted mainly as deontic-directive, but grounded in the individual, it is expected to yield similar amounts of 472 473 hypothetical payments as *willing*. Consequently, we assume that:

474 H2: Other things being equal, HP elicited with *appropriate* are higher than those elicited475 with *willing* for any given environmental good or service.

476 H3: If H2 holds, then HP elicited with *should* lie in between those elicited with *appropriate*477 and those elicited with *willing*.

As discussed above, use values are defined here to be created based on the satisfaction of 478 479 the individual's wants and needs. The bouletic modality indicated by willing is, similarly, 480 embedded in a highly personal, desire-oriented context. Given the anchoring in the individual 481 that is present in the generation of use values and expressed by bouletic modals, we assume 482 that there is a matching process which may facilitate the consideration of use values when the 483 elicitation question is asked with *willing*. Conversely, *non-use* values are grounded in the well-484 being of entities other than the self. Given the deontic-evaluative anchoring of appropriate, we 485 expect that there is a match which may facilitate the expression of non-use values when 486 elicited with appropriate.

487 Since *should* is ambiguous between a deontic-directive interpretation and a deontic-488 evaluative interpretation, we expect a less unequivocal matching effect.

489 Overall, it is difficult to predict whether there is a difference in the effect of the use of 490 different modals between use and non-use value goods. In the scenarios that we refer to as 491 use value scenarios, value is also generated for entities other than the self – other people as 492 well as other species derive benefits. This non-use value aspect of the use value goods could 493 be made salient by the use of a deontic-evaluative modal, while it may be more evident from 494 the outset in the case of non-use value goods. Conversely, the difference in the perceived 495 associated non-use and use values may have the opposite effect if the deontic-evaluative modal acts as a reinforcer, rather than a spotlight, on the consideration of non-use values. We 496 497 therefore formulate the following directionless and exploratory hypothesis:

H4: If H2 and H3 hold, then there may be a difference in the strength of the effects between *use* and *non-use* value goods.

500

#### 501 **4 EXPERIMENTS**

502 We conducted two separate experiments. The first one was the value perception study, 503 which we included in order to verify that the scenarios that we termed *non-use* value 504 scenarios were indeed perceived as having relatively more *non-use* value components than 505 the scenarios that we termed *use* value scenarios, and vice versa.

The second experiment was the main study, in which we elicited HP. We separated the experiment into two studies, because we did not want participants' answers (*use* vs. *nonuse* value evaluation and HP) to be influenced by the other evaluation, respectively (see for example Schwarz and Strack, 1991).

510 Demographic information in terms of gender, age, income and household size for the 511 participants of the two studies as well as information on sampling and recruitment is in the 512 supplementary material.

513 Since the distribution of demographics do not differ significantly between the two 514 samples, we assume that the main results from the value perception study are generalizable 515 also for the sample of the second experiment. We do not pool the two datasets, but instead 516 simply use the first study to corroborate our interpretation of the air pollution and pesticides 517 as *use* value scenarios and the Arctic Ocean and the Amazon as *non-use* value scenarios.

518

All analyses were conducted in R (v.4.0.4), using R Studio (v.1.1.463).

519 4.1 Study 1

520 4.1.1 Participants

521 The value perception study was conducted in English among British citizens via the online 522 platform Prolific (N = 101, 74 female, mean age = 37.1).

523 4.1.2 Design

524 This study was designed to categorise environmental goods as use or non-use value goods, depending on which value components were perceived to be most prevalent in them by 525 526 participants. In total, four environmental scenarios were considered: i) air quality improvement, ii) toxic pesticide abolition, iii) protection of a part of the Arctic Ocean, iv) protection of a part 527 528 of the Amazon rainforest. Participants first read a description of the problem and then an intervention to address the problem was proposed. In the description of the former two 529 530 scenarios, the focus was on adverse public health effects of indoor air pollution and the 531 consumption of especially toxic pesticide residue on produce, respectively. In the description 532 of the other two scenarios, the focus was on the well-being of the respective ecosystems and 533 indigenous groups living in them. The following interventions were proposed: i) the installation 534 of air ventilation and filtration systems to improve indoor air quality, ii) the abolition of 535 particularly toxic pesticides and preferential treatment of pesticide-free crops, iii) the creation 536 of a special marine protected area, an "Arctic sanctuary" zone, that would be off limits to all extractive and destructive uses, iv) the establishment of a special protected zone in the 537 Amazon. 538

539 Since the former two scenarios entail directly consumable goods – clean air and pesticide-540 free produce – we assume that they are perceived as having relatively more *use* value 541 components. As for the latter two scenarios, we assume that they are perceived as having 542 relatively more *non-use* value components, precisely because they do not involve such directly 543 consumable goods.

