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STRATA OF STANDARDIZATION: THE PHONG NHA DIALECT OF VIETNAMESE (QUÂNG BÌNH PROVINCE) IN HISTORICAL PERSPECTIVE*

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The present research, based on first-hand data, is intended as a contribution to the study of the present-day diversity of lesser-described Vietnamese dialects, and of the range of evolutionary paths to which they testify. The Vietnamese dialect of the hamlet of Phong Nha (commune of Son Trach, county of Bố Trach, Quảng Bình) is one of the "heterodox" dialects of Vietnamese, which are known to present considerable interest for the historical study of Vietnamese and of the Vietic group at large. These dialects are the product of the southerly expansion of Vietnamese over related (Vietic) languages, a process which involved various interferences. Comparative evidence reveals strata of standardization: some words are phonologically identical to Standard Vietnamese; others are of Southern Vietic stock, as demonstrated by the absence of telltale historical changes that took place in Vietnamese, such as the spirantization of medial stops; still others appear to be the result of hybridization.

Keywords: historical phonology; dialectology; spirantization; diphthongization; vowel systems; Vietnamese; Vietic languages; Quảng Bình.

1. INTRODUCTION

The present study is based on first-hand data on the Vietnamese dialect of the hamlet of Phong Nha, in the commune of Son Trạch, county of Bố Trạch, Quảng Bình (thôn Phong Nha, xã Son Trạch, huyện Bố Trạch, tỉnh Quảng Bình). The aim of the present research is to contribute to the study of the present-day diversity of lesser-described Vietnamese dialects, and of the range of evolutionary paths to which they testify.

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1.1. The importance of heterodox dialects

Vietnamese dialects exhibit considerable diversity, and remain relatively understudied. Emeneau (1951) provided a detailed description of the dialects of Vinh (Nghệ An) and Nam Định (the main city of the province of the same name); dialectal information is also found in Hoàng Thị Châu (1989; 2004) and in Chapter 4 of Thompson's *Vietnamese Grammar* (Thompson 1984). Apart from these and a few other notable exceptions, most research tends to focus on the varieties spoken in the major cities, with special emphasis on the two largest cities: Hanoi and Hồ Chí Minh City (Saigon). However, Maspero pointed out that, within the field of Vietnamese dialectology,

"from a historical point of view, comparison of Tonkinese [i.e. Red River delta Vietnamese: the Northern dialect of Vietnamese, including Hanoi Vietnamese] with Cochinchinese [i.e. Mekong delta Vietnamese, Southern dialect of Vietnamese, including Saigon Vietnamese], which belong in the same group, is least interesting, whereas comparison of these dialects with that of Haut Annam [spoken from the North of Nghệ An to the South of Thừa Thiên] is of much greater importance; unfortunately, this comparison has not yet been thoroughly carried out" (Maspero 1912: 3).

The "Haut Annam" dialects show various irregular correspondences with Vietnamese as reflected in Rhodes's Dictionary (1651), referred to below as Middle Vietnamese. In view of these irregularities, they are referred to as "heterodox" (Ferlus 1995). This term will be used here, consequently using the term "orthodox dialects" for the varieties that exhibit regular relationships of correspondence with Middle Vietnamese. More than a century after the publication of Maspero's study, heterodox dialects survive in a few areas of Quảng Bình, Hà Tĩnh and southern Nghệ An; as emphasized by Alves (2007), there remains much progress to be done in the study of this crucial dialect area.

1.2. Earlier work on heterodox dialects

The first published description of a "Haut Annam" dialect is a report by Cadière (1902), who defines "Haut Annam" dialect as "the dialect spoken from Đà Nẵng to Vinh or thereabouts" ("le dialecte parlé depuis Tourane jusqu'à Vinh environ"; page X). As pointed out by Maspero (1912: 1, note 1), the data in this initial report suffer from some inconsistencies, due in part to the absence of sufficiently clear indications on where each cited form comes from. Maspero collected data

¹ "Au point de vue historique, la comparaison du tonkinois et du cochinchinois, qui appartiennent au même groupe, est la moins intéressante ; celle de ces dialectes avec celui du Haut-Annam est beaucoup plus importante : malheureusement elle n'a pas encore été faite de façon complète."

on more than ten of the dialects of this area; some examples are adduced in his 1912 study, but to our knowledge, his field notes remain unpublished. Data on the dialect of Cao Lao Ha (Quảng Bình) were collected by Michel Ferlus; they confirm the presence of some irregular correspondences with Middle Vietnamese, interpreted as resulting from the spread of Vietnamese on related language varieties – belonging to the Vietic² subbranch of Austroasiatic – with which there was sufficient closeness for cognate words in Vietnamese and in local Vietic languages to be perceived as such by the speakers (Ferlus 1995). Ferlus's argument is that a phonetic compromise was reached between the realizations in Vietnamese and in local varieties, which were not replaced holus-bolus by Vietnamese. Some words were borrowed with their Vietnamese pronunciation; others were imitated in part, modifying the earlier (non-Vietnamese) form through the introduction of some of the phonological contents of the Vietnamese form.

Further additions to documentation and research on heterodox dialects include a study of the Thanh Chương variety, Nghệ An (Alves & Nguyễn Duy Hương 2007) and an experimental study of tones of several locations in Nghệ An and Hà Tĩnh (Honda 2008).

Understanding these dialects is a topic of interest (i) for Vietnamese dialectology, (ii) for the study of the Vietic subbranch as a whole, and (iii) for general linguistic models. Concerning point (ii), a better knowledge of local Vietnamese dialects is necessary for further progress in the analysis of layers of borrowings into Vietic languages that have been heavily influenced by language contact, such as Arem (Ferlus 2014) and Thổ (Ferlus 2001).

2. METHOD

For administrative reasons related to research authorizations, elicitation sessions were conducted in Hanoi and not in Quang Binh. Pr. Trần Trí Dõi, of the Department of Linguistics of Vietnam National University in Hanoi, kindly went to Phong Nha in person to invite two consultants: Mr. Trần Văn Hợp (hereafter M1), born in 1957, and Mr. Hoàng Minh Chiêm (hereafter M2), born in 1962. Both have lived continuously in Phong Nha.

The elicitation sessions took place at the recording studio of the International Research Institute MICA. The consultants were given explanations about the purpose of the work: to record the speech of their native village, as distinct from

² The Vietic group consists of (i) Maleng, (ii) Arem, (iii) Chứt, (iv) Aheu, (v) Hung, (vi) Thổ, (vii) Mường and (viii) Vietnamese. This group was referred to as "Việt-Mường" by Thomas and Headley (1970), followed by Ferlus (1996; 1999; 2004). Nguyễn Tài Cẩn (1995) aptly proposed the term "Việt-Chứt", a compound of the names of the two most distant languages within the group; but the term "Vietic", proposed by Hayes (1982; 1992), has now become commonly accepted for the entire group, and we follow this usage. Hayes's proposal is to use "Việt-Mường" as a label for the lower-level grouping containing Vietnamese and Mường.

other varieties of Vietnamese of which they have some command, such as Hanoi Vietnamese – the current national standard – and dialects of Central Northern Vietnamese spoken elsewhere in Quang Binh. Basic words were selected from Michel Ferlus's word list (an expanded version of the EFEO-CNRS-SOAS word list for linguistic fieldwork in Southeast Asia, available online: Pain et al. 2014). The words were said by the third author (a speaker of Hanoi Vietnamese), and translated orally by the consultants.

An inherent difficulty under such a setup is that consultants have certain representations about their native dialect and its status respective to standards of correctness. It has long been known that, when an investigator from the city elicits data from rural people, they tend to modify their way of speaking, avoiding pronunciations which they think may sound ridiculous to the investigator. As a result of situations of non-egalitarian bilingualism, it can be difficult to elicit the full set of the oppositions present in the phonological system of the target dialect (see e.g. Haudricourt 1973: 23; on the importance of this issue when studying heterodox Vietnamese dialects: Thompson 1984: 79). A related difficulty is that the consultants have representations about the investigators' expectations, methods and abilities, which also influence their behaviour. In the case of the present study, it is only on the second day that the speakers reported the existence of a local pronunciation – now frowned upon locally as coarse – which they had not indicated to us because they thought we would be unable to write it down: a voiced dental spirant, IPA [\delta], found as a variant – alongside [\varphi], currently more frequent – in certain words written with orthographic d. The consultants, who are literate in Vietnamese, had observed that there is no distinct character for this sound (as they interpret orthographic d as [z]). In the belief that "there is no way to write it down", they considered that the investigators should dispense with it altogether, and they avoided this pronunciation. When finally heard, this sound proved to be of the greatest interest to the investigators: from a diachronic point of view, the spirant variant [ð] is a conservative realization (as explained in section 3.1.4). As a result, it was necessary to go through the word list again to establish which lexical items allow the spirant variant.

The odds are that the present description of the phonemic system achieves a good degree of accuracy, thanks in particular to (i) the presence of two speakers rather than one and (ii) sustained exchanges with the investigators during elicitation. It should nonetheless be kept in mind that the present report is based on a short (two-day) foray. There clearly remains room for a more in-depth study involving systematic vocabulary collection and a study of continuous speech.

