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Ports and their influence on local air pollution and public health: a global analysis

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Abstract

Despite the skyrocketing growth in recent decades of environmental studies on ports and shipping, their local health impacts remain largely under-researched. This article tackles this gap in research by statistically analyzing data on global shipping flows across nearly 5,000 ports in 35 countries between 2001 and 2018. The different traffic types, from containers to bulk and passengers, are analyzed jointly with data on natural conditions, air pollution, socio-economic indicators, and public health. The principal results show that port regions pollute more than non-port regions on average, while health impacts vary according to the size and specialization of the port region. Three types of port regions are clearly differentiated: industrial, intermediate, and metropolitan port regions.

Keywords: health; maritime transport; air pollution; port region; vessel movements

Graphical abstract

1. Introduction

The environmental impacts of ports and shipping have attracted growing attention in recent decades. Already in 1993, the International Association of Cities and Ports titled its fourth international conference "port cities, actors of the environment". In the early 2000s, new research emerged on the integration of environmental values in port policies (Chédot, 2001) and port legislation (Ansaud, 2006), to name but a few. Pollution (as measured by CO_2 and PM_{2.5}) is also driven by other activities in the port city (Merk and Dang, 2013). The port is a transport node as well as a magnet for industrial and logistic activities, as illustrated by the many growth pole and free-zone policies in the 1970s in Western countries and even more evidently in Asia. Alongside a wide range of technical, technological, spatial, and socio-economic considerations, rising environmental concerns often motivated the separation between port and city by the relocation or closure of ports, and the redevelopment of old port areas for new urban uses such as culture and tourism (Hoyle, 1989). Industrial and port activities now often serve traditional port cities by trucks from peripheral locations, while creating new pressures on suburban settlements.

Since many ports remain urban, research on environmental issues in port cities has been very dynamic in the past two decades (Carpenter and Lozano, 2020; Wagner, 2019; Zheng et al., 2020). Specific aspects have been explored, such as the green transformation of industrial maritime transport (Comtois and Slack, 2005), industrial ecology (Cerceau et al., 2014), environmental conflicts (Lo Prete, 2012; Bartlomiejski, 2016), urban vulnerability in port cities (Lo Prete, 2015), the sustainability of port and port-city plans (Schipper et al., 2017), and stakeholder perspectives on the sustainable development of port cities (Lam and Yap, 2019).

This surge in interest goes along with increasing efforts at all levels, from institutions such as the International Maritime Organization (IMO), the International Association of Ports and Harbors (IAPH), and the European Seaports Organization (ESPO), to promote sustainability in ports through a broader environmental vision that also encompasses health issues. Port city actors benefit from ever more advanced methods and precise tools of measurement to quantify and map pollutions of all kinds (Azarkamand et al., 2020; Contini and Merico, 2021). In the matter of preventing of seaport pollution and the correlated health impact on the local population, the set of technical and organizational solutions available to the port community is very diverse, from improving the fluidity and safety of the flow of passengers and to tend towards renewable energies and cleaner fuels (Sdoukopoulos et al., 2019; Alamoush et al., 2020).

Nevertheless, two main questions remain largely unanswered: to what extent do ports contribute to local pollution? How much is this contribution detrimental to the health of local populations? The "health" dimension of port impacts/concerns has largely been ignored in the literature. Environmental and health studies are, in fact, drastically different in nearly all aspects (Table 1).

The literature on green ports is so vast that it has been the focus of entire books (Bergqvist and Monios, 2019) and dense review articles (Lam and Notteboom, 2014; Gonzalez-Aregall et al., 2018; Iris and Lam, 2019). In comparison, we have found only five journal articles dealing explicitly with ports, pollution, and health in the entire literature (Gianicolo et al., 2013; Vigotti et al., 2014; Bauleo et al., 2019; Viana et al., 2020; Gillingham and Huang, 2021). Most of these deal with a port city in Italy, where the interplay between environmental assessment and risks affecting labor is significantly critical (Attardi et al., 2012). Although the recent review of the field by Mueller et al. (2023) includes more papers, most of these are in fact health impact assessments looking at health risk factors without an explicit and direct analysis of the actual health effects on the population (Allen, 2014). More likely are studies about the health impacts of shipping onboard, including on the crew and passengers (Mouchtouri et al., 2010; Akamangwa et al., 2016; Lloret et al., 2021).

Characteristics	Environmental studies	Health studies	
Specialization	Transport studies (operations research, social sciences) and environmental sciences	Medical sciences (e.g. public health epidemiology), interdisciplinary	
Methodology	Measurement and mapping of pollutions considered as health risks	Cohort studies; model-based analyses; correlation between population exposure to hazards and health; often cross- sectional or ecological design	
Data and monitoring	Pollutions and emissions from different sources, geocoding	Hospital admissions, premature deaths, diseases, socioeconomic status, gender, age, habits	
Comparability and timeline	Cross-comparisons, static	Monographs, time series	
Geographic scope	Port terminal, port-city interface, port hinterland	Intra-urban level (comparison between port and non-port areas)	
Support to decision-making	Technical and/or organizational solutions, policy implications	Limited discussion on actors and governance	
Health impacts	Health impacts sometimes implicit but mostly not directly discussed	Health impacts explicit	

Table 1: Comparison between environmental and health studies in a port context

The concept of "environmental health" (OMS Europe, 1994) encompasses all aspects of the environment that can affect the quality of life, including air pollution and its interaction with the local population. "Vulnerability" pertains to the potential impacts or actual effects experienced by the local community (Lo Prete, 2015). But, while environmental health focuses mainly on the environmental factors that may affect people's health (e.g., air pollution causing respiratory diseases), the notion of vulnerability focuses also on those who would suffer from the threats or the tangible effects of port development which would therefore affect public health. This distinction is important to understand how, today, the issue of health in a port context cannot be separated from that of the environment and must be considered broadly (Jeanjean et al., 2021).