After having read a description of the respective problem and the proposed intervention, participants were asked to evaluate the partition of its usefulness for themselves and for the world in general.

This evaluation was performed on an 11-point scale, with 0 representing usefulness only for themselves and none for the rest of the world and 10 representing the opposite. This approach unifies the variables "perceived utility for oneself" and "perceived utility for other entities outside of oneself" on the same scale to allow for a straightforward comparison of people's value perceptions. It permitted participants to evaluate the intervention even if they thought it had little to no usefulness, since we did not elicit the absolute levels of usefulness on the two dimensions, but only its perceived partition between *use* and *non-use* components.

554

#### 555 4.1.3 Analysis and results

The mean rating for *use* value scenarios was 6.44 and for *non-use* value scenarios 7.67. This difference was significant (t(96) = -4.11, p-value = 0.00). There were no significant differences between the ratings for the two *use* value scenarios and the two *non-use* value scenarios, respectively.

- There were no significant differences between the answers of men and women to study1 (details of the analysis are in the supplementary information).
- A summary of the results is provided in table 1.
- 563

Scenario	Mean (SD)	Ν	
Air pollution	6.09 <sup>a</sup> (1.67)	21	
Pesticides	6.70 <sup>a</sup>	27	

Table 1: Value ratings (study 1)

	(1.27)	
Average use scenarios	6.44	48
	(1.47)	
Arctic Ocean	7.48 <sup>b</sup>	27
	(1.19)	
Amazon rainforest	7.89 <sup>b</sup>	26
	(1.86)	
Average non-use scenarios	7.67	53
	(1.54)	

<sup>565</sup> Values with the same superscript are non-significantly different from each other (p > 0.05)

567 Both *use* and *non-use* value scenarios were perceived as having relatively more *non-use* than 568 *use* value, but *non-use* value scenarios significantly more so than *use* value scenarios. We 569 retain the expressions *use* and *non-use* value scenarios for simplicity.

570 4.2 Study 2

571 4.2.1 Participants

The study was conducted in English among British citizens (N = 756, 533 female, mean age
= 35.8), recruited via the online platform Prolific.

574

576 We considered the same four environmental scenarios as in study 1. Participants read a 577 description of the environmental issue and a proposed intervention. In order to elicit 578 hypothetical payments, we added financing mechanisms to all interventions.

<sup>575 4.2.2</sup> Design

Since coercive payment vehicles (i.e. binding mechanisms such as taxes) have been 579 shown to generally produce more realistic results in CVM studies than non-binding vehicles 580 like donations (Schläpfer, 2006), we chose coercive payment vehicles for all scenarios for 581 which it was feasible. Payment vehicles in stated preference studies should also be credible 582 in the context of the environmental good or service at stake (Johnston et al., 2017). The choice 583 584 of a coercive payment vehicle was hence a more straightforward choice for the two use than for the two non-use value scenarios, as the former were concerned with interventions inside 585 the UK, whereas the latter were related to ecosystem protection outside of the UK. However, 586 we did not want any differences between the effects of language on HP for use and non-use 587 588 value scenarios to be attributable solely to different payment vehicles. Therefore, we framed 589 one of the payment vehicles of a non-use value scenario as a tax (the "Amazon rainforest tax") and the other one as a voluntary contribution (donation to the "Arctic Ocean sanctuary"), 590 which may be perceived as being more realistic for a good outside of the realm of domestic 591 592 governance. Since payment vehicles can fundamentally influence people's apprehension of the scenarios and, for instance, trigger protesting behaviour if they disagree with them 593 (Meyerhoff et al., 2014), we analysed potential differences in the perception of the scenarios 594 by focusing on the share of zero bids as well as importance ratings. 595

596 In a between-subjects design, each participant was presented with one scenario, and 597 HP were elicited with one of three questions:

i) What is the maximum amount you would be willing to pay to support this cause? ii) What is
the maximum amount you think you should pay to support this cause? iii) What is the maximum
amount you think is appropriate to pay to support this cause?