We plan to make the recorded materials available online through the Pangloss Collection (Michailovsky et al. 2014) in 2016, if not before.

3. RESULTS

Phong Nha syllables have a $(C_i)(G)V(C_f)+T$ structure, where C_i is an initial consonant, G a glide /w/, V a vowel nucleus, C_f a final consonant, and T a tone. Brackets indicate optional constituents.

3.1. Consonants

3.1.1. Inventory

An inventory of consonants is shown in Table 1.

			COI	onal					
	bilabial	labiodental	dental	alv	eolar	retroflex	palatal	velar	glottal
plosive			t th			t	c	k k ^h	
nasal	m		n				n	ŋ	
trill				r					
fricative	ð	f v	S		c z	Ş		хγ	h
approximant				1					
implosive	6				ď				

Table 1. Inventory of consonants

Alveolo-palatal ε and z are placed in the 'alveolar' column for convenience. Initial / ε / was only found in the syllable / ε >/ (/ ε) (/ ε) (* ε) 'to thread', / ε) C1 la B1/ 'dishonest'). It may turn out, upon further examination, to be no more than an allophone of / ε /; Phong Nha / ε / is sometimes realized close to [ε] in various contexts. Initial / ε / is sometimes realized as a voiced palatal fricative [ε].

The dental trill /r/ is realized as a retroflex fricative $[z_i]$ in the speech of consultant M1; in the speech of M2, it is sometimes realized as a trill, [r], and sometimes as a tap, [r].

Speaker M2 often has a stop realization for $/k^h/$, whereas speaker M1 generally realizes it as a fricative, $[x\sim x^h]$. In the documented history of Vietnamese, aspirated *ph evolved to /f/, and *kh to /x/, leaving /th/ as the only aspirated stop in the system. M2's /kh/ is a conservative characteristic. In cases such as this one, where the two speakers have different phonetic realizations, the more conservative is chosen for phonemic notations.

Speaker M1 has some affrication in the realization of retroflex /t/.

Syllable-final consonants will be dealt with as part of the discussion of rhymes.

3.1.2. Reminders about the spirantization of medial stops in Vietnamese

The initial consonant system of Vietnamese is characterized by the presence of spirants³ (weak fricatives) resulting from the lenition of medial obstruents within sesquisyllables: the second consonant in CCV(C). This was noted by Haudricourt (1965: 171) and worked out in detail some time later (Ferlus 1982; supportive evidence is provided by Shimizu 2011). The following changes took place: $[p] > [\beta]$, $[t] > [\delta]$, [c] > [j] and $[k] > [\gamma]$. These spirant consonants, intrinsically unstable, then evolved towards more stable units in Modern Vietnamese: $[\beta]$ stabilized to [v], and $[\delta]$ and [j] merged to [z] in Northern Vietnamese and to [j] in Southern Vietnamese, as shown in Table 2. This consonantal stabilization is quite recent, as Alexandre de Rhodes' dictionary (1651) still shows evidence of the presence of the spirants.

	Proto-Vietic obstruents	p-b	t-d	S	с-ј	k-g
non-spirantized	modern phonetic value	6	ď	t	c	k
(initial stops)	Vietnamese spelling (identical to Rhodes 1651)	b	đ	t	ch	c/k
spirantized	Middle Vietnamese	β	ð	r	j	γ
(formerly medial stops)	spelling in Rhodes (1651)	$c^{b, u}$	d	r	gi	g/gh
ea.a. s.eps)	modern phonetic value	V	z/j	z/r	z/j	Y
	modern Vietnamese spelling	v	d	r	gi	g/gh

Table 2. A recapitulation of the evolution of stops in Vietnamese: initial stops in CV(C) monosyllables, and medial stops in sesquisyllables (C-CV(C), later simplified to CV(C))

Numerous exceptions were noted by Nguyễn Tài Cẩn (2000): correspondences between monosyllables in Vietic languages and spirant initials in Vietnamese, instead of what the model predicts. According to the model, spirants originate in the lenition of medial consonants. These counterexamples led Nguyễn Tài Cẩn to

³ Martinet distinguishes fricatives, which involve distinctly a

Martinet distinguishes fricatives, which involve distinctly audible friction, from spirants, which are identified through the "resonances of voice" ("la qualité des résonances de la voix"), i.e. a formant pattern. Diachronic studies provide compelling evidence that, among the sounds that fall into the IPA category of fricatives, some pattern with obstruents and others with continuants. Martinet recommends the use of Greek letters for spirants, e.g. [δ] and [θ] for interdental spirants, vs. [δ] and [θ] for the corresponding fricatives (Martinet 2005: 100–101). Synchronically, however, it may turn out that the range of observed situations constitutes a continuum, and that a hard-and-fast encoding by different IPA symbols for fricatives vs. spirants would raise difficulties for transcribers. This would be parallel to the notion of fortis/lenis (Kohler 1979; Kohler 1984), likewise not encoded in IPA. In this article, we adhere to IPA symbols; the intended meaning can be clarified by phrases such as "the spirant [γ]" or "the spirant [δ]".

the conclusion that the model must be wrong: that it is mistaken to reconstruct a pre-syllable for all the words that developed a spirant initial in Vietnamese.

A key point, however, is that the model predicts the existence of numerous exceptions. Presyllables can be lost without compensation – unlike the property of voicing in an initial consonant, for instance. In consonant shifts involving voicing properties of initial consonants (Haudricourt 1965; Ferlus 1979), great regularity is expected in the output; on the other hand, monosyllabicization can proceed in a more haphazard way. It is quite possible for presyllables to disappear from a set of words whereas other words (including homophonous words of different morphological makeup, e.g. those in which the presyllable is not morphosemantically distinct from the main syllable) are unaffected. This is shown by the gradient of sesquisyllabicity among Vietic languages: no sesquisyllables in Vietnamese and Mường; 10% of sesquisyllables in Pong; about 35% in Thavung, and Sách/Ruc; and over 50% in Arem (Ferlus 2014). counterexamples to the proposed generalization about spirantization in Vietnamese would come from words that have a presyllable (i.e. sequisyllabic structure) in some Vietic languages, and a non-spirantized initial (orthographic b-, d, ch-, k-/c-) in Vietnamese. In the absence of such counterexamples, the theory of spirantization of medial consonants cannot be considered disproved.

3.1.3. The preservation of nonspirantized initials in Phong Nha Vietnamese

Phong Nha Vietnamese preserves non-spirantized initials corresponding to spirantized initials in Middle Vietnamese, as shown in Tables 3 and 5-8. This means that, in these syllables, presyllables were lost without compensation. This is one of several possible evolutionary paths for presyllables, in the course of monosyllabicization: (i) loss without compensation; (ii) modification of medial consonants, as in orthodox Vietnamese; (iii) development of consonantal clusters, themselves yielding geminated consonants, then a tense/lax opposition among initials, which can later evolve into a split of the vowel system or of the tone system (Haudricourt 1991; Ferlus 1997a; for a synthesis: Michaud 2012: 119–120).

Henceforth, letters in superscript (B1, B2) indicate the etymological lexical tone categories; for information about tones, see section 3.3.

Proto-Vietic	gloss	Midd	le Vietnamese	Phong Nha Vietnamese
		spelling	IPA interpretation	_
t.ke:	thorn	gai	γaj ^{A1}	křj ^{A1}
C.gi: ⁷	dibble	gậy	γĭj ^{B2}	kǯj ^{B2 / C2}
t.ke:?	girl	(con) gái	γaj ^{B1}	křj ^{B1}
t.kuːl²	knee	gối	γoj ^{B1}	kuj ^{B1}
	to carry on the back in a basket	gùi	γuj ^{A2}	kuj ^{A2}
kam [?] / gam [?]	to bite	gặm	γăm ^{B2}	kăm ^{B1}
C.ka:s	to scratch	gãi	γaj ^{C1}	kʰaj ^{C1}
t.kɔːc	to whittle	gọt	γot ^{D1}	k^h ot D1

Table 3. Correspondences between Proto-Vietic *k/g, Middle Vietnamese / χ /, and Phong Nha Vietnamese /k/

Aspiration in the last two examples, Phong Nha /khaj C1/ 'to scratch' and /khot D1/ 'to whittle', corresponding to spirant initials in Middle Vietnamese, will catch the attention of linguists familiar with the Vinh dialect of Vietnamese, another heterodox dialect: see Table 4 (from Ferlus 1991).