On the connection between health and ports, there have been global studies on ship emissions and mortality (Corbett et al., 2007). The literature includes several studies on the health impact of industrial activities but they do not make any specific mention of ports (Martuzzi et al., 2014; Mudu et al., 2014; Domingo et al., 2020; Marquès et al., 2020). There are also health studies of port cities and regions that do not mention the port as a factor (on the case of Sydney, Australia, see Broome et al., 2015; for the Campania region in Italy, see Fusco et al., 2020).

Our research innovates in several ways. First, it provides an international perspective of the impact of ports on local air pollution and public health across the OECD area. Second, we use untapped data on global movements of merchant vessels and a full set of environmental, socio-economic, geographic, and demographic indicators to run multivariate analyses of these impacts at the scale of small regions (among which cities) and large regions. The main results demonstrate whether possessing a port increases pollution, is detrimental to life expectancy, and/or aggravates mortality rates. We differentiate traffic types by their uneven effects on environment and health (e.g., passengers, containers, bulks, etc.) and conclude with a typology of port regions. This typology serves to identify critical cases and is a benchmark to pursue more qualitative research on actual environmental and health protection practices.

The remainder of this article is organized as follows. The second section provides a review of the recent literature on pollution and health impacts in port cities. Thirdly, we introduce the data and methodology. The fourth section displays the main results of the statistical analysis and the cartography of the typology. We conclude in the last section on the lessons learned and propose further research paths.

2. Literature review on ports, pollution, and health impacts

Most activities taking place in a port city environment are in some way linked to the shipping activity (Coomber et al., 2016). Yet, some can be found in any city, such as manufacturing, logistics, and heavy industries, while others are specific to ports, such as loading and unloading of goods, oil jetties, shipyards, fishing fleets, marinas, and dredging and building of port infrastructures. Various forms of pollution, including air, noise, visual, olfactory and water pollution, can result from such activities, causing negative consequences for human health and the environment (Sakib et al., 2021).

Port and port-related activities not only consume various resources, such as water, electricity, coal and land, but also inevitably damage the urban environment (Li et al., 2019). Maritime transport noticeably contributes to the degradation of air quality in coastal areas (Ledoux et al., 2018; Mwase et al., 2020; Merico et al., 2020; Gregoris et al., 2021). Air pollutants such as sulphur oxides (SOx), nitrogen oxides (NOx), particulate matter (PM), carbon monoxide (CO), and volatile organic compounds (VOCs) are emitted to the atmosphere as a direct result of shipping activities (Sorte et al., 2020). Exposure to PM and nitrogen dioxide $(NO₂)$ is particularly harmful to health (EEA, 2019). Annual NOx ship emissions can reach 5-10% of total global emissions, and even 30-40% at the regional level (Ramacher et al., 2020). Before the launch of sulfur limit and emission control areas (SECAs) by the IMO under the Marpol convention, annual SOx emissions from ships accounted for 4-9% of total global emissions. In Europe, according to Merico et al. (2021) , $PM_{2.5}$ and PM_{10} emissions represented 45% and 28% of shipping-related emissions, respectively. Ultrafine particles from cruise ships and ferries in particular (especially in ports such as Barcelona, Palma de Mallorca, Venice, Civitavecchia, Southampton, Lisbon, etc.) may cause specific problems both regarding the occupational health of dockworkers and of coastal residents. Worldwide, 14,500–37,500 premature deaths were attributed to PM2.5 related to shipping, with the highest values in areas recording both high PM concentration and population density.

But such global averages must not hide the fact that pollution from ships is concentrated near the coast and within ports (Jahangiri et al., 2018). In the latter case, ships pollute more at berth than during maneuvering (Fameli et al., 2020), so their turnaround time is a crucial factor in pollution, especially concerning NO_X (Sorte et al., 2019), but also PM concentration, which may culminate at 7-26% in Asian ports (Chen et al., 2019; Zhang et al., 2022). The terminal area itself, as well as port-related industries and logistics also participate in local air pollution, although their contribution is not always clearly measured (Mueller et al.,2023; Rowangould et al., 2020). Merico et al. (2020) and Sorte et al. (2019) multiple emissions' contribution to ambient air quality (i.e., ship, terminal, road traffic, refineries). The latter study found that the combination of trucks, railways, cargo handling equipment and bulk material storage contributed the most to surface PM₁₀ concentrations over the study area. A study on the port of Felixstowe (UK) demonstrated that inland transport emissions were double the size of port emissions (Gibbs et al., 2014).

Air pollution in ports also varies according to traffic types. At liquid bulk terminals, the main concern is the emission of volatile organic compounds (VOCs) during the storage and turnover of oil and chemicals (Yuan et al., 2022). Solid bulks (e.g., grain, coal, ores, cement) may produce dust during ship loading and unloading or when carried via uncovered conveyor belts and unfiltered silos. Cereals in particular are mixed with earth residuals contaminated by agricultural fertilizers and pesticides. The study by Morin et al. (2013) on Rouen, Europe's largest port for cereals, was motivated by the fact that important amounts of PM are released during the loading of food grains onto ships, representing a potential danger for the populations living in the close vicinity to the harbor (e.g., fertilizers, pesticides, mycotoxins and microflora).

Cruise shipping, a fast-growing sector in the past decade or so, produces emissions that harm the health of not only tourists and residents (Chatzinikolau et al., 2015; Perdiguero and Sanz, 2020), but also of crew members (Lloret et al., 2021). In the case of container vessels, not all port cities use rail or river transportation as an alternative to road, which represents 75% of all intra-EU freight movements (Eurostat, 2019). Ports such as Le Havre in France have a very unbalanced modal split, with more than 90% of hinterland traffic occurring by road. Even in multimodal ports such as Antwerp in Belgium, where barges carry about 40% of hinterland traffic, road congestion may reach extreme levels, due to the combination of transnational and national trucking flows with urban commuting flows, especially at peak hours.