In a statement preceding the elicitation question, it was specified that HP were to be indicated as yearly payments on the household level, for a period of 10 years. HP can be elicited via different question modes, such as open-ended formats, single or multiple-bounded dichotomous choice questions, or payment cards. While close-ended format has been

recommended by Arrow et al. (1993), it has been found to be subject to specific biases like 605 yea-saying (Brown et al., 1996). We consider that for our purpose, a payment card style 606 elicitation mechanism, where people choose from a range of possible amounts (see for 607 example OECD, 2018), is adequate. This minimises hypothetical bias induced by yea-saying 608 609 in dichotomous choice formats which tends to produce inflated results, and we consider that 610 the anchoring that occurs by presenting participants with a range of answers does not corrupt our goal, which is to capture evaluative tendencies, not to produce reliable CVM estimates for 611 policy use. 612

613 Participants were subsequently asked to indicate how important the respective 614 environmental good or service was to them personally on a 5-point Likert scale ranging from 615 "not important at all" (1) to "very important" (5).

Finally, the socio-demographic variables age, gender, household size and annualhousehold income category were elicited.

618

619 4.2.3 Analysis

In our study there was a very low ratio of zero bids (under 6% in all scenarios).<sup>6</sup> Therefore, in the data analysis, we restricted the sample to positive answers (positive HP). We transformed the positive bids using the formula *log(positiveHP+1)* (LNHP) because of the large positive skew of the data, which is typical for CVM estimates. This improved the normality of the data (as can be seen in the estimated Kernel densities in figures 1 and 2 in the supplementary material).

To investigate the effect of language on HP for the different goods, we conducted simple and multiple ordinary least squares (OLS) regression analyses for each scenario

<sup>&</sup>lt;sup>6</sup> Details on zero bids are in the supplementary information.

separately. In the simple regressions, only the modal used in the elicitation question was included as an explanatory variable. We transformed the language variable into 3 dichotomous variables and included *appropriate* and *should*, making *willing* the base category. In the multiple regressions, we added the importance measures as well as the socio-demographic variables age, gender household size and annual household income category.

Finally, we performed Welch independent sample t-tests between the LNHP elicited with *willing* and with one of the other two elicitation questions, respectively, and reported 95% confidence intervals (CIs) of the difference between means. This procedure is akin to the simple regressions, and gives us a more refined idea of the strength of the effects.

637 In order to detect possible differences in protesting behaviour between the scenarios,638 we compared the ratio of zero bids by means of Chi-squared tests.

639 4.2.4 Results

	Positive HP	LNHP
	(SD)	(SD)
Air pollution		
willing	165.15	4.62
	(149.98)	(1.15)
should	156.85	4.65
	(134.05)	(1.02)
appropriate	280.27	5.15
	(269.75)	(1.06)

Table 2: Means of positive HP and LNPH

Pesticides

willing	184.10	4.53
	(232.57)	(1.24)
should	146.91	4 51
	(130.31)	(1 17)
	(100.01)	()
appropriate	338.78	5.34
	(329.00)	(1.09)
Arctic Ocean		
willing	123.49	4.07
	(162.67)	(1.30)
should	169.66	4.39
	(234.39)	(1.31)
appropriate	152.22	4.49
	(151.37)	(1.16)
Amazon rainforest		
willing	156.58	4.55
	(151.38)	(1.14)
should	123.32	4.24
	(147.85)	(1.11)
	220.02	4 50
appropriate	230.89	4.59
	(325.48)	(1.37)

Table 2 provides a summary of the means of positive HP and LNHP. The results of the regression analyses for the individual scenarios are summarised in the supplementary material. We do not observe a significant effect of *should* on the LNHP, neither in the simple nor in the multiple regressions, meaning that it does not lead to amounts that are significantlydifferent from those elicited with *willing*.

645 *Appropriate*, on the other hand, has a significant positive effect in the two *use* value 646 scenarios – HP were higher when they were elicited with *appropriate* than when they were 647 elicited with *willing*. For the two *non-use* value scenarios, *appropriate* did not have a 648 significant effect.

649 The importance ratings had a significant positive effect on LNHP in all but the air 650 pollution scenario.

Table 3 summarises the results of Welch independent t-tests between LNHP with *willing* and the other elicitation questions, respectively. We can see that *should* did not significantly change the elicited LNHP for any of the environmental goods as compared to *willing*. *Appropriate* had an unequivocal positive effect for *use* value goods, with similarly wide CIs, the furthest from zero for the pesticides scenario. It did not have a significant effect for *nonuse* value goods.