Middle V	ietnamese	Vinh diale	ect	gloss
Vietnamese spelling	IPA	with Vietnamese graphophonemic conventions	IPA	
vụng	vuŋ ^{B2}	phúng	pʰuŋ ^{B1}	on the sly
vặt	văt ^{D2}	phứt	p^h ut $^{\mathrm{D1}}$	to pick, to pluck
vọt	vot ^{D2}	phót	p^{h} ot D1	to gush forth
võ	$v\gamma$ C2	phở	$p^h x^{C1}$	to clear (shrubs)
$v ilde{\hat{o}}$	vo ^{C2}	phổ	pho C1	to clap one's hands
dột	$\eth ot^{D2}$	thốt	thot D1	to drip
dầm	ðĭm ^{A2}	thâm	$t^{ m h} \breve{\gamma} m^{ m A1}$	drizzle
$d ilde{\hat{o}}$	ðo ^{C2}	thổ	$t^h o^{C1}$	to entice, to seduce
gàn	yan ^{A2}	khan	k^han^{A1}	silly
gọt	yot ^{D2}	khót	$k^{\rm h}$ ot $^{\rm D1}$	to peel
gãi	γaj ^{C2}	khải	khaj C1	to scratch (with nails)
$g ilde{o}$	γγ ^{C2}	khở	$k^h \gamma^{C1}$	to clear up
$g ilde{o}$	γγ ^{C2}	khỏ	$k^h \mathfrak{I}^{C1}$	to knock
gặp	γăp ^{D2}	khắp	$k^{ m h}$ ăp $^{ m D1}$	to meet
gút	yut ^{D1}	khút	khut D1	knot
gảy	γăj ^{C1}	khảy	k^{h} ăj $^{\mathrm{C1}}$	to pluck (a string)

Table 4. Correspondences between aspirated initials in the Vinh dialect of Vietnamese and spirants in Middle Vietnamese

The interpretation of these correspondences proposed by Ferlus (1991) is that they result from a situation of contact in which local speakers tried to imitate spirant realizations by speakers of Northern Vietnamese, and ended up producing aspirated stops. (On the irregular tone correspondences, whereby the Standard Vietnamese word has a low-register tone and the Central-Northern Vietnamese word has a high register tone, see section 3.3.3.)

How come Phong Nha has similar correspondences, but in a handful of words only? This clearly looks like a case of borrowing, not regular sound change. The aspiration found in the last two examples in Table 3 are arguably due to the adoption of dialectal forms from Vinh or from another neighbouring dialect that possesses similar forms. While Vinh is inferior to Northern Vietnamese in terms of sociolinguistic prestige, it is a regionally influential variety, and it is therefore not implausible that such forms trickled into Phong Nha Vietnamese. The irregular tonal correspondences (for 'to bite' in Table 3, and for all items in Table 5 except 'to hold') will be explained in section 3.3.3.

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
	spiny amaranth	giền ⁴	jen ^{A2}	cen A1
	middle	giữa	jwə ^{C2}	twə ^{C1}
k.cɨh	to keep, to hold	giữ	jw ^{C2}	tw ^{B2/C2}
k.cuːj	to pierce, to bore; awl	giùi (lỗ)	juj ^{A2}	cuj ^{A1}
k.ra:	old	già	ja ^{A2}	ta ^{A1}

Table 5. Correspondences between Proto-Vietic *c/I, Middle Vietnamese /J/, and Phong Nha *Vietnamese /c/ or /t/*

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
p.se:?	otter	(con) rái	raj ^{B1}	tĭj ^{B1}
m.rən²	louse	rận	r ĭ n ^{B2}	třn ^{B1}
C.se:t	centipede	rết / rít	ret D1 / rit D1	tit ^{D1}
p.sən²	snake	rắn	răn ^{B1}	tăn ^{B1}
p.suːɲ²	navel	rún	run ^{B1}	ɗun ^{B1}

Table 6. Correspondences between Proto-Vietic *s, Middle Vietnamese r, and Phong Nha Vietnamese /t/

'Louse' is an odd-man-out in this set, as it is not reconstructed with a *s in Proto-Vietic. It may be due to a process of hypercorrection or borrowing. The initial /d/ in 'navel' is also unexpected; likewise, in Table 7, the initial correspondence between initials for 'cushion' is unexpected. The aspirated initial for 'leak (in the roof)' (last line in Table 7) is likely to be due to dialectal influence from a dialect sharing the characteristic of the Vinh dialect brought out in Table 4.

2005: 123). The palatal found in Phong Nha constitutes strong evidence that the earlier forms had an initial palatal, not a dental, and that the spellings giền and giùi are etymologically

appropriate.

⁴ In present-day Vietnamese texts, the spelling $d\hat{e}n$ is far more common than $gi\hat{e}n$ for 'amaranth', and dùi at least as common as giùi for 'awl'. Since the two Middle Vietnamese phonemes at issue, /ð/ and /j/, merged in (standard) modern dialects, there tends to be hesitation as to which is the correct spelling (this is mentioned e.g. by Mai Ngoc Chữ et al.

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
k.niəw	gizzard	diều (gà)	ðiəw ^{A2}	diəw ^{A2}
?	eagle	diều	ðiəw ^{A2}	diəw ^{A2}
k.taːl [?]	scrotum, testicles	dái	ðaj ^{B1}	ɗaj ^{B1}
k.ta:1	tough (meat)	(thịt) dai	ðaj ^{A1}	ɗaj ^{A1}
?	slobber, slaver	dãi	ðaj ^{C2}	ď j ^{B1}
	cushion	(gối) dựa	ðwə ^{B2}	twə ^{B2/C2}
k.ta:w	knife	dao	ðaw ^{A1}	ɗaw ^{A1}
C.ta:	skin	da	ða	ɗa ^{A1} ; ða ^{A1}
?	leak (in the roof)	(mái) dột	ðot ^{D2}	thot D1

Table 7. Correspondences between Proto-Vietic *t/d, Middle Vietnamese /ð/, and Phong Nha Vietnamese /d/

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
bu: [?] / pu: [?]	breast	vú	vu ^{B1}	6u ^{B1}
	to wash (rice)	vo (gạo)	və ^{A1}	60 A1

Table 8. Correspondences between Proto-Vietic *p/b, Middle Vietnamese /v/, and Phong Nha Vietnamese /b/

Additionally, Table 9 presents various items that call for further investigation. Their peculiar characteristics may be due to hypercorrection, or to the phonetic interpretation of sounds from other dialects under situations of language contact.

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
k.rəŋ	horn	sừng	şwŋ ^{A2}	twn A2
ło:u	weasel	chồn	con A2	ton A2
k.ro:ŋ²	back of the blade	(cái) sống (dao)	şoŋ ^{B1}	ton B1
	insect	sâu (bọ)	şĭw ^{A1} (6ɔ ^{B2})	tੁੱw ^{A1} (6ο ^{B2/C2})
p.laːɲ	rack (over the fire)	giàn	jan ^{A2}	şan ^{A2}
gəl / kəl	tree	cây	kǯj ^{A1}	křn ^{A1}
k.re:s / k.re:s	root	rễ	re ^{C2}	ren ^{B2/C2}

Table 9. Items calling for further investigation

To venture some speculations about these items, the Phong Nha forms with initial /t-/ suggest an evolution from *k.rəŋ to *k.ləŋ, the cluster *kl eventually developing into a retroflex (*k.rəŋ > *k.ləŋ, and *k.roːŋ² > *k.loːŋ²).

3.1.4. The preservation of the spirant [ð] in Phong Nha Vietnamese

The Middle Vietnamese phoneme transcribed as d in the orthography was a spirant (weak fricative), $/\delta$. This phoneme is now realized as /z in Hanoi Vietnamese and /j in Southern Vietnamese. In Phong Nha Vietnamese, cognates of d-words can all be pronouned with initial /z; additionally, some of these words can be pronounced with an initial voiced dental fricative $[\delta]$, now frowned upon locally as coarse and ridiculous. Table 10 shows the words at issue, distinguishing those that display synchronic variation (allowing a $[\delta]$ variant) from those that do not.

Proto-Vietic	gloss	Mid	dle Vietnamese	Phong Nha	
		spelling	IPA interpretation	Vietnamese	
	slope	dốc	ðok ^{D1}	ðok ^{D1}	
	to put out the fire	dập	ðĭp ^{D2}	ðĭp ^{D2}	
juː	daughter-in-law	(con) dâu	ðĭw ^{A1}	ðu ^{A1} ; z řw ^{A1}	
C.ta:	skin, leather	da	ða ^{A1}	ða ^{A1} ; ɗa ^{A1}	
	mother's elder sister	dì	ði ^{A2}	ði ^{A2}	
	sausage	dồi	ðoj ^{A2}	ðoj ^{A2}	
	to demolish	$d ilde{o}$	ðγ ^{C2}	$\delta \gamma^{\mathrm{B2/C2}}$	
	easy	dễ	ðe ^{C2}	$\delta e^{\mathrm{B2/C2}}$	
C.ta:l ⁷	under	dưới	ðwəj ^{B1}	ð $\gamma j^{ B1}$ / ð m ə $j^{ B1}$	

Table 10. Phong Nha cognates of d-initial words in Middle Vietnamese

Finally, Phong Nha presents an interesting treatment of the Proto-Vietic voiced palatal implosive *f. Proto-Vietic is reconstructed with three voiced implosives: *6, *6 and *6. They are reflected in Middle Vietnamese (and Modern Vietnamese) as /m/, /n/ and /6. In Phong Nha, the first two likewise become /m/ and /6, on the other hand, Phong Nha words derived from Proto-Vietic *6-initial words display free variation between /6-/ and /6-/, e.g. 'tinder', Middle Vietnamese 6-6-/ 6

3.2. Rhymes

3.2.1. Inventory

The fourteen vowel nuclei of Phong Nha Vietnamese are the same as in Standard Vietnamese: nine vowels /i e ε a u u τ o σ , two of which have phonemically distinct short counterparts: /a/ and / τ /, and three diphthongs, /i σ u σ u σ /. Short vowels are a majority in the system: there only exist two short vowels (Haudricourt 1952), and it is therefore economical to use a diacritic for these two short vowels and leave long vowels unmarked.