Currently, the methodologies on how ship emissions impact air quality and human health are well established and used in the cost-benefit analyses of policy proposals (Nazmus et al., 2021). The effect of large-scale air pollution emission changes on ecosystems, human health, and their economic impacts can be modelled with reasonable accuracy. However, there is no unified framework to assess the environmental and socioeconomic impacts of ports and shipping (Ytreberg et al., 2021). Existing studies use a wide variety of methods, including experimental observations, numerical modelling, and emission inventory analysis (Merico et al., 2017). Some scholars have conducted on-site sampling campaigns and evaluated quantitatively the impact of ship emissions on port air quality based on the measured data (Wang et al., 2019; Peng et al.,2022). Steffens et al. (2017) used the mobile measurement method to assess the air quality of the port, a tool which is more flexible than the fixed monitoring station. Mainstream methods to estimate ship emissions in ports are either top-down (i.e., fuel-based) or bottom-up (i.e., activity-based) (Nunes et al., 2017a; Yang et al., 2021; Toscano et al., 2021). The bottom-up approach for instance was adopted by Sorte et al. (2021) in their detailed emissions inventory covering shipping and cargo handling (see also Kara et al., 2021; Sorte et al., 2020). The source apportionment literature is vast and diverse, examining ship emissions and air quality alongside the effects of emission regulations (Anastasopolos et al., 2023).

It is very difficult to untangle the contribution of each source to the overall local pollution, due to complex interactions between these sources and the rapidly changing meteorological conditions (Lang et al., 2017). To simulate urban-scale pollutant concentrations, Ramacher et al. (2020) used the coupled prognostic meteorological and chemistry transport model (TAPM) for measuring the contribution of road traffic and shipping related emissions to overall air quality and the annual mean population exposure in Hamburg. Merico et al. (2019) proposed a system integrating dispersion modelling and measures supplied from both meteorological stations and traffic data within the harbor. Despite their steady improvements, such experiments still have limitations due to the complexity of chemical processes in the atmosphere, the difficulty of source attribution, and limited spatiotemporal data resolution.

Quantitative studies with an explicit focus on health impacts are usually done at the intra-urban level. In Civitavecchia, Bauleo et al. (2019) showed that people living in areas with higher concentrations of PM_{10} or NOx were younger and had a lower socioeconomic status than in less polluted areas, while residents near the port had higher mortality rates from all cancers and neurological diseases. In Brindisi, Gianicolo et al. (2013) found that health risks (i.e., unplanned hospital admissions) increased for people living under prevailing winds carrying PM_{10} and NO_2 and coming from port and industrial areas. In Taranto, the district most affected by the port and steelworks (i.e., young age, low socioeconomic status, high deprivation index, and highest $SO₂$ mean concentration) was a distance away due to topography (Vigotti et al., 2014). According to the study of eight Mediterranean cities by Viana et al. (2020), shipping caused fewer premature deaths than vehicular traffic, though emissions from both remained comparable in magnitude. Gillingham and Huang (2021) used vessel tonnage and patient-level administrative data over the 2001-2016 period to make evident that air pollution from U.S. ports affects health outcomes. However, the impact differs by race and respiratory hospital visits, heart-related visits, and psychiatric visits are three times higher for Black people than for whites.

The level of detail and the diversity of measures presented by these studies largely explain the scarcity of comparative analyses either at the global scale or even within the same country. This is also due to the relative uniqueness of port sites, urban morphologies, and climatic conditions from one place to the other. Therefore, in the next section we present the data and methodology that make it possible, for the first time, to run an international and comparative analysis of the environmental and health impacts of ports.

3. Data and methodology for an international study

3.1 Hypotheses

Based on the above, our main hypotheses are as follows:

H1a: the presence of a port aggravates air pollution and health conditions H1b: port regions witness heavier environmental and health impacts than non-port regions

This can be verified by a statistical analysis, first testing whether ports regions exhibit a specific profile compared to other regions. Ports may significantly affect pollution and health, but local environmental and health conditions are influenced by other activities as well as a number of natural and human factors. Impacts may result from activities that are distinct from the port itself (i.e., terminals) but strongly related to it, like industries, logistics, and the concentration of population. However, many new terminals have been developed at the periphery, in greenfield sites, so that a port region is not necessarily more polluting than a non-port region. Given that ports are very diverse across the globe, traffic volume and specialization should also be considered:

H2: impacts are higher for larger ports than for smaller ports

H3: impacts are differentiated across traffic types

While the quantity of traffic passing through ports should inevitably result in more air pollution, this is less evident when it comes to different traffic types. Those act as "supply chains" with each having its own rationale. As mentioned above, solid bulks like cereals provoke dust clouds in port cities, while container traffic pollutes mainly when carried onshore between the terminal and the hinterland, especially in a dense urban environment that trucks go through. The consideration of traffic types is also important because of their particular regional branching and industrial relatedness (Ducruet and Itoh, 2016). Bulks will be produced or consumed more locally by heavy industries, compared to containers that supply more distant customers or are concentrated in dense urban regions (Guerrero, 2014). Cruise shipping, which takes place within port cities, implies long turnaround times for vessels during port stays, as well as important road traffic from tourists (Tichavska and Tovar, 2015).

3.2) Global database

The international perspective proposed here uses the port region as the spatial unit of analysis. The OECD territorial database (OECD, 2023), which covers about 2,000 regions in 36 countries, is the main data source. It provides socio-economic, environmental, and health indicators at two regional levels, namely small regions (TL3) and large regions (TL2). While we are aware that administrative boundaries and port hinterlands are often mismatched, administrative regions remain relevant spatial units as they constitute the "captive" part of the hinterland (Ducruet, 2009; Notteboom et al., 2023). We combine this database with the one from Lloyd's List on global shipping, whose main advantage is to palliate the absence of a global database on actual port throughput (Lloyd's List Intelligence, 2023).

As seen in Table 2, Lloyd's data makes it possible to calculate ship traffic at ports overall and by type of ship, which corresponds to the product between ship capacity (gross registered tons) and number of calls on a yearly basis. We excluded noncommercial vessels, like navy ships and hospital ships for instance. After several trials and errors, it was decided to exclude vessels performing port services (i.e., towage, pilotage, dredging) as well as general cargo, and to keep other categories at an aggregated level, like liquid bulk (i.e., chemicals, crude oil, gas, oil tanker), solid bulk (i.e., coal, cement, grain, ores), and passenger/vehicles (i.e., ferry, cruise, roll-on/rolloff, and vehicles), while containers is a category on its own. Traffic was summed for regions containing more than one port. As high ship turnaround time is considered a factor of pollution in the literature, it was calculated as an average for the whole fleet by region and by year.