657

Table 3: LNHP with "willing" as baseline, pairwise comparisons with "should" and "appropriate"

	Use value goods	-	Non-use va	alue goods
Practical commitment	Air pollution	Pesticides	Arctic Ocean	Amazon rainforest
Willing	4.62	4.53	4.07	4.55
Should	[-0.39,0.45]	[-0.49,0.45]	[-0.12,0.77]	[-0.71,0.09]
Appropriate	[0.11,0.95]	[0.37,1.24]	[0.00,0.84]	[-0.39,0.48]

First row: means of LNHP with "willing", second and third row: 95% CIs of Welsh independent t-tests between LNHP with "willing" and "should"/"appropriate", respectively. CIs that exclude 0 printed in bold face.

There were no significant differences in the ratios of zero bids between the scenarios, Chi-squared = 1.04, df = 3, p-value = 0.79. Therefore, we do not assume that protesting behaviour and differences therein between the scenarios are of particular concern for our study.

The means of the importance ratings ranged from 3.42 for the pesticide scenario to 662 663 4.14 for the Amazon rainforest scenario (measured on a 1-5 scale, 5 corresponding to "very important"). A Kruskal-Wallis test indicated that importance ratings differed between the 664 scenarios H(3) = 69.53, p-value = 0.00. Subsequent pairwise comparisons showed that both 665 non-use value scenarios were perceived as having significantly more personal importance for 666 667 the participants in our study than both use value scenarios. There were no significant differences in importance ratings between the two use and between the two non-use value 668 scenarios, respectively (see supplementary information). 669

Pairwise comparisons between men's and women's LNHP revealed only one significant difference: For the Arctic Ocean scenario, when values were elicited with *should*, women's answers were significantly higher than men's, t(39) = 2.09, p-value = 0.04. In additional regressions of LNHP on gender, elicitation questions and their interactions, gender did not have a significant effect (see supplementary information).

675 6 DISCUSSION

#### 676 6.1 Sample characteristics

There was an overrepresentation of women in our samples relative to the UK population, which must be considered when making inferences from the results. However, response patterns did not significantly differ between women and men, as indicated by the insignificant effects of gender and gender/language interactions in all specified models (see supplementaryinformation).

In our sample, the percentages of positive HP were very high (over 94% in all scenarios), as compared to the studies conducted by Kahneman and Ritov (1994), where positive bids ranged from 24% to 79%, and Ryan and Spash (2011), where positive bids accounted for 42%. We assume that this is due to our sample which we recruited using an online recruitment tool. Participants recruited via such platforms tend to be more experienced in study participation (Palan & Schitter, 2018) and might therefore be less sceptical towards the idea of a hypothetical payment for natural goods and services.

#### 689 6.2 Discussion of results

In light of our results, we find support for the hypothesis that the scenarios we termed "*non-use* value goods" are perceived as generating relatively more *non-use* value than those that we termed "*use* value goods" (H1). However, all of the scenarios were perceived as generating more *non-use* than *use* value, so the value perception was generally skewed towards *non-use* values, albeit with significantly different shares for the two types of scenarios.

695 With regard to H2, we find evidence that, other things being equal, HP elicited with *appropriate* 696 are higher than those elicited with *willing*, but the difference was significant only for *use* value, 697 and not for *non-use* value goods.

H3 concerned the elicitation question with *should* - we expected that, conditionally on
H1 holding, HP elicited with *should* would lie in between those elicited with *willing* and those
elicited with *appropriate*. This hypothesis was not corroborated by the results – values elicited
with *should* did not differ significantly from those elicited with *willing* for any of the scenarios.

Linguistic theory proved to be a valuable source of information for the characterization of the value articulating institutions represented by the scenarios. The bouletic practical commitment established with *willing* led to lower elicited HP than the deontic-evaluative

*appropriate*. We assumed that *willing* prompts a narrower practical commitment, focused on individual desires, while *appropriate* demands a comparison of a state of affairs against what is considered by the speakers as a moral standard. *Should*, as a deontic modal that is associated with some degree of ambiguity between a directive and an evaluative interpretation, produced HP that were not significantly different from those elicited with *willing*, hinting at an interpretation of *should* that is more directive than evaluative.