In syllable-final position, the following consonants are found: /-p -t -k -m -n η -η -j -w/. Combinations of vowels with the medial glide /w/ and with final consonants follow the same patterns as in Middle Vietnamese. For ease of reference, Table 11 provides a phonemic analysis of the rhymes of Middle Vietnamese. This table was created by Michel Ferlus in 1991; the analysis is essentially the same as that proposed by Cao Xuân Hao (2007: 102). The hyphen (-) in the top row (in -k, w-m, etc.) materializes the position of the vowel within the consonantal structure of the syllable. The notion of rime is understood in a broad sense that includes the rounding of labialized initials. This rounding is transcribed as a superscript w to the left of the symbol C (for Consonant). Thus, the rime $u\hat{e}$, as in the word $qu\hat{e}$ 'countryside, home village', is located in column Cw-, line e. A dash (—) indicates that the combination at issue is not found in the language. Some of the complexities of the orthography are not reflected in the table, such as the encoding of the contrast between the rhymes /wa/ and /uə/ by the use of different consonant symbols (and identical vowel symbols) in qua /kwa/ vs. cua /kuə/.

The choice of IPA symbols for vowels is based on Kirby (2011). It is not a narrow notation aiming at the greatest synchronic phonetic precision. For instance, the vowels σ and \hat{a} are transcribed as $/\mathfrak{r}/$ and $/\mathfrak{r}/$ respectively, reflecting their interpretation as a vowel pair distinguished by phonemic length, and overlooking the slight difference between them in terms of vowel quality – a difference which led Gsell (1980) to adopt a transcription by $/\mathfrak{r}/$ and $/\Lambda/$ respectively.

Velar finals after the back rounded vowels, /u o o/, have an additional labial closure, as in Hanoi Vietnamese. For instance, 'slope', /ðok24/, is realized as [ðok p 24], and 'to use', /ðuŋ32/, as [ðuŋ m 32]. In Hanoi Vietnamese, the vowel quality of /o/ and /o/ is affected: the actual pronunciation of /ok/ /ok/ /oŋ/ /oŋ/ is approximated as [ʌukp] [ɐukp] [ʌuŋm] [ɐuŋm] by Thompson (1984), and as [əukp] [aukp] [əuŋm] [auŋm] by Henderson (1985: 21). It can also be transcribed as [xokp] [ʌɔkp] [xoŋm] [ʌɔŋm], "to reflect the intuition that lip rounding is moved to the right, the onset of the diphthong consisting in the unrounding of the original vowel: from [o] to [xo], from [ɔ] to [ʌɔ]" (Michaud 2004). In Phong Nha, on the other hand, the change is less advanced, and the quality of the vowel before velar finals is still readily identifiable.

	C- Cw-	C-k Cw-k	C-ŋ Cw-ŋ	C-t Cw-t	C-n C ^w -n	C-p —	C-m Cw-m	C-j Cw-j	C-w Cw-w
	i uv	ich uvch	huvn hui	it uvt	- II.	- di	.i.		iu uyu
٥	٠ «١ « «١	Ach mach	,	ğt nêt	ân nên	, tê	êm —		, lê
ט	2			120 12	cii ucii	Д 			
သ	e 0e	ach oach	anh oanh	et oet	en oen	də	em —		eo 0e0
.eı	ia uya	iêc —	iêng —	iêt uyêt	iên uyên	iêp —	iêm —		iêu —
B	n —	nc —	mg —	- nt	— un			ui —	— n.n
×	on o			ot not	on non	— фо	om —	oi —	
,∕∞		а̂с —	âng —	ât uât	ân uân	âp —	âm —	ây uây	âu —
а	a oa	ac oac	ang oang	at oat	an oan	ab —	am oam	ai oai	ao 0a0
òc		ăc oăc	ăng oăng	ăt oăt	ăn oăn	ăр —	ăm oăm	ay oay	an —
em	u:a —	moc —	mong —	uot —	mon —	— don	mom —	uoi —	mon —
n	— n	on	— gun	nt —	— un	— dn	— wn	ui —	
0	- 0	о̂с —	ông —	ôt —	ôn —	о̂р —	ôm —	ôi —	
c	0	- 20	ong —	ot —	- uo	— do	— wo	oi —	
en	ma —	uôc —	uông —	uôt —	nôn —		nôm —	uôi —	

Table 10. The rhymes of Middle Vietnamese

3.2.2. Comparative perspectives

Rhyme correspondences between Middle Vietnamese and "heterodox" dialects show a number of irregularities. The Vietnamese system is highly innovative: two Vietnamese vowels typically correspond to a single vowel in proto-Vietic (Ferlus 1997b). This two-way split does not correspond to the familiar effects of consonant shifts. It has been proposed that it results from dialect mixture (Ferlus 1997b:50): two dialects came in contact, one of which was conservative whereas the other had undergone opening diphthongization of low vowels /i:/, /u:/, /i/, /i/, followed by closing diphthongization of open vowels /a:/, /o:/ and /o:/. The coexistence and eventual merger of these two dialects – presumably in the Red River delta – resulted in a haphazard lexical distribution of the two vowel sets, and hence in a multiplication of the number of contrastive vowels. The resulting language variety endured to become dominant; all the language varieties resulting from its gradual spread, which constitute the core present-day Vietnamese dialects, possess this large inventory of vowels. Some "heterodox" dialects, however, do not show the diphthongized forms characteristic of Standard Vietnamese (from Middle Vietnamese to the present-day "orthodox" Northern and Southern dialects). Phong Nha is a case in point: it preserves reflexes of Proto-Vietic *a: *a: *a: *a: and *e: that appear essentially unchanged since Proto-Vietic. Examples are provided in Tables 12 to 15. Note that all Proto-Vietic reconstructions in the present article are from ongoing comparative work (Ferlus in preparation).

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
daːŋ	path	đường	ɗwəŋ ^{A2}	ɗaŋ ^{A2}
ɗa:k	water	nước	nwək ^{D1}	nak ^{D1}
*C.la:s	fire	lửa	lwə ^{C1}	la ^{C1}
ŋaːj	human being	người	ŋພເəj ^{A2}	ŋaj ^{A2}
m.ra: [?]	bush-hook	rựa	rwə ^{B2}	ra ^{B2/C2}
*C.la:j [?]	net	lưới	lwəj ^{B1}	laj ^{B1}
la:s	tongue; ploughshare; blade ⁵	lưỡi	lwəj ^{C2}	laj ^{B2/C2}
ma:n²	to borrow	mượn	mwən ^{B2}	man ^{B2/C2}

Table 12. Proto-Vietic *a:, Middle Vietnamese /wə/ (spelling: wa/wo), Phong Nha /a/

⁵ These three words constitute developments from the same root, not just homophones.

Proto-Vietic	glo	rs Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
C.ta:1	under	dưới	ðwəj ^{B1}	ðrj ^{B1} / ðwəj ^{B1}
g.lə:t/b.la:t	to slip	truọt	twət ^{D2}	trt ^{D2}

Table 13. Proto-Vietic *ə:/*a:, Middle Vietnamese /wə/ (spelling: wa/wo), Phong Nha /s/

It may be that the vowel $/\sqrt{x}$ in the Phong Nha examples in Table 13 represents the result of the reinterpretation of the vowel $/\sqrt{u}$ of orthodox dialects, rather than a regular phonetic development.

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
ro:ŋ²	paddy field	ruộng	ruəŋ ^{B2}	ron B2/C2
m.rɔːj	flea	ruồi	ruəj ^{A2}	roj ^{A2}
er.e	tortoise	rùa	ruə ^{A2}	ro ^{A2}
roic	intestines	ruột	ruət ^{D2}	rot ^{D2}
6 ɔːj $^{?}$	salt	muối	muəj ^{B1}	mɔj ^{B1}
mois	mosquito	muỗi	muəj ^{C2}	mɔj ^{B2/C2}
$\mathfrak{s}.\mathfrak{lor}^2$	paddy	lúa	luə ^{B1}	lo ^{B1}
doic	to swallow	nuốt	nuət ^{D1}	not D1

Table 14. Proto-Vietic *5:, Hanoi Vietnamese uô/ua (IPA /uə/), Phong Nha /5/

Proto-Vietic	gloss	Vietnamese spelling	Middle Vietnamese	Phong Nha Vietnamese
?εh 'to defecate' cas 'to flow'	diarrhoea	ia chảy	iə ^{C1} tcăj ^{C1}	ε ^{C1} căj ^{C1}

Table 15. Proto-Vietic *ɛ:, Middle Vietnamese /iə/ (spelling: iê/ia), Phong Nha /ɛ/ (the relevant syllable is the first in the disyllabic form)

There is only one example in Table 15: this is the only example found so far of Phong Nha ϵ corresponding to Proto-Vietic * ϵ : and Vietnamese ϵ ($i\epsilon$) ($i\epsilon$).