OECD data include two main pollutions, namely PM_{2.5} concentration (μ g/m³) and Greenhouse Gases (GHG) emissions (million tons $CO₂$ equivalent). These $PM_{2.5}$ concentration estimates (outdoor and ambient) were derived "by integrating satellite observations, chemical transport models and measurements from ground monitoring station networks" (OECD, 2023). They were population-weighted using gridded population datasets. Fine particulate matter was chosen as it is the pollutant which poses the greatest risk to health globally, especially as it increases the risk of respiratory and cardiovascular diseases (WHO, 2018). GHG is the sum of seven gases with direct effects on climate change: carbon dioxide (CO_2) , methane (CH_4) , nitrous oxide (N_2O) , chlorofluorocarbons (CFCs), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), sulphur hexafluoride (SF_6) , and nitrogen trifluoride (NF_3) . The data are expressed in CO² equivalents and refer to gross direct emissions from human activities.

Category	Variable	Description		
	Total vessel traffic	Gross tonnage (GRT) (LN)		
	Containers, liquid bulks, passengers &	% in regional total		
	vehicles, solid bulks			
Port traffic		Average number of days spent by vessels		
	Average ship turnaround time	in ports		
	Port dummy	0/1		
	Emission Control Area (ECA)	0/1		
Geography	Wind speed	Knots		
	Precipitations	0.01 inches		
	Temperature	Fahrenheit		
Pollution		Average level experienced the by		
	Particulate matter (PM _{2.5}) concentration	population (μ m/m ³)		
	Total CO ₂ equivalent emissions	Metric tons (LN)		
Health	Mortality rate	No. deaths per 1,000 people		
	Life expectancy at birth	No. years		
Socio-	Gross Domestic Product (GDP) per capita	\$US per inhabitant (LN)		
economic	Population density	No. inhabitants per km ² (LN)		

Table 2: List of regional variables

We also documented local natural conditions. Those were collected from the Copernicus¹ website, a dataset providing daily surface meteorological data for the period from 1979 to the present. Among all possible indicators, we retained those most relevant to the study of port pollution, namely temperature, wind speed, and precipitations. The method was then to calculate the yearly average for each region from individual station data, using QGIS and the shapefiles of regions. While higher temperatures are known to increase pollution (Zhao et al., 2020), wind speed and precipitations reduce it by dispersion and dilution (Liu et al., 2017; Zhang et al., 2023). Such effects were tested via several control variables, scoped to those shown in Table 2. The health indicators that were retained are life expectancy at birth and mortality rate. Among all possible socio-economic variables, population density and Gross Value Added (GVA) per capita are retained as potential factors of increased pollution.

Analyses are compared between large and small territorial units to investigate the potential effects of geographic scale on the relationship between vessel activity, pollution, and health. Depending on the scope of the hinterland, relationships between the variables may vary, as shown in a recent study on employment and traffic specialization in French port cities (Amdaoud et al., 2022). Descriptive statistics for the chosen indicators are provided in Appendix 1.

¹ <https://cds.climate.copernicus.eu/>

3.3 Statistical analysis

As a cross-sectional and time-series study (2001-2018), the best method to verify our hypotheses was a regression analysis of a panel data. The main reason for this choice was because this model could mitigate the effect of omitted variable bias when there is a lack of information on variables that are associated with both the regressors of interest and the dependent variable, and if these variables are constant in the time dimension or across entities. We ran two models: a) fixed effects regression using time and entity fixed effects and b) random effects. A Hausman test led us to choose the fixed effects model. Due to the inclusion of a time-invariant variable (ECA zone dummy), we selected a variant of the model called the Least-Squares Dummy Variable (LSDV) model, equivalent to the demeaning approach.

A factor analysis as well as a hierarchical cluster analysis (HCA) were applied to the most recent year (2018) in order to describe general (static) trends and delineate distinct classes of port regions. In these analyses, similar to what is done for regressions, several variables were transformed into natural logarithms to attenuate the influence of extreme values (GHG, total traffic, population density, and GVA per capita). For the factor analysis and the HCA, various other variables were converted into location quotients to control for geographic or national bias during regional comparisons (mortality rate, life expectancy, PM2.5, wind speed, temperature, precipitation). Location quotients are calculated as the regional value divided by the national average.

4. Results

4.1 The effect of having a port

Our first analysis focuses on the port dummy to verify its effect on environmental and health conditions. We calculated the ratio between the average score of port regions and the average score of all regions for the main environmental, health, and socio-economic variables (Figure 1). This provides a positive answer to the fundamental question of whether port regions are more polluted than non-port regions, in terms of GHG emissions. This is the case for both large and small regions, but the gap between port and non-port regions is much wider in the case of small regions (1.5-1.6 compared with 1.1-1.2). Although such results cannot prove a direct and causal effect of ports, environmental issues are more critical in smaller territories with a port. This is not the case for the exposure to $PM_{2.5}$ emissions, given that the ratios are lower than 1 for both large and small regions. Small port regions are also characterized by a higher population density and GDP per capita on average, which accentuate GHG emissions and at the same time, increases the exposure to such emissions.

Figure 1: Comparison of port and non-port regions, 2001-2018

However, health indicators show no specificity, except for the mortality rate that is lower than average for small port regions, while life expectancy is more or less the same in port and non-port regions. At this stage, we can only confirm the links between GHG emissions and the concentration of population and economic activities when a region has a port. The relationship with health outcomes needs further investigation with different methodologies.

Interestingly, the bottom part of Figure 1 shows that in maritime countries², non-port coastal regions are nearly identical to non-port inland regions in all aspects (ratio around 1), except for population density. The latter is much lower in non-port coastal regions, and this is principally due to the specialization of these regions in other activities than trade, like agriculture, culture and tourism. The mortality rate is also slightly lower (around 0.9 on average) in coastal non-port regions, as verified in earlier studies comparing coastal vs. inland areas as well as densely vs. sparsely populated areas (Fusco et al., 2020).