711 In H4, we considered the possibility that a modal that is explicitly not grounded in the 712 self, i.e. appropriate, could act as a spotlight, making non-use value components more salient in contexts where they may be less obvious upon first glance. Appropriate would then be 713 expected to highlight non-use values in use value scenarios, thereby increasing elicited 714 715 values. In the non-use value scenarios, the overall focus is already on non-use values, so the 716 spotlight effect of appropriate may be attenuated. Another possibility is that appropriate acts 717 as a reinforcer for non-use values. In that case, the relatively higher prevalence of non-use 718 values would be expected to lead to a stronger effect of appropriate on elicited values for non-719 use value scenarios, and a weaker effect for use value scenarios. Our results hint at an 720 interpretation of the modal as a spotlight, since we observed a significant effect of appropriate 721 on elicited values only for *use*, but not for *non-use* value goods.

Both *non-use* value scenarios were rated as having more personal importance for participants than the two *use* value scenarios and for *non-use* value scenarios importance had a significant effect on HP in the regression analyses, which suggests that moral considerations likely played a crucial role in the valuation process.

We want to underline the possibility that confounding factors related to study limitations may have played a role in producing the differentiated results for *use* and *non-use* value goods. In particular, the two *non-use* value scenarios in our experiment were set outside of the UK's national jurisdiction, while the *use* value scenarios were set inside. Participants may have moral considerations about environmental issues in their own country that reflect differently in economic valuation as compared to things that are further away. A possible reason for this could be a lack of perceived behavioural control for *non-use* value scenarios as compared to *use* value scenarios, associated with geographical and psychological distance. Future research could look at how perceived behavioural control, which was shown by Ryan and Spash (2011) to correlate with WTP measures, relates to different practical commitments in environmental values.

737 Another possibility is that appropriate, contrarily to our expectations, actually matches less with considerations about ideals than with considerations about individual well-being. This 738 seems somewhat counter-intuitive, but a related effect has been observed by Kenter et al. 739 (2016b). They compared individual WTP for marine ecosystems elicited through a survey to 740 deliberated group-based "fair prices" and found that the latter were significantly better 741 explained by measures of subjective well-being associated with the good in question than the 742 former. Thus, it seems possible that personal utility or well-being is the main concern of 743 744 respondents when the question concerns fairness or appropriateness.

Elicitation questions that syntactically differ from the ones we tested, such as "fair prices" to pay for society, may entail other effects on HP. In the current study, we focused on syntactically similar questions that only differ in the type of modal, to be able to trace back observed effects to one linguistic phenomenon. Future research could test a larger variety of questions and notably include linguistic indicators of society-level aggregation, to account for the type of questions that are typically used in innovative stated preferences studies.

#### 751 6.3 Conclusions

Our study contributes to a better understanding on how values grounding preference arearticulated and reflected in their linguistic implementations.

We presented the idea that the question *How much would you be willing to pay?* that is standardly used in CVM studies implies an important implicit presupposition, namely that

underlying economic preferences can best be accessed by linguistically establishing a bouletic
or desire-oriented practical commitment towards a hypothetical payment. We suggested that
the choice of elicitation question wording may give rise to different moral stances, thereby
influencing directly the elicited values.

We found that hypothetical payments elicited with the question *What is the maximum amount you think is appropriate to pay*? were higher than those elicited with *What is the maximum amount you would be willing to pay*? for environmental goods more associated with *use* values, while there was no significant effect for environmental goods more associated with *non-use* values. We did not find significant differences between the amounts elicited with *willing* and those elicited with *What is the maximum amount you think you should pay*?

766 Willing prompts a narrow practical commitment, concerned with individual wants, while appropriate is associated with a deontic-evaluative practical commitment, implying a general 767 768 moral standard. Should is ambiguous regarding its reading as deontic-directive (i.e. referring 769 to an individual action plan) or deontic-evaluative (i.e. referring to a more general evaluation 770 of a moral ideal). We take our results as an indication of an interpretation of should in the given 771 context as more deontic-directive and therefore anchored in the individual. With regard to the 772 contrasted results for use and non-use value goods we noted that the deontic-evaluative appropriate may have acted as a spotlight, making non-use value components more salient 773 774 and decisive in the use value scenarios, while in the non-use value scenarios they are more likely to be of primary concern regardless of the elicitation modal. We discussed possible 775 776 confounding factors that differentiate use and non-use value scenarios besides the use and non-use value composition. 777