Another notable feature of the system is the presence of a short $/\bar{a}/$ or $/\bar{v}/$ in some syllables with a /-aj/ rhyme in Middle Vietnamese. Table 16 presents relevant examples, and clarifies that they derive from Proto-Vietic *e: whereas Proto-Vietic *a: plus final *s or *l yields a main vowel /a/ in Phong Nha. Note that Proto-Vietic *ja:l 'casting-net' is an early borrowing from Sanskrit $j\bar{a}la$.

Proto-	Vietic	gloss	Vietnamese	Middle	Phong	Phong Nha
rhyme	form		spelling	Vietnamese	Nha	main vowel
*e:	tke:	thorn	gai	γaj ^{A1}	kăj ^{A1}	ă
	t.ke:?	female	cái	kaj ^{B1}	kăj ^{B1}	ă
	ke:?	CLF	cái	kaj ^{B1}	kăj ^{B1}	ă
	ple: [?]	fruit	trái	taj ^{B1}	tĭj ^{B1}	ř
	pse:?	otter	rái	raj ^{B1}	tǯj ^{B1}	ř
	kde:?	stag, deer	(con) nai	naj ^{A1}	nǯj ^{A1}	ř
*a: plus	ј а:1	casting-net	chài	caj ^{A2}	caj ^{A2}	a
final *s or	p.la:1	son	(con) trai	taj ^{A1}	taj ^{A1}	a
*1	C.ka:s	to scratch	gãi	γaj ^{C2}	k ^h aj ^{C1}	a

Table 16. Correspondences for syllables with a /-aj/ rhyme in Middle Vietnamese

The double set of reflexes of Proto-Vietic *e in Phong Nha (/ $\check{a}j$ / and / $\check{\tau}j$ /) is unexplained, but not especially surprising. "Orthodox" Vietnamese did not undergo a linear development from Proto-Vietic; while Proto-Vietic *e: mostly evolves into / $\check{a}j$ / (orthographic ai), there are also numerous instances of / $\check{\tau}j$ / (orthographic $\hat{a}y$). Likewise, Proto-Vietic *o: has two reflexes, / $\check{a}w$ / (orthographic ao) and / $\check{\tau}w$ / (orthographic $\hat{a}u$).

As for Proto-Vietic *o, only two examples are presented in Table 17; further data, comprising Phong Nha cognates for words such as *bao* 'dream', will be necessary to pursue the analysis. The mention 'local voc.' indicates that the word at issue ('stream, river') is part of local vocabulary that cannot be traced back to Proto-Vietic.

Pro	oto-Vietic	gloss	Vietnamese	Middle	Phong Nha	Vietnamese
vowel	form	_	spelling	Vietnamese	main vowel	form
*0	local voc.	stream, river	rào	raw ^{A2}	a	raw ^{A2}
* 0	t.ko: [?]	husked rice	gạo	yaw ^{B2}	a	$\gamma aw^{B2/C2}$
* 0	s.po:	to dream	bao	6aw A1	?	?

Table 17. Correspondences for reflexes of Proto-Vietic *o

3.3. The tone system

3.3.1. Inventory

The tonal system is presented in Table 18. The table is arranged by etymological categories, A1 to D2 (Haudricourt 1972; Gedney 1972). The phonetic labels use Chao Yuen-ren's scale from 1 (lowest) to 5 (highest); they approximate our auditory impression of the tones, pending further examination of their acoustic and perceptual properties. Tone A1 is rising, in the higher part of the speaker's

range; A2 is lower, and slightly falling; B1 sounds falling-rising; the tone that results from the merger of B2 and C2 is very low; and tone C1 ends in glottal constriction. No tone sandhi was observed (on tone coarticulation in Vietnamese, see Brunelle 2003, 2009).

	A	В	С	D
1	45	324	2 [?]	24
2	32	1		53

Table 18. Phong Nha tones. Categories A, B, C: non-checked syllables (smooth syllables); category D: checked syllables (stop-final syllables)

3.3.2. Origin of the five tones: four tones plus one, or six tones minus one?

In the Vietic languages Pong/Phong (Laos) and Toum and Liha (spoken in the north-central provinces of Vietnam), there exist four-tone systems, following the confusion of etymological B1 with C1, and B2 with C2 (Ferlus 1998). A four-tone system (on non-checked syllables) is also found in the Cao Lao Ha dialect of Vietnamese (Ferlus 1995), in which the etymological C category (originating in syllables with final *-h) is now confused with etymological B2: i.e. Vietnamese B2, C1 and C2 are all reflected as the same tone in Cao Lao Ha. Seen in this light, the five-tone system of Phong Nha Vietnamese may be due to the preservation of five of the six etymological categories; or it may be due to later borrowings that reintroduced a fifth category into a four-tone system (reintroducing a formerly lost opposition between B1 and C1). The way to test these two hypotheses is to evaluate the degree of regularity in tonal correspondences with Middle Vietnamese: if a category was reintroduced through borrowing, irregular correspondences are expected. Comparison argues in favour of the former hypothesis: Phong Nha has never been a member of the set of four-tone dialects.

3.3.3. Irregular tonal correspondences across dialects

Overall, tonal correspondences between Phong Nha and Middle Vietnamese are straightforward. There are a few cases of irregular tonal correspondences, falling in several categories. A reminder may be in order concerning about the three types of irregular tonal correspondences brought out by Ferlus (1999).

(i) The first type is due to the devoicing of sonorants in sesquisyllables in Proto-Việt-Mường, resulting in high-register reflexes in Northern Vietic (Vietnamese and Mường) vs. low-register in Southern Vietic (all the other languages of the group). For example, sesquisyllabic Proto-Vietic *k.ma 'rain' yielded a high-series tone (A1) in Vietnamese: /muə^{A1}/ (orthography: *mwa*). The proposed explanation is that, under the influence of Chinese (which had already undergone monosyllabicization), *k and *m came to be articulated as an initial consonant cluster *km-, and their strong coarticulation detracted from the voicing of the nasal, so that it patterned together with voiceless onsets at the stage when a

consonant shift among initials took place (Haudricourt 1965, 1972). In Southern Vietic, on the other hand, voicing of the *m was preserved through the sesquisyllabic realization of *k.ma as [*k*ma], hence the development of a low-series tone, e.g. Pong /k*ma**/ and Liha /ma**/.

- (ii) The second type is due to the voicing of medial stops in Vietnamese after an initial *r, resulting in low-register reflexes in Vietnamese vs. high-register in all the other languages (including Mường). For example, *r.ka 'chicken' yields Vietnamese $g\grave{a}$ [γa^{A2}], vs. Mường, Cuối, Pong and Thavung /ka^{A1}/, Sách/Rục /r³ka^{A1}/, Arem /l³kæ⁽¹⁾/, and Mã Liềng /ŭka^{A1}/.
- (iii) The third type is due to the loss of Proto-Austroasiatic initial voicing in Northern Vietic languages, resulting in high-register reflexes, as against the expected low-register reflexes in Southern Vietic. This is interpreted by Ferlus (1999) as a substratum effect dating back to the time when Proto-Vietic spread northwards onto an Austroasiatic substratum of languages that lacked voiced stops (a set of languages of which the Khmuic language Ksing Mul arguably constitutes a remnant).

Some Phong Nha words illustrate the above types of irregular tonal correspondences categories. For instance, the word 'louse', Phong Nha /tšn^{B1}/, constitutes an instance of the second type of irregularity. It differs from Standard Vietnamese /ršn^{B2}/ $r\hat{q}n$ by its initial and by its tone. In Vietnamese, the medial stop became voiced as a consequence of spirantization, resulting in the development of a low-series tone. Other examples include 'to whittle, to cut out', Phong Nha /khot D1/, and 'to bite', Phong Nha /kăm B1/, that have non-spirantized initials and high-register tones; compare Standard Vietnamese / γ ot D2/ got and γ am B2/gam.

In addition to these three types, some mixed correspondences are found, however: cases where the word is a hybrid – a combination of phonological materials from Vietnamese and from another Vietic language. The Phong Nha word for 'bedbug', /rep^{D1}/, is identical to the Standard Vietnamese word, except for its tone (Standard Vietnamese /rep^{D2}/ *rêp*). Tone D1 is the regular tone of this word in all Vietic languages except Vietnamese: Muròng /seːt ^{D1}/ or /tʰeːt ^{D1}/, Pong /siːp ^{D1}/, Thavung, Sách and Ruc /k³siːp ^{D1}/, Maleng Bro /krsiːp ^{D1}/. The Phong Nha word thus contains Standard Vietnamese segments (including the telltale spirant which caused the irregular tonal correspondence with the rest of Vietic) and a typically non-Vietnamese tone. Given the higher prestige of Vietnamese, it is a safe guess that /rep^{D1}/ results from the modification of an earlier form, which had an initial /*s-/, by speakers who adopted the segments of the Standard Vietnamese word, while retaining the tone of the earlier form. The initial, and perhaps the vowel, were acquired through a process of standardization, which did not affect the tone.