The model results show that the port dummy is significant and has the expected sign for GHG emissions, for both large and small regions (Table 3)³. However, it is significant and negative for $PM_{2.5}$ concentration. This may be explained by the fact that despite the high absolute emissions of PM2.5 in port cities, inland regions may have even greater concentration of such particulates. One reason is that $PM_{2.5}$ emissions in

² This specific analysis is applied to France, Italy, New Zealand, and Portugal only.

³ See appendices 2 and 3 for full statistical results.

port regions are, at least partly, blown away by stronger winds along the coast⁴. This is quite apparent in Table 3 as wind speed significantly and negatively influences $PM_{2.5}$ concentration. Higher temperatures foster GHG emissions, as stated in the literature (Zhao et al., 2020). Lastly, population density amplifies GHG emissions and $PM_{2.5}$ concentration at both territorial levels. The concentration of population, especially in port regions, amplifies the probability of port-related traffic (e.g., heavy-duty vehicles) and urban traffic creating bottlenecks, especially at peak hours along the most frequented roads.

			GHG emissions		PM2.5 concentration	Mortality rate			Life expectancy
arge regions	Wind_speed	$0.1255351***$		-0.694115	888	0.278587	医木木	$-0.1195481***$	
	Precipitation	-0.006289 **		0.016242		-0.030303 ***		-0.035758	
	Femperature	0.054194	RRX	-0.002949		-0.086327	未来来	-0.093318 ***	
	GVA hab	0.676815	RRX	-5.061351	未来来	-0.121710		2.348268 ***	
	Pop_density	0.080267	XXX	1.312576	888	0.367839 ***		0.533219	XXX
	Port dummy	0.177783		-2.495459 ***		0.022665		0.757635 ***	
	GHG					-0.048595	未来来	-0.118959	XXX
	PM2.5	$\overline{}$				0.237101	医木木	0.089194	IRRE
	Wind_speed	0.113926	xxx	-0.696420 ***		-0.073804	***	-0.227293	XXX
	Precipitation	0.001914		0.024260	未来来	0.005412		0.016223 ***	
	Femperature	0.027560	xxx	-0.062909	xxx	0.049757	888	0.113059	XXX
	GVA hab	0.326368	RRR	-3.172859	未来来	-1.106091	医木木	4.759666	XXX
	Pop_density	0.273825	RRX	2.059841	未来来	-0.484786	RRR	0.147506	xxx
Small regions	Port dummy	0.363207	RXX	-2.497721	R88	0.066642		0.492263 ***	
	GHG					0.033233	XXX	-0.105425 ***	
	PM2.5	$\overline{}$	$\overline{}$	-	$\overline{}$	-0.271965 ***		0.075019 **	
	Signif. Codes: 0'1 0.001'**'		0.01 ^{1*}	0.05 $''$	0.1 ''				

Table 3: Model results with port dummy

Secondly, the port dummy is confronted with health indicators. It is positive but not significant for mortality rate, while it is always positive and significant for life expectancy. What looks like a contradiction could mean that the port effect differs according to phenomena that are more complex than just the presence or absence of such an infrastructure. Besides, Gross Value Added (GVA) per capita lowers mortality and increases life expectancy at both regional scales. Including air pollution indicators in the model is worthy of investigation. GHG emissions significantly lower life expectancy, while $PM_{2.5}$ concentration has the opposite effect⁵. The respective influences of GHG emissions and PM2.5 concentration on mortality rates are opposed between large and small regions. This shows that GHG's worsening effect on health is more "local" (small regions) while PM_{2.5}'s one is spread more inland (large regions). These results can be connected to the fact that wind speed significantly reduces mortality in small regions. However, the unavailability of additional data, such as facts about medical care (e.g., number of doctors, hospital beds), hampers the models' results. This motivates us to enrich the analysis with more information about ports, which can be differentiated by their traffic size and specialization.

⁴ We calculated that wind speed, on average, is 1.3 to 1.4 faster in port regions than in non-port regions. ⁵ It should be noted that GHG emissions and PM_{2.5} concentration are used both as dependent and independent variables in our analyses. Therefore, it is not possible to directly compare the parameters of other variables, such as GVA per capita, as their influence on health is underestimated due to the inclusion of GHG and PM2.5.

4.2 The effect of traffic size and specialization

As shown in Table 4, total port traffic has a significant and positive effect on GHG emissions for both small and large regions. The quantity of cargo and number of passengers⁶ handled in ports thus contributes to local air pollution. This is not the case for PM2.5, which confirms the prior findings of Table 3. Interestingly, the average ship turnaround time (ATT) significantly and positively accentuates both GHG emissions and PM2.5 concentration at all territorial levels. For all regions, this goes along with a significant and positive effect of population density and GVA per capita on GHG emissions, and a negative effect of belonging to an ECA zone for small regions only. This confirms the findings of Ducruet and Itoh (2022) about the evolution and determinants of ATT at world container ports between 1977 and 2016, whereby city size accentuates ship times and therefore pollution. On the offshore side, solutions such as virtual arrival, vessel speed reduction, inter-terminal barge shuttles, and berth allocation systems are used to lower ATT (Alamoush et al., 2020), just like underground transport, urban area bypass, modal split, automated door systems, truck appointment systems, and connectivity platforms on the onshore side to avoid queuing and congestion. Like for the port dummy analysis (Table 3), wind speed significantly reduces PM2.5 concentration in small and large regions, while GVA per capita decreases it.

The use of cold ironing⁷ is a widely used remedy to air pollution (Gonzalez-Aregall et al., 2018), alongside improvements in the productivity of cargo handling vehicles. The results by traffic types are quite contrasted according to the territorial level and the type of air pollution. Solid bulk traffic raises GHG emissions in small and large regions, however, despite it being seen more as a source of $PM_{2.5}$ in the literature and in practice. Liquid bulk and passenger traffic reinforce PM2.5 concentration in small regions only. This aligns with practice, since such traffic produce Volatile Organic Compounds (VOCs) like those emitted from bulks during handling and storage. VOCs (methane and nonmethane) contribute especially to GHG emissions during the loading and unloading of tanker ships. Among them, the heavier components (nonmethane VOCs) contribute to low-level photochemical oxidants, such as ozone, which affect human health, food production and the environment. Additional pollutants include oil itself (accidental discharge, loss from deposit tankers and pipeline), oil and rubber (spill from bulk-handling device), oily and toxic sludges (fuel deposits), as well as polychlorinated biphenyls (PCBs), hydrofluorocarbons (HFCs) and hydrocarbons (updated from Trozzi and Vaccaro, 2000).