A practical conclusion that can be drawn from our findings is the importance of language sensitivity in environmental valuation. Especially now that researchers are starting to employ alternative elicitation formats which target values that go beyond individual WTP (Szabó, 2011; Kenter, 2016; Kenter, et al., 2016), it is important to have a good understanding

of what these represent, and insights from the semantic analysis of modality are a promising starting point. In taking into account the informational basis for evaluation, or the modal base, as well as the modal ordering source, i.e. how the individual relates to the payment, we are able to understand nuanced differences, for example between seemingly similar deontic modals such as the questions with *appropriate* and *should*, which in our experiment have been shown to produce differentiated results.

788 Our study demonstrates that it is (relatively) easy to highlight the variety and also the variability of moral stances and of their associated practical commitments that can emerge 789 through preference elicitation mechanisms in their role as value articulating institutions. By 790 791 that we do not mean to say that purely monetary environmental valuation suffices to capture the variety and variability of environmental values (which is the subject of animated debates 792 793 in the field, see for example Gómez-Baggethun and Ruiz-Pérez, 2011; Farley, 2012, Vatn and 794 Bromley, 1994), but rather that even with purely monetary valuation, we can detect indications 795 for differentiation created by such practical commitments.

Without a conception of the connection between the linguistic substrate of environmental valuation based on hypothetical scenarios and the axiological dimensions that depend on it, one could be misled in the interpretation of the elicited values. We would like to conclude this article by insisting on two broad implications of our study with respect to our understanding of the nature of environmental valuation.

The first one is that values elicited with stated preference methods may not be stable and final and, thus, different from individual preferences as they are defined in mainstream economics (Carson, 2012). This is the case not only because they are morally dependent, but also because they are dependent on the contextual actualisation of a particular moral stance at the moment of the valuation procedure, a value articulating institution. In that way, preferences, as revealed through a hypothetical monetary scale, are rather to be conceived of as indirect measures of the extent to which the respondent engages in a certain practical

commitment. This hints at a substantial difference from traditional stated preference studies,
where valuation is forced onto a monetary scale, which constrains respondents to a default
practical commitment. The applicability of the conventional logic of the economic sphere of
reasoning is therefore put into question for environmental goods and services (see also:
Farrell, 2007; Light, 2002; O'Connor, 2006).

Second, we note that our study allowed us to question the degree of adequacy, through the 813 814 stated preference procedures, between the practical commitment solicited from the participants and the presumed nature of the environmental change described in the scenario. 815 Our results point to differences in how different environmental goods and services are valued 816 817 in terms of the responsiveness to linguistically activated practical commitments. It could also 818 be the case that asking to evaluate an environmental scenario, from the angle of a particular 819 evaluative term or phrase, does not simply correlate with an objective feature (use or non-use aspects) of the considered environmental entity but influences its perception. Language 820 821 encodes and shapes the construction and expression of values. This has deep implications 822 on the scope of stated preferences methods, revealing, in particular, their sensitivity to linguistic framing. Our present study, although standardly eliciting monetary stated 823 824 preferences, sheds light on the issue of the sensitivity of expressed values to the linguistic framing of the elicitation methods. This raises the question of how, if at all, it is possible to 825 826 elicit supposedly underlying values in an undistorted way.

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- 1029

#### SUPPLEMENTARY MATERIAL

#### Table 4: Sample demographics studies 1 and 2

Variable	Study 1	Study 2
Gender	Male: 33	Male: 218
	Female: 66	Female: 533
		Other/NA: 5
Age	Mean: 37.1	Mean: 35.82
	SD: 13.45	SD: 13.78
Income category	<£13,300: 6	<£13,300: 80
	£13,300 - £26,800: 18	£13,300 - £26,800: 170
	£26,801 - £35,700: 24	£26,801 - £35,700: 146
	£35,701 - £54,000: 26	£35,701 - £54,000: 188
	>£54,000: 25	>£54,000: 172
Household size	1: 8	1: 85
	2: 31	2: 240
	3: 27	3: 179
	4: 18	4: 174
	5+: 15	5+: 78

Categorial variables were compared by means of Chi-squared tests, continuous variables by means of Welch independent sample t-tests. For all comparisons p > 0.05. 