Such a change is documented in other dialects. In Hanoi, 'coffee' was initially borrowed from the French /kafe/ as *trà phê* /ta^{A2}.fe^{A1}/ (Martini 1958: 337–338). The first syllable is a phonetic stretcher, as the tone is different from that (A1)

affected by default to borrowings from toneless languages, and the initial /t/ does not match the /k/ in /kafe/. This syllable is semantically motivated: it is none other than the Sino-Vietnamese word for 'tea', /ta^{A2}/ trà. Labelling coffee as a type of tea acclimatizes the new beverage, introducing it into a set that previously included trà tàu 'Chinese tea (black tea)', trà Huế 'Annamese tea (green tea)', trà hoa 'camellia tea', trà rừng 'three-seeded mercury (herbal) tea', and so on. As for the second syllable, /feAI/ phê, it constitutes both a straightforward phonetic rendering of the syllable /fe/ and a semantically appropriate syllable, as coffee is apt to produce a sensation of elation not entirely unlike that produced by opium – another commodity associated with foreigners, which was actively promoted by colonial authorities (Le Failler 2001) –, whose psychotropic effects are evoked in Vietnamese through the expressive form phê phê. On the other hand, Vietnamese people with a command of French would pronounce the foreign word as /ka^{A1} fe^{A1}/, with tone A1 (a level, non-low tone, used as the default for foreign syllables without a final stop). A hybrid of the two forms emerged: /ka^{A2} fe^{A1}/ cà phê, correcting the initial to /k/ as in the donor language, but retaining the tone of /ta^{A2}/ trà 'tea'. This form became standard (see e.g. Nguyễn Như Ý 1999), to the puzzlement of the linguist Emeneau, who tentatively hypothesized that the A2 tone in /ka^{A2} fe^{A1}/ must be due to an (implausible) assimilation to /ka^{A2}/ cà 'eggplant' (Emeneau 1951: 4, 158). The process is similar to that found in Phong Nha for the word 'bedbug': the partial correction of a form to make it sound closer to a perceived standard form, without replacing it altogether.

4. NOTES ABOUT THE MIGRATION HISTORY OF PHONG NHA

This section proposes notes on ways to analyze the historical situation that resulted in the linguistic patterns currently observed in Vietnamese dialects. A key issue in studying dialects is language contact among related language varieties.

4.1. The paucity of available information about migrations

The history of migration can shed light on situations of language contact. But historical records about population movements in the area of present-day Northern and Central-Northern Vietnam mostly concern the southerly flux of settlers from present-day South China into present-day Northern Vietnam, reported in Chinese chronicles.⁶ These sources are recapitulated in the form of a

 6 In the 3^{rd} century BC, a group from the Shu (蜀) kingdom (Sino-Vietnamese reading: Thục), in the Sichuan basin, moved south and established a dynasty in the Red River delta; this attempt to escape from the domination of the kingdom of Qin (秦), which had conquered Shu, paradoxically resulted in the eventual integration of the Red River delta to the emerging Chinese empire. Ma Yuan 馬援, sent by the Eastern Han dynasty in 42 to 43 AD, crushed a

⁶ In the 2rd continuity DC or amount from the Shy (男) binedom (Sine Viet

six-volume *History of Migrations in China* (Ge Jianxiong 1997). No compendium of comparable scope is available at present concerning the history of population movements inside Vietnam. The overall pattern during the past millenium is clear - the settling of the centre and south of present-day Vietnam through rural migration from North to South – but the process remains little-documented in its details. It seems as if few large-scale efforts had been made, during the successive Vietnamese dynasties, to compile detailed documentation on topics of local history – one of the consequences of the preference of successive Vietnamese emperors for Chinese culture and Chinese history. (See e.g. Langlet 1990: 105-184 on the constant emphasis placed on Chinese cultural models by emperor Gia Long, and the consequences for the last Vietnamese dynasty.) Useful information can occasionally be gleaned from imperial annals, and regional monographs by mandarins (for a detailed example, see Ferlus 2003); but these sources essentially testify to the fact that remote areas were ill-understood by imperial authorities, and of little interest to them (Le Failler 2014: 16). By the first half of the 20th century, the assessment of the situation by the geographer Pierre Gourou (1936: 179) was that "the official statistics are based on too fanciful data to provide any adequate idea of the evolution of the population". Data collection was complicated by the fact that local communities were distrustful of population surveys as foreboding increases in taxes and other demands. The 1989 census has been considered as the first truly reliable census (Banens 2000), contemporary studies of migration mostly concern developments since the 20th century (e.g. about the settlement of the highlands by migrants from the Red River delta: Hardy 2003; and present-day Chinese immigration: Nguyen 2013).

4.2. Piecemeal information about the settlement of Phong Nha

As a first step towards understanding the history of the settlement of Phong Nha, information provided by the Phong Nha speakers clarifies that the Phong Nha area used to be known by the name of Chùa Nghe, and inhabited by Chứt populations, i.e. speakers of languages of the Southern Vietic subgroup of Austroasiatic ("Chứt" includes the following subgroups: Rục, Máy, Sách, Arem, and Mã Liềng). Ethnic Vietnamese (Kinh) settlers only moved into the area four to five generations ago. The first to settle in Phong Nha are reported to have carried the family name Dương (楊, or perhaps陽 or 羊), and to have moved in from a neighbouring village, Cù Lạc, located in the same county (xóm Cù Lạc, xã

rebellion against the Chinese administration, and established the Red River delta as a Chinese province (Maspero 1918: 14–24), "thereby initiating the process whereby it acquired the solid Chinese framework that allowed it to play the leading role in East Indochina from the 10th century onward" (Maspero 1918: 28).

Son Trạch, huyện Bố Trạch, Quảng Bình). Other settlers from various areas of Nghệ An and Hà Tĩnh provinces gradually joined the village, by small groups of about one to three families. Family names found in Phong Nha are, by reported order of successive arrival: Dương, Lê (黎 or 梨), Đinh (丁), Nguyễn (阮), Trần (陳) and Hoàng (黃). Mr. Hoàng Minh Chiêm reported that his ancestors had moved to Phong Nha three to four generations ago, coming from the commune of Quảng Văn, Quảng Trạch county (xã Quảng Văn, huyện Quảng Trạch, tỉnh Quảng Bình). Language contact appears to have taken place predominantly among the Vietnamese dialects of the settlers, as no marriages are reported between the "majority" (Kinh) and "minority" (Arem and Chút) populations. The first Kinh settlers in Quảng Bình are reported to have arrived around the year 1300 AD, with an increase in migratory inflow in the 15th and 16th centuries, mostly occupying the more level lands (Nguyễn Văn Lợi & Nguyễn Văn Mạnh 2010: 27–34).

The history of the settlement of Phong Nha by speakers from different areas of North-Central Vietnam would call for detailed comparison with these dialects, in order to understand phonological correspondences one after the other. The road ahead is clear: a fine-grained study of processes of diffusion across dialects would require in-depth descriptions of the dialects spoken in the places of origin of the migrants – and a reconstruction of the state of these dialects at the time of migration.

5. CONCLUSION

Examination of Phong Nha data suggests the presence of several strata of standardization: layers of influence from prestige varieties of the language. Some words are currently identical with Standard Vietnamese, presumably through relatively recent adoption (borrowing). The word for 'husked rice', Phong Nha /yaw^{B2/C2}/, displays spirantization and a low-series tone, like in Standard Vietnamese: /yaw^{B2}/ gao. Others are not of Vietnamese stock, but originate in another Vietic language, for instance 'to plant (a tree)', Phong Nha /loŋ^{A1}/, Middle Vietnamese *trồng* [toŋ^{A2}]. The word is reconstructed to Proto-Vietic *m.loŋ; among Vietic languages, Vietnamese alone has a high-series tone for this word. ⁷ No explanation can be proposed at present concerning the development of

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⁷ The comparative word list proposed by Nguyễn Văn Tài (2005: 283) has tone A2 for almost half of the 29 language varieties for which a form for 'to plant' is reported. In detail, tonal notations in the late Pr. Nguyễn Văn Tài's volume need to be used with caution, however, as it seems that this author found it difficult to leave aside the prism of his native language's tone system when categorizing aurally the tones of other Vietic languages. There may also have been an issue of "contaminated tonal transcriptions" (Phan 2012: 6; Phan 2013: 315–316) due to the consultants' production of words with their ("orthodox") Vietnamese tone (used by the investigator at elicitation) instead of the tone in the language variety under study.

tone for this item in Vietic; this is an example of the many issues that remain to be investigated in the field of Vietic studies. A concern here is that, in view of the small number of linguists at work in this area, it is far from certain that in-depth linguistic surveys can be carried out before the influence of more prestigious dialects erases the features that constitute keys to historical reconstruction (for an overview: Trần Trí Dõi 2000, 2003). Here as in other parts of the world, the importance of classical linguistic fieldwork (Dixon 2007) cannot be overemphasized.