⁶ In this study, the use of ship tonnage capacity allows to measure cargo and passenger traffic based on the same unit (Gross Registered Tons, GRT).

 7 Cold ironing is known by a variety of names, including shore power, shore-side power, shore-side electricity (SSE), high-voltage shore connection (HVSC), onshore power supply (OPS), shore-to-ship power, and alternative maritime power.

		GHG emissions	PM2.5 concentration	Mortality rate	Life expectancy
	Wind speed	0.0006725	-0.729059 ***	0.069464	-0.051666
	Precipitation	R88 0.0085060	0.022319	RRR -0.018353	$\overline{***}$ -0.029037
	Temperature	0.0472279 xxx	0.067562 $\overline{\text{max}}$	$\overline{***}$ -0.057228	-0.116917 未来来
	GVA_hab	RRR 0.5945499	RRK -3.492197	-0.182014 ×	*** 2.512340
Large regions	Pop_density	0.1349703 ***	0.997147 \overline{z}	\overline{z} 0.364617	0.413543 ***
	GHG	$\overline{}$ $\overline{}$	$\overline{}$ $\overline{}$	$\overline{\mathbf{x}}$ 0.110870	-0.122558 ***
	PM2.5			क्रिकेट -0.084933	-0.021639
	Traffic	0.1617540 xxx	-0.329578 xxx	0.059351 ₹	0.308154 xxx
	Container	$\overline{\mathbf{x}}$ -0.0098377	xxx -0.022529	$\overline{\mathbf{x}}$ -0.032374	xxx -0.021119
	Solid	Ixx 0.0031901	-0.018293 ***	RRR -0.016977	-0.022979 ***
	Liquid	$\overline{\mathbf{x}}$ -0.0030430	-0.019803 xxx	-0.013236 xxx	≅≅ -0.019587
	Passenger	888 -0.0135757	-0.009753	-0.008179 未来来	RR -0.006435
	ECA_zone	未来来 0.4390171	$\overline{}$ -2.033973	0.190262	*** -1.869046
	ATT	$\overline{\mathbf{x}}\overline{\mathbf{x}}\overline{\mathbf{x}}$ 0.0299926	xxx 0.119471	0.013710	-0.023097
	Wind speed	R88 0.1001921	*** -0.278101	888 -0.1337066	-0.1233779 ***
	Precipitation	0.0030555 ₹	xx 0.016450	$\overline{}$ 0.0115457	xx 0.0091722
	Temperature	0.0445778 xxx	-0.032416	0.0323943 ***	0.0865502 ***
	GVA hab	0.2570628 REE	*** -3.403801	0.3522157 $\overline{\mathbf{x}}$	2.7539112 888
	Pop_density	क्रव्ह 0.1753421	1.592619 xxx	$\overline{}$ -0.2528487	xxx 0.2029227
Small regions	GHG	$\overline{}$	$\overline{}$ $\overline{}$	888 0.1057549	-0.0769772 ***
	PM2.5			RRR -0.3482413	xxx 0.3718072
	Traffic	0.1416695 RRX	-0.234005 ***	xxx 0.0907745	-0.0542379 **
	Container	-0.0008921	-0.016615 RRR	888 -0.0153747	-0.0016169
	Solid	$\overline{}$ 0.0098657	-0.001918	0.0033517	0.0005865
	Liquid	-0.0004921	0.008263	0.0001795	0.0012346
	Passenger	\overline{z} -0.0062564	0.006876	\overline{z} -0.0057670	*** 0.0060897
	ECA_zone	-0.2510929 xxx	-0.888024 $\overline{***}$	0.7678478 ***	$-1,1687847$ ***
	ATT	0.0524138 XXX	0.004915	0.0104663	-0.0413447 888
		0.001 '**' $0.01'$ *'	0.05 . 0.1 ''		
	Signif. Codes: 0 '***'				

Table 4: Model results with traffic size and specialization

Cruise shipping is one notable cause of $PM_{2.5}$ emissions (Lloret et al., 2021). It increased by 25% between 2019 and 2023 in Europe, compared with 9% in SO_x and 18% in NO^x emissions (Day, 2023). The EU has made it mandatory for Member States to install shore power facilities in ports by 2025. There are many initiatives in several port cities: cold ironing for ferries (Gothenburg, Hamburg), cruise liners (Amsterdam, Barcelona, Marseille, Le Havre, Livorno), passenger service vessels (Oslo, Venice), barges and other cargo vessels (Antwerp) (Bergqvist and Monios, 2019). As underlined by Iris and Lam (2019), cold ironing can play a crucial role given that numerous passengers stay onboard large cruise ships during hoteling.

Regarding health, total traffic accentuates mortality as well as life expectancy in large regions, while it accentuates mortality and reduces life expectancy in smaller regions, which is a reasonable result since populations are more exposed to ports in small regions. Average turnaround time increases mortality and decreases life expectancy, which also aligns with experience. GVA per capita and population density increase life expectancy, but the results for mortality are divergent between large and small regions. This indicates that economic development may promote environmental awareness and access to medical care, thereby improving health. Another important result is that GHG emissions reduce life expectancy generally, and only increase mortality in large regions. All traffic types lower both mortality and life expectancy in large regions, while for small regions, bulks (solid and liquid) increase mortality. The same holds true for container traffic, the only category to lower life expectancy in small regions. Thus, and based on the literature, the role of specific transport chains seems to be more apparent at a smaller geographic scale.