	Air po	Ilution	Pes	ticides	Arctio	c Ocean	Am rain	nazon Iforest
	Simple	Multipl e	Simpl e	Multiple	Simpl e	Multiple	Simpl e	Multiple
Should	0.03	0.03	-0.02	-0.04	0.33	0.25	-0.31	-0.31
	(0.24)	(0.22)	(0.23)	(0.23)	(0.22)	(0.21)	(0.20)	(0.20)
Appropri	0.53	0.49	0.81	0.77	0.42	0.31	0.05	0.05
ate	(0.22)	(0.21)	(0.21)	(0.22)	(0.22)	(0.21)	(0.22)	(0.23)
	**	**	***	***				
Importan		0.03		0.29		0.34		0.23
се		(0.08)		(0.07)		(0.09)		(0.08)
				***		***		***
Age		-0.01		-0.01		-0.02		-0.00
U U		(0.01)		(0.01)		(0.01)		(0.01)
						***		
Gender:		-0.08		0.12		-0.31		0.07
male		(0.22)		(0.19)		(0.20)		(0.20)
O and any				0.44		0.00		0.04
Gender: other				-0.14		0.93		0.01
				(0.22)		(0.32)		(0.41)
Househo		0.11		0.06		0.09		-0.06
iu size		(0.08)		(0.08)		(0.07)		(0.09)

Table 5: Simple and multiple OLS regressions, DV: LNHP, robust standard errors in parentheses

Income		0.04		-0.04		-0.07		0.02
category		(0.06)		(0.06)		(0.05)		(0.05)
Constant	4.62	4.23	4.53	3.46	4.07	3.14	4.55	3.52
	(0.16)	(0.55)	(0.16) ***	(0.55)	(0.16)	(0.51)	(0.14)	(0.65)
	***	***		***	***	***	***	***
N	161	160	160	160	204	204	190	190
R²	0.05	0.09	0.09	0.19	0.02	0.16	0.02	0.05
Adjusted R²	0.04	0.04	0.08	0.15	0.01	0.13	0.01	0.01
Note:	*p<0.1;	**p<0.05; ***	p<0.01					

## 1036 Figure 1: Kernel density estimation – positive HP







1042

1043 Sample study 1

Participants were recruited in 2021 using the online panel Prolific. We posted a task under the neutral name "household study" that required participants to complete a survey hosted by Qualtrics. Participants were paid £0.63. The study was open to panelists who were British citizens and residing in the UK. Participants selected themselves into the study group.

1048The share of female participants to study 1 was 66%. Compared to the UK1049population, there was a higher percentage of women in our sample (percentage of women in1050the UK: 51%7). The overrepresentation of women needs to be taken into account when1051considering the applicability of our results to the general population. In order to gauge1052whether men and women responded differently, we compared their answers. Table 1b below1053shows averages of use and non-use value ratings for men and women separately.

<sup>&</sup>lt;sup>7</sup> <u>https://www.statista.com/statistics/281240/population-of-the-united-kingdom-uk-by-gender/</u>

<sup>(</sup>Access: 16.08.2022)

Table 1b: Value ratings by gender

		Men		Women
Scenario	Mean	Ν	Mean	Ν
	(SD)		(SD)	
Air pollution	6.43 <sup>a</sup>	7	6.00ª	15
	(1.90)		(1.56)	
Pesticides	6.50 <sup>a</sup>	12	6.87ª	15
	(1.24)		(1.30)	
Average use scenarios	6.47	19	6.43	30
	(1.47)		(1.48)	
Arctic Ocean	7.50 <sup>b</sup>	8	7.53 <sup>b</sup>	17
	(1.31)		(1.23)	
Amazon rainforest	8.67 <sup>b</sup>	6	7.63 <sup>b</sup>	19
	(1.21)		(1.98)	
Average non-use scenarios	8.00	14	7.58	36
	(1.36)		(1.65)	

1055 Values with the same superscript are non-significantly different from each other (p > 0.05).

1056 Pairwise t-tests do not detect significant differences between men and women's value

1057 ratings for any of the scenarios with all p-values > 0.05.