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APPENDIX: WORD LIST

This list is arranged by alphabetic order of IPA forms. Tones are noted phonetically; for the correspondences with the etymological categories A1 to D2, see section 3.3.1 of the article. The glosses constitute translations, not necessarily cognates. In many cases the Phong Nha forms are cognate with the Vietnamese form used for elicitation, e.g. 'three' /6a45/ (tone: A1) corresponding exactly to Vietnamese ba $/6a^{A1}/$; but no attempt was made to provide cognates systematically in the list below, e.g. by verifying whether Phong Nha /6aj45/ for 'to jump (tiger)' is a semantic development from Standard Vietnamese bay $/6aj^{A1}/$ 'to fly'.

English	Vietnamese orthography	Phong Nha
elder sister	chị	a2 ² do32
three	ba	6a45
to jump (tiger)	cọp vồ, tát	6ăj45
silver	bạc	6ak53
to chop up	băm, vằm	6ăm32
to say	nói, bảo	6aw2 [?]
flea	bọ chét	651 cet24
ox	$b\grave{o}$	6532
zebu	bò u	6532 u45
to wash (rice)	vo (gạo)	6545
to milk	vắt sữa	6əp53 şwə2 ² , năn1 şwə2 ²
to break, to interrupt	bẻ gãy, làm đứt, làm vỡ	$6\varepsilon 2^{?}$ loj l
seven	bảy	6εj2 [?]
we	chúng tôi	6ej32 tuj45
sea	biển	6iən2 [?]

butterfly bươm bướm 6wəm45 6wəm324 bốn four 6on324 6u324 breast (female) νú bùn 6un32 mud to run chay căj1 chài casting net caj32 bamboo strips cak53 lat53 dây lat $co2^{?}$ to thread xâu kim co2[?] la324 dishonest gian xảo co324 dog chó to split bamboo into tape chẻ lat $ce2^{?}$ lat53 to wedge chêm cem45 spiny amaranth rau giền gai cen45 lightning chớp csp24 chì lead ci32 head louse chí, chấy ci324 bird chim cim45 to sing (of birds) (chim) hót hot24 nine chín cin324 lấy to take сш1 ong mật วฤ45 mรัt53 bee chồn con32 weasel chuối banana cuəj324 cái chổi cui2? broom giùi lỗ, khoan lỗ to pierce, to bore cuj45 chuột cwot53 rat đá da324 stone testicles hòn dái, trứng dái daj324 tough (meat) thit dai daj45 daw45 / zaw45 knife dao con dắt đi ďăk24 to lead by a lunge đường way, path dan32 $d\epsilon 2^{?}$ to give birth; to lay (eggs) để (để sinh; để trứng) nước tiểu urine dej324 nước dãi, bọt miếng slobber, slaver ď¥j324 to pound rice giã gạo dšm45 yaw1 đất earth, land ďšt24 dấu, vết chân footprints dšw324 to go di45 con địa, tấc dia2? water leech đậu, đỗ beans (general) do1 lạc, đậu phông do1 no2? groundnut, peanut dấu chân do324 footprint

plain	đồng bằng	doŋ31 6ăŋ31
copper	đồng	don32
bronze	đồng thanh, đồng điếu	doŋ32 den45
ridgepole	xà nóc	don45
to light a fire	đốt lửa	dot24 la2?
red	đỏ	$d52^{\circ}$
carrying pole	đòn gánh	don32 tiəŋ45
east	(phía) đông	don45
tail	đuôi	duəj45
to pack	gói lại	dum45
navel	cái rún, rốn	ɗun324
to draw up, to set up	dựng lên, sắp đặt	ɗuŋ1
leather, hide	da thuộc	ða45
long	dài	ðaj32
slope	dốc	ðak24
easy	$d ilde{ ilde{e}}$	ðe1
to demolish a house	phá, dỡ nhà	ðr1
below	bên dưới	ðrj324 ; ðwəj324
to put out a fire	dập (lửa)	ðšp53
mother's elder sister	dì	ði32
kite, falcon, eagle	diều hâu	ðiəw32
sausage	xúc xích, dồi	ðoj32
to use	dùng	ðuŋ32
proper name: Dung (sometimes)		ðuŋ45
wet rice field bunds	bờ ruộng	ðwəŋ32 roŋ1
fern	(cây) tổ rồng, dương xỉ	ðwəŋ45
frog	éch, ngóe	ec324
diarrhoea	ia chảy	$\varepsilon 2^{7} \operatorname{caj} 2^{7}$
noise	tiếng ồn, âm	ϔm45
incubate	ấp trứng	ўp24
red pepper, chilli	ớt	vt324
chicken	gà	ya32
to sing (rooster)	(gà) gáy	үйj324
chicken	gà trống/mái	ya32 toŋ4, ya32 maj324
cast iron, pig iron	gang	yaŋ45
husked rice	gạo	yaw1
glutinous rice	gạo nếp	yaw1 nep24
ginger	gùng	γκη32
hoof	móng guốc, guốc	yuək324
sun bear	gấu chó	gu1
two	hai	haj45
deep cave	hang sâu	haŋ45 su45
cave	hang, động	haŋ45, haŋ45 ɗɔŋ1
Cave	nung, uọng	1101177, 1101177 UJIJ1

koj324 ďšm45 yaw1

to cough	ho	hen324
wild boar, wild pig	heo, lợn rừng	hew45 ri45
pig (domestic)	heo, lon	hew45, lyn1
rapid	ghềnh, thác ghềnh	ho32
flower	bông, hoa	hwa45
to marry (of a woman)	lấy chồng	joŋ324
fish	cá	ka324
spawning fish	cá đẻ	ka324 dε2 [?]
crocodile	cá sấu	ka324 s~w324
to bite	(chó) cắn, gặm	kăm324
paws, feet (of animals)	bàn chân	kăŋ2 [?]
to hold in the jaws	gặm, ngậm	kap53
sand	cát	kat24
to harvest, gather (general)	gặt hái	kăt24 haj324
to harvest rice	gặt lúa	kăt24 lo324
grass	cổ	ko2°
toad	cóc	kok24
dibble	dụng cụ chọc lỗ tra hạt	kỹj1
male (animal)	đực, trống	kən45 duk53
female (animal)	cái, mái	kon45 kỹj324
stag, deer	hươu	kon45 ท _ี งj45
wing	cánh	kεn324
to cry (animals)	kêu (động vật)	kew45
ant	kiến đen	kiən324 den45
excrements, faeces	cút	kit24
walking staff	(gậy) ba toong	kyj1
ladder	cái thang	kvj324
cooked rice	com	kym45
tea plant	cây chè	kγn45 cε32
banana (plant)	cây chuối	kyn45 cuəj324
areca palm tree	cây cau	kyn45 kăw45
tree	cây cối	kvn45 koj324
rice seedlings	cây mạ	kyn45 ma324
lemongrass	så chanh	kwn45 şa45 şa2°
coconut palm	cây dừa	kyn45 zwo32
nettle-hemp	cây gai	kyn45 zwə45
to plant out rice seedlings	cấy lúa	kǯj324 lɔ324
thorn	gai	kǯj45
girl	gái	kǯj324
plough	cái cày	kỹn45 kăj32
rainbow	cầu vồng	kỹw31 voŋ31
tuber	củ	ko2 [?]
mortar (for pounding rice)	cối giã gạo	koj324 d¥m45 y
· · · ·	·	v

owl (general)	cú mèo	ku45
crab	сиа	kuə45
to carry in a basket	gùi	kuj32; koŋ32
knee	đầu gối	kuj324
spur (of cock)	cựa (gà trống)	kwə2²
crow	quạ	kwa1
to scratch (with one's nail)	gãi	k ^h aj2 [?]
tiger	khái	k^h aj324 ~ xaj324
pass, defile	đèo; hẻm núi, khe núi	$k^{h} \epsilon 45$
stream	suối	$k^{h} \epsilon 45$
monkey (general)	khỉ	$k^h i 2^?$
smoke	khói	k ^h əj324
to whittle, to cut out	gọt, chạm gọt	khot24
sweet potato	khoai lang	kʰwaj45 laŋ45
liar	người nói dối	khwet24
fire	lửa	la2 [?]
leaf	lá	la324
gum	lợi, nới	laj1
tongue	lưỡi	laj1
blade	lưỡi dao	laj1 ɗaw45
net	lưới	laj324
village	làng	laŋ32
to set (the sun sets)	lặn (mặt trời)	lăn53
fly, bluebottle	nhặng	lăŋ32
embers	mẩu củi cháy dở	l ₂ 1
to flower, bloom, blossom	ra hoa, nở (hoa), trổ bông	lo2 [?] hwa45
feather	lông	loŋ45
to plan a tree	trồng cây	loŋ45 kɣn45
paddy	lúa	15324
eel	lwon	lwən45
rice seedlings	(cây) mạ	ma324
shell (of tortoise)	mai rùa	maj45 ro32
to borrow (object)	mượn (vật)	man1
moon	mặt trăng	măt53 tăŋ45
sun	mặt trời	măt53 tvj32
sesamum	mè, vừng	mε32
partition, bamboo panel	phên	men45
cat	mèo	mew32
cloud	mây	mǯj45
to cultivate a rice field	làm ruộng	mxn32 roŋ1
honey	mật	mỹt53
tomb	mồ, mả	mo32; ma2 [?]
where?	(đi) đâu?	mo45