4.3 Developing a typology of port regions

4.3.1 General trends

A factor analysis provides a global view of all regions and variables (Figure 2). Interestingly, the scatter plot of variables along the two main factor is highly similar between large and small regions. This is important, as it demonstrates the quality and robustness of data and trends, which are stable across geographic scales. Groups of variables emerge, like in the upper-right quadrant with total traffic, container share, GDP per capita, and ATT, together with GHG emissions and population density. This confirms that container traffic is concentrated in large port-city regions as they are key nodes in the supply chain notably from the demand side (Ducruet and Itoh, 2016). $PM_{2.5}$ concentration, especially for small regions, is also projected on the right/positive side of the first axis (horizontal), thereby consolidating this profile of "port metropolis".

Natural elements only marginally affect pollution and health in the figure. In terms of health, mortality rate and life expectancy are logically opposed. Moreover, mortality is close to liquid and solid bulks in the upper-left quadrant. Although it is not possible to establish a causal relationship, this result confirms that bulks produce VOCs during cargo handling (coal, grain, ores, oil) that spread throughout the city's atmosphere and get mixed in with urban pollution. Without protection, conveyor belts carrying coal or iron ore between port and plants provoke leaks and emissions during loading and unloading. VOCs (methane and nonmethane) from tankers contribute to GHG emissions. Among these VOCs, the heavier components (nonmethane) contribute to photochemical oxidants like ozone, which affects human health, food production, and the environment.

Despite the intermodalism made possible by containerization, container traffic generates important flows of heavy-duty vehicles within the port, across the city, and with the hinterland. In Europe, 75% of cargo flows occur by road (Eurostat, 2019), due to the geographic, logistical, and political difficulties of implementing a modal shift towards short-sea shipping. These flows generate congestion which can be addressed through the use of various systems to track trucks and better regulate traffic, but also with booking systems, automated door systems, as well as inter-terminal barge or rail shuttles (Gonzalez-Aregall et al., 2018). In the case of inland navigation, modal shift is an important option, as is the bypass of urban areas with a dedicated freight line or underground freight transport (Visser, 2018). At container terminals, "green concessions" (Notteboom and Lam, 2018) between port and operators may include modal split obligations to choose green modes (van den Berg and de Langen, 2014). Locally, average ship turnaround time (ATT) is close to total traffic and GHG emissions, since the higher the demand (population density, GVA per capita), the more traffic and pollution. This explains the launch of automated mooring systems to reduce ATT, during which ships continue to burn fuel. In the case of ro-ro terminals, Alamoush et al. (2020) estimated that such systems reduce $CO₂$ emissions due to mooring by 97%. In addition, berth allocation and planning can also contribute to lower ATT. According to Styhre and Winnes (2019), this reduction applies well to containerships, which operate on a regular basis contrary to bulk ships (Styhre et al., 2017).

N.B. 245 large regions (left) and 164 small regions (right)

Lastly, life expectancy is grouped with ECA zone and passenger/vehicle traffic. This makes sense if we consider that North Europe and North America are old industrial countries with mature healthcare systems. The importance of passenger traffic can be explained by the density of short-sea shipping in Northern Europe for instance (i.e., the Baltic Sea). A disaggregation by traffic subtypes is necessary to check the specific role of cruise ships compared to ferries, ro-ro, and vehicle traffic, as an avenue for further research.

4.3.2 Clusters of port regions

The great similarity in our results for small and large regions allowed us to categorize them in the same way, regardless of small differences (Table 5). We define as "metropolitan port regions" the ones with the lowest mortality rate and highest life expectancy. They rank high for population density and GDP per capita, carry the largest traffic volumes, and specialize in the most valued traffic, i.e., containers, far ahead of other regions. Their ATT is the longest, probably due to their role as market concentration hubs and important urban settlements, and they have the highest average number of regions belonging to an ECA zone.

Table 5: Results of the hierarchical clustering analysis, 2018

(1) highest values for each regional scale / indicator are in bold and italics

(2) unit: location quotient (LQ)⁸ , natural logarithm (LN), share (%), raw number (RN)

On the other side of the coin, "industrial port regions" have the most important GHG emissions and mortality rates, together with the lowest life expectancy. They do handle large volumes of traffic, but these are mainly composed of solid and liquid bulks, i.e., lower-value and more polluting items. What is more, such regions are not as much part of ECA zones, given their lowest score in this category. Industrial port regions are also characterized by low population density, given the location of plants and factories away from major urban settlements. They rank relatively high in GVA per capita given their role as transformation and production centers.

These two regional types can both be considered "critical" for two main reasons. First, it is important to note that be they small or large, metropolitan port regions score only slightly under industrial ones in terms of pollution; they even exceed them for $PM_{2.5}$ concentration when considering small spatial units. Thus, while environmental impacts should be more severe in industrial regions, a lot more population is exposed to pollution in metropolitan port regions due to high density. This is particularly salient when considering that container traffic reinforces congestion within and across large agglomerations.

Between those two types, intermediate port regions do not have a specific profile. They rank high on a few variables only, such as passenger and vehicle traffic. More

⁸ Location quotient: regional share / national share was used to avoid the country bias when comparing regions.

importantly, they have the lowest GHG and PM2.5 emissions, traffic volume, GDP per capita, and ATT of all regions. Given their low population density, it is possible to infer that such a profile corresponds to peripheral regions using passenger transport to connect with the "core" regions. However, their relatively high score for mortality may be related to a specific activity like cruise shipping. Compared with other regions, this also means that health impacts are clearly associated with the concentration of populations and economic activities. The following cartography allows us to identify which regions compose these clusters on the map, as well as possible spatial regularities.

Figure 3: Typology of large port regions in 2018

The typology of large regions (Figure 3) reveals interesting spatial patterns across the globe. First of all, metropolitan port regions are concentrated in Europe. Globally, Europe is recognized as a fertile ground for actions promoting port city sustainability. As Gonzalez-Aregall et al. (2018) observed, Europe is the most advanced region by the number of projects seeking to improve the environmental performance of the hinterland. Another global review of the literature on emission reduction and energy efficiency improvement concluded that European ports are ahead of North American and Asian ones (Alamoush et al., 2020). As Iris and Lam (2019) emphasized, most of the small number of world ports that are certified as meeting the international standard for energy management (ISO 50001) are European; furthermore, the proportion of European ports that have energy efficiency programs increased from 57% to 75% between 2014 and 2016. In North America, such regions are found along the Eastern seaboard (Halifax – Miami) and the Pacific coast (Alaska – Mexico), comprising the most urbanized states like New York, Florida, California, as well as British Columbia in Canada.