1058

1059 Sample study 2

- 1060 Participants were recruited in 2021 using the online panel Prolific. We posted a task under 1061 the neutral name "household study" that required participants to complete a survey hosted
- 1062 by Qualtrics. Participants were paid £0.63. The study was open to panelists who were British
- 1063 citizens and residing in the UK. Participants selected themselves into the study group.
- 1064 Panelists who had participated in study 1 were excluded from participation to study 2.
- 1065 The share of female participants to study 2 was 71%. Compared to the UK 1066 population, there was a higher percentage of women in our sample.
- 1067 We compared answers between men and women by different means(five participants 1068 who answered "other / prefer not to specify" in the gender question were excluded for these 1069 analyses):
- We fitted an additional regression model with only the elicitation question, gender
   and their interactions as independent variables
- We conducted pairwise comparisons of men's and women's answers

Table 3b: OLS regressions with elicitation question, gender and interactions, DV: LNHP, robust standard errors in parentheses

	Air pollution	Pesticides	Arctic Ocean	Amazon rainforest
Should	0.18	-0.01	0.59**	-0.30
	(0.23)	(0.29)	(0.26)	(0.24)
Appropriate	0.57**	0.73***	0.43**	0.13
	(0.24)	(0.29)	(0.24)	(0.26)
Gender: male	0.24	0.02	0.02	0.11
	(0.45)	(0.33)	(0.37)	(0.35)

Should*male	-0.75	-0.05	-0.73	0.09
	(0.60)	(0.50)	(0.50)	(0.45)
Appropriate*male	-0.23	0.21	-0.29	-0.23
	(0.56)	(0.43)	(0.54)	(0.52)
Constant	4.58***	4.52***	4.02***	4.51***
	(0.17)	(0.20)	(0.19)	(0.17)
Observations	159	160	202	190
R²	0.06	0.10	0.05	0.02
Adjusted R <sup>2</sup>	0.03	0.08	0.03	0.00
	-	-	-	

Note:

\*p<0.1; \*\*p<0.05; \*\*\*p<0.01

#### 1073

1074 Neither the gender coefficients nor the interaction terms between gender and the 1075 elicitation question are significant in this analysis.

1076Tables 2b below shows the means of positive HP and log-normalized HP for men and1077women, respectively.

Table 2b: Means of	of LNHP, men	and women
--------------------	--------------	-----------

	Men		Women	
LNHP		N	LNHP	N
(SD)			(SD)	

Air pollution				
willing	4.82 (1.37)	10	4.58 (1.11)	44
should	4.25 (1.23)	11	4.76 (0.94)	44
appropriate	5.16 (1.11)	15	5.15 (1.06)	43
Pesticides				
willing	4.54 (1.26)	23	4.52 (1.24)	40
should	4.49 (1.03)	18	4.51 (1.24)	34
appropriate	5.48 (0.77)	19	5.26 (1.26)	35
Artic Ocean				
willing	4.04 (1.37)	21	4.02 (1.26)	46
should	3.90 (1.40)	24	4.61 (1.23)	51
appropriate	4.28 (1.49)	18	4.55 (1.04)	52
Amazon rainforest				
willing	4.61 (1.26)	21	4.51 (1.11)	47

should	4.40	18	4.20	55
	(0.92)		(1.18)	
appropriate	4.51	26	4.63	48
	(1.52)		(1.31)	

1079 We compared men's and women's log-normalized HP by means of Welch independent 1080 sample t-tests and found one significantly different mean:

- 1081 In the Arctic Ocean scenario, when the elicitation question was "What is the
- 1082 maximum amount you think you should pay?", log-normalized HP of women were
- significantly higher than for men, t(39) = 2.09, p-value = 0.04.

1084

#### 1085 Table 5: Means and SD of importance ratings

Scenario	Importance
Air pollution	3.61ª
	(0.89)
Pesticides	3.42ª
	(0.98)
Arctic Ocean	3.99 <sup>b</sup>
	(0.89)
Amazon rainforest	4.14 <sup>b</sup>
	(0.83)

<sup>1086</sup> Values with the same superscript are non-significantly different from each other (p > 0.05).

1088	3.	Zero	bids
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1089 The shares of zero bids for the different scenarios are given in table 6 below.

1090	Table 6: Shares of zero bids	
	Air pollution	0.036
	Pesticides	0.059
	Arctic Ocean	0.047
	Amazon rainforest	0.046

1091 A Chi-squared test between the four scenarios indicated that there were no significant

1092 differences in terms of the share of zero bids, Chi-squared = 1.04, df = 3, p-value = 0.79.