termite	con mối	moj24
mouth	miệng	mom1
taro	khoai sọ, môn	mon45
cockscomb, crest	mào (gà)	moŋ32 ya32
one	một	mot53
beak	mó	$m\mathfrak{d}^2$
mosquito	muỗi	moj1
salt	muối	moj324
to rise (the sun rises)	mọc (mặt trời)	mok53
weevil	mọt	mot53
lip	môi	muj45
cinders, ashes	tro	mun45
rain	mua	mwə45
water	nước	nak24
year	năm	năm45
five	năm	năm45
this year	năm nay	năm45 ni45
stopple, cork, plug	cái nút	năp24
branch	cành, nhánh	ກະກ324
anchor	mỏ neo	new45
boat, ship	thuyền, tàu	nok24
to swallow	nuốt	not24
to give birth	sinh đẻ	n 2 2
to cook rice (water)	nấu cơm	nyw324 kym45
orchard	vườn hoa quả	nwəŋ45
dark sky	(trời) râm	ກ ັ ຮm45 ~ z ຮັm45
to weed	nhổ, dẫy cỏ	no2° ko2°
small	nhỏ, bé	$\mathfrak{po}2^{\mathfrak{l}}$
tusk, ivory	ngà	ŋa32
man (homo)	người ta, con người	ŋaj32
far, distant (from)	xa (noi)	ŋaj45
day	ngày	ŋăj45
calf	(con) bê, nghé	ŋε324
young buffalo	nghé	<u>ກ</u> ε324
to be pregnant	có bầu, có thai	ηεn324
near to	gần (nơi)	ŋin45
horse	ngựa	ŋwə1
star	ngôi sao	ŋoj45 şaw45
in front	đàng, đằng trước	ກວ1 twək24
to look at	nhìn	ກວ324
nest	ổ, tổ chim	$o2^{7}$
father's elder sister	cô, bác, bá	o45
1 111 1	1 2	

rựa nhỏ

ra1

billhook

cattle pen, cow pen	chuồng trâu	ran32
river	sông	raw32
fence	rào, giậu	raw32
tooth	răng	răŋ45
	nhau	răw45
placenta (after birth)		
bedbug	rệp 	rep24
root	rễ cây	ren1 kyn45
axe	rìu	rew32
dragon	rồng	roŋ32
tortoise	rùa à	ro32
fly	ruồi	roj32
gnat, midge	muỗi mắt, muỗi nhỏ	roj32 kon45
whip, lash	cái roi	roj45
wet rice field (in the plains)	ruộng nước	roŋ1
dry paddy field	ruộng lúa khô, ruộng cạn	rəŋ1 kʰo45
intestines	ruột	rot53
fishnet	rớ, vó	r ₃₂₄
dry rice field	rẫy	ršj1
sieve	rây	ršj45
mountain, hill	núi, đồi	ru324
forest	rừng	ru324
to husk rice	xay lúa	săj45 lo324
to wash (clothes)	giặt quần áo	săt24
rack (over the fire)	giàn trên bếp	şan32
wax	sáp	şap24
to winnow paddy	sảy lúa	şăj2 [?] 15324
manioc, tapioca, cassava	sắn	şăn324
to hunt	săn mồi	şăn324
iron	sắt	săt24
face to face	đối mặt	săw24
six	sáu	săw324
land leech	con vắt	şen45
lotus	(hoa) sen	sen45
to hug	ôm	sin324
adhesive	dính	sin324
wolf	chó sói, sói đỏ	şəj324
squirrel	sóc	sok24
pot (for cooking rice)	nồi nấu cơm	son45
thunder	sấm	รูรัm324
milk	sữa	smo1
to carry on a pole	gánh	şwən şwən45
deep	sâu	şu32
ugly	xấu	şu32 şu45
ugiy	лии	gu r J

to bark	chó sủa	şuə2 [?]
hand-held pestle	chày tay	tăj32 dỹm45 yaw1
snake	rắn	tăn324
to put out a fire	tắt lửa	tăt24 la2 [?]
-	tầu lá chuối	tăw32 la324 cwej324
rhinoceros	tê giác	te45 jak24
crayfish	tôm sông, tôm nước ngọt	tep324
-	tỏi	toj2 [?]
to fight	đánh trả, chống trả	ta2°
son	con trai	taj45
python	trăn	tăn45
white	trắng	tăŋ324
above	bên trên	ten45
to weave straw wall	đan/bện tấm tranh	tεn45
centipede	con rết, rít	tit24
prawn	tôm	tom45
cushion	gối dựa, gối đệm	two1
sky	trời	tyj32
otter	con rái cá	třj324
fruit	trái, quả	třj324
ear lobe	dái tai	třj324
areca nut (betel nut)	trái cau	tˇj324 kăw45
coconut	trái (quả) dừa	třj324 zwo32
body louse	rận	t <u>ř</u> n324
to slip	trượt, tượt	trt53
buffalo	trâu	t ¥w45
insect	sâu bọ	txw45 bo1
hare	thỏ rừng	tho2? ru324
trunk (of trees)	thân cây	t ^h šn45 kvn45
tin	thiếc, kẽm	thiək24
leak (from the roof)	dột (dột mái, mái dột)	thot24
head	đầu, trốc	tok24
back of the blade	cái sống dao	ton324
drum	trống	ton324
betel	trầu	tu32
mole	chuột chữi	tu32
earthworm	con trùn, giun	tun32
pangolin	con tê tê, trút	tut24
to keep (buffalo)	giữ trâu	tul třw45
	ở giữa	tmə2°
	dượng	twəŋ1
	sùng	tuin32
antlers	gạc nai	tພŋ32 nɤj45

egg	trứng	twŋ324
to broadcast rice	gieo vãi luá	vaj1 lo324
scale (of fish)	vảy (cá, con tê tê)	văj2 [?]
gold	vàng	vaŋ32
lime	vôi	voj45
(wood) shavings	vỏ bào	vo1
elephant	voi	voj45
elephant's trunk	vòi voi	voj32 voj45
to thin out bamboo tape	vót lạt	vot24 lat53
gibbon	vượn	vwən1
marsh	đầm lầy	vuŋ32 lun45
yes	dą	z a1
false, untrue	giả	$za2^{?}$
house	nhà	z a32
weeds	cỏ dại	z aj1
mad dog	chó dại	z aj1
to chew	nhai	zaj45
to dare (to do sth.)	dám	zam324
to imprison	giam, bỏ tù ai	zam45
to paste, glue	dán lại	zan324
to disperse	xích, dang ra	zaŋ45
to stroll	đi dạo, đi chơi	zaw45
to teach	dạy	zăj1
mountains	dãy núi	z ăj2 [?]
to jump, to leap (e.g. frog)	nhảy	zăj2² ~ năj2²
thick	dày	zăj32
cricket	con dế	ze324
goat	dê	ze45
to hoard	để dành, dành dụm, tiết kiệm	zen32
to weave	dệt, đan	zet53
tear grass, job's tears	bo bo, ý dĩ, cườm thảo	z i1
divorced (husband)	bỏ chồng, li dị	z i1
sulfur	diêm sinh	ziəm45
to speak, make a speech	diễn thuyét	ziən1
hedgehog	nhím	zim324
bad	xấu, dở	$\mathbf{z} \mathbf{x} 2^{7}$
cucumber	dưa chuột, dưa leo	zy45 lew45
to play	chơi	zyj1
to get up	đứng dậy, dậy	zĭj1
to struggle	vùng vãy, dẫy dụa	zĭj1
bat	doi	zvj45
to lead	dẫn ai	zřn1
villager	dân làng	zřn45

to make a sacrifice	cúng, hiến dâng	zvŋ45
to rise	dâng lên	z ĭ ŋ45
castor oil tree	cây thầu dầu	z <u></u> yw32
daughter in law, son's wife	con dâu	z̃yw45 ; ðu45
to observe	dò xét, quan sát	z o32
wind	gió	z 324
rose-apple	roi, mận	z əj45
thin	ốm gầy	zəm324
somnambulism	mộng du	zu45
Rhizomys sinensis	dũi	zuj 1
tinder	bùi nhùi (để mồi lửa)	zuj32
evil	dữ tọn	zw1
fruit shell	vỏ cứng, gáo dừa	zwə32
antelope goral	ban linh, linh dương	zwəŋ45