Comparatively, industrial port regions in Europe are concentrated along the Atlantic Ocean and the Black Sea, with only a couple of them in Northern Europe and in Italy. Elsewhere, this category includes the mining regions of Australia, the periphery of Japan (e.g., Hokkaido), and the interior regions of the USA and Canada. As is the case in Australia, two large regions specialized in bulks also belong to this category, namely Texas and Louisiana.

Figure 4: Typology of small port regions in 2018

For small regions (Figure 4), data was only available for parts of Europe, New Zealand, and Japan. This explains at least in part the country bias whereby most industrial port regions are in Japan. The rest of Japan is composed of metropolitan port regions situated along the so-called megalopolis from Fukuoka to Tokyo. This pattern is likely explained by the ageing population, which accentuates mortality rates outside of the megalopolis. In Europe, the industrial port region with the most traffic is Alejento in Portugal, with its port of Sines who developed a container hub in 2004 out of a heavy industrial growth pole. Most traffic goes to metropolitan regions, such as Marseille-Fos (Bouches-du-Rhône), Le Havre and Rouen (Seine-Maritime), France's two largest industrial and container ports. Other cases include Gothenburg (Västra Götaland), Malmö and Stockholm in Sweden, Tallinn in Estonia, and Klaipeda in Lithuania. Italy concentrates an important number of metropolitan port regions, containing the large ports of Genoa, Savona and La Spezia (Liguria), but also Lazio (Civitavecchia), Naples and Salerno.

5. Discussion and conclusion

This research provides the first-ever empirical analysis of the relationships between port activities, the environment, and health from an international perspective. A thorough review of the existing literature revealed that only a few studies actually measured the health impacts of ports (and their industrial areas) at the local level, compared with studies of their environmental impacts. This work is difficult due to the fact that it does not measure actual port pollution, but rather, considers the presence of port(s) and the level and diversity of port activities. Local measures of pollution are, in turn, not specific to ports, as they encompass the entire territory around the port rather than the port itself. Identifying a link between port activities and local pollution/health outcomes thus makes this study a success.

By way of combining data from the OECD and the Lloyd's List at the level of subnational regions, our main results confirm that port regions pollute more than nonport regions, in particular with GHG emissions. The analysis based on the port dummy confirms that the presence of port(s) increases GHG emissions but not $PM_{2.5}$ emissions, mainly due to winds. Beyond the port dummy, traffic size and longer ship turnaround time increase pollution, in line with expectations. Belonging to an Emission Control Area (ECA) lowers local pollution as predicted, a trend which is valid at both territorial levels.

The analysis of pollutions (GHG and $PM_{2.5}$) alongside traffic volume and types, substantiates many practical observations, as GHG emissions increase mortality in all cases, and PM2.5 lowers life expectancy, although nothing proves that such pollutions come from the port itself. A future objective of further research is to enhance traffic data with information on ship pollution, contingent upon accessing additional facts on ship engines and fuel types.

This research detected similar types of regions at both territorial levels, small and large regions, thereby confirming the robustness of data and spatial patterns. The category of "metropolitan port regions" represents areas with a concentration of population, socioeconomic activities, traffic volume (notably containers), and GHG emissions. These regions also witness the lowest mortality rates and are often situated within Emission Control Areas (ECAs), namely in Europe and North America. They stand in direct opposition to "industrial port regions", which specialize in bulk traffic, have the worst health scores, and pollute the most. Such a category includes Australian mining regions and U.S. regions specialized in the oil and agri-food business (Gulf of Mexico and Great Lakes / Midwest). The "intermediary port regions" stand in between both previous categories with no particular profile except for a specialization in vehicle and passenger traffic.

The main implication of these findings is that the port factor is an essential component of local socio-economic activity, pollution, and public health despite the high level of aggregation (i.e., subnational regions) and the administrative – rather than functional – nature of the spatial units under consideration. Given the limited literature on ports and health, and the fact that over 80% of world trade volumes are carried via sea transport, this calls for further research in this particular field, which is still small and scattered. We propose three principal avenues for improvement aimed at refining and deepening the scope of the current findings.

First, the population under study could be described in more detail. One possibility is to look at social fragility and deprivation (Allen, 2014). But related data like the unemployment rate in particular was not available across the entire OECD area. This dimension is important, as the social and economic insecurity engendered by port development affects the precarious classes above all (De Lara, 2018), and the impact of air pollution is stronger among the poorest (Suarez-Castillo, 2023). As health indicators are very sensitive to the age structure of population, their deconstruction by age groups would be a prerequisite to a better assessment of the impact of air pollution. Data about access to and availability of medical care, such as the number of doctors and hospital beds, would greatly enhance the analysis.

Second, Lloyd's List Intelligence data on vessel movements may be combined with engine and fuel type data to calculate actual port/shipping pollution and compare it with OECD figures. As more precise pollution and health data is provided by the OECD, we shall also run separate analyses by GHG emission source (i.e., transport in general, road transport, and industry) and by cause of mortality (i.e., respiratory system, circulatory system, and transport). Port activities can be further disaggregated by ship types to have a clearer view of traffic specialization (i.e., crude oil, chemicals, tanker, general cargo, port services, cruise, ferries, etc.).

Third, another avenue of research is to work at the level of metropolitan areas. The OECD metropolitan database includes socio-economic and environmental indicators at this particular level, which is more functional than the administrative region. This would make it possible to integrate new variables on port city topography and morphology, wind direction for instance, and use data on urban congestion, such as the Tom-Tom Index⁹. At this level, information on environmental-friendly measures and equipment (ISO certifications, cold ironing, modal split) can be made available through a survey addressed to the relevant local authorities.

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⁹ <https://www.tomtom.com/traffic-index/>

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Appendix 1: Descriptive statistics for the year 2018

Appendix 2: Model results for port dummy

Appendix 3: Model results for traffic size and specialization

